

MEASURE D EXPENDITURE REPORT

List how Measure D funds were expended. While backup documentation (e.g. invoices from contractors, payroll, etc) do not need to be submitted with this report, all Measure D funds must included in recipient agency's fiscal audit and projects will be randomly selected for more detailed review. Agencies should retain records documenting Measure D expenditures for at least 3 years on each project.

Agency	Measure D Category	FY 16/17 Measure D Revenues (4/1/17-6/30/17)	FY 17/18 Measure D Revenues (7/1/17-6/30/18)	FY 18/19 Measure D Revenues (7/1/18-6/30/19)	Interest Earned on Measure D funds	Measure D Expended (4/1/17-6/30/19)	End of Year Fund Balance/ Carryover to be Spent in Future Years	Anticipated FY19/20 Interest
Santa Cruz County Regional Transportation Commission (RTC)	Rail Corridor	\$ 64,497	\$ 1,614,893	\$1,715,356	\$40,513	\$ 642,324.00	\$ 4,459,945	\$ 44,599

Project Name	Total Project Cost	FY16/17 Measure D Expenditures on this project	FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures	(Est) Future Year(s) Measure D Expenditures	Measure D-funded Capital Costs	Measure D-funded Non-capital (non-infrastructure, outreach, admin)	Measure D-funded indirect costs (and overhead)	Leveraged Fund Source Name(s)
Rail corridor management - salaries and benefits	\$200k-\$300k/year	\$ -	\$ 141,103.00	\$ 162,194.00	\$200k-\$300k/year		\$ 141,103.00	\$ 84,589.22	na
Unified Corridor Investment Study - Analysis of both rail transit and non-rail options for the corridor: FY17/18=consultant contract	\$ 1,200,000	\$ -	\$ 501,221.00	\$ 241,318.30	\$ -		\$ 241,318.30	#####	Caltrans grants
2017 Storm Damage Repair & Cleanup	\$ 3,650,000	\$ -	\$ -	\$ 143,791.58	\$ 406,208.42	\$ 143,791.58			FEMA
Rail Agreement Lawsuit	\$ 200,000	\$ -	\$ -	\$ 145,056.74	\$ 154,943.26		\$ 145,056.74		
Track infrastructure and signage maintenance and repairs	\$150-175k/year	\$ -	\$ -	\$ 347,290.72	\$1,233,200.28	\$ 347,290.72			
Railroad Bridge analysis	\$ 450,000	\$ -	\$ -	\$ 152,779.66	\$ -	\$ 152,779.66			
Total		\$0	\$ 642,324.00	\$ 1,192,431.00		\$ 643,861.96	\$ 527,478.04	\$206,351.94	

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Agency
Santa Cruz County Regional Transportation Commission (RTC)

	Consistency	Project Information Continued			
Project Name	Project in approved 5-year plan?	Location/Limits	Description <i>(may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)</i>	Project Category <i>(Drop-down Menu)</i>	Primary Project Type <i>(Drop-down Menu)</i>
Rail corridor management - salaries and benefits	Yes	Santa Cruz Branch Rail line	RTC staff time for ongoing corridor management. Includes right-of-entry agreements, coordination with railroad, etc.	Rail	planning
Unified Corridor Investment Study - Analysis of both rail transit and non-rail options for the corridor: FY17/18=consultant contract	Yes	Santa Cruz Branch Rail line	Staff and consultant analysis of potential uses of the rail corridor, including extensive public outreach, modeling, environmental and economic analysis.	Rail	planning
2017 Storm Damage Repair & Cleanup	Yes	Santa Cruz Branch Rail line	Repair and cleanup of damage resulting from the 2017 winter storms including one washout, minor slides and various downed or compromised trees	Rail	Maintenance and Rehab
Rail Agreement Lawsuit	Yes	Santa Cruz Branch Rail line	Defense against CEQA lawsuit regarding the rail operator agreement	Rail	Other (describe in project description column)
Track infrastructure and signage maintenance and repairs	Yes	Santa Cruz Branch Rail line	On-going maintenance, repair and rehabilitation of railroad track infrastructure and signage	Rail	Maintenance and Rehab
Railroad Bridge analysis	Yes	Santa Cruz Branch Rail line	Updated engineering analysis of railroad bridges to complete bridge rehabilitation work outlined in engineering analysis completed in 2012	Rail	Maintenance and Rehab

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Project Name	Project Status/Timeline				Project Costs by Mode	
	Project Status	CEQA compliance <i>(List type of document & certification date - e.x. CE, 5/1/18)</i>	Construction schedule	Completion Date <i>(actual or estimate)</i>	% System Preservation	% Rail
Rail corridor management - salaries and benefits	Ongoing	na	Ongoing	ongoing	0%	100%
Unified Corridor Investment Study - Analysis of both rail transit and non-rail options for the corridor: FY17/18=consultant contract	Ongoing	na	Study completed 1/19	1/17/2019	0%	100%
2017 Storm Damage Repair & Cleanup	In-progress		2020/21	2020/21	100%	100%
Rail Agreement Lawsuit	In-progress		Completed	2018		100%
Track infrastructure and signage maintenance and repairs	Ongoing		Ongoing	Ongoing	100%	100%
Railroad Bridge analysis	Complete		Future	Future	100%	100%

General Compliance Reporting:

Confirm completion of the following Measure D Agreement requirements during the reporting year. The RTC shall utilize information from Recipient on expenditures to prepare a comprehensive report to the public on the expenditure of Measure D revenues.

Recipient - Update all highlighted fields on 3 tabs. Reporting Requirements (Articles from the Master Fund Agreement are referenced as comments)

REPORTING YEAR:	FY18/19
Recipient Agency	Santa Cruz County Regional Transportation Commission (RTC)
Measure D Category	Rail Corridor

Public Information/ Outreach Date	Documentation (ex. copy of resolution, minutes, notices, articles, webpage, signage, etc) - please attach.	Comments, additional info. If applicable, briefly explain why publicity requirement was not completed
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Date Initial 5-Year Plan Adopted	6/1/2017	Resolution	www.sccrtc.org/move
Date of 5-Year Plan Public Hearing	6/6/2019	Staff report- www.sccrtc.org/move	www.sccrtc.org/move
Date of annual audit of Measure D Expenditures	4/13/2020	audit	Completed
News Article	Several	Quarterly updates	www.sccrtc.org/move and RTC board packet
Website with info on Meas. D projects	ongoing	sccrtc.org/move	www.sccrtc.org/move
Map of Measure D-funded Projects	ongoing	Project fact sheets	www.sccrtc.org and project fact sheets
Photos (before/after)	ongoing	Project fact sheets	www.sccrtc.org and project fact sheets
Signage	NA	NA	Measure D logo on outreach materials, website, etc. Meas. D signs being created for corridor.
Describe any other public outreach conducted during the reporting year about Measure D	Notices about public hearing were sent to Measure D enews; news release after adoption; draft plans also reviewed by RTC Bicycle Committee, Elderly and Disabled Transportation Advisory Committee (E&D TAC) and the Interagency Technical Advisory Committee (ITAC)		

Maintenance of Effort

FY18/19 LOCAL funds spent on Rail Corridor (exclude formula state & fed funds, special grants)	\$ 219,889	Leases, transfer in from planning, other revenues, interest
Maintenance of Effort BASELINE	\$ 273,244	Leases, transfer in from planning, other revenues, interest
FY19/20 LOCAL (formula state & fed funds) funds BUDGETED on Measure D category projects	\$ 218,896	Leases, transfer in from TC funds.
If FY18/19 local expenditures were lower than the baseline amount, explain why.	Lease revenues lower than baseline - \$65k est. FY18/19; \$155k in FY16/17.	
Does your agency have an indirect cost allocation plan?	Yes	Approved by Caltrans
Cities/County Only: Does your agency have an adopted Complete Streets policy?	NA	NA

Contacts

Administrative officer or designated staff available to report on or answer any and all inquiries in regard to Recipient's receipt, usage, and/or compliance audit findings regarding Measure D funds before the RTC and/or the Independent Oversight Committee or RTC advisory committees, as applicable.

Name	Title/Measure D tasks	Phone/email
Tracy New	Fiscal Officer - fund tracking	460-3217
Luis Mendez	Deputy - general oversight	460-3212