



**Santa Cruz County Regional  
Transportation Commission  
Budget and Administration/Personnel  
Committee**

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**AGENDA**

**Thursday, October 14, 2010  
3:30 pm**

**PLEASE NOTE LOCATION THIS MONTH**

**NOTE LOCATION THIS MONTH**  
Regional Transportation Commission Office  
1523 Pacific Ave

1. Introductions
2. Additions or changes to consent and regular agenda
3. Oral communications

**CONSENT AGENDA**

4. Approve the May 11, 2010 meeting minutes
5. Accept monthly TDA revenue report
6. Accept fourth quarter FY 09-10 quarterly warrants reports and monthly credit card reports

**REGULAR AGENDA**

7. GASB45 Report  
(*Yesenia Parra, ASO and Daniel Nikuna, Fiscal Officer*)
  - a. Staff report
  - b. Actuarial Report on GASB 45 Retiree Benefit Valuation
8. Amendments to the fiscal year FY 10-11 budget and work program  
(*Luis Mendez, Deputy Director*)

- a. Staff report
- b. FY 10-11 budget
- c. Actual and estimated Transportation Development Act revenues

9. Adjournment

The next Budget and Administration/Personnel Committee meeting is scheduled for Thursday, November 11, 2010 at 3:30 p.m. in the RTC conference room, 1523 Pacific Ave, Santa Cruz, CA

Distribution:

Members/Alternates:

Commissioner John Leopold  
 Commissioner Alternate  
 Steve Lustgarden  
 Commissioner Tony Campos  
 Commission Alternate Gustavo Gonzalez  
 Commissioner Ellen Pirie  
 Commissioner Antonio Rivas  
 Commissioner Alternate, Andy Schiffrin  
 Commissioner Neal Coonerty  
 Commissioner Mark Stone

Others

Rahn Garcia, County Counsel  
 Les White, SCMTD  
 John Doughty, AMBAG  
 Piet Canin, Ecology Action  
 Sandra Coley, Pajaro Valley TMA  
 Catherine Patterson-Valdez, Community  
 Bridges  
 Lois Connell, Volunteer Center  
 Katie LeBaron, Santa Cruz County Health  
 Services Agency

RTC Staff

George Dondero  
 Luis Mendez  
 Daniel Nikuna  
 Yesenia Parra

**ACCOMMODATIONS FOR PERSONS WITH DISABILITIES**

*The Santa Cruz County Regional Transportation Commission does not discriminate on the basis of disability. The SCCRTC Conference Room is located in an accessible facility. If you wish to attend this Budget and Administration/Personnel Committee meeting and you will require special assistance in order to participate, please contact SCCRTC clerical staff at 460-3200 at least 72 hours in advance of the Budget and Administration/Personnel Committee meeting to make arrangements. As a courtesy to those persons affected, please attend the meeting smoke and scent free.*

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
CONTACT INFORMATION**

1523 Pacific Avenue (831) 460-3200 / info@sccrtc.org  
 Santa Cruz, CA 95060 www.sccrtc.org



**Santa Cruz County Regional  
Transportation Commission  
Budget and Administration/Personnel  
Committee**

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**MINUTES**

**Tuesday, May 11, 2010  
3:30 pm**

**PLEASE NOTE LOCATION THIS MONTH**

<p><b>NOTE LOCATION THIS MONTH</b> Regional Transportation Commission Office 1523 Pacific Ave</p>
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1. Introductions-none
2. Additions or changes to consent and regular agenda-none
3. Oral communications

Administrative Services Officer, Yesenia Parra gave an update on the Payroll Services for the Santa Cruz County Regional Transportation Commission (RTC) staff. She reported that staff interviewed 3 potential service providers and chose Paylocity as the RTC Payroll Service provider. Paylocity's payroll system is web based and seemed to be the most flexible and prepared for future needs. The training offered by Paylocity is on-going and available to all staff. The cost proposed by Paylocity was also the lower than the other 2 vendors.

Ms. Parra also reported that staff met with several financial institutions to gather information so that the RTC can determine which institution would best serve their banking needs to hold in deposit the RTC funds. Staff will review the information and make a recommendation to the Commission at its June 3, 2010 meeting.

**CONSENT AGENDA**

4. Approved the December 10, 2009 meeting minutes
5. Accepted monthly TDA revenue report

6. Accepted third quarter FY 09-10 quarterly warrants reports and monthly credit card reports

### **REGULAR AGENDA**

7. Ancillary insurance benefits for RTC staff

Administrative Services Officer, Yesenia Parra gave the staff reported stating that staff contacted several insurance carriers and worked with Barney & Barney LLC Company, an insurance broker to obtain quotes for the RTC ancillary benefits. Due to the RTC staff size, carriers could not provide disability insurance at two separate levels as it currently exists with the County; however, a combination of short and long term disability will provide the disability benefits required by the MOU's. All staff have agreed to this benefit package.

Staff also researched 457 Deferred Compensation benefit plans, as the county will also no longer be able to provide this benefit to the RTC staff. CalPERS can offer the same benefit as other plans at no charge to the agencies and at a much lower cost to employees.

Overall, the quotes received for all required benefits show a lower cost than the current premiums paid to the Santa Cruz County.

Commissioners asked if staff was in agreement with these benefits and were happy to see that the benefits will be obtained at a cost savings.

Commissioner Leopold moved and Commissioner Stone seconded the staff recommendation to recommend that the Commission:

1. Purchase vision and dental care staff benefits from the Special District Risk Management Authority (SDRMA);
2. Purchase short and long term disability, life and accidental death and dismemberment, and employee assistance program staff benefits from Lincoln Insurance; and
3. Participate in the 457 Deferred Compensation Plan through CalPERS.

8. Adjournment –meeting adjourned at 3:50 pm

The next Budget and Administration/Personnel Committee meeting is scheduled for Thursday, August 12, 2010 at 3:30 p.m. in the RTC conference room, 1523 Pacific Ave, Santa Cruz, CA

Respectfully submitted,

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Yesenia Parra, Staff

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
TDA REVENUE REPORT  
FY10-11  
REVISED 10/06/10**

<b>MONTH</b>	<b>FY09-10 ACTUAL REVENUE</b>	<b>FY10-11 ESTIMATE REVENUE</b>	<b>FY10-11 ACTUAL REVENUE</b>	<b>DIFFERENCE DIFFERENCE</b>	<b>DIFFERENCE AS % OF PROJECTION</b>	<b>CUMULATIVE % OF ACTUAL TO PROJECTION</b>
JULY	454,800	454,800	410,500	-44,300	-9.74%	90.26%
AUGUST	539,000	539,000	547,300	8,300	1.54%	96.38%
SEPTEMBER	719,093	719,093	819,955	100,862	14.03%	103.79%
OCTOBER	490,500	490,500	458,300	-32,200	-6.56%	101.48%
NOVEMBER	555,900	555,900				
DECEMBER	625,785	625,786				
JANUARY	465,300	538,600				
FEBRUARY	620,400	590,700				
MARCH	607,400	578,624				
APRIL	385,100	432,400				
MAY	562,700	464,400				
JUNE	605,859	606,615				
<b>TOTAL</b>	<b>6,631,837</b>	<b>6,596,418</b>	<b>2,236,055</b>	<b>32,662</b>	<b>0.50%</b>	<b>34%</b>

**Note:**

\\10.10.10.11\internal\B&ACOM\bap2010\b&a1010\[TDA.xls]FY10-11

**AGENDA:** October 14, 2010

**TO:** Budget and Administration/Personnel Committee

**FROM:** Daniel Nikuna, Fiscal Officer

**RE:**  
Quarterly Warrants and Credit Card Reports

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## **RECOMMENDATIONS**

Staff recommends that the Budget and Administration/Personnel (B&A/P) Committee review and accept the FY09-10 fourth quarter Warrants and Credit Card Reports.

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## **BACKGROUND**

The Regional Transportation Commission Administrative and Fiscal Policies require that the quarterly reports of warrants and credit card purchases be presented to the B&A/P Committee for acceptance on behalf of the RTC.

## **DISCUSSION**

The warrant report (Attachment 1) covers the period from April 1, 2010 to June 30, 2010. Similar to previous quarters, the bulk of the payment went to the five largest vendors. The following top five vendors received \$709,910 (68%) of the total payment in the current report: Ecology Action of Santa Cruz (\$58,162 for various grants); Ladd's Auto Body (\$61,527 for free road assistance on Hwy 1 and Hwy 17); Mille, Owen & Trost (\$99,388 for rail negotiation services); City of Santa Cruz (\$113,505 TDA to Community Bridges); and Nolte (\$377,328 for various Hwy 1 projects). The total warrants slightly increased by 1% to \$1,044,319 from \$1,024,236 in the third quarter.

The credit cards purchases continue to be minimal at \$6,113; and they represent less than 1% of all purchases. The credit card purchases are presented in Attachment 2. There are no unusual transactions in the warrant and credit card reports. Staff recommends that the budget and Administration/Personnel Committee review and accept the quarterly reports.

## **SUMMARY**

The quarterly reports for the period from April 1, 2010 to June 30, 2010 have been completed. Staff recommends acceptance of these reports.

### Attachments:

1. Quarterly Warrants Report
2. Quarterly Credit Card Report

*"[Click here and insert the subject of your report]"*

*Page 2*

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**ATTACHMENT 1**

SCCRTC  
 Quarterly Warrants Report  
 FY2009-2010  
 (April 1, 2010 - June 30, 2010)

DATE POSTED	CHECK NUMBER	CHECK AMOUNT	VENDOR NUMBER	VENDOR NAME	TRANSACTION DESCRIPTION
<b>FY2009-2010 4TH QUARTER ENDING 6/30/2010</b>					
1 4/1/2010	00436677	231.96	126366	KONICA MINOLTA BUSINESS SOLUTIONS	FY10 069 *214177956* COPIER CHGS
2 4/1/2010	00436681	295.31	126492	OFFICE DEPOT	FY10 069 *510872576001* OFFICE SUPPLIES
3 4/1/2010	00436684	821.25	126954	LSC TRANSPORTATION CONSULTANTS, I	FY10 069 *42992* PROF SERV 1/30-2/26/10
4 4/1/2010	00436670	1,425.00	125881	THOMAS J EGAN DBA EGAN CONSULTING	FY10 069 *7* PROF SERV FEB '10
5 4/1/2010	00436567	1,500.00	110743	USER-FRIENDLY COMPUTING	FY10 069 *10711A* FEB '10 LAN MAINT
6 4/1/2010	00436567	1,500.00	110743	USER-FRIENDLY COMPUTING	FY10 069 *909008* APRIL '10 LAN MAINT
7 4/1/2010	00436670	2,209.11	125881	THOMAS J EGAN DBA EGAN CONSULTING	FY10 069 *DEC 09 BAL* PROF SERV
8 4/1/2010	00436588	6,583.33	116953	LOUIS E. RITTENHOUSE	FY10 069 *158* RENT APRIL 2010
9 4/1/2010	00436562	8,024.16	110430	LADD'S AUTO BODY	FY10 069 *FEB '10* TOW BEAT 2 HWY 1
10 4/1/2010	00436562	8,781.64	110430	LADD'S AUTO BODY	FY10 069 #FEB '10# TOW BEAT 1 HWY 1
11 4/1/2010	00436670	8,909.62	125881	THOMAS J EGAN DBA EGAN CONSULTING	FY10 069 *6* PROF SERV JAN '10
12 4/1/2010	00436670	8,928.45	125881	THOMAS J EGAN DBA EGAN CONSULTING	FY10 069 *4* PROF SERV NOV '09
13 4/1/2010	00436548	32,781.33	104465	CALIFORNIA HIGHWAY PATROL	FY10 069 *M0041877* OCT-DEC '09 SAFE ON 17
14 4/6/2010	00437084	15,197.74	120096	CALPERS RETIREMENT SYSTEM	FY10 073 *H2010041940000* INS APRIL 2010
15 4/12/2010	00437873	39.00	126286	IM SOON HU DBA AMERICAN CAFE	FY10 076 *15* SCCRTC 3/31/10 RTC MTG 4/1/10
16 4/12/2010	00437762	79.93	102314	PG & E CUSTOMER BILLING	FY10 076 *5824685375-6 3/23/10*
17 4/12/2010	00437761	80.85	102314	PG & E CUSTOMER BILLING	FY10 077 *2730123841-9 3/31/10*
18 4/12/2010	00437761	93.75	102314	PG & E CUSTOMER BILLING	FY10 077 *5605123657-0 3/31/10*
19 4/12/2010	00437875	125.00	126495	IRON MOUNTAIN	FY10 076 *BRR3956* SB324 3/31/10 4/10 STORAGE
20 4/12/2010	00437761	148.29	102314	PG & E CUSTOMER BILLING	FY10 077 *9709471543-06* 3/31/10
21 4/12/2010	00437804	185.00	118397	US POSTAL SERVICE	FY10 075 *BRM PERMIT 403000* 12/26/09
22 4/12/2010	00437761	211.06	102314	PG & E CUSTOMER BILLING	FY10 077 *2896790497-1 3/31/10*
23 4/12/2010	00437606	520.00	670006	CORINA CALETTI	FY10 076 *700830109* URM CORY
24 4/12/2010	00437878	688.90	126764	INTERCALL INC DBA GENESYS CONFERENCE	FY10 076 *I-844185* ASAN102 3/7/10
25 4/12/2010	00437840	23.10	124757	AT & T/ CALNET 2	FY10 076 *000001225496* 8314603200962 3/13/10
26 4/12/2010	00437831	36.00	123516	AFLAC-FLEX ONE	FY10 076 *045790ER* FLEX ADMIN CHARGES
27 4/12/2010	00437849	38.31	125345	FEDERAL EXPRESS CORP	FY10 076 *7-028-56519* 1621-4761-7 3/19/10
28 4/12/2010	00437849	48.99	125345	FEDERAL EXPRESS CORP	FY10 076 #7-028-56519# 1621-4761-7 3/19/10
29 4/12/2010	00437773	137.90	104107	REGISTER-PAJARONIAN	FY10 076 *02101882-000* AD 01545381-001 3/20/10 DR
30 4/12/2010	00437854	184.00	125871	COMMUNITY TV OF SC COUNTY	FY10 076 *1352* RTC MTG COVERAGE 2/3/10
31 4/12/2010	00437831	238.20	123516	AFLAC-FLEX ONE	FY10 076 *487802* UF995 FLEX 3/10
32 4/12/2010	00437840	263.63	124757	AT & T/ CALNET 2	FY10 076 #000001225496# 8314603200962 3/13/10
33 4/12/2010	00437840	425.92	124757	AT & T/ CALNET 2	FY10 076 *000001234968* 8314711300737 3/13/10
34 4/12/2010	00437793	1,731.00	113899	SPECIAL DISTRICT RISK MGMT AUTHORITY	FY10 076 *0032094-IN* 0007456 WC INS QTR4
35 4/13/2010	00437920	113,505.00	112335	CITY OF SANTA CRUZ	FY10 059 TDA ARTICLE 8 FY09/10 4TH QTR CTSA-CB
36 4/14/2010	00437974	64.00	670004	RACHEL MORICONI	APRIL CTC & RTPA MEETINGS
37 4/14/2010	00437974	203.24	670004	RACHEL MORICONI	APRIL CTC & RTPA MEETINGS
38 4/14/2010	00437974	203.35	670004	RACHEL MORICONI	APRIL CTC & RTPA MEETINGS
39 4/15/2010	00438390	62.13	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC10 #4246044555661663 03/22/10#
40 4/15/2010	00438390	77.51	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC10 *4246044555661663 03/22/10*
41 4/15/2010	00438390	130.00	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC10 #4246044555661663 03/22/10#
42 4/15/2010	00438390	508.99	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC10 #4246044555661663 03/22/10#
43 4/15/2010	00438390	1,008.00	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC10 #4246044555661663 03/22/10#
44 4/15/2010	00438390	21.32	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC10 #4246044555661663 03/22/10#
45 4/15/2010	00438390	25.00	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC10 #4246044555661663 03/22/10#
46 4/15/2010	00438390	39.95	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC10 #4246044555661663 03/22/10#
47 4/15/2010	00438390	251.96	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC10 #4246044555661663 03/22/10#
48 4/15/2010	00438390	288.28	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC10 #4246044555661663 03/22/10#

ATTACHMENT 1

SCCRTC  
 Quarterly Warrants Report  
 FY2009-2010  
 (April 1, 2010 - June 30, 2010)

DATE POSTED	CHECK NUMBER	CHECK AMOUNT	VENDOR NUMBER	VENDOR NAME	TRANSACTION DESCRIPTION
49 4/15/2010	00438390	825.00	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC10 #4246044555661663 03/22/10#
50 4/19/2010	00438961	100.82	125807	ROBERT & ALISA DAVIES DBA ALPHAGRA	FY10 070 *21765* E & D TAC PKT 4/10
51 4/19/2010	00438961	136.44	125807	ROBERT & ALISA DAVIES DBA ALPHAGRA	FY10 070 *21753* E & D TAC PKT 4/10
52 4/19/2010	00438977	3,189.60	126795	W TIMOTHY LANDES DBA SIERRA WEST	FY10 070 *INV 4/3/10 PROF SERV '3/10*
53 4/19/2010	00438909	3,500.00	107641	CAROLYN C CHANEY & ASSOCIATES	FY10 070 *10-35* PROF SERV 4/10
54 4/19/2010	00438907	6,437.50	105749	MILLER, OWEN & TROST	FY10 070 *28430* PROF SERV JAN '10
55 4/19/2010	00438907	16,035.59	105749	MILLER, OWEN & TROST	FY10 070 *28417* PROF SERV DEC '09
56 4/19/2010	00438898	21,262.33	101810	ECOLOGY ACTION OF SANTA CRUZ	FY10 070 *MAR-SEPT '09* ERH PRGM
57 4/19/2010	00438890	50.00	100926	RONALD GRAVES - DIRECTOR	FY10 079 *T/C MTG 3/4/10* PER RES 16-81
58 4/19/2010	00438890	50.00	100926	RONALD GRAVES - DIRECTOR	FY10 079 *TPW MTG 3/18/10* PER RES 46-94
59 4/19/2010	00438919	50.00	114906	TONY CAMPOS	FY10 079 *T/C MTG 3/4/10* PER RES 16-81
60 4/19/2010	00438919	50.00	114906	TONY CAMPOS	FY10 079 *TPW MTG 3/18/10* PER RES 46-94
61 4/19/2010	00438930	50.00	119076	RANDY JOHNSON	FY10 079 *T/C MTG 3/4/10* PER RES 16-81
62 4/19/2010	00438930	50.00	119076	RANDY JOHNSON	FY10 079 *TPW MTG 3/18/10* PER RES 46-94
63 4/19/2010	00438939	50.00	121554	MARK STONE	FY10 079 *T/C MTG 3/4/10* PER RES 16-81
64 4/19/2010	00438942	50.00	122607	ANTONIO R RIVAS	FY10 079 *T/C MTG 3/4/10* PER RES 16-81
65 4/19/2010	00438942	50.00	122607	ANTONIO R RIVAS	FY10 079 *TPW MTG 3/18/10* PER RES 46-94
66 4/19/2010	00438943	50.00	122795	DENE BUSTICHI	FY10 079 *T/C MTG 3/4/10* PER RES 16-81
67 4/19/2010	00438943	50.00	122795	DENE BUSTICHI	FY10 079 *TPW MTG 3/18/10* PER RES 46-94
68 4/19/2010	00438944	50.00	123241	KIRBY A NICOL	FY10 079 *T/C MTG 3/4/10* PER RES 16-81
69 4/19/2010	00438944	50.00	123241	KIRBY A NICOL	FY10 079 *TPW MTG 3/18/10* PER RES 46-94
70 4/19/2010	00438951	50.00	124473	NEAL COONERTY	FY10 079 *T/C MTG 3/4/10* PER RES 16-81
71 4/19/2010	00438970	50.00	126179	DONALD S. LANE	FY10 079 *T/C MTG 3/4/10* PER RES 16-81
72 4/19/2010	00438970	50.00	126179	DONALD S. LANE	FY10 079 *TPW MTG 3/18/10* PER RES 46-94
73 4/19/2010	00438971	50.00	126197	JOHN LANDIS LEOPOLD	FY10 079 *T/C MTG 3/4/10* PER RES 16-81
74 4/19/2010	00438971	50.00	126197	JOHN LANDIS LEOPOLD	FY10 079 *TPW MTG 3/18/10* PER RES 46-94
75 4/19/2010	00438928	26.43	118965	MISSION UNIFORM SERVICES	FY10 080 *210113817* 2/18/10
76 4/19/2010	00438928	26.43	118965	MISSION UNIFORM SERVICES	FY10 080 *210116765* 3/04/10
77 4/19/2010	00438928	26.43	118965	MISSION UNIFORM SERVICES	FY10 080 *210119696* 3/18/10
78 4/19/2010	00438928	26.43	118965	MISSION UNIFORM SERVICES	FY10 080 *210122621* 4/1/100
79 4/19/2010	00438441	50.00	116593	ELLEN PIRIE	FY10 079 *T/C MTG 3/4/10* PER RES 16-81
80 4/19/2010	00438441	50.00	116593	ELLEN PIRIE	FY10 079 *TPW MTG 3/18/10* PER RES 46-94
81 4/21/2010	00439347	763.20	124475	AT&T MOBILITY	FY10 070 *9967 10462 6/29/09-7/28/09*
82 4/21/2010	00439347	763.20	124475	AT&T MOBILITY	FY10 070 *9967 10462 7/29/09-8/28/09*
83 4/21/2010	00439347	763.20	124475	AT&T MOBILITY	FY10 070 *9967 10462 8/29/09-9/28/09*
84 4/21/2010	00439347	763.20	124475	AT&T MOBILITY	FY10 070 *9967 10462 9/29/09-10/28/09*
85 4/21/2010	00439347	763.20	124475	AT&T MOBILITY	FY10 070 *9967 10462 10/29/09-11/28/09*
86 4/21/2010	00439347	763.20	124475	AT&T MOBILITY	FY10 070 *9967 10462 11/29/09-12/28/09*
87 4/21/2010	00439347	763.20	124475	AT&T MOBILITY	FY10 070 *9967 10462 12/29/09-1/28/10*
88 4/21/2010	00439347	763.20	124475	AT&T MOBILITY	FY10 070 *9967 10462 1/29/10-2/28/10*
89 4/27/2010	00439929	396.37	124240	SANTA CRUZ CO REGIONAL TRANSPORT	FY09 PC02 REPLENISH PETTY CASH
90 4/27/2010	00440006	7,987.99	101810	ECOLOGY ACTION OF SANTA CRUZ	RY10 081 GO GREEN 7/09-9/09 CMAQ GRANT
91 4/28/2010	00440258	15,197.74	120096	CALPERS RETIREMENT SYSTEM	FY10 082 *H2010051940000* INS MAY 2010
92 5/3/2010	00441042	36.00	123516	AFLAC-FLEX ONE	FY10 083 *094312ER* FLEX ONE APRIL10
93 5/3/2010	00440992	41.14	111701	UNITED PARCEL SERVICE	FY10 083 *00YY5231140* RECYCLED FLOPPIES DISKS
94 5/3/2010	00441048	44.00	124757	AT & T/ CALNET 2	FY10 083 *001290243* 4/13/10 8314603200 962
95 5/3/2010	00441057	45.00	125402	ROBERT FARMER DBA COYOTE INDUSTR	FY10 083 *21166* SIDEWALK CLEANING MARCH 10
96 5/3/2010	00441054	55.93	125345	FEDERAL EXPRESS CORP	FY10 083 *7-059-87-67* A/C 1621-4761-7
97 5/3/2010	00441066	67.50	125845	CRYSTAL SPRINGS WATER CO	FY10 083 054026 WATER MARCH 10
98 5/3/2010	00441048	233.34	124757	AT & T/ CALNET 2	FY10 083 #001290243# 4/13/10 8314603200 962

ATTACHMENT 1

SCCRTC  
 Quarterly Warrants Report  
 FY2009-2010  
 (April 1, 2010 - June 30, 2010)

DATE POSTED	CHECK NUMBER	CHECK AMOUNT	VENDOR NUMBER	VENDOR NAME	TRANSACTION DESCRIPTION
99 5/3/2010	00440577	233.79	670010	KIM SHULTZ	FY10 083 *701040120* URM K. SHULTZ
100 5/3/2010	00441048	400.33	124757	AT & T/ CALNET 2	FY10 083 *001299715* 4/13/10 8314711300 737
101 5/3/2010	00441008	25.64	117448	VERIZON WIRELESS	FY10 083 #0861825007# 8313456441 4/16/10
102 5/3/2010	00441008	38.86	117448	VERIZON WIRELESS	FY10 083 #0861825007# 8312522817 4/16/10
103 5/3/2010	00441008	38.86	117448	VERIZON WIRELESS	FY10 083 *0861825007* 8312521553 4/16/10
104 5/3/2010	00441008	38.86	117448	VERIZON WIRELESS	FY10 083 #0861825007# 8312521554 4/16/10
105 5/3/2010	00441008	38.86	117448	VERIZON WIRELESS	FY10 083 #0861825007# 8312522397 4/16/10
106 5/3/2010	00440942	76.53	102314	PG & E CUSTOMER BILLING	FY10 083 *5824685375-6 4/21/10 *
107 5/3/2010	00441076	149.03	126255	BAY AREA NEWS GROUP/ SANTA CRUZ S	FY10 083 *3456182* AD 4/11/10 E & D
108 5/3/2010	00441076	208.64	126255	BAY AREA NEWS GROUP/ SANTA CRUZ S	FY10 083 *3456168* AD 4/11/10 PUB HEARING RAILLINE
109 5/3/2010	00441068	184.00	125871	COMMUNITY TV OF SC COUNTY	FY10 071 *1361* RTC MTG 3/4/10
110 5/3/2010	00441018	344.54	118861	CLEAN BUILDING MAINTENANCE	FY10 071 *7168* JANITORIAL SVC FOR MAR '10
111 5/3/2010	00440993	2,840.00	112150	J.E.ARRIAGA DBA: JEA & ASSOCIATES	FY 10 071 *1041* PROF SVC MAR '10
112 5/3/2010	00440975	3,500.00	107641	CAROLYN C CHANEY & ASSOCIATES	FY10 071 *10-47* PROF SVC MAY '10
113 5/3/2010	00441081	5,908.89	126954	LSC TRANSPORTATION CONSULTANTS, I	FY10 071 *43083* PERF AUDIT 2/27-3/26/10
114 5/3/2010	00441006	6,583.33	116953	LOUIS E. RITTENHOUSE	FY10 071 *159* RENT FOR MAY 2010
115 5/3/2010	00440983	10,669.18	110430	LADD'S AUTO BODY	FY10 071 *MARCH '10* HWY 1 BEAT 2
116 5/3/2010	00440983	10,768.84	110430	LADD'S AUTO BODY	FY10 071 #MARCH '10# HWY 17 BEAT 1
117 5/5/2010	00441322	4,725.00	125802	RENAISSANCE RAIL GROUP INC	FY10 071 *236* PROF SERV MAR '10
118 5/13/2010	00442518	10.75	670015	GEORGE A. DONDERO II	MARCH 2010 CTC CALCOG RAIL ACQ MTGS HOV PRESEN
119 5/13/2010	00442518	58.23	670015	GEORGE A. DONDERO II	MARCH 2010 CTC CALCOG RAIL ACQ MTGS HOV PRESEN
120 5/13/2010	00442518	6.87	670015	GEORGE A. DONDERO II	MARCH 2010 CTC CALCOG RAIL ACQ MTGS HOV PRESEN
121 5/13/2010	00442518	6.88	670015	GEORGE A. DONDERO II	MARCH 2010 CTC CALCOG RAIL ACQ MTGS HOV PRESEN
122 5/13/2010	00442518	20.00	670015	GEORGE A. DONDERO II	MARCH 2010 CTC CALCOG RAIL ACQ MTGS HOV PRESEN
123 5/13/2010	00442518	58.22	670015	GEORGE A. DONDERO II	MARCH 2010 CTC CALCOG RAIL ACQ MTGS HOV PRESEN
124 5/13/2010	00442518	264.30	670015	GEORGE A. DONDERO II	MARCH 2010 CTC CALCOG RAIL ACQ MTGS HOV PRESEN
125 5/18/2010	00443199	10.62	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
126 5/18/2010	00443199	15.99	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
127 5/18/2010	00443199	40.95	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
128 5/18/2010	00443199	44.14	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
129 5/18/2010	00443199	108.35	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
130 5/18/2010	00443199	135.17	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
131 5/18/2010	00443199	141.31	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
132 5/18/2010	00443199	156.28	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
133 5/18/2010	00443199	217.05	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
134 5/18/2010	00443199	278.00	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
135 5/18/2010	00443199	496.62	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
136 5/18/2010	00443199	977.34	124770	US BANK CORPORATE PAYMENT SYSTEM	FY10 CC11 4246044555661663 4-22-10
137 5/18/2010	00443098	9,935.80	101810	ECOLOGY ACTION OF SANTA CRUZ	FY10 085 *6281* RSTPX 07/09-/09/09 GO GREEN GRANT
138 5/19/2010	00443397	125.00	126495	IRON MOUNTAIN	FY10 086 *BWC2474* MAY 2010 FILE STORAGE
139 5/19/2010	00443370	238.20	123516	AFLAC-FLEX ONE	FY10 086 *915204* UF995 APRIL 2010
140 5/19/2010	00443324	18,975.48	101810	ECOLOGY ACTION OF SANTA CRUZ	FY10 087 *62753* RSTPX 10/09-12/09 GO GREEN GRANT
141 5/19/2010	00443343	20.00	118965	MISSION UNIFORM SERVICES	FY10 086 *210125571* 4/15/10
142 5/19/2010	00443343	20.00	118965	MISSION UNIFORM SERVICES	FY10 086 *210128518* 4/29/10
143 5/19/2010	00443391	40.00	126286	IM SOON HU DBA AMERICAN CAFE	FY10 086 *18* RTC MTG 5/6/10
144 5/19/2010	00443327	55.24	102314	PG & E CUSTOMER BILLING	FY10 086 *2730123841-9 4/29/10*
145 5/19/2010	00443386	67.50	125845	CRYSTAL SPRINGS WATER CO	FY10 086 *054026 WATER USAGE FOR APRIL 2010*
146 5/19/2010	00443327	78.38	102314	PG & E CUSTOMER BILLING	FY10 086 *5605123657-0 4/29/10*
147 5/19/2010	00443334	85.00	110143	PEDALERS EXPRESS	FY10 086 *17209* RTC PKT DEL 3/16/10
148 5/19/2010	00443399	85.27	126764	INTERCALL INC DBA GENESYS CONFERE	FY10 086 #I-855827# 4/7/10

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DATE POSTED	CHECK NUMBER	CHECK AMOUNT	VENDOR NUMBER	VENDOR NAME	TRANSACTION DESCRIPTION	
149	5/19/2010	00443327	90.96	102314	PG & E CUSTOMER BILLING	FY10 086 *9709471543-6 4/29/10*
150	5/19/2010	00443327	195.11	102314	PG & E CUSTOMER BILLING	FY10 086 *2896790497-1 4/29/10*
151	5/19/2010	00443378	219.20	124475	AT&T MOBILITY	FY10 086 *287020725943X050620110* MAY '10
152	5/19/2010	00443390	233.20	126255	BAY AREA NEWS GROUP/ SANTA CRUZ S	FY10 086 *STMT 165758* A/C 3513917 AD 3/21/10
153	5/19/2010	00443399	826.11	126764	INTERCALL INC DBA GENESYS CONFERE	FY10 086 *I-855827* 4/7/10
154	5/20/2010	00443617	50.00	100926	RONALD GRAVES - DIRECTOR	FY10 084 *TPW MTG 4/14/09* PER RES 46-94
155	5/20/2010	00443648	50.00	114906	TONY CAMPOS	FY10 084 *T/C MTG 4/1/09* PER RES 16-81
156	5/20/2010	00443648	50.00	114906	TONY CAMPOS	FY10 084 *TPW MTG 4/14/09* PER RES 46-94
157	5/20/2010	00443657	50.00	121554	MARK STONE	FY10 084 *T/C MTG 4/1/09* PER RES 16-81
158	5/20/2010	00443661	50.00	122607	ANTONIO R RIVAS	FY10 084 *T/C MTG 4/1/09* PER RES 16-81
159	5/20/2010	00443661	50.00	122607	ANTONIO R RIVAS	FY10 084 *TPW MTG 4/14/09* PER RES 46-94
160	5/20/2010	00443662	50.00	122795	DENE BUSTICHI	FY10 084 *T/C MTG 4/1/09* PER RES 16-81
161	5/20/2010	00443665	50.00	122881	DONALD NORMAN HAGEN	FY10 084 *T/C MTG 4/1/09* PER RES 16-81
162	5/20/2010	00443667	50.00	123241	KIRBY A NICOL	FY10 084 *T/C MTG 4/1/09* PER RES 16-81
163	5/20/2010	00443667	50.00	123241	KIRBY A NICOL	FY10 084 *TPW MTG 4/14/09* PER RES 46-94
164	5/20/2010	00443705	50.00	126179	DONALD S. LANE	FY10 084 *T/C MTG 4/1/09* PER RES 16-81
165	5/20/2010	00443705	50.00	126179	DONALD S. LANE	FY10 084 *TPW MTG 4/14/09* PER RES 46-94
166	5/20/2010	00443706	50.00	126197	JOHN LANDIS LEOPOLD	FY10 084 *T/C MTG 4/1/09* PER RES 16-81
167	5/20/2010	00443706	50.00	126197	JOHN LANDIS LEOPOLD	FY10 084 *TPW MTG 4/14/09* PER RES 46-94
168	5/20/2010	00443450	50.00	116593	ELLEN PIRIE	FY10 084 *T/C MTG 4/1/09* PER RES 16-81
169	5/20/2010	00443450	50.00	116593	ELLEN PIRIE	FY10 084 *TPW MTG 4/14/09* PER RES 46-94
170	5/20/2010	00443653	50.00	119076	RANDY JOHNSON	FY10 084 *T/C MTG 4/1/09* PER RES 16-81
171	5/20/2010	00443653	50.00	119076	RANDY JOHNSON	FY10 084 *TPW MTG 4/14/09* PER RES 46-94
172	5/20/2010	00443675	50.00	124473	NEAL COONERTY	FY10 084 *T/C MTG 4/1/09* PER RES 16-81
173	5/20/2010	00443675	50.00	124473	NEAL COONERTY	FY10 084 *TPW MTG 4/14/09* PER RES 46-94
174	5/25/2010	00444136	2,840.00	112150	J.E.ARRIAGA DBA: JEA & ASSOCIATES	FY10 073 *1042* PROF SERV FOR MAY 2010
175	5/25/2010	00444121	115.83	104465	CALIFORNIA HIGHWAY PATROL	FY10 073 *M0042656* OCT-DEC 09
176	5/25/2010	00444121	115.83	104465	CALIFORNIA HIGHWAY PATROL	FY10 073 *M0042737* JAN-MAR 10
177	5/25/2010	00444195	175.17	126492	OFFICE DEPOT	FY10 073 *517810750001* OFFICE SUPPLIES
178	5/25/2010	00444155	267.89	122825	CONNECTIONS COMMUNICATION SERVIC	FY10 073 *CAC54 SEPT 2009 CALLS*
179	5/25/2010	00444155	300.86	122825	CONNECTIONS COMMUNICATION SERVIC	FY10 073 *CAC56 NOV 2009 CALLS*
180	5/25/2010	00444194	334.94	126366	KONICA MINOLTA BUSINESS SOLUTIONS	FY10 073 *214448650* COPIER CHARGES APRIL 2010
181	5/25/2010	00444155	370.23	122825	CONNECTIONS COMMUNICATION SERVIC	FY10 073 *CAC55 OCT 2009 CALLS*
182	5/25/2010	00444147	404.54	118861	CLEAN BUILDING MAINTENANCE	FY10 073 *7265* JANITORIAL APRIL 2010
183	5/25/2010	00444183	417.80	125807	ROBERT & ALISA DAVIES DBA ALPHAGRA	FY10 073 *22027* RTC PKT 5/10
184	5/25/2010	00444195	518.42	126492	OFFICE DEPOT	FY10 073 *517438734001* OFFICE SUPPLIES
185	5/25/2010	00444166	756.84	124475	AT&T MOBILITY	FY10 073 *996710462 3/29-4/28/10*
186	5/25/2010	00444166	763.20	124475	AT&T MOBILITY	FY10 073 *996710462 3/1-3/28/10*
187	5/25/2010	00444153	2,455.20	121439	PARSONS BRINCKERHOFF CONSTRUCTI	FY10 073 *1-418165* PROF SERV 2/4-4/9/10
188	5/25/2010	00444184	4,375.44	125902	SEBASTIAN E GUTIERREZ DBA CASE SYS	FY10 073 *20092266* CALL BOX MNT JAN 10
189	5/25/2010	00444184	4,375.44	125902	SEBASTIAN E GUTIERREZ DBA CASE SYS	FY10 073 *20100104* CALL BOX MNT FEB 10
190	5/25/2010	00444181	5,445.00	125521	BENDER ROSENTHAL INC	FY10 073 *08044-8* PROF SERV APR 10
191	5/25/2010	00444197	5,496.75	126795	W TIMOTHY LANDES DBA SIERRA WEST	FY10 073 *PROF SERV 4/15-5/3/10*
192	5/25/2010	00444142	6,583.33	116953	LOUIS E. RITTENHOUSE	FY10 073 *160* RENT JUNE 2010
193	5/25/2010	00444128	11,275.26	110430	LADD'S AUTO BODY	FY10 073 *HWY 17 BEAT 1 - APRIL 10*
194	5/25/2010	00444128	12,008.25	110430	LADD'S AUTO BODY	FY10 073 *HWY 17 BEAT 2 - APRIL 10*
195	5/27/2010	00444541	15,197.74	120096	CALPERS RETIREMENT SYSTEM	FY10 088 *H2010061940000* INS JUNE 2010
196	6/3/2010	00445256	18,028.12	121437	NOLTE ASSOCIATES INC	FY10 074 INV *10060280* SVCS 11/27/09-2/18/10
197	6/7/2010	00445644	15,209.58	121437	NOLTE ASSOCIATES INC	FY10 075 INV #10070205# SERVICE 2/19-3/18/10
198	6/7/2010	00445644	38,554.11	121437	NOLTE ASSOCIATES INC	FY10 075 INV *10070205* SERVICE 2/19-3/18/10

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DATE POSTED	CHECK NUMBER	CHECK AMOUNT	VENDOR NUMBER	VENDOR NAME	TRANSACTION DESCRIPTION
199 6/7/2010	00445644	51,148.55	121437	NOLTE ASSOCIATES INC	FY10 075 INV *10060279* SERVICE 1/22-2/18/10
200 6/7/2010	00445644	126,035.17	121437	NOLTE ASSOCIATES INC	FY10 075 INV *10080233* SERVICE 3/19-4/15/10
201 6/7/2010	00445367	18.65	126637	VIRGINIA DYKAAR	MAY 2010 CALSAFE MEETING IN SACRAMENTO
202 6/7/2010	00445367	19.50	126637	VIRGINIA DYKAAR	MAY 2010 CALSAFE MEETING IN SACRAMENTO
203 6/7/2010	00445367	23.00	126637	VIRGINIA DYKAAR	MAY 2010 CALSAFE MEETING IN SACRAMENTO
204 6/7/2010	00445367	33.00	126637	VIRGINIA DYKAAR	AMBAG SB375 MEETING IN MARINA
205 6/9/2010	00445858	15.00	670002	LUIS P. MENDEZ	MAY 2010 COASTAL CONSERVANCY MEETING
206 6/9/2010	00445858	68.00	670002	LUIS P. MENDEZ	MAY 2010 COASTAL CONSERVANCY MEETING
207 6/10/2010	00446022	2,500.00	124240	SANTA CRUZ CO REGIONAL TRANSPORT	FY10 089 *SET UP ACCOUNT AT SANTA CRUZ CO. BANK*
208 6/10/2010	00446041	76.00	670006	CORINA CALETTI	COASTAL CONSERVANCY MTG IN SACTO 5/27
209 6/10/2010	00446209	481.40	121554	MARK STONE	APRIL 2010-CAL C06 REGIONAL ISSUES FORUM
210 6/14/2010	00446611	95,105.17	121437	NOLTE ASSOCIATES INC	FY10 076 *RETAINAGE INVOICE* HWY 1 PA/ED AUX LANE
211 6/14/2010	00446295	18.00	670002	LUIS P. MENDEZ	3/10-REGIONAL COMMERCIAL FLOW STUDY MTG/TOUR
212 6/14/2010	00446295	41.80	670002	LUIS P. MENDEZ	AMBAG JOVERALL WORK PROGRAM KICKOFF-MARINA 1
213 6/14/2010	00446295	69.00	670002	LUIS P. MENDEZ	3/10-REGIONAL COMMERCIAL FLOW STUDY MTG/TOUR
214 6/14/2010	00446295	72.60	670002	LUIS P. MENDEZ	TRAVEL TO AND FROM RTC MEETINGS JUNE-DEC 09
215 6/15/2010	00446840	746.21	127177	LINCOLN NATIONAL LIFE INSURANCE CO	FY10 091 *PAY NEW DISABILITY INSURANCE*
216 6/15/2010	00446788	20.00	118965	MISSION UNIFORM SERVICES	FY10 090 *210131466* 5/13/10
217 6/15/2010	00446742	34.63	102314	PG & E CUSTOMER BILLING	FY10 090 *2730123841-9 5/28/10*
218 6/15/2010	00446802	36.00	123516	AFLAC-FLEX ONE	FY10 090 *143523ER* FLEX ONE
219 6/15/2010	00446782	38.96	117448	VERIZON WIRELESS	FY10 090 #0870816813# 8312522397 5/16/10
220 6/15/2010	00446782	38.96	117448	VERIZON WIRELESS	FY10 090 *0870816813* 8312521553 5/16/10
221 6/15/2010	00446782	38.96	117448	VERIZON WIRELESS	FY10 090 #0870816813# 8312521554 5/16/10
222 6/15/2010	00446782	38.96	117448	VERIZON WIRELESS	FY10 090 #0870816813# 8312522817 5/16/10
223 6/15/2010	00446810	44.00	124757	AT & T/ CALNET 2	FY10 090 *001355868* 5/13/10 8314603200962
224 6/15/2010	00446823	45.00	125402	ROBERT FARMER DBA COYOTE INDUSTR	FY10 090 *21198* SIDEWALK CLEANING APRIL '10
225 6/15/2010	00446782	45.01	117448	VERIZON WIRELESS	FY10 090 #0870816813# 8313456441 5/16/10
226 6/15/2010	00446741	80.03	102314	PG & E CUSTOMER BILLING	FY10 090 *5824685375-6 5/20/10*
227 6/15/2010	00446742	81.76	102314	PG & E CUSTOMER BILLING	FY10 090 *9709471543-6 5/28/10*
228 6/15/2010	00446742	107.88	102314	PG & E CUSTOMER BILLING	FY10 090 *5605123657-0 5/28/10*
229 6/15/2010	00446810	225.22	124757	AT & T/ CALNET 2	FY10 090 #001355868# 5/13/10 8314603200962
230 6/15/2010	00446802	238.20	123516	AFLAC-FLEX ONE	FY10 090 *340084* MAY '10 INS.
231 6/15/2010	00446697	343.99	670010	KIM SHULTZ	FY10 090 *701600093* URM
232 6/15/2010	00446742	346.01	102314	PG & E CUSTOMER BILLING	FY10 090 *2896790497-1 5/28/10*
233 6/15/2010	00446810	400.90	124757	AT & T/ CALNET 2	FY10 090 *001365339* 8314711300737
234 6/15/2010	00446695	440.00	670006	CORINA CALETTI	FY10 090 *701390107* URM
235 6/15/2010	00446696	715.38	670008	TEGAN SPEISER	FY10 090 *701390108* URM
236 6/15/2010	00446837	6,474.95	127067	PALMER GROUP	FY10 090 *101356* BIKE RACKS
237 6/15/2010	00446795	33,247.70	121437	NOLTE ASSOCIATES INC	FY10 078 *10050200* SVCS 12/25/09-1/21/10 PS&E AUX
238 6/15/2010	00446726	50,447.67	011004	COMMUNITY BRIDGES	FY10 077 *CLAIMS 2* AG WORKER TRANSP PROGRAM
239 6/15/2010	00446788	20.00	118965	MISSION UNIFORM SERVICES	FY10 090 #210131466# 5/27/10
240 6/15/2010	00446828	20.21	125882	ZOCCOLI'S DELI	FY10 090 *41* MTG 6/8/10 SAFE
241 6/15/2010	00446792	29.56	120404	COSTCO WHOLESALE	FY10 090 #PRF401 803457975001# MISC MTG EXPENSES
242 6/15/2010	00446698	60.00	670018	DAVID GARTI	FY10 090 *3/3/10-6/5/10* BUS REIMB D. GARTI
243 6/15/2010	00446827	64.00	125845	CRYSTAL SPRINGS WATER CO	FY10 090 *054026 MAY '10* WATER
244 6/15/2010	00446792	88.69	120404	COSTCO WHOLESALE	FY10 090 *PRF401 803457975001* MISC MTG EXPENSES
245 6/15/2010	00446834	125.00	126495	IRON MOUNTAIN	FY10 090 *BYK1224* FILE STORAGE JUN '10
246 6/15/2010	00446758	150.00	104107	REGISTER-PAJARONIAN	FY10 090 *230599* AD 4/10/10 E&D
247 6/15/2010	00446826	223.76	125807	ROBERT & ALISA DAVIES DBA ALPHAGRA	FY10 090 *22293* PED STUDY
248 6/15/2010	00446822	229.08	125385	CALIFORNIA NEWSPAPERS PARTNERSHI	FY10 090 *3520881* E&D AD 5/31/10

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249	6/15/2010	00446758	250.00	104107	REGISTER-PAJARONIAN	FY10 090 *230597* AD 4/10/10 RAIL LINE
250	6/15/2010	00446805	258.69	124475	AT&T MOBILITY	FY10 090 *A/C 02414328* 831-322-5441
251	6/15/2010	00446829	371.40	125883	ED BOGNER MECHANICAL INC	FY10 090 *47635* SCCRT
252	6/15/2010	00446800	1,000.00	122764	EL DORADO CO TRANSPORTATION	FY10 090 *RCTF DUES 1/1-6/30/10*
253	6/17/2010	00447353	575.00	126098	KRIS REYES DBA KRIS REYES CONSULTI	FY10 *092* INV 5/24/10
254	6/21/2010	00447843	187.50	127181	CHRIS VINSON DBA ASC WINDOW CLEAN	FY10 093 INV 1 WINDOW CLEANING 6/10 RTC
255	6/21/2010	00447843	187.50	127181	CHRIS VINSON DBA ASC WINDOW CLEAN	FY10 093 INV 2 WINDOW CLEANING 6/10 RTC
256	6/21/2010	00447743	950.00	124045	ALLIANT INSURANCE SERVICES	FY10 093 900000 INS COV 7/1/10 - 7/1/11 RTC
257	6/21/2010	00447624	7,800.00	105749	MILLER, OWEN & TROST	FY10 080 *28526* T.REED AND ARMSTRONG 4/10
258	6/21/2010	00447624	15,961.36	105749	MILLER, OWEN & TROST	FY10 080 *28491* PROF SERV 2/10
259	6/21/2010	00447624	22,391.63	105749	MILLER, OWEN & TROST	FY10 080 *28523* PROF SERV 3/10
260	6/21/2010	00447624	30,762.05	105749	MILLER, OWEN & TROST	FY10 080 *28559* PROF SERV 2/10
261	6/21/2010	00447821	108.10	126366	KONICA MINOLTA BUSINESS SOLUTIONS	FY10 079 *214700499* COPY CHGS 4/14-5/6/10
262	6/21/2010	00447789	184.00	125871	COMMUNITY TV OF SC COUNTY	FY10 079 RTC 4/1/10
263	6/21/2010	00447699	390.54	118861	CLEAN BUILDING MAINTENANCE	FY10 079 *7348* JANITORIAL MAY 10
264	6/21/2010	00447788	797.69	125807	ROBERT & ALISA DAVIES DBA ALPHAGRA	FY10 079 *22317* TC PKT 6/10
265	6/21/2010	00447643	1,500.00	110743	USER-FRIENDLY COMPUTING	FY10 079 *909622* MAINT JUNE 10
266	6/21/2010	00447828	2,007.50	126954	LSC TRANSPORTATION CONSULTANTS, I	FY10 079 *43169* PROF SERV 3/27-4/30/10
267	6/21/2010	00447656	2,840.00	112150	J.E.ARRIAGA DBA: JEA & ASSOCIATES	FY10 079 *1040* MAR 10 PROF SERV
268	6/21/2010	00447656	2,840.00	112150	J.E.ARRIAGA DBA: JEA & ASSOCIATES	FY10 079 *1043* JUNE 10 PROF SERV
269	6/21/2010	00447631	3,500.00	107641	CAROLYN C CHANEY & ASSOCIATES	FY10 079 *10-57* PROF SERV JUNE 10
270	6/21/2010	00447790	4,375.44	125902	SEBASTIAN E GUTIERREZ DBA CASE SYS	FY10 079 *20100607* CALL BOX MAINT 3/10
271	6/21/2010	00447790	4,375.44	125902	SEBASTIAN E GUTIERREZ DBA CASE SYS	FY10 079 *20100766* CALL BOX MAINT 4/10
272	6/21/2010	00447824	15,000.00	126683	NORTH AMERICAN SUSTAINABLE	FY10 079 INV 4/1-4/30/10
273	6/21/2010	00447824	15,000.00	126683	NORTH AMERICAN SUSTAINABLE	FY10 079 INV 5/1-5/31/10
274	6/23/2010	00448191	3.24	122825	CONNECTIONS COMMUNICATION SERVIC	FY10 081 *SAFE CAC-58* JAN 10 CALLS
275	6/23/2010	00448191	150.43	122825	CONNECTIONS COMMUNICATION SERVIC	FY10 081 *SAFE CAC-59* FEB 10 CALLS
276	6/23/2010	00448191	254.81	122825	CONNECTIONS COMMUNICATION SERVIC	FY10 081 *SAFE CAC-60* MAR 10 CALLS
277	6/23/2010	00448191	382.27	122825	CONNECTIONS COMMUNICATION SERVIC	FY10 081 *SAFE CAC-57* DEC 09 CALLS
278	6/23/2010	00448191	432.70	122825	CONNECTIONS COMMUNICATION SERVIC	FY10 081 #SAFE CAC-58# JAN 10 CALLS
279	6/23/2010	00448194	756.84	124475	AT&T MOBILITY	FY10 081 996710462 4/29-5/28/10
280	6/23/2010	00448178	7,228.06	104465	CALIFORNIA HIGHWAY PATROL	FY10 081 *M0043151* JAN-MAR 2010 SAFE ON 17
281	6/24/2010	00448233	18.75	670015	GEORGE A. DONDERO II	MAY-CALCOG MTG
282	6/28/2010	00448553	289.72	124240	SANTA CRUZ CO REGIONAL TRANSPORT	FY10 PC02 *REPLENISH PETTY CASH JUNE 10*
283	<b>REPORT TOTAL</b>		<b>1,044,318.72</b>			

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## ATTACHMENT 2

### SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION FY2009-2010 QUARTELY CREDIT CARD REPORT 4th Quarter Ending 6/30/2010

Statement Date: 04/22/10

PAYEE	DESCRIPTION	GEORGE	CATHY	YESENIA	TOTAL
1 Green Valley Grill	Lunch - FHWA, Nolte, Parson, Field Review - HOV Lanes Project	135.17			135.17
2 AT&T	Screen Protector and Case - Cell Phone - George	54.61			54.61
3 AT&T	Jawbone Earpiece - Cell Phone - George	142.01			142.01
4 Assoc. of Pedestrian & Bicycle Prof.	Webinar - Bicycle Parking Best Practices - Cory Caletti	50.00			50.00
5 Santa Cruz Chamber of Commerce	Chamber Member Luncheon - Quality of Public Debate (GD, KP & CC)	105.00			105.00
6 Santa Cruz Chamber of Commerce	Registration to Lisa Orrell Brand Workshop - Brand Bldg & Marketing Boot (GD)	89.00			89.00
7 Amazon Marketplace	HP Toner Set - George's printer		300.00		300.00
8 Cruzio Internet	Monthly Web Maintenance for April 2010		40.95		40.95
9 Santa Cruz Chamber of Commerce	Registration to Lisa Orrell Brand Workshop - Brand Bldg & Marketing Boot (KP)		139.00		139.00
10 Stamps.com	Monthly Postage Service Fee April 2010		15.99		15.99
11 Chevron	Refueling Rental Van used for Hwy 1 HOV Lane Project Field Trip		10.62		10.62
12 Autoworld Sales Leasing	Rental of 10 Passenger Van Highway 1 HOV Lane Proj. Field Trip		108.35		108.35
13 Valero	Refuel Prius			18.26	18.26
14 Shell Oil	Refuel Prius			25.88	25.88
15 Red Lion Hotel	Lodging - SDRMA Safety Claims Education (YP)			141.31	141.31
16 Lu Lu Carpenters	Refreshments - RTC Meeting - 04/01/10			15.90	15.90
17 Safeway	Refreshments - RTC Meeting - 04/01/10			11.38	11.38
18 DMI Dell Business Online	Laptop and Monitor (laptop to Commute Solutions)			977.34	977.34
19 DMI Dell Business Online	Laptop and Monitor (monitor to General)			217.05	217.05
20 Starbucks	Refreshments - TPW Meeting - 04/14/10			24.00	24.00
<i>Subtotal</i>		<b>575.79</b>	<b>614.91</b>	<b>1,431.12</b>	<b>2,621.82</b>

## ATTACHMENT 2

Statement Date: 05/22/10

PAYEE	DESCRIPTION	GEORGE	CATHY	YESENIA	TOTAL
1 Monterey Plaza Hotel	Lodging - CalCOG 2010 Reg Issues Forum in Monterey - April 29th & 30th GD	215.70			215.70
2 Monterey Plaza Hotel	Internet & Parking - CalCOG 2010 Reg Issues Forum Monterey - April 29th & 30th GD	25.00			25.00
3 123Signup	CTF Transportation Forum - Registration - Sacramento - RM - 5/19	85.00			85.00
4 123Signup	CTF Transportation Forum - Registration - Sacramento - GD - 5/19	85.00			85.00
5 Novo Restaurant Lounge	Caltrans & Dist 5 Coastal Partners Mtg Lunch - San Luis Obispo 5/13 GD	40.87			40.87
6 CalCOG	COG Director's Mtg Registration - Sacramento - GD - 5/17	30.00			30.00
7 Starbucks	Coffee	6.25			6.25
8 Sheraton	CTC Mtg. - GD - Sacramento - 5/19	123.68			123.68
9 PayPal - Alliance for Biking & Walking	Book <i>Bicycling and Walking in the U.S., 2010 Benchmarking Report</i>		29.95		29.95
10 Cruzio Internet	Monthly Web Hosting & Maintenance for May 2010		39.95		39.95
11 Amazon.com	Book <i>Transport Revolutions: Moving People and Freight Without Oil</i>		21.78		21.78
12 USPS (Stamps.com)	USPS Postage		457.00		457.00
13 Stamps.com	Monthly Postage Service Fee May 2010		15.99		15.99
14 Park Univ. Enterprises	Registration - 6/23/10 Finance and Accounting - Lmorris		199.00		199.00
15 Fastrak	Fastrack Toll Card Replenishment Fee		25.00		25.00
16 Cruzio Internet	Monthly Web Hosting & Maintenance for June 2010		39.95		39.95
17 Chevron	Refuel Prius			14.00	14.00
18 Cruz Car Wash	Clean Prius			25.00	25.00
19 DMI Dell Business Online	New Laptop for Luis Mendez			1,166.35	1,166.35
20 Santa Cruz Records Management	Shredding of confidential SCCRTC documents			15.00	15.00
21 Bob's Mission Beacon	Refuel Prius			23.01	23.01
<b>Subtotal</b>		<b>611.50</b>	<b>828.62</b>	<b>1,243.36</b>	<b>2,683.48</b>

Statement Date: 06/22/10

RTC Reporting Qtr:

PAYEE	DESCRIPTION	GEORGE	CATHY	YESENIA	TOTAL
1 Rotten Robbie	Refuel Prius - Sierra Northern meeting in Davis 6/16/10	26.07			26.07
2 Allstate MotorClub	Annual membership renewal - roadside assistance for Prius		72.00		72.00
3 Stamps.com	Monthly Postage Service Fee June 2010		15.99		15.99
4 Solano Press Books Inc	Book <i>Trail Planning for California Communities</i> - CC		112.84		112.84
5 USPS (Stamps.com)	USPS Postage		494.00		494.00
6 Hoffman's Bakery Café	Refreshments for TPW Mtg 06/17/10		22.25		22.25
7 Second Street Café	Refreshments for RTC Mtg 06/03/10			18.50	18.50
8 Sunshine Donuts & Bagels	Refreshments for RTC Mtg 06/03/10			20.50	20.50
9 Bob's Mission Beacon	Refuel Prius			25.35	25.35
<b>Subtotal</b>		<b>26.07</b>	<b>717.08</b>	<b>64.35</b>	<b>807.50</b>
<b>TOTAL</b>		<b>1,213.36</b>	<b>2,160.61</b>	<b>2,738.83</b>	<b>6,112.80</b>

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**TO:** Budget and Administration/Personnel Committee

**FROM:** Yesenia Parra, Administrative Services Officer  
Daniel Nikuna, Fiscal Officer

**RE:** GASB45

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## **RECOMMENDATIONS**

Staff recommends that the Budget and Administration/Personnel (B&A/P) Committee:

1. Recommend that the Regional Transportation Commission (RTC) review and accept the actuarial report from Bickmore Risk Services & Consulting in fulfillment of GASB45 requirements, and
  2. Recommend that the Regional Transportation Commission (RTC) use the "pay-as-you-go" method for the RTC's Other Post-Employment Benefits (OPEB) liabilities and direct staff to research and recommend other possible funding options before the next valuation is due.
- 

## **BACKGROUND**

In 2004, the Government Accounting Standards Board (GASB) issued Statement No. 45, Accounting & Financial Reporting by Employers for Other Post-Employment Benefits (OPEB) other than pension, otherwise known as GASB 45. The Statement requires public agencies to report costs and obligations for post-employment healthcare and other post-employment benefits, which should be recognized as current cost during the employee's working years rather than after the employee retires. In accordance with GASB45, the RTC will need to produce an OPEB actuarial report every three years to meet the reporting requirements.

The main purpose of implementing the new reporting requirements is to ensure that public entities accurately present the cost of providing benefits as these costs are incurred (as benefits are earned), and not at a later date when benefits are paid-out. The GASB for OPEB is similar to that long-required of retirement plans in that retirement costs are incurred and reported as active employees earn the benefits, ensuring that adequate funding is available when benefits are actually paid-out. Currently the only OPEB provided by the RTC to retirees is the PERS Health Insurance.

## DISCUSSION

Staff contracted with the actuarial firm of Bickmore Risk Services & Consulting to prepare the GASB45 actuarial for the RTC. The Consultant used RTC provided health insurance plan member census data as of June 30, 2009, applied accepted actuarial methods and assumptions to arrive at the Agency's valuations. It is important to remember that this calculation uses assumptions and estimates.

The tables in (Attachment 1) Appendix 1A and Appendix B on pages 17-18 show the results of the valuation under different assumptions for FY10-11 (the results for FY09-10 are presented on pages 8 and 9). Of interest in the tables are the following items:

1. The Actuarial Accrued Liability (AAL), the portion of the Actuarial Present Value of Projected Benefits (APVPB), which has been earned for past service; and this amount is reduced by any Actuarial Value of Assets (AVA) where prefunding exists. AAL and Unfunded AAL are the same under Pay-As-You-Go.
2. Annual Required Contribution (ARC), the annual contribution the employer would make in a given year, and
3. Net OPEB Obligation, the difference between the cumulative ARC adjusted for any interest and other adjustments and the employer contribution. The Net OPEB obligation is \$124,054 on 6/30/10 (page 8) and is projected to increase to \$255,761 on 6/30/2011 (page 17). Note that there is no Net OPEB Obligation when benefits are being fully prefunded.

GASB 45 does not require an agency to prefund the OPEB obligation, and employers have the option of Pay-As-You-Go. However, the statement requires employers to recognize annual OPEB expense on the financial statement; and any Net OPEB Obligation to be recorded as a liability on the balance sheet where an undercontribution exists (overcontribution would be recorded as an asset). The Statement also requires that the employer disclose the AAL amount in the required Supplement Information section.

The AAL on Appendix 1A (page 17) on 6/30/2011 is \$1,425,727 and \$804,244 respectively under Pay-As-You-Go and Prefunding options both calculated on Level % of Pay. How this obligation should be addressed is up to the employer. To prefund, the RTC must have to come up with an additional \$77,933 in revenues in FY10/11 budget. The RTC has always employed the Pay-As-You-Go method to address its OPEB obligation under which the RTC paid less than \$20,000 in FY 09-10 for health benefits of the existing three retirees. **Staff recommends that the Budget and Administration/Personnel Committee recommend that the RTC continue to employ the Pay-As-You-Go method to address its OPEB obligation and direct staff to research and recommend other possible funding options before the next valuation is due.**

## SUMMARY

In 2004, the government Accounting Standards board (GASB) issued rules relating on the accounting and reporting of Other Post-Employment Benefits (OPEB). Bickmore Risk Services & Consulting has completed the actuarial analysis of the value of the OPEB benefits for the agency. **Staff recommends that the Budget and Administration/Personnel Committee recommend that the RTC continue to employ the Pay-As-You-Go method to address its OPEB obligation and direct staff to research and recommend other possible funding options before the next valuation is due.**

### Attachments:

1. Actuarial Report on GASB 45 Retiree Benefit Valuation



Bickmore Risk Services & Consulting

May 10, 2010

Yesenia Parra  
Administrative Services Officer  
SCCRTC  
1523 Pacific Avenue  
Santa Cruz, CA 95060

Re: July 1, 2009 Actuarial Report on GASB 45 Retiree Benefit Valuation

Dear Yesenia:

We are pleased to enclose our report providing the results of the July 1, 2009 actuarial valuation of "other post-employment benefits" (OPEB) liabilities for Santa Cruz County Regional Transportation Commission (the Commission). The report's text describes our analysis and assumptions in detail. *This report should be considered a draft until such time as the Commission has had an opportunity to review and comment. Once such issues, if any, have been discussed and resolved, we will issue our final report.*

One of the key results of the OPEB valuation is the calculation of the annual required contribution (ARC). This amount is technically neither "required" nor must it be contributed, so this term alone can lead to some confusion. It is, however, the primary component of the annual OPEB expense. This expense, and the liabilities from which it is derived, will vary depending on whether the Commission elects to prefund liabilities or continue on a pay-as-you-go basis. Related decisions involve how rapidly the unfunded accrued liability is amortized and whether the payments are determined as level dollar amounts or as a level percent of payroll. These subjects are discussed further in the report.

We have based our valuation on the assumption that (1) the Commission is following the current MOUs for the CORE and RAMM employees, which effectively state that the Commission's contribution for active and retired employee medical coverage is the same (the subsidy varies by coverage level), and (2) the PEMHCA resolution will be updated to reflect that the Commission directly contributes the same amounts on behalf of active and retired employees. We have also assumed that the \$50 per month annual increase in the "cap" on the premium subsidy continues indefinitely into the future (but not to an amount greater than the actual premium).

We appreciate the opportunity to work on this analysis and acknowledge the efforts of the Commission's staff who provided valuable information and assistance to enable us to perform this valuation. Please let us know if we can be of further assistance.

Sincerely,

Francis M. Schauer Jr., FSA, FCA, EA,  
Manager, Health and Benefit Actuarial Services  
Enclosure

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Sacramento, CA 95833  
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## A. Executive Summary

This report presents the results of the July 1, 2009 actuarial valuation of the Santa Cruz County Regional Transportation Commission (the Commission) "other post-employment benefit" (OPEB) programs. The purpose of this valuation was to assess the OPEB liabilities and provide disclosure information as required by Statement No. 45 of the Governmental Accounting Standards Board (GASB 45).

Prefunding the plan supports use of a higher interest rate and generally produces substantially lower liabilities than a pay-as-you-go funding policy which requires a lower interest rate; we used 7.75% and 4.5% in this valuation, respectively. Neither rate is a guarantee of future investment performance, but rather an assumption about the long term rate of return. We have selected these rates for illustrative purposes, though the ultimate decision for these rates lies with the Commission, subject to approval by its external auditor.

The following summarizes the results for the fiscal year beginning July 1, 2009:

- *If the Commission continues funding on a pay-as-you-go basis:*
  - We calculate the GASB 45 actuarial accrued liability (AAL) to be \$1,296,810. Since there are no assets set aside in an irrevocable trust to offset these liabilities, the unfunded accrued liability as of this date would be \$1,296,810.
  - Contributions for the fiscal year ending June 30, 2010 are estimated to be \$21,620, equal to the benefit payments made during the period. This estimate should be replaced with the actual payments in your financial statements.
  - We estimate the net OPEB obligation to be \$124,054 for the fiscal year ended June 30, 2010.
- *If prefunding is adopted on the minimum basis permitted by GASB 45,*
  - We calculate the actuarial accrued liability to be \$792,229 and the annual required contribution (ARC) to be \$98,999.
  - The marginal difference in contributions required to satisfy the minimum prefunding requirement is estimated to be \$77,379 (\$98,999 less \$21,620). This additional amount would enable the Commission to reduce the net OPEB obligation at year end from \$124,054 to \$0.

The results described above are illustrated in Tables 1A and 1B beginning on page 8. Results for the following fiscal year are estimated in Appendices 1A and 1B. Other funding options exist between pay-as-you-go, minimum prefunding and full funding of the unfunded liabilities, though these would require additional calculations. The decision whether or not to prefund, and at what level, is at the discretion of the Commission. Once a funding policy has been established, however, the Commission's auditor may have an opinion as to the timing and manner of any change to such policy in future years.

The liabilities shown in the report reflect assumptions regarding continued future employment, rates of retirement and survival, and elections by future retirees to retain coverage for themselves and their dependents. To the extent that actual experience is not what we assumed, future results will be different. We also note that this valuation has been prepared on a closed group basis; no provision is made for new employees. Details of our valuation process and the required disclosures are provided on the succeeding pages.



## B. Requirements of GASB 45

Current financial reporting practices for OPEB generally are based on pay-as-you-go financing approaches. They fail to measure or recognize the cost of OPEB during the periods when employees render the services or to provide relevant information about OPEB obligations and the extent to which progress is being made in funding those obligations. In July 2004, the Governmental Accounting Standards Board (GASB) issued GASB Statement No. 45, *Accounting and Financial Reporting by Employers for Postemployment Benefits Other Than Pensions*. This Statement establishes standards for the measurement, recognition, and display of OPEB expense/expenditures and related liabilities (assets), note disclosures, and, if applicable, required supplementary information (RSI) in the financial reports of state and local governmental employers.

The Government Accounting Standards Board has mandated disclosure of OPEB liabilities for U.S. public employers for fiscal years beginning in 2007 through 2009, depending on the size of the employer's revenue base for the fiscal year ending in 1999 (see GASB Statement No. 34 for complete details). As the Commission maintains a fiscal year beginning July 1, the required implementation date of GASB 45 depends on the Commission's revenue for the fiscal year which began July 1, 1998.

- If revenue for that year was less than \$10 million, the Commission must comply no later than the fiscal year beginning July 1, 2009;
- For revenues between \$10 million and \$100 million, July 1, 2008; and
- For revenues exceeding \$100 million, July 1, 2007.

Based on the information provided to us, the Commission's revenues for the fiscal year which began July 1, 1998, less than \$10 million. It is our understanding that the Commission will be in compliance by June 30, 2010 as required by GASB 45.

We note that various issues in this report may involve legal analysis of applicable law or regulations. The Commission should consult counsel on these matters; Bickmore Risk Services (BRS) does not practice law and does not intend anything in this report to constitute legal advice. In addition, we recommend the Commission consult with their internal accounting staff or external auditor or accounting firm about the accounting treatment of OPEB liabilities.



## C. Sources of OPEB Liabilities

Post-employment benefits other than pensions (OPEB) comprise a part of compensation that employers offer for services received. The most common OPEB are:

- Medical
- Dental
- Prescription Drug
- Vision
- Life Insurance

Other possible post-employment benefits may include outside group legal, long-term care, or disability benefits outside of a pension plan. OPEB does not generally include vacation, sick leave<sup>1</sup> or COBRA benefits, which fall under other GASB accounting statements.

A direct employer payment toward the cost of OPEB benefits is referred to as an “explicit” subsidy and these are included in the determination of OPEB liabilities. In addition, if claims experience of employees and retirees are pooled when determining premiums, the retirees pay a premium based on a pool of members that, on average, are younger and healthier. For certain types of coverage, such as medical, this results in an “implicit subsidy” of retiree claims by active employee premiums since the retiree premiums are lower than they would have been if the retirees were insured separately. GASB 45 generally requires an implicit subsidy of retiree premium rates be valued as an OPEB liability (see paragraph 13.a. of GASB 45).

Exceptions may exist when the plan is either a “community-rated” or “cost-sharing” program. GASB guidance indicates that the agency must be a small portion (in the neighborhood of 1%) of the total coverage of a multiple employer plan to reasonably conclude that any change in their group’s age profile would not affect the premium rates for the plan. In those circumstances, while an implicit subsidy may exist, it is not required to be disclosed.

### OPEB Obligations of the Commission

The Commission provides continuation of medical coverage to its retiring employees. For retirees and former employees that have chosen to retain this coverage:

- The Commission contributes directly to the cost of retiree medical coverage. These benefits are described in Table 3A and liabilities relating to these benefits have been included in this valuation.
- Employees are covered by the CalPERS medical program. The experience of public agency employer membership in this program is community-rated (“OPEB Assumption Model”, 11/14/2006). This report, therefore, does not make age-related premium adjustments or compute an implicit rate subsidy for employees covered under this program.

<sup>1</sup> When a terminating employee’s unused sick leave credits are converted to provide or enhance a defined benefit OPEB, e.g., healthcare benefits, such converted sick leave credits should be valued under GASB 45.



## D. Valuation Process

The valuation has been based on employee census data initially submitted to us by the Commission in September 2009 and clarified in various related communications. Summaries of that data are provided in Table 2. While the individual employee records have been reviewed to verify that it is reasonable in various respects, the data has not been audited and we have otherwise relied on the Commission as to its accuracy. A summary of the benefits provided under the Plan is provided in Table 3A, based on information supplied to BRS by the Commission. The valuation described below has been performed in accordance with the actuarial methods and assumptions described in Table 4.

In the specific development of the projected benefit values and liabilities, we first determine an expected premium or benefit stream over the employee's future retirement. We then calculate a present value of these premiums as of the valuation date.

- These present value determinations reflect assumptions for the likelihood that an employee may not continue in service with the Commission to receive benefits;
- For those that do, appropriate assumptions are made to reflect the probability of retirement at various ages.
- After reduction for the probability an employee may not receive a benefit, for the remaining probability he or she does, those benefit reflect assumptions as to whether they will elect coverage for themselves and/or dependents.
- The cost of benefits payable, once they begin for each employee, reflect expected trends in the cost of those benefits and the assumptions as to the expected date(s) those benefit will cease.
- The final payments for currently active employees may not be made for 75 years or more.

The resulting present value for each employee is allocated as a level percent of payroll each year over the employee's career using the entry age normal cost method. This creates a cost expected to increase each year as payroll increases. Amounts attributed to fiscal years beginning before 2009 form the "actuarial accrued liability" (AAL). The amount of future OPEB cost allocated to the current year is referred to as the "normal cost".

Where contributions have been made to an irrevocable OPEB trust, the accumulated value of trust assets is applied to offset the AAL. The portion of the AAL not covered by assets is referred to as the unfunded actuarial accrued liability (UAAL).



## E. Funding Policy

The specific calculation of the ARC and annual OPEB expense for an employer depends on how the employer elects to fund these benefits. Contributing an amount greater than or equal to the ARC each year is referred to as “prefunding”. Prefunding generally allows the employer to have the liability calculated using a higher discount rate, which in turn lowers the liability. In addition, following a prefunding policy does not build up a net OPEB obligation because the contribution equals or exceeds the annual OPEB cost each year.

### Determination of the ARC

The Annual Required Contribution (ARC) consists of two basic components, which have been determined in accordance with the previously established prefunding policy and adjusted with interest to the Commission’s fiscal year end:

- The amount of projected OPEB cost attributed to service performed in the current fiscal year (the normal cost) and
- Amortization of the unfunded actuarial accrued liability

Because prefunding is at the discretion of the Commission, we have calculated the ARC on both a pay-as-you-go and prefunded basis and have provided a number of options for amortizing the actuarial accrued liability. The results for the fiscal year ending June 30, 2010 are summarized in Tables 1A and 1B. Projected results for the following fiscal year are provided in Appendix 1. Depending on the funding policy adopted for the fiscal year ending June 30, 2010, the results for the following fiscal year may require modification. In addition, final disclosures should be adjusted to reflect actual, not assumed, employer OPEB contributions.

### Decisions Affecting the Amortization Payment

The period and method for amortizing the AAL can significantly affect the ARC. GASB 45:

- Prescribes a maximum amortization period of 30 years and requires no minimum amortization period (except 10 years for certain actuarial gains). Immediate full funding of the liability is also permitted, where the current year’s contribution equals the interest-adjusted sum of the normal cost and the entire amount of the unfunded accrued liability, reducing expected future contributions to the normal cost.
- Allows amortization payments to be determined (a) as a level percentage of payroll, designed to increase over time as payroll increases, or (b) as a level dollar amount much like a conventional mortgage, so that this component of the ARC does not increase over time.
- Allows the amortization period to decrease annually by one year (closed basis) or to be maintained at the same number of years (open basis).

The Commission will need to establish an OPEB funding policy, including a decision on whether or not to prefund and the specifics of how the unfunded AAL will be amortized.



## **F. Choice of Actuarial Funding Method and Assumptions**

The “ultimate real cost” of an employee benefit plan is the present value of all benefits and other expenses of the plan over its lifetime. These expenditures are dependent only on the terms of the plan and the administrative arrangements adopted, and as such are not affected by the actuarial funding method. The actuarial funding method attempts to spread recognition of these expected costs on a level basis over the life of the plan, and as such sets the “incidence of cost”. Methods that produce higher initial annual (prefunding) costs will produce lower annual costs later. Conversely, methods that produce lower initial costs will produce higher annual costs later relative to the other methods. GASB 45 allows the use of any of six actuarial funding methods; a brief description of each can be found in the glossary.

### **Factors Impacting the Selection of Funding Method**

While the goal of GASB 45 is to match recognition of retiree medical expense with the periods during which the benefit is earned, the funding methods differ because they focus on different financial measures in attempting to level the incidence of cost. Appropriate selection of a funding method contributes to creating intergenerational equity between generations of taxpayers. The impact of potential new employees entering the plan may also affect selection of a funding method, though this is not a factor in this plan.

We believe it is most appropriate for the plan sponsor to adopt a theory of funding and consistently apply the funding method representing that theory. This valuation was prepared using the entry age normal cost method with normal cost determined on a level percent of pay basis. The entry age normal cost method often produces initial contributions between those of the other more common methods and is generally regarded by pension actuaries as the most stable of the funding methods and is one of the most commonly used methods for GASB 45 compliance. In addition, it is the method required for plans participating in the CalPERS OPEB trust, CERBT.

### **CalPERS Implications**

Special considerations apply to the selection of actuarial funding methods and assumptions for the Commission. In particular, CalPERS has issued a set of standardized actuarial methods and assumptions to be used by entities participating in the CalPERS prefunding vehicle for OPEB liabilities, the California Employers Retiree Benefit Trust (CERBT). The actuarial assumptions used in this report for GASB 45 analysis are intended to comply with CERBT requirements. While this report does not take a position either on whether the Commission should prefund or the appropriate investment vehicle for doing so, the option of using CERBT is available to the Commission using the analysis in this report.



## G. Certification

We certify that this report has been prepared in accordance with our understanding of GASB 45, and that the figures in Tables 1A and 1B accurately present our analysis of the actuarial calculations for this plan required by GASB 45. Each signing individual is a Manager in the Health & Benefits Actuarial Unit at Bickmore Risk Services and a Member of the American Academy of Actuaries who satisfies the qualification requirements for rendering this opinion.

Signed: May 10, 2010

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Catherine L. MacLeod, FSA, EA, MAAA

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Francis M. Schauer Jr., FSA, FCA, EA, MAAA

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**Table 1A**  
**Results for Fiscal Year Ending June 30, 2010**  
**Minimum Contribution Alternatives**

<b>Funding Policy</b>	<b>Pay-As-You-Go</b>	<b>Prefunding</b>	<b>Prefunding</b>
Interest Rate	4.50%	7.75%	7.75%
Amortization method	Level % of pay	Level % of pay	Level dollar
Amortization period (in years)	30	30	30
<b>Number of Covered Employees</b>			
Actives	15	15	15
Retirees	3	3	3
Total Participants	18	18	18
<b>Actuarial Present Value of Projected Benefits (APVPB)</b>			
Actives	\$ 1,657,687	\$ 836,481	\$ 836,481
Retirees	434,763	286,247	286,247
Total APVPB	2,092,450	1,122,728	1,122,728
<b>Actuarial Accrued Liability (AAL)</b>			
Actives	862,047	505,982	505,982
Retirees	434,763	286,247	286,247
Total AAL	1,296,810	792,229	792,229
<b>Actuarial Value of Assets</b>	0	0	0
<b>Unfunded AAL (UAAL)</b>	1,296,810	792,229	792,229
Amortization Factor	25.33344	17.28576	12.42208
<b>Annual Required Contribution (ARC)</b>			
Normal Cost	88,211	46,047	46,047
Amortization of UAAL	51,190	45,831	63,776
Interest to 06/30/10	6,273	7,121	8,511
<b>ARC for FYE 06/30/10</b>	145,674	98,999	118,334
Normal Cost as a percent of payroll	7.5%	3.9%	3.9%
ARC as a percent of payroll	12.4%	8.4%	10.0%
ARC per Active Ee	\$ 9,712	\$ 6,600	\$ 7,889
<b>Net OPEB Obligation at 07/01/09</b>	0	0	0
Interest on Net OPEB Obligation	0	0	0
<b>ARC for FYE 06/30/10</b>	145,674	98,999	118,334
ARC Adjustment	0	0	0
<b>Expected Net Employer Contribution</b>			
Expected payments for retirees FYE 06/30/10	21,620	21,620	21,620
Expected contribution to OPEB trust	0	77,379	96,714
<b>Expected Net OPEB Obligation (Asset) at 06/30/10</b>	124,054	0	0



**Table 1B**  
**Results for Fiscal Year Ending June 30, 2010**  
**Other Contribution Alternatives**

<b>Funding Policy</b>	<b>Prefunding</b>	<b>Prefunding</b>	<b>Prefunding</b>
Interest Rate	7.75%	7.75%	7.75%
Amortization method	Level % of pay	Level dollar	Level dollar
Amortization period (in years)	10	10	1
<b>Number of Covered Employees</b>			
Actives	15	15	15
Retirees	3	3	3
Total Participants	18	18	18
<b>Actuarial Present Value of Projected Benefits (APVPB)</b>			
Actives	\$ 836,481	\$ 836,481	\$ 836,481
Retirees	286,247	286,247	286,247
Total APVPB	1,122,728	1,122,728	1,122,728
<b>Actuarial Accrued Liability (AAL)</b>			
Actives	505,982	505,982	505,982
Retirees	286,247	286,247	286,247
Total AAL	792,229	792,229	792,229
<b>Actuarial Value of Assets</b>	0	0	0
<b>Unfunded AAL (UAAL)</b>	792,229	792,229	792,229
Amortization Factor	8.31539	7.31236	1.00000
<b>Annual Required Contribution (ARC)</b>			
Normal Cost	46,047	46,047	46,047
Amortization of UAAL	95,273	108,341	792,229
Interest to 06/30/10	10,952	11,965	64,966
<b>ARC for FYE 06/30/10</b>	152,272	166,353	903,242
Normal Cost as a percent of payroll	3.9%	3.9%	3.9%
ARC as a percent of payroll	12.9%	14.1%	76.7%
ARC per Active Ee	\$ 10,151	\$ 11,090	\$ 60,216
<b>Net OPEB Obligation at 07/01/09</b>	\$ 0	\$ 0	\$ 0
Interest on Net OPEB Obligation	0	0	0
<b>ARC for FYE 06/30/10</b>	152,272	166,353	903,242
ARC Adjustment	0	0	0
<b>Expected Net Employer Contribution</b>			
Expected payments for retirees FYE 06/30/10	21,620	21,620	21,620
Expected contribution to OPEB trust	130,652	144,733	881,622
<b>Expected Net OPEB Obligation (Asset) at 06/30/10</b>	0	0	0



**Table 2  
Summary of Employee Data**

The Commission reported 15 active employees, including 3 part-time employees, currently participating in the medical program. Their age and service information is provided below:

Distribution of Benefits-Eligible Active Employees								
Current Age	Years of Service						Total	Percent
	Under 1	1 to 4	5 to 9	10 to 14	15 to 19	20 & Up		
Under 25							0	0%
25 to 29		2					2	13%
30 to 34			1	1			2	13%
35 to 39							0	0%
40 to 44		1		1	1		3	20%
45 to 49					1		1	7%
50 to 54		1	3		1		5	33%
55 to 59							0	0%
60 to 64			2				2	13%
65 to 69							0	0%
70 & Up							0	0%
<b>Total</b>	0	4	6	2	3	0	<b>15</b>	<b>100%</b>
<b>Percent</b>	0%	27%	40%	13%	20%	0%	<b>100%</b>	

(Percentages adjusted to total 100%)

Annual Covered Payroll	\$1,177,826
Average Attained Age for Actives	46.6
Average Years of Service	8.3

There are also 3 retirees or their beneficiaries receiving benefits. The following chart summarizes the ages of current retirees in the Commission plan.

Retirees by Age		
Current Age	Number	Percent
Below 50	0	0%
50 to 54	0	0%
55 to 59	2	67%
60 to 64	1	33%
65 to 69	0	0%
70 to 74	0	0%
75 to 79	0	0%
80 & up	0	0%
<b>Total</b>	<b>3</b>	<b>100%</b>
Average Attained Age for Retirees:		59.6



### Table 3A Summary of Retiree Benefit Provisions

The Commission has indicated that the only other postemployment benefit provided is medical coverage. This coverage is available for employees who satisfy the requirements for retirement under CalPERS (attained age 50 with 5 years of State or public agency service or approved disability retirement). An employee cannot terminate employment before meeting the age condition and be entitled to receive benefits.

The Commission participates in the CalPERS medical program as permitted under the Public Employees' Medical and Hospital and Care Act (PEMHCA). As such, the Commission is obligated to contribute toward the cost of retiree medical coverage for the retiree's lifetime or until coverage is discontinued. The Commission is contributing the same amount for retirees as is contributed toward active employee medical plan coverage<sup>2</sup>. According to the resolutions provided to BRS<sup>3</sup>, the Commission currently pays up to the following amounts based on coverage level for its retired employees in calendar year 2010, as well as for their survivors if covered at the time of the employee's death:

Retirees		
Ee only	Ee + 1	Family
\$ 507	\$ 557	\$ 613

The CalPERS 2010 premium rates applicable to covered employees are as follows:

Bay Area 2010 Health Plan Rates						
Plan	Actives and Pre-Med Retirees			Medicare Eligible		
	Ee Only	Ee & 1	Ee & 2+	Ee Only	Ee & 1	Ee & 2+
Blue Shield HMO	\$577.33	\$1,154.66	\$1,501.06	\$299.53	\$599.06	\$1,223.26
Blue Shield NetValue HMO	\$500.35	\$1,000.70	\$1,300.91	\$299.53	\$599.06	\$1,100.09
Kaiser HMO	\$532.56	\$1,065.12	\$1,384.66	\$298.36	\$596.72	\$1,150.46
PERS Choice PPO	\$508.74	\$1,017.48	\$1,322.72	\$356.09	\$712.18	\$1,170.07
PERS Select PPO	\$474.93	\$949.86	\$1,234.82	\$356.09	\$712.18	\$1,115.98
PERS Care PPO	\$868.17	\$1,736.34	\$2,257.24	\$410.60	\$821.20	\$1,799.67
PORAC Association Plan	\$484.00	\$906.00	\$1,151.00	\$363.00	\$723.00	\$1,089.00

The table above illustrates rates reflect the Bay area rate group. A different rate may apply for the same coverage where the member resides outside of this area; these variances, if any, are reflected in the valuation, but not listed here. CalPERS also charges an administrative fee, most recently set at .43% of total premiums (FY 2009/2010), though these expenses are not separately analyzed in this valuation.

<sup>2</sup> It is our understanding that (a) there is a pre-tax flexible benefit plan in place for active employees that provides additional credits for active employees in excess of the limits on the Commission's contributions shown above and (b) that such additional payments are not required to be provided to retired employees to meet PEMHCA requirements.

<sup>3</sup> While the Commission appears to be satisfying PEMHCA requirements, the particular form of resolution provided to BRS does not appear to correctly describe the retiree medical benefits being provided.



## Table 3B General CalPERS Annuitant Eligibility Provisions

The content of this section has been drawn from Section C, Summary of Plan Provisions, of the State of California OPEB Valuation as of July 1, 2007, issued May 7, 2007, to the State Controller from Gabriel Roeder & Smith. It is provided here as a brief summary of general eligibility requirements for annuitant and survivor healthcare coverage.

### *Retired Employees*

A member is eligible to enroll in a CalPERS health plan if he or she retires within 120 days of separation from employment and receives a monthly retirement allowance. If the member meets this requirement, he or she may continue his or her enrollment at retirement, enroll within 60 days of retirement, or enroll during any Open Enrollment period. If a member is currently enrolled in a CalPERS health plan and wants to continue enrollment into retirement, the employer will notify CalPERS and the member's coverage will continue into retirement.

Eligibility Exceptions: Certain family members are not eligible for CalPERS health benefits:

- Children age 23 or older
- Children who have been married
- Children's spouses
- Former spouses
- Never enrolled or deleted disabled children over age 23
- Grandparents
- Parents
- Children of former spouses
- Other relatives

### *Coordination with Medicare*

CalPERS retired members who qualify for premium-free Part A, either on their own or through a spouse (current, former, or deceased), must sign up for Part B as soon as they qualify for Part A. A member must then enroll in a CalPERS sponsored Medicare plan. The CalPERS-sponsored Medicare plan will pay for costs not paid by Medicare, by coordinating benefits.

### *Survivors of an Annuitant*

If a CalPERS annuitant satisfied the requirement to retire within 120 days of separation, the survivor may be eligible to enroll within 60 days of the annuitant's death or during any future Open Enrollment period. Note: A survivor cannot add any new dependents; only dependents that were enrolled or eligible to enroll at the time of the member's death qualify for benefits.

Surviving registered domestic partners who are receiving a monthly annuity as a surviving beneficiary of a deceased employee or annuitant on or after January 1, 2002, are eligible to continue coverage if currently enrolled, enroll within 60 days of the domestic partner's death, or enroll during any future Open Enrollment period.

Surviving enrolled family members who do not qualify to continue their current coverage are eligible for continuation coverage under COBRA.



**Table 4**  
**OPEB Valuation Actuarial**  
**Methods and Assumptions**

Valuation Date	July 1, 2009
Funding Method	Entry Age Normal Cost, level percent of pay
Asset Valuation Method	Market value of assets (\$0; plan has not yet been funded)
Discount Rate	4.5% if unfunded; 7.75% if funded
Salary Increase	3.25% per year
Assumed Increase for Amortization Payments	3.25% per year where determined on a percent of pay basis

Mortality  
Before Retirement

Illustrative rates:

CalPERS Public Agency Miscellaneous Non-Industrial Deaths only		
Age	Male	Female
15	0.00013	0.00006
20	0.00019	0.00009
30	0.00038	0.00021
40	0.00077	0.00046
50	0.00156	0.00102
60	0.00314	0.00226
70	0.00634	0.00500
80	0.01277	0.01108

After Retirement

Illustrative rates:

CalPERS Public Agency Miscellaneous, Police & Fire Post Retirement Mortality				
Age	Male		Female	
	Regular	Disabled	Regular	Disabled
40	0.0010	0.0087	0.0007	0.0064
50	0.0025	0.0146	0.0014	0.0113
60	0.0072	0.0287	0.0044	0.0188
70	0.0214	0.0467	0.0128	0.0302
80	0.0626	0.0948	0.0388	0.0651
90	0.1738	0.2079	0.1259	0.1619
100	0.3472	0.4560	0.3204	0.4024
110	1.0000	1.0000	1.0000	1.0000



Bickmore Risk Services & Consulting

**Table 4 - Actuarial Methods and Assumptions (Continued)**

Termination Rates

CalPERS Public Agency Miscellaneous: sum of Terminated Refund and Terminated Vested rates – Illustrative rates

Age at Hire	Years of Service						
	0	5	10	15	20	25	30
15	0.1830	0.0834	0.0643	0.0515	0.0387	0.0259	0.0131
20	0.1760	0.0765	0.0574	0.0446	0.0318	0.0190	0.0010
30	0.1622	0.0627	0.0435	0.0307	0.0041	0.0009	0.0002
40	0.1483	0.0488	0.0095	0.0046	0.0009	0.0002	0.0002
50	0.1345	0.0129	0.0051	0.0008	0.0002	0.0002	0.0002

Retirement Rates

CalPERS Public Agency Miscellaneous  
2% @ 55 – Illustrative rates

Age	Years of Service					
	5	10	15	20	25	30
50	0.0145	0.0184	0.0224	0.0269	0.0307	0.0366
53	0.0150	0.0190	0.0231	0.0278	0.0318	0.0378
55	0.0475	0.0604	0.0734	0.0883	0.1008	0.1200
58	0.0473	0.0601	0.0730	0.0879	0.1003	0.1194
60	0.0715	0.0908	0.1104	0.1328	0.1516	0.1804
62	0.1275	0.1620	0.1969	0.2369	0.2704	0.3219
65	0.1738	0.2209	0.2686	0.3231	0.3688	0.4390
66	0.1085	0.1378	0.1675	0.2016	0.2301	0.2739
68	0.0878	0.1116	0.1356	0.1632	0.1863	0.2217
70	0.1224	0.1555	0.1890	0.2274	0.2596	0.3090
71	0.0941	0.1195	0.1453	0.1748	0.1995	0.2375
72	0.1035	0.1315	0.1598	0.1923	0.2195	0.2613
74	0.0644	0.0818	0.0995	0.1197	0.1366	0.1626
75 & over	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000

Healthcare Trend

Medical plan premiums are assumed to increase at rates shown in the table below:

Year	Rate	Year	Rate
2010	9.00%	2014	7.00%
2011	8.50%	2015	6.50%
2012	8.00%	2016	5.50%
2013	7.50%	2017	4.50%
		& later	



## Table 4 - Actuarial Methods and Assumptions (Concluded)

Increase in the level of  
Employer cost sharing

The employer's portion of retiree medical premiums is assumed to increase by \$50 per year for all coverage levels (but not to an amount greater than the actual premium).

Participation Rate

*Active employees:* 100% are assumed to continue their current plan election in retirement.

*Participating retirees* are assumed to continue their current plan election through retirement until death.

Spouse Coverage

*Active employees:* 85% are assumed to be married; of these, 80% are assumed to elect coverage for their spouse in retirement. Surviving spouses are assumed to retain coverage until their death. Husbands are assumed to be 3 years older than their wives.

*Participating retirees:* Existing elections for spouse coverage are assumed to be maintained through retirement until earlier of the spouse's or retiree's death.

Medicare Eligibility

Absent contrary data, all individuals are assumed to become eligible for Medicare Parts A and B at age 65.



### **Table 5** **Projected Annual Benefit Payments**

The following is an estimate of other post-employment benefits to be paid on behalf of current retirees and current employees expected to retire from the Commission.

- No benefits expected to be paid on behalf of current active employees prior to retirement are considered in this projection.
- No benefits for potential future employees have been included.

Expected annual benefits have been projected on the basis of the actuarial assumptions outlined in Table 4.

<b>Fiscal Year Ending June 30</b>	<b>Current Retirees</b>	<b>Future Retirees</b>	<b>Total</b>
2010	18,852	2,768	21,620
2011	18,796	5,487	24,283
2012	20,524	9,655	30,179
2013	22,234	14,142	36,376
2014	20,935	20,773	41,708
2015	19,147	28,606	47,753
2016	20,391	36,514	56,905
2017	17,910	42,262	60,172
2018	18,808	46,414	65,222
2019	19,571	49,939	69,510



## Appendix 1A Results for Fiscal Year Ending June 30, 2011 Minimum Contribution Alternatives

The following results assume a continuation of the same funding policy from the prior fiscal year. If a change is made, all or a portion of these results may need to be revised.

Funding Policy	Pay-As-You-Go	Prefunding	Prefunding
Interest Rate	4.50%	7.75%	7.75%
Amortization method	Level % of pay	Level % of pay	Level dollar
Amortization period (in years)	29	29	29
<b>Number of Covered Employees</b>			
Actives	15	15	15
Retirees	3	3	3
Total Participants	18	18	18
<b>Actuarial Present Value of Projected Benefits (APVPB)</b>			
Actives	\$ 1,729,515	\$ 898,540	\$ 898,540
Retirees	435,475	289,579	289,579
Total APVPB	2,164,990	1,188,119	1,188,119
<b>Actuarial Accrued Liability (AAL)</b>			
Actives	990,252	592,043	592,043
Retirees	435,475	289,579	289,579
Total AAL	1,425,727	881,622	881,622
<b>Actuarial Value of Assets</b>	0	77,379	96,714
<b>Unfunded AAL (UAAL)</b>	1,425,727	804,244	784,908
Amortization factor	24.6280	16.9956	12.3073
<b>Annual Required Contribution (ARC)</b>			
Normal Cost	\$ 91,078	\$ 47,544	\$ 47,544
Amortization of UAAL	57,890	47,321	63,776
Interest to fiscal year end	6,704	7,352	8,627
<b>ARC (determined at fiscal year end)</b>	155,672	102,216	119,947
Normal Cost as a percent of payroll	7.5%	3.9%	3.9%
ARC as a percent of payroll	12.8%	8.4%	9.9%
ARC per Active Ee	10,378	6,814	7,996
<b>Net OPEB Obligation at beginning of fiscal year</b>	\$ 124,054	\$ 0	\$ 0
Interest on Net OPEB Obligation	5,582	0	0
<b>ARC (determined at fiscal year end)</b>	155,672	102,216	119,947
ARC Adjustment	(5,264)	0	0
<b>Expected Net Employer Contribution</b>			
Expected payments for retirees during fiscal year	24,283	24,283	24,283
Expected contribution to OPEB trust	0	77,933	95,664
<b>Expected Net OPEB Obligation (Asset) at fiscal year end</b>	255,761	0	0



## Appendix 1B Results for Fiscal Year Ending June 30, 2011 Other Contribution Alternatives

The following results assume a continuation of the same funding policy from the prior fiscal year. If a change is made, all or a portion of these results may need to be revised.

Funding Policy	Prefunding 7.75%	Prefunding 7.75%	Prefunding 7.75%
Interest Rate	7.75%	7.75%	7.75%
Amortization method	Level % of pay	Level dollar	Immediate Full
Amortization period (in years)	9	9	0
<b>Number of Covered Employees</b>			
Actives	15	15	15
Retirees	3	3	3
Total Participants	18	18	18
<b>Actuarial Present Value of Projected Benefits (APVPB)</b>			
Actives	\$ 898,540	\$ 898,540	\$ 898,540
Retirees	289,579	289,579	289,579
Total APVPB	1,188,119	1,188,119	1,188,119
<b>Actuarial Accrued Liability (AAL)</b>			
Actives	592,043	592,043	592,043
Retirees	289,579	289,579	289,579
Total AAL	881,622	881,622	881,622
<b>Actuarial Value of Assets</b>	130,652	144,733	881,622
<b>Unfunded AAL (UAAL)</b>	750,971	736,889	(0)
Amortization factor	7.6342	6.8016	0.0000
<b>Annual Required Contribution (ARC)</b>			
Normal Cost	\$ 47,544	\$ 47,544	\$ 47,544
Amortization of UAAL	98,369	108,341	0
Interest to fiscal year end	11,308	12,081	3,685
<b>ARC (determined at fiscal year end)</b>	157,221	167,966	51,228
Normal Cost as a percent of payroll	3.9%	3.9%	3.9%
ARC as a percent of payroll	12.9%	13.8%	4.2%
ARC per Active Ee	10,481	11,198	3,415
<b>Net OPEB Obligation at beginning of fiscal year</b>	\$ 0	\$ 0	\$ 0
Interest on Net OPEB Obligation	0	0	0
<b>ARC (determined at fiscal year end)</b>	157,221	167,966	51,228
ARC Adjustment	0	0	0
<b>Expected Net Employer Contribution</b>			
Expected payments for retirees during fiscal year	24,283	24,283	24,283
Expected contribution to OPEB trust	132,938	143,683	26,945
<b>Expected Net OPEB Obligation (Asset) at fiscal year end</b>	0	0	0



## Glossary

Actuarial Accrued Liability (AAL) – Total dollars required to fund all plan benefits attributable to service rendered as of the valuation date for current plan members and vested prior plan members; see “Actuarial Present Value”

Actuarial Funding Method – A procedure which calculates the actuarial present value of plan benefits and expenses, and allocates these expenses to time periods, typically as a normal cost and an actuarial accrued liability

Actuarial Present Value (APV) – The amount presently required to fund a payment or series of payments in the future, it is determined by discounting the future payments by an appropriate interest rate and the probability of nonpayment.

Aggregate – An actuarial funding method under which the excess of the actuarial present value of projected benefits over the actuarial accrued liability is levelly spread over the earnings or service of the group forward from the valuation date to the assumed exit date, based not on individual characteristics but rather on the characteristics of the group as a whole

Annual Required Contribution (ARC) – The amount the employer would contribute to a defined benefit OPEB plan for a given year, it is the sum of the normal cost and some amortization (typically 30 years) of the unfunded actuarial accrued liability

Attained Age Normal Cost (AANC) – An actuarial funding method where, for each plan member, the excess of the actuarial present value of benefits over the actuarial accrued liability (determined under the unit credit method) is levelly spread over the individual's projected earnings or service forward from the valuation date to the assumed exit date

CalPERS – Many state governments maintain a public employee retirement system; CalPERS is the California program, covering all eligible state government employees as well as other employees of other governments within California who have elected to join the system

Defined Benefit (DB) – A pension or OPEB plan which defines the monthly income or other benefit which the plan member receives at or after separation from employment

Defined Contribution (DC) – A pension or OPEB plan which establishes an individual account for each member and specifies how contributions to each active member's account are determined and the terms of distribution of the account after separation from employment

Entry Age Normal Cost (EANC) – An actuarial funding method where, for each individual, the actuarial present value of benefits is levelly spread over the individual's projected earnings or service from entry age to assumed exit age



## **Glossary (Continued)**

Frozen Attained Age Normal Cost (FAANC) – An actuarial funding method under which the excess of the actuarial present value of projected benefits over the actuarial accrued liability (determined under the unit credit method) is levelly spread over the earnings or service of the group forward from the valuation date to the assumed exit date, based not on individual characteristics but rather on the characteristics of the group as a whole

Frozen Entry Age Normal Cost (FEANC) – An actuarial funding method under which the excess of the actuarial present value of projected benefits over the actuarial accrued liability (determined under the entry age normal cost method) is levelly spread over the earnings or service of the group forward from the valuation date to the assumed exit date, based not on individual characteristics but rather on the characteristics of the group as a whole

Financial Accounting Standards Board (FASB) – A private, not-for-profit organization designated by the Securities and Exchange Commission (SEC) to develop generally accepted accounting principles (GAAP) for U.S. public corporations

Government Accounting Standards Board (GASB) – A private, not-for-profit organization which develops generally accepted accounting principles (GAAP) for U.S. state and local governments; like FASB, it is part of the Financial Accounting Foundation (FAF), which funds each organization and selects the members of each board

Non-Industrial Disability (NID) – Unless specifically contracted by the individual agency, PAM employees are assumed to be subject to only non-industrial disabilities.

Normal Cost – Total dollar value of benefits expected to be earned by plan members in the current year, as assigned by the chosen funding method; also called current service cost

Other Post-Employment Benefits (OPEB) – Post-employment benefits other than pension benefits, most commonly healthcare benefits but also including life insurance if provided separately from a pension plan

Pay-As-You-Go (PAYGO) – Contributions to the plan are made at about the same time and in about the same amount as benefit payments and expenses coming due

PEMHCA – The Public Employees' Medical and Hospital Care Act, established by the California legislature in 1961, provides community-rated medical benefits to participating public employers. Among its extensive regulations are the requirements that medical insurance contributions for retired annuitants and paid for by a contracting agency be equal to the medical insurance contributions paid for its active employees, and that a contracting agency file a resolution, adopted by its governing body, with the CalPERS Board establishing any new contribution.



## **Glossary (Concluded)**

Projected Unit Credit (PUC) – An actuarial funding method where, for each individual, the projected plan benefit is allocated by a consistent formula from entry date to assumed exit date

Public Agency Miscellaneous (PAM) – Actuarial assumptions used by CalPERS for most non-safety public employees.

Select and Ultimate – Actuarial assumptions which contemplate rates which differ by year initially (the select period) and then stabilize at a constant long-term rate (the ultimate rate)

Trend – The healthcare cost trend rate, defined as the rate of change in per capita health claims costs over time as a result of factors such as medical inflation, utilization of healthcare services, plan design and technological developments

Unfunded Actuarial Accrued Liability (UAAL) – The excess of the actuarial accrued liability over the actuarial value of plan assets

Unit Credit (UC) -- An actuarial funding method where, for each individual, the unprojected plan benefit is allocated by a consistent formula from entry date to assumed exit date

Vesting – As defined by the plan, requirements which when met make a plan benefit nonforfeitable on separation of service before retirement eligibility

**TO:** Budget and Administration/Personnel (B&A/P) Committee  
**FROM:** Luis Pavel Mendez, Deputy Director  
**RE:** Proposed Amendments to the Fiscal Year (FY) 10-11 Budget and Work Program

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**RECOMMENDATIONS**

Staff recommends that the Budget and Administration/Personnel (B&A/P) Committee recommend that the Regional Transportation Commission (RTC) approve the proposed amended FY10-11 Budget and Work Program ([Attachment 2](#)).

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**BACKGROUND**

Each year the Regional Transportation Commission (RTC) amends its budget and work program in the fall to incorporate information from prior year end balances, carryovers from the previous fiscal year, new projects or funds, updated revenue and cost estimates, and other necessary changes. The draft amended FY10-11 Budget ([Attachment 2](#)) includes updated information and other changes. The major budget changes proposed are discussed below. Explanations for specific line items in the budget are included as notes on the budget document.

**DISCUSSION**

The proposed amended FY10-11 Budget ([Attachment 2](#)) is a balanced budget that implements the RTC's priority projects and on-going programs, but unfortunately does not achieve the RTC's established goal for Transportation Development Act (TDA) reserve funds due to the continuing economic difficulties.

**Transportation Development Act (TDA) Revenues (pages 1 & 2 of proposed budget)**

FY 09-10 TDA Estimates and Revenues - As required by state law, the RTC obtains TDA revenue estimates from the County Auditor-Controller and uses those estimates for budgeting purposes. For FY 09-10, the RTC received four TDA revenue estimates ([Attachment 1](#)). Each estimate was lower than the prior estimate. In March 2010, the RTC decided not to reduce TDA apportionments to recipients in response to the January 2010 revenue estimate, to maintain TDA apportionments to recipients based on the July 2009 estimates of revenues and interest and to use TDA reserves to honor the apportionments. As shown in the table below, \$16,360 in TDA reserves were used to fulfill the FY 09-10 TDA apportionments approved by the RTC.

	July 09 Estimate	Actual	TDA Reserves Used
Revenue	6,632,665	6,631,837	828
Interest	28,000	12,468	15,532
Total	6,660,665	6,644,305	16,360

TDA Reserve Fund - The RTC's Rules and Regulations establish a goal of maintaining 8% of current annual revenues in the TDA reserve fund. Due to the continuing economic downturn, the RTC decided to maintain a minimum TDA reserve fund of 3% to provide as much as possible TDA revenue to recipients while still maintaining a reserve fund that can be used to fulfill apportionment commitments as revenue continues to fluctuate. For FY 09-10 the TDA reserve fund ended at about 2.75% of revenues. Typically, when reserve funds are used to fulfill apportionment commitments to TDA recipients, the RTC reduces the next fiscal year's apportionments to meet its TDA reserves fund goal or established minimum. Thanks to the RTC's success in securing additional funds through competitive grants and other sources, the RTC has been able to return some of its own TDA revenue apportionments to the TDA reserve fund over the past two fiscal years to maintain the 3% minimum while minimizing apportionment reductions to other TDA recipients.

FY 10-11 TDA Revenue Estimates - In March 2010, the RTC adopted a preliminary FY 10-11 budget with the TDA revenue estimates and use of some reserve funds as shown in the table below. In that March 2010 preliminary budget, the RTC decided to use some TDA reserve funds to keep the apportionments for Bike to Work and the Community Traffic Safety Coalition at the same level as in FY 09-10. In July 2010, the Auditor-Controller provided a new revenue estimate that is slightly lower than the estimate used for the FY 10-11 preliminary budget adopted in March 2010. To ensure that the apportionment commitments approved for FY 10-11 in March 2010 are kept, the RTC would have to use \$25,032 in reserve funds. Fortunately, some of the additional funds secured by the RTC in FY 09-10 carry over into FY 10-11 allowing the RTC to return \$71,750 of its TDA apportionment to the TDA reserve fund. This is sufficient for the RTC to return the TDA reserve fund back to the established 3% minimum and not only have sufficient extra reserves to meet the TDA apportionment commitments approved in March 2010 but increase those apportionments to ensure that all TDA recipients, not just Bike to Work and Community Traffic Safety Coalition, receive the same level of TDA revenues as in FY 09-10.

	Budget Adopted March 2010	July 2010 Revenue Estimates	To Ensure Commitments of March 2010 Budget	Recommended Budget
Revenue	6,596,418	6,587,537	6,587,537	6,587,537
Interest	28,000	12,500	12,500	12,500
Reserves	651		25,032	
Returned RTC Apportionment				71,750
Total	6,625,069	6,600,037	6,625,069	6,671,787

Through the first three months of FY 10-11, the RTC has received \$64,000 more TDA revenues than was estimated. TDA revenue payments vary from month to month. By this time last year, the RTC had received \$72,000 more than estimated and by December 2009, the RTC had received \$36,000 less than estimated. RTC staff will continue to monitor TDA revenues, and if it seems that apportionments to TDA recipients should be revised, staff will return to the Budget and Administration/Personnel Committee and the RTC with appropriate recommendations.

## Changes in Other Revenues

The proposed budget also includes:

- Carryover of revenues not expended, appropriated or realized in FY 09-10
- Additional \$150,000 in State Transportation Improvement Program (STIP) funds for planning, programming and monitoring (PPM);
- Additional \$250,000 in Coastal Conservancy funds for the Monterey Bay Sanctuary Scenic Trail (MBSST) Network planning work; and
- Nearly \$16 million in additional funds for the construction of the Highway 1 Auxiliary Lanes project.

The State Legislature and Governor have not yet approved a state budget for FY 10-11. A final state budget may impact the RTC budget. Staff will monitor the state budget situation and if the final state budget impacts the RTC budget, staff will return to the B&A/P Committee and RTC with any necessary budget amendments.

## Other Proposed Budget Amendments

In addition to the amendments identified above, unspent funds from prior year projects have been carried over in the proposed amended budget, as explained by notes throughout Attachment 2. Several expenditure lines have also been updated to more accurately reflect current estimates.

Administration Budget (page 4) - The proposed Administration budget includes an addition of \$2,500 to the Fixed Assets line to begin building up the funds which will be necessary to replace the RTC's aging telephone system, which is becoming more challenging to maintain. \$15,000 is added to the Human Resources/Employee Relations line for a salary study as required by the labor agreement and for anticipated labor negotiations.

Service Authority for Freeway Emergencies (SAFE) and Freeway Service Patrol (FSP) (pp 6&7) - The SAFE budget includes carryover in funding and expenditures from FY 09-10. The FSP budget includes an increase in revenues from Caltrans. The Caltrans revenues are calculated by a formula that includes population, freeway lane miles and congestion. Caltrans FSP funding tends to vary as a result of the measured congestion each year compared to the measured congestion in the other areas of the state with FSP programs. Because Caltrans has changed the method for collecting congestion information and District 5 has not had the necessary equipment to collect congestion information for the new method, Caltrans FSP funds for the next fiscal year (2011-12) may be affected. RTC staff is working with Caltrans to ensure that funding is not lost to the program as a result of nonexistent or inadequate congestion data.

Highway 1 Budget (pages 9 and 10) - The Highway 1 budget has been divided into two budget pages. One page is for environmental documents and design work and the other is for construction work. The environmental document and design page includes funds that are carried over or were previously programmed to complete environmental and design work. The Highway 1 construction page includes all of the State Transportation Improvement Program (STIP) and Corridor Mobility Improvement Account (CMIA) funds that are programmed for the

project to ensure completion of construction of the Highway 1 Morrissey to Soquel Auxiliary Lanes.

Planning Program Budget (pages 11, 12 and 13) - The budget for the planning program includes carryover funds from FY 09-10, and new grant funds for planning, programming and monitoring activities and for the Monterey Bay Sanctuary Scenic Trail Network master plan. In FY 09-10 the RTC did not collect any traffic counts under its traffic monitoring program in order to reduce costs. However, for FY 10-11 it will be necessary to collect traffic counts and other data for the next RTP update and a traffic model update for the production of the region's blueprint plan. Therefore, funds have been added to the traffic monitoring expenditure line. The planning program also returns \$71,750 to the TDA reserve fund to maintain the RTC's 3% minimum and to increase allocations to TDA recipients to FY 09-10 levels.

### **Overall Staffing (page 3, pages 4-13 & page 16)**

The RTC has authorized 16.75 fulltime equivalent (FTE) staff positions (page 16). This does not mean that the RTC should always, or ever, have that many FTE staff positions filled or budgeted. This allows the RTC to be flexible with its staffing levels and budget for staff according to what is necessary based on the work required and the level of funding available from the RTC's various funding sources. The FY 10-11 budget approved by the RTC in March included 14.25 FTE staff positions and the currently proposed FY 10-11 budget includes 14.75 FTE staff positions.

It is necessary to budget for an additional temporary half-time planner position due to the requirement to update the Regional Transportation Plan (RTP) immediately after completing the 2010 update. Generally, the RTC is required to update the RTP once every 5 years and the RTC would not be starting a new RTP update at this time. However, due to state requirements, the RTC must produce a new update by June 2013. It generally takes at least 2 years to produce an RTP update; however, this update will be much more significant than is generally necessary due to state requirements to address climate change. Therefore, staff is already working with the RTC's regional partners to produce the 2013 update. The RTP update was required to be completed by June 2012. Fortunately, the Association of Monterey Bay Area Governments (AMBAG) secured a one year extension. Thanks to this one year extension an additional half-time temporary planner should be sufficient to produce the additional work; otherwise, it would be necessary to hire an additional full-time temporary planner.

RTC staff explored the possibility of deferring other work to avoid the addition of a half-time temporary planner; however, since a significant portion of other RTC work is being completed with specific grant funds which could be jeopardized if the work is delayed. Caltrans has already expressed concern that planning work for a Monterey Bay Area 511 system could impact the funding because it is behind schedule. A temporary half-time planner adds about \$34,000 to the staffing budget approved in March 2010. Another \$26,000 is added to that staffing budget as a result of benefit cost increases and changes in health benefit enrollments. The RTC did secure lower premiums for ancillary benefits but the savings was not sufficient to cover the health benefits cost increases. The FY 10-11 staffing budget does not include any cost of living adjustments or benefits increases. The increased budget is due to the increased cost for existing benefits and the staffing level needed to ensure that requirements are met, funding is

not lost and RTC priority projects and programs which have funding for the required staff work are not delayed or abandoned.

**Staff recommends that the Budget and Administration/Personnel (B&A/P) Committee recommend that the Regional Transportation Commission (RTC) approve the proposed amended FY10-11 Budget and Work Program (Attachment 2).** Staff will continue to monitor all revenues, including TDA, seek additional revenues, and manage costs to maintain a sound budget. Staff will return to the B&A/P Committee and the RTC with recommendations as necessary, if budget changes are needed.

## **SUMMARY**

The proposed amended FY 10-11 Budget (Attachment 2) incorporates information from FY 09-10 year end balances, carryovers from the previous fiscal year, any new projects or funds, updated revenue and costs estimates, and other necessary changes. Staff recommends that the B&A/P Committee recommend that the RTC approve the proposed amended FY 10-11 Budget and Work Program.

### Attachments:

1. Estimated and Actual TDA Revenues for FY's 07-08 to 10-11
2. Proposed Amended FY 10-11 RTC Budget

**Transportation Development Act (TDA) Estimates and Revenues**

Month	FY 07-08 Actual Revenues	FY 08-09 Actual Revenues	FY 09-10 Auditor- Controller Jan 09 Estimate	FY 09-10 Auditor- Controller Mar 09 Estimate	FY 09-10 Auditor Controller July 09 Estimate	FY 09-10 Auditor- Controller Jan 10 Estimate	FY 09-10 Actual Revenues	FY 09-10 Difference of Actual to Jan 10 Estimate	FY 09-10 Difference as % of Jan 10 Estimate	FY 09-10 Cumulative % of Actual to Jan 10 Estimate	FY 10-11 Auditor- Controller Jan 10 Estimate	FY 10-11 Auditor- Controller Jul 10 Estimate
JULY	543,200	570,200	570,200	570,200	454,800	454,800	454,800	0	0.00%	100.00%	454,800	<b>410,500</b>
AUGUST	724,300	760,200	760,200	760,200	646,170	539,000	539,000	0	0.00%	100.00%	539,000	<b>539,000</b>
SEPTEMBER	863,255	634,334	634,334	634,334	539,184	719,093	719,093	0	0.00%	100.00%	719,093	<b>719,093</b>
OCTOBER	599,500	567,100	567,100	567,100	499,048	490,500	490,500	0	0.00%	100.00%	490,500	<b>490,500</b>
NOVEMBER	799,300	756,100	756,100	756,100	665,368	555,900	555,900	0	0.00%	100.00%	555,900	<b>555,900</b>
DECEMBER	638,280	700,859	700,859	700,859	616,756	625,785	625,785	0	0.00%	100.00%	625,785	<b>625,785</b>
JANUARY	583,600	538,600	554,420	538,600	538,600	538,600	465,300	-73,300	-13.61%	98.13%	538,600	<b>465,300</b>
FEBRUARY	778,200	590,700	739,290	590,700	590,700	590,700	620,400	29,700	5.03%	99.03%	590,700	<b>620,400</b>
MARCH	543,629	578,624	516,446	578,624	578,624	578,624	607,400	28,776	4.97%	99.71%	578,624	<b>607,401</b>
APRIL	494,300	432,400	469,585	455,497	432,400	432,400	385,100	-47,300	-10.94%	98.88%	432,400	<b>385,100</b>
MAY	659,000	464,400	626,050	607,269	464,400	464,400	562,700	98,300	21.17%	100.60%	464,400	<b>562,700</b>
JUNE	652,069	606,615	619,466	600,882	606,615	606,615	605,859	-756	-0.12%	100.54%	606,615	<b>605,859</b>
<b>TOTAL</b>	<b>7,878,633</b>	<b>7,200,133</b>	<b>7,514,051</b>	<b>7,360,365</b>	<b>6,632,665</b>	<b>6,596,418</b>	<b>6,631,837</b>	<b>35,420</b>	<b>0.54%</b>	<b>100.54%</b>	<b>6,596,418</b>	<b>6,587,538</b>
% Change	-2.11%	-8.61%	4.36%	-2.05%	-9.89%	-0.55%	0.54%					-0.13%

% Change FY 07-08 to FY 08-09 Actual Revenues -8.61%

% Change FY 08-09 Actual to FY 09-10 Jan 10 Actual Revenues -7.89%

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
PROJECTED REVENUE SUMMARY  
FY 2010-2011 BUDGET**

**1 PROJECTED REVENUE SUMMARY**

SOURCES	FY10-11 APPROVED 08/05/10	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
<b>5 Transportation Development Act (TDA):</b>				
6 Auditor's 1/4 Cent Sales Tax Estimate	6,596,418	6,587,537	-8,881	- .13% decrease based on County Auditor Controller August 2010 estimate
7 Reserves budgeted	651		-651	- Covered by funds returned from apportionment to RTC
8 RTC apportionment funds returned		71,750	71,750	- To increase apportionment to other recipients and replenish reserve
9 Interest Estimate	28,000	12,500	-15,500	- Based on interest earned in FY 09-10
<i>Total TDA Apportioned</i>	<b>6,625,069</b>	<b>6,671,787</b>	<b>46,718</b>	
<b>12 State Transit Assistance (STA)</b>				
	<b>2,801,550</b>	<b>2,801,550</b>	<b>0</b>	
<b>13 Planning Grant Funds/Others:</b>				
14 Rural Planning Assistance (RPA)	315,000	315,000	0	
15 STIP for Planning (PPM)	150,000	331,501	181,501	- Additional state funds for planning, programming and monitoring & FY 09-10 carryover
16 RSTP Exchange - Eco Act and Comm Traff Saft Coal	40,000	175,947	135,947	- Carryover from FY 09-10 and funds programmed for FY 10-11
17 FHWA - Earmark	360,000	380,000	20,000	- Carryover from FY 09-10 for MBSST Network
18 FHWA - Planning (PL) - from AMBAG	228,231	228,231	0	
19 Coastal Conservancy	0	250,000		
20 Section 5317 - from AMBAG	0	0	0	
21 AB2766/Air District Funds:	53,000	32,738	-20,262	- Revenue realized in Fy 09-10 and revenues for folding bikes on bus forfeited
22 Env. Justice Context-Sensitive Planning Grant	34,000	34,000	0	
23 Agricultural Worker Transportation Program	0	0	0	
24 RTC Funds Budgeted	221,613	247,051	25,438	- Carryover from FY 09-10
<i>Planning/Other Total</i>	<b>1,401,844</b>	<b>1,994,468</b>	<b>592,624</b>	
<b>26 Rideshare:</b>				
27 RSTP and RSTP Exchange	130,000	160,000	30,000	- Carryover from FY 09-10 Park and Ride Lot project
28 CMAQ - Rideshare	174,100	174,100	0	
29 AB2766	70,000	99,571	29,571	- Carryover from FY 09-10 for Carpool Incentive Program
<b>30 Service Authority for Freeway Emergency (SAFE):</b>				
31 DMV Fees and interest	251,000	241,000	-10,000	- Lower interest rates
32 Other - MTC SAFE and Partnership Planning Grant	260,000	300,781	40,781	- Carryover from FY 09-10 for 511 and Safe on 17
33 SAFE Funds Budgeted	0	48,800	48,800	- Carryover from FY 09-10 for call box upgrades
<b>34 Freeway Service Patrol (FSP):</b>				
35 Caltrans Grant	185,000	202,806	17,806	- Increased Caltrans funds estimate
36 American Recovery and Reinvestment Act (ARRA)	0	65,403	65,403	- Carryover from FY 09-10
37 RSTP Funds	180,000	180,000	0	
38 FSP Reserves Budgeted and Interest	1,500	1,000	-500	- Lower interest rates
<b>39 Rail/Trail Authority:</b>				
40 Proposition 116 and STIP	20,214,918	20,214,918	0	
41 Leases	60,000	30,000	-30,000	- Closing estimated at end of December 2010
42 Federal Earmark	29,805	29,805	0	
43 Transfer - in from TC Planning	98,956	102,129	3,173	- To cover lower FY 09-10 carryover
44 Rail/Trail Funds Budgeted	320,045	316,872	-3,173	- FY 09-10 carryover lower than previously estimated
<b>45 Highway 1:</b>				
46 RSTP Exchange	550,115	1,605,598	1,055,483	- Carryover from FY 09-10
47 CMAQ	55,878	111,200	55,322	- Carryover from FY 09-10
48 Federal Earmark	343,591	312,087	-31,504	- Carryover from FY 09-10
49 STIP	1,160,888	2,578,598	1,417,710	- Carryover from FY 09-10 and funds programmed for FY 10-11
50 CMIA	2,292,995	16,190,000	13,897,005	- Carryover from FY 09-10 and funds programmed for FY 10-11
51 RSTP Exchange Program	0	7,801,872	7,801,872	- Carryover from FY 09-10 and funds programmed for FY 10-11
<b>TOTAL</b>	<b>37,207,254</b>	<b>62,234,345</b>	<b>25,027,091</b>	

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
 APPORTIONMENT SUMMARY  
 FY 2010-2011 BUDGET**

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2 **APPORTIONMENT SUMMARY**

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CLAIMANTS	FY10-11 APPROVED 08/05/10	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
<b>Transportation Development Act (TDA): (1)</b>				
TDA Reserve Fund		14,836	14,836	- Replenish reserve fund to established 3% minimum
SCCRTC:				% Change
TDA Administration	431,995	431,995	0	0.00%
TDA Planning				
General Planning	254,480	254,480	0	0.00%
Bike to Work	34,427	34,427	0	0.00%
Bike & Pedestrian Safety	86,064	86,064	0	0.00%
<i>Subtotal</i>	<b>806,966</b>	<b>806,966</b>	<b>0</b>	0.00%
SCMTD	4,974,478	5,001,737	27,259	0.55%
Specialized Transit (Community Bridges/CTSA)	488,721	492,645	3,924	0.80%
Volunteer Center	58,181	58,648	467	0.80%
City of Capitola	11,126	11,681	555	4.99%
City of Santa Cruz - Non Transit	65,149	68,365	3,216	4.94%
City of Scotts Valley	12,994	13,634	640	4.93%
City of Watsonville	57,306	60,185	2,879	5.02%
County of Santa Cruz	150,148	157,926	7,777	5.18%
<i>Total TDA Apportioned</i>	<b>6,625,069</b>	<b>6,671,787</b>	<b>46,718</b>	0.71%
<b>State Transit Assistance (STA) - SCMTD</b>	<b>2,801,550</b>	<b>2,801,550</b>	<b>0</b>	
<b>Planning Grant Funds/Others:</b>				
SCCRTC:	1,368,844	1,854,783	485,939	- Carryover from FY 09-10 and funds programmed for FY 10-11
Community Traffic Safety Coalition		69,852	69,852	- Carryover from FY 09-10 and funds programmed for FY 10-11
Ecology Action	33,000	69,833	36,833	- Carryover from FY 09-10 and funds programmed for FY 10-11
<i>Subtotal</i>	<b>1,401,844</b>	<b>1,994,468</b>	<b>592,624</b>	
<b>Rideshare</b>	<b>374,100</b>	<b>433,671</b>	59,571	- Carryover from FY 09-10
<b>SAFE</b>	<b>511,000</b>	<b>590,581</b>	79,581	- Carryover from FY 09-10
<b>Freeway Service Patrol (FSP)</b>	<b>366,500</b>	<b>449,209</b>	82,709	- Carryover from FY 09-10 and additional state funds for FY 10-11
<b>Rail/Trail Authority</b>	<b>20,723,724</b>	<b>20,693,724</b>	-30,000	- Closing estimated at end of December 2010
<b>Highway 1</b>	<b>4,403,467</b>	<b>20,797,483</b>	16,394,016	- Carryover from FY 09-10 and funds programmed for FY 10-11
<b>RSTP Exchange Program</b>	<b>0</b>	<b>7,801,872</b>	7,801,872	- Carryover from FY 09-10 and funds programmed for FY 10-11
<b>TOTAL</b>	<b>37,207,254</b>	<b>62,234,345</b>	<b>25,027,091</b>	

(1) TDA apportionments are based on the formulas in the RTC's Rules and Regulations. Balance not used for Planning and Administration is allocated to other TDA claimants as follows:  
 85.5% is appropriated to SCMTD, 8.4% to Community Bridges and 1% to the Volunteer Center; the remaining funds are proportionally allocated to cities and the County according to population.

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
OPERATING BUDGET SUMMARY  
FY 2010-2011 BUDGET**

1

2 **OPERATING BUDGET SUMMARY**

PROGRAM	FY09-10 ADOPTED DETAIL			FY10-11 ADOPTED DETAIL			FY10-11 PROPOSED DETAIL		
	TOTAL FY 09-10 ADOPTED 06/10	SALARIES & BENEFITS	SERVICES & SUPPLIES	TOTAL FY10-11 ADOPTED 03/10	SALARIES & BENEFITS	SERVICES & SUPPLIES	TOTAL FY10-11 PROPOSED 10/14/10	SALARIES & BENEFITS (1)	SERVICES & SUPPLIES
9 SCCRTC - Administration	652,500	201,000	451,500	555,500	201,000	354,500	616,956	201,000	415,956
11 Rideshare	294,100	185,500	108,600	374,100	195,000	179,100	422,100	195,000	227,100
12 SAFE	553,350	95,000	458,350	463,800	120,000	343,800	579,800	120,000	459,800
13 Freeway Service Patrol	331,400	47,500	283,900	341,350	55,000	286,350	342,000	55,000	287,000
14 Rail/Trail Authority	21,095,320	120,000	20,975,320	20,723,724	100,000	20,623,724	20,693,724	100,000	20,593,724
15 Highway 1	4,004,831	250,000	3,754,831	4,124,209	265,000	3,859,209	20,797,483	265,000	20,532,483
16 SCCRTC Planning	2,300,898	859,326	1,441,572	1,653,310	906,407	746,903	2,184,478	966,807	1,217,671
17									
18 <b>Total Operating Budget</b>	<b>29,232,399</b>	<b>1,758,326</b>	<b>27,474,073</b>	<b>28,235,993</b>	<b>1,842,407</b>	<b>26,393,586</b>	<b>45,636,541</b>	<b>1,902,807</b>	<b>43,733,734</b>

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**OPERATING BUDGET COMPARISON  
PRIOR YEAR AND BUDGET YEAR**

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PROGRAM	FY 09-10 ADOPTED 06/10	FY 09-10 ACTUAL 6/30/10	FY 09-10 ACTUAL LESS ADOPTED 06/10	FY10-11 ADOPTED 03/10	FY 09-10 ADOPTED 06/10	FY10-11 PROPOSED VS FY 09-10 ADOPTED
29 SCCRTC - Administration	652,500	461,221	(191,279)	555,500	652,500	(97,000)
30 Rideshare	294,100	170,537	(123,563)	374,100	294,100	80,000
31 SAFE	553,350	247,956	(305,394)	463,800	553,350	(89,550)
32 Freeway Service Patrol	331,400	295,845	(35,555)	341,350	331,400	9,950
33 Rail/Trail Authority	21,095,320	556,709	(20,538,611)	20,723,724	21,095,320	(371,596)
34 Highway 1	4,004,831	2,103,406	(1,901,425)	4,124,209	4,004,831	119,378
35 SCCRTC Planning	2,300,898	1,609,076	(691,822)	1,653,310	2,300,898	(647,588)
36						
37 <b>Total Operating Budget</b>	<b>29,232,399</b>	<b>5,444,750</b>	<b>(23,787,649)</b>	<b>28,235,993</b>	<b>29,232,399</b>	<b>(996,406)</b>

Notes: (1) Includes staffing shown on page 15

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
OPERATING BUDGET BY PROGRAM - ADMINISTRATION  
FY 2010-2011 BUDGET**

**1 ADMINISTRATION**

2 3 4	WORK ELEMENT #101	FY10-11 APPROVED 3/4/2010	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
5	<b>REVENUES:</b>				
6	TDA Administration	431,995	431,995	0	
7	Other Revenues			0	
8	RTC Funds			61,456	- Carryover from FY 09-10 & to cover current year expenditures
9	<b>TOTAL REVENUES</b>	<b>555,500</b>	<b>616,956</b>	<b>61,456</b>	
10	<b>EXPENDITURES:</b>				
11	<b>Salaries &amp; Benefits</b>	201,000	201,000	0	
12					
13	<b>Services and Supplies:</b>				
14	<b>Rent, Utilities, Insurance</b>				
15	Telephone	11,500	11,500	0	
16	Liability Insurance	14,000	14,000	0	
17	Video Conferencing Fees	2,000	2,000	0	
18	Internet/Web Fees	4,000	4,000	0	
19	Utilities	9,000	9,000	0	
20	Office Rent	80,000	80,000	0	
21	Carpet Replacement and Repairs	0	0	0	
22	<b>Travel/Training</b>				
23	Vehicle Rentals and Service	1,000	1,000	0	
24	RTC Vehicle	3,000	3,000	0	
25	Transportation/Travel/Education	20,000	20,000	0	
26	<b>Fixed Assets</b>				
27	Fixed Assets	6,000	8,500	2,500	- Build up funds for replacement of 13-year old phone system
28	<b>Office Expenses</b>				
29	Office Expense	24,000	24,000	0	
30	Duplicating	6,000	6,000	0	
31	Postage	6,000	6,000	0	
32	Membership	6,000	6,000	0	
33	Sponsorship	1,000	1,000	0	
34	Advertisement/Publication	4,000	4,000	0	
35	Office Equipment Repair/Maintenance	5,000	5,000	0	
36	Contingency/Special Expense	10,000	10,000	0	
37	County Mainframe/Intranet	6,000	6,000	0	
38	Computer Software	5,000	7,000	2,000	- Additional upgrades required than previously estimated
39	<b>Services</b>				
40	Commissioners' Stipend	9,000	10,500	1,500	- Increase per FY 09-10 actual expense
41	Fiscal & Triennial Performance Audit	25,000	39,000	14,000	- Carryover from FY 09-10 for performance audit
42	Annual Report/Fact Sheets	5,000	5,000	0	
43	Accounting, Payroll and Auditing Fees	15,000	15,000	0	
44	Human Resources/Employee Relations	10,000	25,000	15,000	- For salary study and labor negotiations per labor agreement
45	Administrative Consulting Services	15,000	17,500	2,500	- Carryover from FY 09-10 for GASB45 Report
46	Legal Counsel	20,000	30,000	10,000	- Meet legal requirements for new RTC roles as property owner and construction agency
47	Programming for Computer System and Website	5,000	18,456	13,456	- Carryover from FY 09-10 for website update
48	LAN/Computer Support	20,000	20,000	0	
49	Custodial - Janitorial Services	7,000	7,500	500	- Estimated increase
50	<i>Subtotal Services &amp; Supplies</i>	<b>354,500</b>	<b>415,956</b>	<b>61,456</b>	
51					
52	<b>TOTAL EXPENDITURES</b>	<b>555,500</b>	<b>616,956</b>	<b>61,456</b>	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
OPERATING BUDGET BY PROGRAM - RIDESHARE  
FY 2010-2011 BUDGET**

1 **RIDESHARE:** 721400

2 3 4	WORK ELEMENT #179	FY10-11 APPROVED 3/4/2010	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
5	<b>REVENUES:</b>				
6	RSTP Exchange	0	30,000	30,000	- Carryover from FY 09-10 for Park and Ride Project
7	RSTP	130,000	130,000	0	
8	CMAQ - Rideshare	174,100	174,100	0	
9	AB2766	70,000	99,571	29,571	- Carryover from FY 09-10 for Carpool Incentive Program
10	<b>TOTAL REVENUES</b>	<b>374,100</b>	<b>433,671</b>	<b>59,571</b>	
11	<b>EXPENDITURES:</b>				
12	<b>Staff and Overhead:</b>				
13	Salaries & Benefits	195,000	195,000	0	
14					
15	<b>Services and Supplies:</b>				
16	<b>Rideshare:</b>				
17	Telephone	1,000	1,000	0	
18	Membership	600	600	0	
19	Postage	1,000	1,000	0	
20	Other - Office Expense	1,500	1,500	0	
21	Transportation/Travel/Education	2,500	2,500	0	
22	Advertisement & Promotion Materials	15,000	28,000	13,000	- Carryover from FY 09-10 for bike map production and printing
23	Rideshare Database/Programming	7,500	7,500	0	
24	<b>Carpool Incentive Program</b>	50,000	85,000	35,000	- Carryover from FY 09-10
25	<b>Park &amp; Ride Lot Project</b>	100,000	100,000	0	
26	<i>Subtotal Services &amp; Supplies</i>	<b>179,100</b>	<b>227,100</b>	<b>48,000</b>	
27	<b>Unappropriated Revenues:</b>	<b>0</b>	<b>11,571</b>	<b>11,571</b>	
28					
29	<b>TOTAL EXPENDITURES</b>	<b>374,100</b>	<b>433,671</b>	<b>59,571</b>	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
OPERATING BUDGET BY PROGRAM - SAFE  
FY 2010-2011 BUDGET**

1 **SAFE:** 721825

2 3 4	WORK ELEMENT #178	FY10-11 APPROVED 3/4/2010	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
5	<b>REVENUES:</b>				
6	DMV Fees	236,000	236,000	0	
7	Interest	15,000	5,000	-10,000	- Lower interest rates
8	Caltrans Partnership Planning Grant for 511 System	210,000	250,781	40,781	- Carryover from FY 09-10
9	Local Financial Assistance (MTC SAFE)	50,000	50,000	0	
10	SAFE Funds Budgeted	0	48,800	48,800	- Carryover from FY 09-10 for call box upgrades
11	<b>TOTAL REVENUES</b>	<b>511,000</b>	<b>590,581</b>	<b>79,581</b>	
12	<b>EXPENDITURES:</b>				
13	<b>Staff and Overhead:</b>				
14	Salaries & Benefits	120,000	120,000	0	
15					
16	<b>Services and Supplies:</b>				
17	Office Expense	2,000	2,000	0	
18	Tranportation/Travel/Education	1,500	1,500	0	
19	HWY 17 Utility Charges (Electricity)	1,200	1,200	0	
20	Liability Insurance	4,000	4,000	0	
21	Legal Counsel	500	500	0	
22	Contingency/Special Expense	2,500	2,500	0	
23	Network Access	10,000	10,000	0	
24	System Maintenance	62,000	62,000	0	
25	CHP Operations	600	600	0	
26	Safe on 17	100,000	124,000	24,000	- Carryover from FY 09-10 for extra CHP enforcement
27	Freeway Service Patrol	0	0	0	
28	Call Answering	4,500	4,500	0	
29	Callbox Upgrade	0	62,000	62,000	- Carryover from FY 09-10
30	511 System Plan - Consultant Services	140,000	160,000	20,000	- Carryover from FY 09-10
31	TAMC for 511 System Planning	15,000	25,000	10,000	- Carryover from FY 09-10
32	<i>Subtotal Services &amp; Supplies</i>	<b>343,800</b>	<b>459,800</b>	<b>86,000</b>	
33	Unappropriated Revenues	<b>47,200</b>	<b>10,781</b>	-36,419	
34					
35	<b>TOTAL EXPENDITURES</b>	<b>511,000</b>	<b>590,581</b>	<b>49,581</b>	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
OPERATING BUDGET BY PROGRAM - FREEWAY SERVICE PATROL (FSP)  
FY 2010-2011 BUDGET**

1 **FREEWAY SERVICE PATROL (FSP) : 721827**

2 3 4	WORK ELEMENT #177	FY10-11 APPROVED 3/4/2010	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
5	<b>REVENUES</b>				
6	Caltrans	185,000	202,806	17,806	- Revised Caltrans funding estimate
7	American Recovery and Reinvestment Act (ARRA)	0	65,403	65,403	- Carryover from FY 09-10
8	RSTP Funds	180,000	180,000	0	
9	Interest	1,500	1,000	-500	- Lower interest rates
10	FSP Reserve Funds Budgeted	0	0	0	
11	<b>TOTAL REVENUES</b>	<b>366,500</b>	<b>449,209</b>	<b>82,709</b>	
12					
13	<b>EXPENDITURES</b>				
14	<b>Staff and Overhead:</b>				
15	Salaries & Benefits	55,000	55,000	0	
16					
17	<b>Services and Supplies:</b>				
18	Telephone	2,000	2,000	0	
19	Transportation/Travel/Education	600	1,000	400	- Procurement required in FY 10-11
20	Liability Insurance	4,000	4,000	0	
21	Legal Counsel	750	1,000	250	- Procurement required in FY 10-11
22	Contingency/Special Expense	5,000	5,000	0	
23	Supplies	4,000	4,000	0	
24	Towing	270,000	270,000	0	
25	<i>Subtotal Services &amp; Supplies</i>	<b>286,350</b>	<b>287,000</b>	<b>650</b>	
26	<b>Unappropriated Revenues:</b>	<b>25,150</b>	<b>107,209</b>	<b>82,059</b>	
27	<b>TOTAL EXPENDITURES</b>	<b>366,500</b>	<b>449,209</b>	<b>82,709</b>	

Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
OPERATING BUDGET BY PROGRAM - RAIL/TRAIL AUTHORITY  
FY 2010-2011 BUDGET**

1 **RAIL/TRAIL AUTHORITY: 722100**

2 3 4	WORK ELEMENT #682	FY10-11 APPROVED 08/05/10	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
5	<b>REVENUES:</b>				
6	STIP	10,000,000	10,000,000	0	
7	Proposition 116	10,214,918	10,214,918	0	
8	Leases	60,000	30,000	-30,000	- 1/2 year revenue estimated due to estimated closing at end of December 2010
9	Federal Earmark	29,805	29,805	0	
10	Transfer from TC Funds	98,956	102,129	3,173	- To cover lower carryover than estimated
11	Rail/Trail Authority Reserve Funds Budgeted	320,045	316,872	-3,173	- Lower carryover than previously estimated
12	<b>TOTAL REVENUES</b>	<b>20,723,724</b>	<b>20,693,724</b>	<b>-30,000</b>	
13	<b>EXPENDITURES:</b>				
14	<b>Staff and Overhead:</b>				
15	Salaries & Benefits	100,000	100,000	0	
16					
17	<b>Services and Supplies:</b>				
18	Liability Insurance	30,000	15,000	-15,000	- 1/2 year expenditure estimated due to estimated closing at end of December 2010
19	<b>Consulting Services:</b>				
20	Appraisals	4,348	4,348	0	
21	Negotiation Attorney	59,887	59,887	0	
22	Lease Investigation	0	0	0	
23	Bond Counsel	10,000	10,000	0	
24	Title Review	5,012	5,012	0	
25	Freight Service and Business & Management Plan	9,925	9,925	0	
26	STB Filing and Legal Counsel	33,172	33,172	0	
27	Closing Costs	25,000	25,000	0	
28	General Inspection	10,000	10,000	0	
29	On Call Consultant for Rail Operations Management	38,000	23,000	-15,000	- Updated expenditure estimate due to estimated closing at end of December 2010
30	Haz Mat Investigation and Related Costs	130,412	130,412	0	
31	Title Insurance	15,000	15,000	0	
32	Hazardous Materials and Pollution Insurance	300,791	300,791	0	
33	General Contingency	17,177	17,177	0	
34	Various Rail Line Improvements	5,735,000	5,735,000	0	
35	Right of Way Acquisition	14,200,000	14,200,000	0	
36	<i>Subtotal Services &amp; Supplies</i>	<b>20,623,724</b>	<b>20,593,724</b>	<b>-30,000</b>	
37					
38	<b>TOTAL EXPENDITURES</b>	<b>20,723,724</b>	<b>20,693,724</b>	<b>-30,000</b>	

**Note:**

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
OPERATING BUDGET BY PROGRAM - HIGHWAY 1  
FY 2010-2011 BUDGET**

**1 HWY 1 ENVIRONMENTAL DOCUMENT & Design: 722200**

2 3 4	WORK ELEMENT #683	FY10-11 APPROVED 3/4/2010	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
5	<b>REVENUES:</b>				
6	RSTP Exchange - HOV Lanes	506,632	1,195,424	688,792	- Carryover from FY 09-10
7	CMAQ - HOV Lanes	55,878	111,200	55,322	- Carryover from FY 09-10
8	STIP - HOV Lanes	690,932	193,826	-497,106	- Carryover from FY 09-10
9	Federal Earmark Aux Lanes: Design	343,591	312,087	-31,504	- Carryover from FY 09-10
10	STIP - Aux Lanes: Design (carryover)	165,451	234,772	69,321	- Carryover from FY 09-10
11	RSTP Exchange - Aux Lanes: Design	43,483	225,174	181,691	- Carryover from FY 09-10 and funds previously programmed for FY 10-11
12	RSTP Exchange - Aux Lanes: PA/ED	0	185,000	185,000	- Funds previously programmed for FY 10-11
13	<b>TOTAL REVENUES</b>	<b>1,805,967</b>	<b>2,457,483</b>	<b>651,516</b>	
14	<b>EXPENDITURES:</b>				
15	<b>Salaries and Benefits</b>	195,000	125,000	-70,000	- Moved to Hwy 1 construction budget page
16					
17	<b>Services and Supplies:</b>				
18	<b>Hwy 1 HOV Lanes PA/ED:</b>				
19	PA/ED Consultant - Nolte Contract	570,796	883,008	312,212	- Carryover from FY 09-10
20	PA/ED on Call Consultants	0	4,197	4,197	- Carryover from FY 09-10
21	PA/ED Public Information, materials, postage & meetings	31,671	80,000	48,329	- Carryover from FY 09-10
22	ROW Consultant	40,696	50,958	10,262	- Carryover from FY 09-10
23	FHWA Project Value Analysis	50,000	50,000	0	
24	Sustainability Analysis (STARS)	28,000	58,000	30,000	- Carryover from FY 09-10
25	Reserve for future year expenses	128,021	299,287	171,266	- Carryover from FY 09-10
26	<b>Highway 1 Morrissey-Soquel Aux Lane PA/ED</b>				
27	PA/ED Legal Costs		185,000	185,000	- Funds previously programmed for FY 10-11
28	<b>Hwy 1 Morrissey-Soquel Aux Lane Design:</b>				
29	Final Design and Engineering Consultants	492,525	608,866	116,341	- Carryover from FY 09-10
30	Design on Call Consultants	0	5,120	5,120	- Carryover from FY 09-10
31	Design Public Information, materials, Postage & meeting space	0	3,074	3,074	- Carryover from FY 09-10
32	PS&E Construction Admin Activities	0	14,973	14,973	- Carryover from FY 09-10
33	Pre-construction activities		90,000	90,000	- Tree removal, biological monitoring, utility relocation, etc
34	<i>Subtotal Services &amp; Supplies</i>	<b>1,341,709</b>	<b>2,332,483</b>	<b>990,774</b>	
35					
36	<b>Unappropriated Revenues - HOV:</b>	<b>269,258</b>	<b>0</b>	<b>-269,258</b>	
37	<b>TOTAL EXPENDITURES</b>	<b>1,805,967</b>	<b>2,457,483</b>	<b>651,516</b>	

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
OPERATING BUDGET BY PROGRAM - HWY 1 CONSTRUCTION  
FY 2010-11 BUDGET**

1 **HWY 1 CONSTRUCTION:** 722200

2 3 4	WORK ELEMENT #683	FY10-11 APPROVED 3/4/2010	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
5	<b>REVENUES:</b>				
6	STIP Construction	304,505	2,150,000	1,845,495	- Carryover from FY 09-10 and funds programmed for FY 10-11
7	CMIA Construction	2,292,995	16,190,000	13,897,005	- Carryover from FY 09-10 and funds programmed for FY 10-11
8	<b>TOTAL REVENUES</b>	<b>2,597,500</b>	<b>18,340,000</b>	<b>15,742,500</b>	
9	<b>EXPENDITURES:</b>				
10	<b>Salaries and Benefits</b>				
11		70,000	140,000	70,000	- Updated estimate for Hwy 1 construction
12	<b>Services and Supplies:</b>				
13	<b>Hwy 1 Morrissey-Soquel Aux Lane Construction:</b>				
14	Contractor - Capital Expenses	2,172,500	15,640,000	13,467,500	- Carryover from FY 09-10 and funds programmed for FY 10-11
15	Construction - Management Team	325,000	1,625,000	1,300,000	- Carryover from FY 09-10 and funds programmed for FY 10-11
16	Construction - Public Information	20,000	200,000	180,000	- Carryover from FY 09-10 and funds programmed for FY 10-11
17	Construction - Oversight		270,000	270,000	- Funds programmed for FY 10-11
18	Construction - On Call Consultants		40,000	40,000	- Funds programmed for FY 10-11
19	Contingency & Future Year Costs		425,000	425,000	- Funds programmed for FY 10-11
20	<i>Subtotal Services &amp; Supplies</i>	<b>2,517,500</b>	<b>18,200,000</b>	<b>15,682,500</b>	
21	<b>Unappropriated Revenues</b>		0	0	
22	<b>TOTAL EXPENDITURES</b>	<b>2,587,500</b>	<b>18,340,000</b>	<b>15,752,500</b>	

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION**  
**OPERATING BUDGET BY WORK PROGRAM - PLANNING REVENUES BY SOURCES**  
**FY 2010-2011 BUDGET**

1 **PLANNING REVENUES:** 721600/721700/721750

SOURCES	FY10-11 APPROVED 3/4/2010	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
<b>REVENUES:</b>				
TDA Planning	374,971	374,971	0 -	
Rural Planning Assistance (RPA)	315,000	315,000	0	
STIP for Planning (PPM)	150,000	331,501	181,501	- Additional state funds for planning, programming and monitoring & FY 09-10 carryover
Transit Professional Development Grant - from AMBAG	0	0	0	
RSTP Exchange - Eco Act & Comm Trafc Safty Coal	40,000	175,947	135,947	- Carryover from FY 09-10 and new funds programmed for FY 10-11
CMAQ - Ecology Action	0	0	0	
FHWA - Earmark	360,000	380,000	20,000	- Carryover from FY 09-10
FHWA - Planning (PL) - from AMBAG	228,231	228,231	0	
Section 5317 - from AMBAG	0	0	0	
Env. Justice Context-Sensitive Planning Grant	34,000	34,000	0	
Agricultural Worker Transportation Program	0	0	0	
Coastal Conservancy		250,000	250,000	- New funds secured for MBSST Network planning
AB2766/Air District Funds:				
Bike Secure - RTC	20,000	29,000	9,000	- Carryover from FY 09-10
Folding Bikes on Bus Program - Ecology Action	13,000	0	-13,000	- Remaining funds forfeited by Ecology Action
Emergency Ride Home - Ecology Action	20,000	3,738	-16,262	- Carryover from FY 09-10 lower than anticipated
Climate change in RTP	0	0	0	
RTC Funds Budgeted	98,108	62,090	-36,018	- Overall carryover funds from FY 09-10 greater than previously estimated
<b>TOTAL REVENUES</b>	<b>1,653,310</b>	<b>2,184,478</b>	<b>531,168</b>	

**Note:**

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
OPERATING BUDGET BY PROGRAM - PLANNING EXPENDITURES  
FY 2010-2011 BUDGET**

1 **PLANNING EXPENDITURES:** 721600/721700/721750

EXPENDITURES	WORK ELEMENT NUMBER	FY10-11 ADOPTED 3/4/2010	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
<b>EXPENDITURES:</b>					
<b>Staff &amp; Overhead by Program</b>					
Plan Coordination	112	97,092	97,092	0	
Land Use/Transportation Coordination	411	35,020	35,020	0	
Work Program	101	46,000	46,000	0	
Public Information	113	115,000	115,000	0	
Bicycle/Pedestrian Planning	614	77,645	32,625	-45,020	- Line item divided into more specific work products
Bike Secure			5,020	5,020	- Previously under Bicycle/Pedestrian Planning
Bike Signage Plan			40,000	40,000	- Previously under Bicycle/Pedestrian Planning
Monterey Bay Sanctuary Scenic Trail (MBSST) Network	614	50,000	50,000	0	
Specialized Transportation	621	77,000	43,000	-34,000	- Line item divided into more specific work products
Pedestrian Improvement to Transit Plan			34,000	34,000	- Previously under Specialized transportation
Regional Transportation Plan	622	85,968	126,078	40,110	- Significant RTP update needed to incorporate state SB375 requirements
Traffic Monitoring	231	16,210	21,000	4,790	- For RTP update needs
TSM	631	11,472	11,472	0	
Transportation Improvement Program (TIP)	641	199,000	214,500	15,500	- Based on past expenditure for work item
Hwy & Roadway Planning	683	96,000	96,000	0	
<i>Subtotal Staff and Overhead</i>		<b>906,407</b>	<b>966,807</b>	<b>60,400</b>	
<b>Services &amp; Supplies</b>					
<u>Passthrough Programs</u>					
Folding Bikes on Bus Program (Ecology Action)	614	13,000	-	-13,000	- Remaining funds forfeited by Ecology Action
Bike To Work Program (Ecology Action)	614	34,427	34,427	0	
Bike & Ped Safety (Community Traffic Safety Coalition)	614	86,064	86,064	0	
South County Bike/Ped Safety (Comm Traffic Safety Coal)	614	-	69,852	69,852	- Carryover from FY 09-10 and new funds programmed for FY 10-11
Go Green Campaign (Ecology Action)		-	66,095	66,095	- Carryover from FY 09-10 and new funds programmed for FY 10-11
Emergency Ride Home (Ecology Action)		20,000	3,738	-16,262	- Carryover lower than estimated
<u>Professional Services (contracts)</u>					
Sacramento Assistant	112	36,000	36,000	0	
Washington Assistant	112	44,600	44,600	0	
Transportation Funding Development Consultants	622	2,356	2,356	0	
Engineering and Other Technical Consultants	641	25,000	40,000	15,000	- Carryover from FY 09-10
Regional Transportation Plan EIR		-	-	0	
MBSST Network Master Plan Consultant		300,000	550,000	250,000	- New funds secured from Coastal Conservancy
<u>RTC Work Element Related Items</u>					
Bike Secure Program	614	25,000	30,660	5,660	- Carryover from FY 09-10
Traffic Monitoring services	231	6,500	25,000	18,500	- New counts and other data needed for RTP and traffic model update
Printing RTP, RTIP, other Documents and Pub Info Materials	622	25,000	25,000	0	
MBSST Network Plan Outreach	614	30,000	30,000	0	
Transfer to TDA Reserve	683	-	71,750	71,750	- To maintain minimum 3% reserve and for additional allocation to TDA recipients
Transfer to Special Allocation for Community Bridges		-	-	0	
Transfer to Rail/Trail Authority	682	98,956	102,129	3,173	- To cover lower carryover than previously estimated
<i>Subtotal Services &amp; Supplies</i>		<b>746,903</b>	<b>1,217,671</b>	<b>470,768</b>	
<b>TOTAL EXPENDITURES</b>		<b>1,653,310</b>	<b>2,184,478</b>	<b>531,168</b>	

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
OPERATING BUDGET BY PROGRAM - PLANNING FUND SOURCE DETAIL  
FY 2010-2011 BUDGET**

PLANNING DETAIL: 721600/721700/721750

1	2	3	4	5	6	7	8	9	10	11	12	13	
	EXPENDITURES	WORK ELEMENT NUMBER	FY10-11 PROPOSED 10/14/10	TDA	RTC FUND	RPA	FHWA PL - AMBAG	AB2766	STIP	FHWA Earmark	Env Just Plng Grant	Coastal Conserv	RSTPX
4	<b>SALARIES, Benefits &amp; Overhead</b>												
5	Plan Coordination	112	97,092	1,895	-	95,197							
6	Land Use/Transportation Coordination	411	35,020	-	-		35,020						
7	Work Program	101	46,000	-	-		46,000						
8	Public Information	113	115,000	-	-	10,789	104,211						
9	Bicycle/Pedestrian Planning	614	32,625	-	-	32,625							
10	Bike Secure	614	5,020	5,020	-								
11	Bike Signage Plan	614	40,000	-	-								40,000
12	Monterey Bay Sanctuary Scenic Trail (MBSST) Network	614	50,000	-	-					50,000			
13	Specialized Transportation	621	43,000	-	-		43,000						
14	Pedestrian Improvement to Transit Plan		34,000	-	-						34,000		
15	Regional Transportation Plan	622	126,078	-	-	68,917		-	57,161				
16	Traffic Monitoring	231	21,000	-	-				21,000				
17	TSM	631	11,472	-	-	11,472							
18	Transportation Improvement Program (TIP)	641	214,500	-	-				214,500				
19	Hwy & Roadway Planning	683	96,000	-	-	96,000							
20	<i>Salaries, Benefits &amp; Overhead Subtotal</i>		<b>966,807</b>	<b>6,915</b>	<b>-</b>	<b>315,000</b>	<b>228,231</b>	<b>-</b>	<b>292,661</b>	<b>50,000</b>	<b>34,000</b>		<b>40,000</b>
21													
22	<b>Services &amp; Supplies</b>												
23	<u>Passthrough Programs</u>												
24	Folding Bikes on Bus Program (Ecology Action)	614	-	-	-			-					
25	Bike To Work Program (Ecology Action)	614	34,427	34,427	-								
26	Bike & Ped Safety (Community Traffic Safety Coalition)	614	86,064	86,064	-								
27	South County Bike/Ped Safety (Comm Traffic Safety Coal)	614	69,852		-								69,852
28	Go Green Campaign (Ecology Action)		66,095		-								66,095
29	Emergency Ride Home (Ecology Action)		3,738		-			3,738					
30													
31	<u>Professional Services</u>												
32	Sacramento Assistant	112	36,000	36,000	-								
33	Washington Assistant	112	44,600	44,600	-								
34	Transportation Funding Development Consultants	622	2,356	2,356	-								
35	Engineering and Other Technical Consultants	641	40,000	25,000	15,000								
36	Regional Transportation Plan EIR		-	-	-								
37	MBSST Network Master Plan Consultant	614	550,000		-					300,000		250,000	
38	(Master Plan, environmental, review, preliminary design)												
39													
40	<u>RTC Work Element Related Items:</u>												
41	Bike Secure Program	614	30,660	1,660	-			29,000					
42	Traffic Monitoring services	231	25,000		1,500				23,500				
43	Printing RTP, RTIP, other Documents and Pub Info Materials	622	25,000	15,000	-				10,000				
44	MBSST Network Plan Outreach	614	30,000	-	-					30,000			
45	Transfer to TDA Reserve		71,750	70,000	1,750								
46	Transfer to Special Allocation for Community Bridges		-	-	-								
47	Transfer to Rail/Trail Authority	682	102,129	52,949	43,840				5,340				
48	<i>Subtotal Services &amp; Supplies</i>		<b>1,217,671</b>	<b>368,056</b>	<b>62,090</b>	<b>-</b>	<b>-</b>	<b>32,738</b>	<b>38,840</b>	<b>330,000</b>	<b>-</b>	<b>250,000</b>	<b>135,947</b>
49													
50	<b>TOTAL EXPENDITURES</b>		<b>2,184,478</b>	<b>374,971</b>	<b>62,090</b>	<b>315,000</b>	<b>228,231</b>	<b>32,738</b>	<b>331,501</b>	<b>380,000</b>	<b>34,000</b>	<b>250,000</b>	<b>175,947</b>

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
OPERATING BUDGET BY PROGRAM - STP EXCHANGE PROGRAM  
FY 2010-2011 BUDGET**

**1 RSTP EXCHANGE PROGRAM: 722000**

2 3 4	WORK ELEMENT #101	FY10-11 APPROVED 3/4/2010	FY10-11 PROPOSED 10/14/10	DIFFERENCE	NOTE
5	<b>REVENUES:</b>				
6	State RSTP Exchange Funds	-	2,695,352	2,695,352	- Newly available RSTP Exchange funds from Caltrans
7	Interest	-	40,000	40,000	- Estimated interest earned
8	RSTP Exchange Funds Budgeted - Carryover	-	5,066,520	5,066,520	- Carryover from FY 09-10. Includes cost savings from prior projects.
9	<b>TOTAL REVENUES</b>	-	<b>7,801,872</b>	<b>7,801,872</b>	
10					
11	<b>EXPENDITURES:</b>				
12	<b>City of Capitola</b>				
13	Clares Street Traffic Calming	-	100,000	100,000	- Carryover and \$100,000 to Capitola Rd Calming - approved 5/6/10
14	38th Avenue Rehabilitation	-	438,000	438,000	- Carryover from FY 09-10
15	Capitola Road Traffic Calming/Improvement	-	368,000	368,000	- Carryover and \$100,000 from Clares Street Calming - approved 5/6/10
16	<b>City of Capitola Subtotal</b>	-	<b>906,000</b>	<b>906,000</b>	
17					
18	<b>City of Santa Cruz</b>				
19	Broadway-Brommer Bike/Ped Path	-	62,000	62,000	- New exchange funds for FY 10-11
20	West Cliff Dr. Rehab (Swanton to Almar)	-	97,924	97,924	- Carryover from FY 09-10
21	<b>City of Santa Cruz Subtotal</b>	-	<b>159,924</b>	<b>159,924</b>	
22					
23	<b>City of Scotts Valley</b>				
24	Whispering Pines Sidewalk	-	275,000	275,000	- Carryover from FY 09-10
25	Bean Creek Road Sidewalks	-	75,000	75,000	- Carryover from FY 09-10
26	<b>City of Scotts Valley Subtotal</b>	-	<b>350,000</b>	<b>350,000</b>	
27					
28	<b>City of Watsonville</b>				
29	Freedom Blvd Rehab (High - Broadis)	-	751,000	751,000	- Carryover from FY 09-10
30	<b>City of Watsonville Subtotal</b>	-	<b>751,000</b>	<b>751,000</b>	
31					
32	<b>County of Santa Cruz</b>				
33	Corralitos Road Left Turn	-	278,000	278,000	- Using San Andreas Rd Rehab cost savings carried over from FY 09-10
34	Green Valley Rd/Holohan Dr/Airport Blvd Intersection	-	810,000	810,000	- Carryover from FY 09-10 and new funds from Green Valley Road Rehab cost savings
35	State Park Drive Improvement	-	587,000	587,000	- Carryover from FY 09-10
36	Calabasas Road Bike/Pedestrian	-	250,000	250,000	- Carryover. \$450,000 changed to STIP TE funds - approved 5/6/10
37	Graham Hill Road Safety Project	-	160,707	160,707	- Carryover from FY 09-10
38	Lump Sum Road Repairs (ARRA2)	-	148,000	148,000	- Carryover from FY 09-10 - approved on 5/6/10
39	Davenport Road Repairs	-	44,889	44,889	- Using Bonny Doon Rd FY 09-10 cost savings
40	<b>County of Santa Cruz Subtotal</b>	-	<b>2,278,596</b>	<b>2,278,596</b>	
41					
42	<b>County Health Services</b>				
43	South County Based Community Traffic Safety Coalition	-	50,000	50,000	- New exchange funds for FY 10-11
44	<b>CTSC Subtotal</b>	-	<b>50,000</b>	<b>50,000</b>	
45					
46	<b>SCCRTC</b>				
47	Go Green-Ecology Action Program	-	60,000	60,000	- New exchange funds for FY 10-11
48	Park and Ride Lot Program	-	160,000	160,000	- \$130,000 new and \$30,000 carryover
49	Bike Route Signage	-	100,000	100,000	- \$75,000 new and \$25,000 carryover
50	Highway 1 Soquel-Morrissey Auxiliary Lanes	-	326,000	326,000	- Carryover from FY 09-10
51	Highway 1 HOV Lanes	-	1,450,000	1,450,000	- Change from STIP to RSTPX
52	<b>SCCRTC Subtotal</b>	-	<b>2,096,000</b>	<b>2,096,000</b>	
53					
54	<b>Unappropriated Revenues:</b>				
55	<b>Unprogrammed Funds</b>		1,210,352	1,210,352	
56	<b>TOTAL EXPENDITURES</b>	-	<b>7,801,872</b>	<b>7,801,872</b>	

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Note:

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
FUND BALANCES AND RESERVES  
FY 2010-2011 BUDGET**

1

2 **FUND BALANCES & RESERVES: 10/14/10**

3 4 5 6	DESCRIPTION	TDA FUND (1)	RTC FUND (2)	RIDESHARE	RAIL/TRAIL AUTHORITY FUND	HIGHWAY ONE PA/ED FUND	HWY 1 CONSTR FUND	SAFE OPERATING FUND	FSP FUND	RSTP EXCHANGE FUND	STA (3) FUND	TOTAL ALL FUNDS
7	<b>Fund Balance 7-01-10</b>	1,849,957	465,807		316,872	1,280,443	304,505	541,043	93,682	7,760,956	2,802,101	15,415,366
8	Reserves budgeted	-	(247,051)									
9	Budgeted Carryover 09/10 (4)	(1,667,332)			(316,872)	(1,280,443)	(304,505)	(48,800)	-	(7,761,872)	(2,801,550)	(14,181,374)
10	From RTC Funds	14,836										
11	Adjustment								-			-
12												
13												
14	<b>Available Reserve Fund Balance</b>	<b>197,461</b>	<b>218,756</b>									<b>1,233,992</b>
15	Target for Reserves - 8%	(527,003)	(224,115)									(751,118)
16												
17	<b>Surplus &lt;Deficit&gt; from Target</b>	<b>(329,542)</b>	<b>(5,359)</b>	-	-	-	-	<b>492,243</b>	<b>93,682</b>	<b>(916)</b>	<b>551</b>	<b>482,874</b>
18												-
19	Unappropriated Revenues			11,571	-	-	-	10,781	107,209	1,210,352		1,339,913
20												
21	<b>Total Fund Balance</b>	<b>(329,542)</b>	<b>(5,359)</b>	<b>11,571</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>503,024</b>	<b>200,891</b>	<b>1,209,436</b>	<b>551</b>	<b>1,822,787</b>

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24 **Notes:**

25 - Numbers in parentheses are negative numbers. All other numbers are positive numbers.

26 - Funds within each category (column) are restricted for use on projects/programs within that category.

27 - **Fund Balance (7-01-10)** = Balances of funds not used at the end of prior fiscal year.

28 - **Budgeted Carryover/New** = Portion of Fund Balance used in current fiscal year budget.

29 - Target for Reserves = Minimum Fund Balance recommended to cover potential revenue shortfalls. For TDA and RTC Funds see notes 1 & 2 below

30 - **Unappropriated Revenues** = Amount of revenues designated for specific projects/programs that likely will not be expended in FY10/11, but will be needed in future years.

31

32

33 (1) 8% reserve established in RTC Rules and Regulations not met due to continuing use of reserve funds; 3% minimum maintained thanks to funds returned by RTC

34 (2) 8% reserve target consistent with TDA reserve fund; 7.8% available

35 (3) This is a pass-through fund, all receipts are paid to Santa Cruz Metro.

36 (4) Includes combination of budgeted carryover and budgeted new for RTC Fund

37

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
STAFF POSITIONS  
FY 2010-2011 BUDGET**

1				
2		FY10-11	FY10-11	
3	<b>AUTHORIZED</b>	<b>APPROVED</b>	<b>PROPOSED</b>	<b>DIFFERENCE</b>
4	<b>STAFF POSITIONS:</b>	<b>3/4/2010</b>	<b>10/14/10</b>	<b>NOTE</b>
5				
6	<b>POSITIONS</b>	<b>FTE</b>	<b>FTE</b>	<b>FTE</b>
7				
8	Executive Director	1.00	1.00	0.00
9	Deputy Director	1.00	1.00	0.00
10	Fiscal Officer SCCRTC	1.00	1.00	0.00
11	Administrative Services Officer	1.00	1.00	0.00
12	Transportation Planner I-IV	8.00	8.00	0.00
13	Accounting Technician	0.50	0.50	0.00
14	Administrative Assistant I-III	1.75	1.75	0.00
15	Transportation Planning Technician	2.00	2.00	0.00
16	Paid Intern	0.50	0.50	0.00
17				
18	<b>TOTAL POSITIONS</b>	<b>16.75</b>	<b>16.75</b>	<b>0.00</b>
19				
20				
21				
22		FY10-11	FY10-11	
23	<b>BUDGETED</b>	<b>APPROVED</b>	<b>PROPOSED</b>	<b>DIFFERENCE</b>
24	<b>STAFF POSITIONS:</b>	<b>3/4/2010</b>	<b>10/14/10</b>	<b>NOTE</b>
25				
26	<b>POSITIONS</b>	<b>FTE</b>	<b>FTE</b>	<b>FTE</b>
27				
28	Executive Director	1.00	1.00	0.00
29	Deputy Director	1.00	1.00	0.00
30	Fiscal Officer SCCRTC	1.00	1.00	0.00
31	Administrative Services Officer	1.00	1.00	0.00
32	Transportation Planner I-IV	6.00	6.50	0.50 - Significant RTP update to include SB375 requirements
33	Accounting Technician	0.50	0.50	0.00
34	Administrative Assistant I-III	1.75	1.75	0.00
35	Transportation Planning Technician	2.00	2.00	0.00
36	Paid Intern	0.00	0.00	0.00
37				
38	<b>TOTAL POSITIONS</b>	<b>14.25</b>	<b>14.75</b>	<b>0.50</b>

Note: FTE= full-time equivalent

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
FY 2010-2011 BUDGET**

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**TDA ALLOCATION BALANCES**

CLAIMANTS	FY10-11 PROPOSED 10/14/10	UNSPENT PRIOR ALLOCATIONS	ADJUSTMENT	AVAILABLE FUNDS 10/14/10
<b>SCRTC</b>				
TDA Administration	431,995			431,995
TDA Planning	374,971			374,971
<b>Subtotal</b>	<b>806,966</b>	-		<b>806,966</b>
SCMTD	5,001,737			5,001,737
Specialized Transit	492,645			492,645
Volunteer Center	58,648		(6,426)	52,222
City of Capitola	11,681	91,703		103,384
City of Santa Cruz - Non Transit	68,365	412,398		480,762
City of Scotts Valley	13,634	94,315		107,949
City of Watsonville	60,185	104,738		164,923
County of Santa Cruz	157,926	964,179		1,122,105
<b>TOTAL</b>	<b>6,671,787</b>	<b>1,667,332</b>	<b>(6,426)</b>	<b>8,332,693</b>

- Prior year over payment

**Note:** Allocations for the Cities and the County use the most recent population figures from the Department of Finance