

General Compliance Reporting:

Confirm completion of the following Measure D Agreement requirements during the reporting year. The RTC shall utilize information from Recipient on expenditures to prepare a comprehensive report to the public on the expenditure of Measure D revenues.

Recipient - Update all highlighted fields on 3 tabs. Reporting Requirements (Articles from the Master Fund Agreement are referenced as comments)

REPORTING YEAR: **FY18/19**

Recipient Agency **City of Capitola**

| Public Information/ Outreach | Date | Documentation (ex. copy of resolution, minutes, notices, articles, webpage, signage, etc) - <i>please attach.</i> | Comments, additional info. If applicable, briefly explain why publicity requirement was not completed |
|--|------------|---|---|
| Date 5-Year Plan Adopted | 6/8/2017 | Resolution | part of overall budget |
| Date of 5-Year Plan Public Hearing | 6/8/2017 | Staff report | part of overall budget |
| Date of annual audit of Measure D Expenditures | 12/12/2019 | audit | attached |
| News Article | ongoing | webpage | http://www.cityofcapitola.org/cityadministration/page/capitola-social-media |
| Website with info on Meas. D projects | ongoing | webpage | http://www.cityofcapitola.org/publicworks/page/streets |
| Map of Measure D-funded Projects | ongoing | webpage | http://www.cityofcapitola.org/publicworks/page/streets |
| Photos (before/after) | ongoing | Instagram | http://www.cityofcapitola.org/cityadministration/page/capitola-social-media |
| Signage | ongoing | Instagram | http://www.cityofcapitola.org/cityadministration/page/capitola-social-media |
| Describe any other public outreach conducted during the reporting year about Measure D | | | |

Maintenance of Effort

| | | | |
|--|--------------|---|------------------------|
| Maintenance of Effort BASELINE (should match MOE report submitted to RTC in 2017) | \$ 1,005,819 | Pre-Measure D Baseline of average of locally-generated revenues (ex. general funds, sales and property taxes and other fees) and expenditures for transportation purposes in the three to five fiscal years prior to 2017 (the start of Measure D allocations to recipient agencies) = FY16/17, FY15/16, FY14/15, and optionally FY13/14 and FY12/13. | |
| FY17/18 LOCAL funds spent on multimodal local road repairs & maintenance (exclude HUTA, formula state & fed funds, special grants) | \$ 1,166,141 | City General Fund | part of overall budget |
| FY18/19 LOCAL funds spent on multimodal local road repairs & maintenance (exclude HUTA, formula state & fed funds) | \$ 1,453,293 | Locally-generated funds beyond HUTA or Measure D (ex. general funds, city tax, developer fees, etc) | |
| If the most recent fiscal year's expenditures of local funds were lower than the baseline amount, explain why. (e.g. emergencies, extraordinary event - fires, etc) Recipient agencies have up to three fiscal years to meet the adjusted minimum local revenue expenditure requirement. | NA | | |
| Does your agency have an indirect cost allocation plan? | Yes | If "no", Measure D funds cannot be used for indirect costs. | |
| Cities/County Only: Does your agency have an adopted Complete Streets policy? | No | If "yes" - when was it adopted? | |

Contacts

Administrative officer or designated staff available to report on or answer any and all inquiries in regard to Recipient's receipt, usage, and/or compliance audit findings regarding Measure D funds before the RTC and/or the Independent Oversight Committee or RTC advisory committees, as applicable.

| Name | Title/Measure D tasks | Phone/email |
|------------------|---|-------------|
| Jim Malberg | Finance Director: Audits and Accounting | |
| Kailash Mozumder | Project development and implementation | |
| Steve Jesberg | Public Works Director | |

MEASURE D EXPENDITURE REPORT

| Agency | <i>Beginning of Year Fund Balance</i> | FY 16/17-17/18 Measure D Received <small>(4/1/17-6/30/18)</small> | FY 18/19 Measure D Received <small>(7/1/18-6/30/19)</small> | Interest Earned on Measure D funds FY16/17-FY18/19 | Measure D Expended <small>(7/1/18-6/30/19)</small> | End of Year Fund Balance/ Carryover to be Spent in Future Years |
|------------------|---------------------------------------|---|---|---|--|--|
| City of Capitola | \$ 217,615.00 | \$ 323,565.04 | \$ 330,161.00 | \$ 11,345.77 | \$ 453,956.83 | \$ 211,114.98 |

| DETAILED SUMMARY OF EXPENDITURES AND ACCOMPLISHMENTS. | | | | | | <i>(expenditures -FY16/17-18/19)</i> | | | | Consistency with 5-year plan | | |
|--|-------------------------------|---------------------------|---|--|--|--|---|--|--|--------------------------------------|--|---|
| # | Project Name | Total Project Cost | Prior year(s) Measure D Expenditures on this project | FY18/19 Measure D Expenditures (actual spent) | (Est) Future Year(s) Measure D Expenditures | Measure D-funded Capital Costs (expenditures - FY16/17-18/19) | Measure D-funded Non-capital <small>(non-infrastructure, outreach, admin, etc) -</small> | Measure D-funded indirect costs | Leveraged Funds <small>(grants & other funds secured, which used Meas. D as match)</small> | Leveraged Fund Source Name(s) | Project in approved 5-year plan? (yes/no) | If not in 5-year plan or different amount or scope, why different? |
| 1 | Hwy 1 Enhanced Bike Lanes | \$ 148,645.00 | \$ 63,276.83 | \$ - | \$ - | \$ 63,276.83 | \$ - | \$ - | \$ - | | Yes | |
| 2 | 2017-18 City-wide Slurry Seal | \$ 380,967.00 | \$ 45,325.00 | \$ 301,407.59 | \$ - | \$ 346,732.59 | \$ - | \$ - | \$ - | | Yes | |
| 3 | Brommer St. Construction | \$ 770,000.00 | \$ - | \$ 43,947.00 | \$ - | \$ 43,947.00 | \$ - | \$ - | \$ - | | Yes | |
| | Total | | \$ 108,601.83 | \$ 345,354.59 | \$ - | \$ 453,956.42 | \$ - | \$ - | \$ - | | | |

Agency

City of Capitola

| DETAILED SUMMARY OF EXPENDITURES AND | | Project Information Continued | | | | Project Status/Timeline | | | |
|--------------------------------------|-------------------------------|---|--|---|---|-------------------------|---|-----------------------|--|
| # | Project Name | Location/Limits | Description <i>(may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)</i> | Project Category <i>(Drop-down Menu)</i> | Primary Project Type <i>(Drop-down Menu)</i> | Project Status | CEQA compliance <i>(List type of document & certification date - e.x. CE, 5/1/18)</i> | Construction schedule | Completion Date <i>(actual or estimate)</i> |
| 1 | Hwy 1 Enhanced Bike Lanes | all intersections in city limits near HWY 1 | installed green bike lanes | Bike only | Bikeways (non-Class I) | Complete | exempt | 8/1/17-10/13/17 | 10/13/2017 |
| 2 | 2017-18 City-wide Slurry Seal | 46th Avenue (Capitola Rd to Clares St), Wharf Rd (Clares Street to Hwy 1), Prospect Ave (49th Ave to Lincoln), Capitola Ave (Bay Ave to Hwy 1), Plum St (Capitola Ave to Rosedale Ave), Pine St (Capitola Ave to Rosedale Ave), Beverly Ave (Capitola Ave to Burlingame Ave), Burlingame Ave (Beulah Dr to Bay Ave), Del Monte Ave (Pilgrim Dr to Bay Ave), Pilgrim Dr (Burlingame Ave to Bay Ave) | Type 2 Slurry Seal, including restriping of existing crosswalks and bike lanes | Road Rehab | Street Resurfacing/Maintenance | Complete | exempt | 7/1/2017-6/30/2018 | 6/30/2018 |
| 3 | Brommer St. Construction | Brommer St from City Limits (approx 30th Ave) to 41st Ave | Complete street improvements to improve access for vehicles, bikes, and pedestrians. Pavement reconstruction, install ADA driveways and sidewalks, and reconfigure eastbound approach to 41st Ave. for vehicle access. | Road Rehab | Street Resurfacing/Maintenance | In-progress | exempt | Summer 2020 | Fall 2020 |

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| DETAILED SUMMARY OF EXPENDITURES AND | | Project Costs by Mode - estimate percent of project by mode | | | | | | | | | Additional Metrics - if applicable | | | | Other | |
|--------------------------------------|-------------------------------|---|---|-----------------|--------|-------|-----------|----------------|--|-------|------------------------------------|---------------------------------------|--|---|---|---|
| # | Project Name | % System Preservation | % Roadway <small>(on local roads, non-sys. preservation - ex. traffic circulation, intersections, highway, etc.)</small> | % State Highway | % Bike | % Ped | % Transit | % Para-transit | % other (e.g. airport, planning, TSM, etc) | % SUM | New Bike Lane Miles | New Pedestrian Path or Sidewalk Miles | Miles of pavement rehab/repair (pothole, seals, etc) | Rehab of bikeways, sidewalks, or ADA ramps included? <small>(describe)</small> | If Complete Streets not incorporated into project, why not? | Other Notes or Information <small>(optional)</small> |
| 1 | Hwy 1 Enhanced Bike Lanes | N/A | 0% | 0% | 100% | 0% | 0% | 0% | 0% | 100% | 0.25 | 0 | 0 | Yes- upgrade of bike lanes | NA | |
| 2 | 2017-18 City-wide Slurry Seal | 100% | 90% | 0% | 10% | 0% | 0% | 0% | 0% | 100% | 0 | 0 | 3 | No | N/A | |
| 3 | Brommer St. Construction | | 85% | 0% | 10% | 5% | 0% | 0% | 0% | 100% | 0.2 | 0.06 | 0.2 | Yes- upgrade of bike lanes, ADA driveway approaches | N/A | |
| | | 100% | 58% | 0% | 40% | 2% | 0% | 0% | 0% | | 0.45 | 0.06 | 3.20 | | | |