



**Santa Cruz County
Regional Transportation Commission
Measure D Taxpayer Oversight Committee**



**AGENDA
Monday, March 29, 2021
6:00 p.m.
Location: ZOOM**

This meeting is being held in accordance with the Brown Act as it is currently in effect under the State Emergency Services Act, the Governor's Emergency Declaration related to COVID-19, and the Governor's Executive Order N-29-20, issued on March 17, 2020 which allows legislative bodies to meet by teleconference. The full executive order can be found [here](#).

Members of the public may not attend this meeting in person. Comments and questions may be shared with the Committee through teleconference audio in real time, or by prior written submission to info@sccrtc.org.

Join Zoom Meeting

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NOTE:

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Taxpayer Oversight Committee Members

Representing	Name
Supervisory District 1	Sandra Skees
Supervisory District 2	Michael Machado
Supervisory District 3	Phillip Hodsdon
Supervisory District 4	Jenny Sarmiento
Supervisory District 5	Andre Duurvoort

1. Call to Order

2. Introductions
3. Additions, deletions, or other changes to consent and regular agendas

REGULAR AGENDA

4. Fiscal Year 2019/2020 (FY19/20) Measure D Audits and Expenditure Reports – Part 2
(Tracy New, Fiscal and Rachel Moriconi, Planning)
 - a. Staff Report
 - b. Attachments
 1. Consolidated Measure D Expenditure Report Summary FY19/20
 2. Summary of Measure D revenues, expenditures, and balances
 3. FY19/20 Reports from recipient agencies, including Audited Financial Statements and Expenditure Reports (*Attachment 2 files and documents reviewed at the March 9, 2021 meeting are online at: <https://sccrtc.org/funding-planning/measured/taxpayer-oversight/>*)
 - a) City of Capitola
 - b) RTC/Regional Projects:
 - i. Highway Corridors
 - ii. Trail
 - iii. Rail
 - iv. Highway 17 Wildlife
 - v. Highway 9/San Lorenzo Valley (SLV)
5. Oversight Committee Annual Report
 - a. Verbal update and discussion
6. Committee Member and Staff Comments or Questions
7. Public Comment on Matters Not on the Agenda

Any member of the public may address the Committee on any item within the jurisdiction of the Committee that is not already on the agenda. At the discretion of the chair, the amount of time for oral communications may be limited. Committee members will not take action or respond immediately to any Oral Communications presented, but may choose to follow up at a later time, either individually, or on a subsequent Committee agenda. Speakers are requested to state their name clearly so that their names can be accurately recorded in the minutes of the meeting.

8. Next meetings – Discuss if a follow-up meeting is necessary and identify possible dates.
 9. Adjourn
-

HOW TO REACH THE RTC OR MEASURE D OVERSIGHT COMMITTEE:

*Santa Cruz County Regional Transportation Commission
1523 Pacific Avenue, Santa Cruz, CA 95060; phone: (831) 460-3200 / fax (831) 460-3215 / email: info@sccrtc.org / website: www.sccrtc.org*

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AGENDA: March 29, 2021

TO: Measure D Taxpayer Oversight Committee (TOC)

FROM: Tracy New, Director of Finance and Budgets and
Rachel Moriconi, Senior Transportation Planner

RE: Fiscal Year 2019/2020 Measure D Audits and Expenditure Reports –
Direct Allocation Recipients – PART 2

RECOMMENDATIONS

Staff recommends that the Measure D Taxpayer Oversight Committee review Measure D FY2019/20 annual reports, including expenditure reports and independent fiscal audits for the City of Capitola and Regional Investment Categories – Highway Corridors, Rail, Active Transportation/Trail, Highway 17 Wildlife Crossing, and Highway 9/San Lorenzo Valley (SLV) ([Attachment 3](#)), to ensure that expenditures conform to the Measure D Ordinance.

BACKGROUND

In November 2016, Santa Cruz County voters approved Measure D, a half-cent transaction and use tax (sales tax) for transportation. Each year, agencies receiving allocations of Measure D revenues prepare an expenditure report and independently audited financial statements showing Measure D funds spent in the prior fiscal year. The audits and expenditure reports should provide evidence that expenditures were made in accordance with the Measure D Ordinance and Expenditure Plan, as well as funding agreements with direct recipients.

Per Section 32C of the voter-approved Measure D Ordinance, the oversight committee is tasked with the following responsibilities:

1. Reviewing Expenditure Plan expenditures on an annual basis to ensure they conform to the Ordinance.
2. Reviewing the annual audit and report prepared by an independent auditor, describing how funds were spent.
3. Producing a publicly available Annual Report of oversight activities.

DISCUSSION

Agencies which receive Measure D revenues have prepared annual reports which include expenditure reports and audited financial statements showing how they spent Measure D allocations in Fiscal Year 2019/2020 (FY19/20). At the March 9, 2021 TOC meeting, the Committee reviewed FY19/20 reports from the Cities of Santa Cruz, Scotts Valley, and Watsonville, the County of Santa Cruz,

Santa Cruz METRO, and Community Bridge Lift Line. **The Committee will review audits and expenditure information for regional investment categories and Capitola at this meeting.** FY19/20 Measure D audits, expenditures report, and other supporting documents for all of the recipient agencies and are online at: <https://sccrtc.org/funding-planning/measured/taxpayer-oversight/>

FY19/20 Audits and Expenditure Reports

For FY19/20, the RTC allocated \$20,593,032.58 in net Measure D FY19/20 revenues to cities, the County, Santa Cruz METRO, Lift Line, and regional investment categories and projects, based on formulas set forth in the voter approved Measure D Ordinance and Expenditure Plan. Recipient agencies expended approximately \$13.5 million in Measure D revenues on projects and services in FY19/20. A consolidated list of expenditures in FY19/20 is included as Attachment 1.

In addition to projects discussed at the March 9, 2021 committee meeting, during FY19/20, Measure D funds helped fund project development and implementation of several regional and Capitola projects, including:

- a) Highway 1 environmental review, design, and right-of-way acquisition for auxiliary lanes, bus-on-shoulder facilities, and bicycle/pedestrian crossings
- b) Tow truck and California Highway Patrol (CHP) assistance to motorists and Cruz511 traveler information and services
- c) Monterey Bay Sanctuary Scenic Trail Network planning and corridor maintenance
- d) Rail Corridor repairs and transit alternatives analysis
- e) Highway 9/San Lorenzo Valley (SLV) corridor complete streets planning
- f) Brommer Complete Street Improvement Project and Park Avenue sidewalks in Capitola

A summary of revenues and balances is included in Attachment 2. Any balance of allocations not expended can be carried over for use up to five years after allocation. Funds are often carried forward to cover larger construction costs in future years.

The FY19/20 annual reports, including Measure D audits and expenditure reports, for RTC and Capitola are included as Attachment 3.

Compliance with Measure D

Through the audits, expenditure reports, and other documentation, Measure D recipient agencies have shown compliance with Measure D agreements, guidelines, and the Strategic Implementation Plan. This includes additional information requested by the Taxpayer Oversight Committee last year. Whereas the audited financials summarize total Measure D expenditures, the expenditure

reports breakout specific projects and services Measure D funds were spent on in FY19/20.

RTC staff reviewed the documents provided by recipient agencies and found that **the combination of independent audits, agency expenditure reports, and other information provided demonstrate that expenditures in FY19/20 were consistent with those allowed by the voter approved Measure D Ordinance and Expenditure Plan. There were no material findings in any of the independent FY19/20 Measure D audits.**

In accordance with Section 32C of the Measure D Ordinance, staff recommends that the Oversight Committee review the annual FY19/20 audits, expenditure reports, and annual updates describing how Measure D funds were spent to ensure expenditures conform to the Ordinance.

Based on committee review of the audits, expenditure reports, and associated annual documents, the Committee will produce an Annual Report of oversight activities. Highlights of FY19/20 financials are included in the *Management Discussion and Analysis* section of the RTC's Measure D audited financials.

SUMMARY

Measure D requires recipient agencies to annually submit audited financial statements and expenditure reports showing how Measure D funds were expended in the prior fiscal year. The oversight committee is responsible for reviewing and reporting on those documents. The committee reviewed reports from most recipient agencies at its March 9, 2021 meeting. At this meeting the committee will review audits for Capitola and regional projects.

Attachments:

1. Consolidated Measure D Expenditure Report - Summary FY19/20
2. Summary of Measure D revenues, expenditures, and balances
3. FY19/20 Audited Financial Statements and Expenditure Reports
 - a) City of Capitola
 - b) RTC for Regional Investment Categories and Projects:
Highway Corridors, Rail, Trail, Highway 17 Wildlife Crossing, and Highway 9/SLV

CONSOLIDATED MEASURE D EXPENDITURE REPORT - Fiscal Year 2019-2020

REGIONAL Investment Categories

SUMMARY OF EXPENDITURES AND ACCOMPLISHMENTS								
#	Project Name	FY19/20 Measure D Expenditures	Project Description/Location		Project Status/Timeline		Leveraged Funds, if any	
			Project Description	Location/Limits	Work Done in FY19/20	Construction or Implement schedule	Leveraged Fund Amounts (grants & other funds where Meas. D used as match)	Leveraged Fund Source(s) Name(s)
Highway Corridors								
1	Highway 1: Auxiliary Lanes and Bus on Shoulder from 41st to Soquel; Chanticleer Bike/Pedestrian Overcrossing	\$ 472,183.45	Freeway operational improvement, bus on shoulder improvements, rehab roadway and drainage, improve bicycle/pedestrian access over freeway.	Aux lanes: Highway 1 between 41st Ave to Soquel Dr/Ave interchanges; Bike/Ped Bridge: Chanticleer Ave over Hwy 1	Final design and right-of-way	2021 - pending funding availability	\$35,301,608	STIP, HIP, SCCP
2	Highway 1: Auxilliary Lanes & Bus on Shoulder from State Park to Bay-Porter, Reconstruction of Capitola Avenue Overcrossing and Bicycle/Pedestrian Overcrossing at Mar Vista Dr	\$ 490,943.97	Freeway operational improvement, bus on shoulder improvements, soundwalls and retaining walls, reconstruct Capitola Ave. overcrossing with sidewalks and bike lanes	Aux lanes: Highway 1 between State Park Dr and Bay/Porter interchanges; Bike/Ped Bridge: Mar Vista Dr over Hwy 1	Environmental review and preliminary design	Est. 2023	\$76,285,000	STIP, SB1 SCCP & LPP
3	Highway 1: Auxiliary Lanes & Bus on Shoulder from Freedom to State Park, and Reconstruction of two railroad bridges, and widening of the bridge over Aptos Creek/Spreckles Drive	\$ 171,770.71	Freeway operational improvement, bus on shoulder improvements, soundwalls and retaining walls, reconstruct 2 railroad bridges and widen bridge over Aptos Creek/Spreckles Drive	Aux lanes & Bus-on-shoulder: Highway 1 between Freedom Blvd and State Park interchanges; Bike/Ped Bridge/Rail bridges over Hwy 1 in Aptos	Initiated environmental review and preliminary design	Est. Fall 2024	\$1,190,000	SB 1 Local Partnership Program (LPP) -formula
4	Santa Cruz County Regional Conservation Investment Strategy	\$ 13,604.54	Match to Wildlife Conservation Board grant for early mitigation planning for transportation projects.	Countywide	Study development	ongoing	\$645,000	Prop 68-\$594k; RCD \$51k
5	Cruz 511-Traveler Information and Commute Manager	\$ 94,939.17	Ongoing system & demand management (TDM), includes Cruz511.org traveler information, carpool and other TDM programs	Countywide	Ongoing public info and assistance	ongoing	\$0	
6	Safe on 17	\$ 21,505.25	Ongoing system management program, involves increased CHP enforcement on Highway 17	Highway 17	CHP service	ongoing	\$0	
7	Freeway Service Patrol	\$ 75,139.68	Ongoing system management and congestion reducing program. Roving tow trucks removing incidents and obstructions during peak travel periods on Hwy 1 and Hwy 17	Hwy 1: Hwy 9-State Park; Hwy 17: Hwy 1-Summit	Roving tow trucks - hours	ongoing	\$0	
Hwy Corridors Total		\$ 1,340,086.77						
Rail Corridor								
1	2017 Storm Damage Repair & Cleanup	\$364,429.95	Repair and cleanup of damage resulting from the 2017 winter storms including one washout, minor slides and various downed or compromised trees	Staff time, project management support for delivery of storm damage repair projects.	design, environmental permitting, and project management	2020-2021	TBD	FEMA
2	Railroad Bridge Inspections & Analysis	\$16,241.88	Railroad Bridges are required to be inspected and load rated every 540 days per Federal Railroad Administration (FRA) requirements	Bridges along Santa Cruz Branch Rail Line	Inspections	Ongoing	\$0	TBD
3	Railroad Bridge Rehabilitation	\$562,323.29	Rehabilitation of railroad bridges consistent with analysis completed in 2012 and updated analysis proposed for 2018	Design for Phase 1 bridge repairs (5 bridges) began in 2020, emergency bridge repair (MP4.87) in FY19/20-20/21.	Design of 5 bridges and beginning of construction for Timber Trestle at MP4.87	2020-2022	\$600,000	RSTPX
4	Track infrastructure and signage maintenance and repairs	\$585,418.04	On-going maintenance, repair and rehabilitation of railroad track infrastructure and signage	Santa Cruz Branch Rail Line: Santa Cruz to Pajaro	General maintenance and repairs	Ongoing	\$467,500	RR operator
5	Transit Corridor Alternatives Analysis	\$622,395.81	Analysis of high-capacity transit uses on rail corridor including commuter rail, light rail, bus rapid transit.	Santa Cruz Branch Rail Line: Santa Cruz to Pajaro	Analysis of alternatives	2019-early 2021	\$100,000	CT planning grant
7	Santa Cruz County Regional Conservation Investment Strategy	\$4,353.44	Match to Wildlife Conservation Board grant for early mitigation planning for transportation projects.	Countywide	Study initiated	Plan: 2019-2022	under Hwy - \$645k	Prop 68-\$594k; RCD \$51k
Rail Corridor Total		\$2,155,162.41						

MEASURE D EXPENDITURE REPORT

			Project Description/Location		Project Status/Timeline		Leveraged Funds, if any	
#	Project Name	FY19/20 Measure D Expenditures	Project Description	Location/Limits	Work Done in FY19/20	Construction or Implement schedule	Leveraged Fund Amounts <i>(grants & other funds where Meas. D used as match)</i>	Leveraged Fund Source(s) Name(s)
Active Transportation/Trail								
1	North Coast Segment 5	\$ 429,853.91	EIR & design consultants, legal, Environmental Health Services & ROW; RTC project mgmt, oversight, outreach and technical assistance	Wilder Ranch to Davenport	Environmental review, design & right-of-way	est. spring 2021	\$10,300,000	FLAP, Land Trust, RSTPX, Coastal Conservancy
2	Segment 7: Oversight and technical assistance	\$ 105,971.93	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	Natural Bridges Dr to Wharf, City of Santa Cruz	Ongoing coordination and assistance	FY17/18	na	na
3	Segment 8: Oversight and technical assistance	\$ 384.40	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	Seg 8: San Lorenzo River trestle. City of Santa Cruz	Ongoing coordination and assistance	1/2/19-6/1/19	na	na
4	Segment 8/9: Oversight and technical assistance	\$ 11,156.84	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	SLR- 17th Ave	Ongoing coordination and assistance	FY18/19	na	na
5	Segment 10-11 Oversight and technical assistance	\$ 30,020.21	RTC project mgmt, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	17th Ave - 47th/Jade Street Park & Monterey Ave to State Park Drive	Initial analysis	FY19/20	na	na
6	Segment 18: Oversight and technical assistance	\$ 47,672.47	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	Lee Road to Walker, Watsonville	Ongoing coordination and assistance	FY17/18	na	na
7	Santa Cruz County Regional Conservation Investment Strategy ⁴	\$ 9,251.07	Match to Wildlife Conservation Board grant for early mitigation planning for transportation projects.	Rail line - entire length	Study analysis	2019	See Hwy above	Prop 68 & RCD
8	Ongoing RTC oversight, coordination, and assistance, including on development of future trail sections.	\$ 103,099.96	RTC: Surveys, soil investigations, Environmental Health (EHS), legal, stakeholder coordination, response to public comments, and development of future projects/grant applications	Rail line - entire length	Ongoing coordination and assistance	na	na	na
9	Corridor encroachments & maintenance	\$ 424,819.18	Ongoing corridor maintenance, including vegetation, tree removal, trash, graffiti, drainage, encroachments, storm damage repairs outside of what is required for railroad operations	Rail line - entire length	ongoing maintenance	ongoing	na	IP/shortline operator
Trail Total		\$ 1,162,229.97						

MEASURE D EXPENDITURE REPORT

			Project Description/Location		Project Status/Timeline		Leveraged Funds, if any	
#	Project Name	FY19/20 Measure D Expenditures	Project Description	Location/Limits	Work Done in FY19/20	Construction or Implement schedule	Leveraged Fund Amounts <i>(grants & other funds where Meas. D used as match)</i>	Leveraged Fund Source(s) Name(s)
Hwy 17 Wildlife Crossing								
1	Highway 17 Wildlife Crossing near Laurel Curve: Oversight and public outreach	\$1,805.75	RTC costs associated with oversight, agreements, financing, coordination, and public engagement.	Highway 17 north of Laurel Curve	Agreements with Caltrans, Land Trust, public info	fall 2021-2022	\$8,400,000	Land Trust (\$3M) & SHOPP (\$5.4M)
Wildlife Xg Total		\$ 1,805.75						
Hwy 9/San Lorenzo Valley (SLV)								
1	Preliminary scope and engineering documents for near term projects	\$25,152.04	Development of engineers estimates, prelim. designs, and initial screening, implementation documents needed to secure funds for priority projects; may include engineering needed to integrate complete streets components into SHOPP projects and to prepare grant applications for priority projects in SLV Complete Streets Plan.	Hwy 9: Felton to Boulder Creek	Caltrans Project Initiation Document (PID) started	TBD	Est. \$6M	SHOPP
2	Hwy 9 Pedestrian Crosswalks and Enhancements	\$11,588.68	Stripe new crosswalks and add RRFBs, ladder striping, etc to several existing crosswalks	Several locations along Highway 9	RTC staff time/oversight and community outreach and coordination	2020	\$250,000	HSIP
3	SLV/SR9 Corridor technical assistance, oversight, and community outreach	\$775.00	Includes legal, engineering review, grant preparation, funding agreements, RTC staff coordination with Caltrans, County, schools, and other stakeholders, public outreach, other other planning activities	Hwy 9 Corridorwide	RTC Legal and professional services	TBD	TBD	SHOPP, Local, +
4	Farmer St. Road Repair (alternate ped/bike route to Hwy 9)	\$15,000.00	Resurfacing Farmer Street, a pedestrian bypass to access SLV Schools Campus	Farmer St from Cooper St to Fall Creek	Construction	Fall 2019	\$0	County staff time
Hwy 9/SLV Total		\$52,515.72						
Regional Categories Totals		\$ 4,711,801						

CONSOLIDATED MEASURE D EXPENDITURE REPORT - Fiscal Year 2019-2020

Summary for Direct Allocations to Cities, County, METRO, and Lift Line

SUMMARY OF EXPENDITURES AND ACCOMPLISHMENTS

			Project Description/Location		Project Status/Timeline		Leveraged Funds, if any	
#	Project Name	FY19/20 Measure D Expenditures	Project Description	Location/Limits	Work Done in FY19/20	Construction or Implement schedule	Leveraged Funds	Leveraged Fund Source(s) Name(s)
Capitola - Direct Allocations Neighborhood								
1	Brommer Complete Street Improvement Project	\$ 121,213.00	Complete street project	Brommer street from City Limits to 41st	construction	5/26/2020 - 7/30/2020	\$ -	NA
2	Park Ave Sidewalks	\$ 300,000.00	Sidewalk, curbramps, crosswalks, bike lanes	Park Ave from Cabrillo to McCormick Ave	construction	7/29/2019 - 8/7/2020	\$ -	NA
CAPITOLA TOTAL		\$ 421,213.00						

Scotts Valley - Direct Allocations Neighborhood								
1	Glenwood Drive Improvements	\$ 342,465.00	Roadway and Bicycle Improvements: Glenwood Drive widened, resurfaced, restriped; extra bike lane added, replaced drainage inlet, installed speed sign	Glenwood Drive (from Deerfield Drive to Summerhill Drive)	Construction	5/10/2019-		
2	Glenwood Open Space Preserve	\$ 130,000.00	Parking Facilities and Roadway: K Street, Siltanen Park, and Glenwood Trails parking lots completed; Glenwood Trails bridges installed	K Street, Siltanen, Glenwood Trails parking lots; Glenwood East Preserve	Construction	9/16/19-2/20/20	\$ 155,000.00	SB1-LPP
3	Public Works Staff -Measure D	\$ 541.00						
SCOTTS VALLEY TOTAL		\$ 473,006.00						

Watsonville - Direct Allocations Neighborhood								
1	Bicycle Safety Improvements (Various Locations)	\$ 15,284.00	Provide signage and traffic markings along bicycle corridors and provide educational programs.	Earn-A-Bike, Bike Smart, Walk Smart, Bike to Work Sponsorship	Safety training	Annual Activity	\$ -	NA
2	Green Valley Rd (Freedom Blvd to City Limits)	\$ 12,393.74	Reconstruct roadway, install median island, remove and replace non-compliant driveways & curb ramps, restripe roadway & provide bike lanes where none exist.	Green Valley Rd from Freedom Blvd to City Limits	Design	07/01/21 - 06/30/22	NA	NA
3	Lee Rd Trail (Lee Rd from RR xing to Harkins Slough Rd and Harkins Slough Rd from Lee Rd to Pajaro Valley High School driveway)	\$ 176,260.48	Prepare design, environmental documents and construction of pedestrian and bicycle trail	Lee Rd Trail (Lee Rd from RR xing to Harkins Slough Rd and Harkins Slough Rd from Lee Rd to Pajaro Valley High School driveway)	Design	TBD		
4	Maintain Roads (City-wide, All Districts)	\$ 4,000.00	Place three-layer coating system on road surface. Includes Airport Blvd to 600 ft west.	Street Maintenance Program costs	Planning	07/01/21 - 06/30/22	NA	NA
5	Maintain & Improve Trails (Various locations)	\$ 56,345.71	Develop, maintain and enhance existing pedestrian and bicycle trails	Wetland trail maintenance, permit fees, CEQA work for Rail Trail, design of wetland trail restoration	Planning, design, maintenance	07/01/21 - 06/30/22	NA	NA
6	Pedestrian & Traffic Safety (Various Locations)	\$ 311,101.53	Provide construction, design, education, maintenance and testing for pedestrian and traffic safety facilities.	included bicycle Safety Improvements (Green Lanes), Street Smarts Program	Construction, safety	07/01/19 - 06/30/20	NA	NA
7	Audit and Expenditure Report Prep	\$ 4,000.00	Cost of annual audit.	NA	NA	Annual Activity	NA	NA
WATSONVILLE TOTAL		\$ 579,385.46						

MEASURE D EXPENDITURE REPORT

			Project Description/Location		Project Status/Timeline		Leveraged Funds, if any	
#	Project Name	FY19/20 Measure D Expenditures	Project Description	Location/Limits	Work Done in FY19/20	Construction or Implement schedule	Leveraged Funds	Leveraged Fund Source(s) Name(s)
Santa Cruz City - Direct Allocations Neighborhood								
1	c401902 - Pacific Ave. Sidewalk between Front and Second	\$ 9,200.00	Sidewalk, bike lanes, and drainage. Sidewalks and Ramps	Pacific Ave. between Front and Second	Design	2021	\$ 250,000.00	State Grants - RSTPX
2	c401807 - SLR Parkway Phase III - Trestle Trail Widen - City	\$ 3,229.94	New bike/walk bridge at the San Lorenzo River	San Lorenzo River adjacent to Railroad Tracks	Construction/close out	1/2/19-6/1/19	\$1,245,000.00	State Natural Resources agency & State Capital Grants - TDA
3	g401901 - SR2S and Youth Bike Safety programs	\$ 44,000.00	Safe Routes to School, Bike programs/education	Citywide	Education and Promotion	Ongoing	\$ -	na
4	c400809 - City Arterial & Collector St Reconstruction and Overlay	\$ 300,000.00	Pavement improvements, bike lanes enhancements, bike boxes, pedestrian crossing improvement, and curb ramps	Broadway (Clay St to Ocean St) California Street (at Laurel St) Soquel Av (at La Fonda Av) Plymouth St (at Hwy 1 on-ramp) Murray St (before and after the harbor bridge) Ocean St Extension (Jewell St to Graham Hill Rd) River Street (Madrone St to Water St) Water Street (River St to N Branciforte Av) Mission Street (Center St to Green St)	Design and construction	Ongoing	\$9,613,981.00	Gas Tax, Measure H, State Capital Grants State Grants - RSTPX
5	c400810 - City Residential & Collector St Reconstruction and Overlay	\$ 120,568.00	Installation of microsurfacing and striping for the 2019 Surface Seal Project.	1. Amat St – Mora St to Potrero St 2. Amat St – Mora St to dead end 3. Anita St – Bay St to end 4. Baldwin St – King St to Escalona Dr 5. Benito St – Water St to Soquel Av 6. Berkshire Av – Mission St to Escalona 7. Clinton St – Seabright Av to dead end 8. Colorado St – Anthony St to King St 9. Cottonwood St – River St to dead end 10. Dimond St – Walnut Av to Sherman St 11. Francis Ct – Windham St to dead end 12. Hanover St – S Branciforte Av to end 13. Josephine St – River St to dead end 14. Kenneth St – Olive St to Bay St 15. Madrone St – River St to dead end 16. May Av – Leonard St to Water St 17. McCormick St – N Branciforte Av-end 18. Miles St – King St to Dimond St 19. Mora St – River St to Potrero St 20. Ocean View Av – Soquel Av to end 21. Olive St – Escalona Dr to Kenneth St 22. Otis St – Mission St to King St 23. Peyton St – King St to Escalona Dr 24. Potrero St – Mora St to RR Tracks 25. Potrero St – RR Tracks to River St 26. Reed Wy – Water St to Berkeley Wy 27. Sadi St – Seaside St to dead end 28. Seaside St – Acadia Av to Laurent St 29. Sumner St – Clinton St to dead end 30. Toledo St – Olive St to Bay St 31. Van Ness Av – Mission St to California St 32. Walti St – Laurel St to dead end 33. West Av – Miramar Dr to east end 34. West Av – Miramar Dr to west end 35. Younger Wy – Second St to Third St	Design and construction	Ongoing	\$ 721,105.00	Measure H
SANTA CRUZ TOTAL		\$ 476,997.94						

MEASURE D EXPENDITURE REPORT

			Project Description/Location		Project Status/Timeline		Leveraged Funds, if any	
#	Project Name	FY19/20 Measure D Expenditures	Project Description	Location/Limits	Work Done in FY19/20	Construction or Implement schedule	Leveraged Funds	Leveraged Fund Source(s) Name(s)
County of Santa Cruz - Direct Allocations Neighborhood								
1	2018 Measure D Resurfacing	\$ 69,937.36	Roadway Resurfacing	See 5-year plan	Final Closeout	6/18-9/18	\$ -	N/A
2	2019 Measure D Resurfacing	\$ 1,998,239.89	Roadway Resurfacing	See 5-year plan	Construction	6/19-11/19	\$ -	N/A
3	2020 Measure D Resurfacing	\$ 709,208.32	Roadway Resurfacing	See 5-year plan	Design/ Construction	6/20-9/20	\$ -	N/A
4	FY20 Measure D Audit Fees	\$ 4,540.00	Audit Fees - Administration	N/A	Audit	n/a	\$ -	N/A
5	FY20 Measure D Education & ATP	\$ 62,348.58	Outreach including active transportation programs and planning	Countywide	Outreach	n/a	\$ -	N/A
COUNTY TOTAL		\$ 2,844,274.15						

Santa Cruz METRO: Transit for Seniors & People with Disabilities

1	Fixed route - 9 operators (average cost of Operator with benefits plus cost of mileage driven in year)	\$ 1,235,871.00	Fixed Route-frequency, span of service - Routes 35, 40, 41, 68, 69A, 71, 72, 75, 79, 91X, Route 71 weekend-17 addtl. trips to provide 30 minute frequency in/outbound for 8 hrs during the days	Countywide	Operations	N/A	\$ -	See MOE for all other Local Funds received
2	Sustaining of Para Cruz service levels - 1 operator minus farebox recovery	\$ 76,387.00	Paracruz - sustain service levels	Countywide	Operations	N/A	\$ -	See MOE for all other Local Funds received
3	Capital - Bus Replacements	\$ 1,751,458.00	Replacement vehicles	Countywide	Equipment and New Vehicles	N/A		
METRO TOTAL		\$ 3,063,716.00						

LIFT LINE-COMMUNITY BRIDGES: Transit for Seniors & People with Disabilities

1	* Driver Personnel	\$ 282,653.04	Providing expanded hours of paratransit service	Santa Cruz County	Operations	On-Going		
2	Driver Trainer	\$ 48,230.79	Providing safety and service training for paratransit drivers	Santa Cruz County	Operations	On-Going		
3	Admin Assistant/Dispatch	\$ 47,300.38	Supporting additional paratransit rides	Santa Cruz County	Operations	On-Going		
4	Out Reach / Publicity	\$ 8,301.29	Materials/videos to promote paratransit ride availability	Santa Cruz County	Education and Promotion	On-Going		
5	Operations Facility - Pre-Construction	\$ 96,686.66	Facility Project Management - architects, environ review, design	Santa Cruz County	Operations	On-Going		
6	Operations Facility - Reserve	\$ 442,506.06	Reserve for projected Acquisition, construction and/or renovation expenses	Santa Cruz County	Other (describe in project description column)	Paving 2020		
7	Vehicle Equipment - Reserve	\$ 3,824.00	Vehicle replacement, 5310 vehicle match funds, CARB match, equipment e.g. vehicle hoist	Santa Cruz County	Equipment and New Vehicles	Equipment Match FY1920	\$ 719,973.59	Caltrans 5310 and CARB Program
Lift Line Total		\$ 929,502.21						

Direct Allocation Total Expenditures

\$ 6,837,492

Attachment 2

Summary of Measure D revenues, expenditures, and balances - FY19/20

Investment Category	% Allocation	Beginning Balance	FY19/20 Allocations¹ (accrual basis)	Cash Basis- Paid 7/1/19-6/30/20	FY19/20 Expenditures²	Carryover Balance³ (includes interest)
Neighborhood	30%					
SLV SR9		\$727,711	\$333,333	\$333,333	\$52,515.72	\$1,024,675
Hwy 17 Wildlife		\$381,356	\$166,667	\$166,667	\$1,805.75	\$554,692
City of Capitola		\$211,115	\$312,095	\$308,522	\$421,213.00	\$106,352
City of Santa Cruz		\$808,322	\$1,292,099	\$1,266,957	\$476,997.94	\$1,759,455
City of Scotts Valley		\$270,580	\$267,880	\$259,004	\$473,006.00	\$116,166
City of Watsonville		\$1,534,120	\$869,481	\$851,564	\$579,385.46	\$2,093,206
County of Santa Cruz		\$4,044,608	\$2,936,355	\$2,880,419	\$2,844,274.15	\$4,209,205
Transit/Paratransit - METRO	16%	\$5,018,095	\$3,294,885	\$3,235,449	\$3,063,716.00	\$3,266,636
Paratransit - Lift Line*	4%	\$266,584	\$823,721	\$808,862	\$929,502.21	\$146,264
Highway Corridors	25%	\$10,585,768	\$5,148,258	\$5,055,389	\$1,340,086.77	\$14,633,634
Active Transportation	17%	\$5,710,728	\$3,500,816	\$3,437,665	\$1,162,229.97	\$8,180,428
Rail Corridor	8%	\$1,867,887	\$1,647,443	\$1,617,724	\$2,155,162.41	\$1,806,906
Net Revenues (net fees, admin/implem.)	100%	\$31,426,873	\$20,593,033	\$20,221,556	\$13,499,895.38	\$37,897,619
Admin. salaries & benefits	1%	\$629,457	\$213,139	\$209,351	\$185,691	\$819,215
Implementation & Oversight	varies		\$507,709	\$504,225	\$260,344	
Total		\$32,056,330	\$21,313,881	\$20,935,132	\$13,945,930.38	\$38,716,834
State BOE Fees	actuals		\$220,190	\$236,680	\$220,190.00	
<i>Unallocated</i>		\$9,707				\$9,222

1-FY19/20 Allocations reflect RTC audited accrual basis, whereas some agencies showed revenues on a modified accrual or cash basis in their audits.

2-Expenditures include funds carried over from prior years and interest earnings

3- Balances shown do not include additional \$312 Transit & \$3318 Neighborhood balances shown in RTC audit which were not yet paid out.

3-Planned use of carryover balances shown in 5-year plans. Agencies have up to 5 years to accumulate and spend revenues per use agreements.

*In addition to formula allocations shown for METRO and Lift Line, a small amount of interest was also paid.

Measure D Recipient Agency: City of Capitola

Measure D Annual Report Cover Sheet

Fiscal Year 2019/20

Submit documents to rmoriconi@sccrtc.org by December 31, 2020.

Dear Measure D Taxpayer Oversight Committee: The City of Capitola (*Recipient Agency*) is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for recipient agencies. Submittal

Measure D Financial Information	
FY19/20 Formula Allocations	\$308,522
Unspent Prior Year Allocations	\$211,115
Interest earned on Unspent Prior Allocations	\$7,928
Total Measure D Funding Available in FY19/20	\$527,565
Total Measure D Funds Spent in FY19/20	\$421,213
Total Measure D Rollover to FY20/21	\$106,352
Maintenance of Effort	
FY19/20 LOCAL funds spent on transportation projects, operations, and/or services (exclude grants and formula state & fed funds - HUTA, TDA, SB1, STA, etc.)	\$1,484,171
Maintenance of Effort Baseline (average amount of <u>local</u> funds spent on transportation projects in 3-5 fiscal years prior to 2017)	\$1,005,819
Measure D Compliance Documents	
Document	Attached (check)
1. FY19/20 Annual Report Cover Sheet (<i>this document</i>)	X
2. FY19/20 Audited Financials for Measure D funds (see " Measure D Audit Guidance " for additional information)	X
3. FY19/20 Expenditure Report Spreadsheet: Shows what Measure D formula funds (direct allocations) were spent on. *Report use of regional funds (Trail, Highway Corridors, Hwy 9, Rail) separate from formula funds.	X
a. If applicable –Grouped projects supplemental information: For any grouped projects (e.g. citywide roadway repairs), include a supplemental list of specific locations (road names, project limits, and length or routes/areas served), work done at each (e.g. environmental, design, construction), and schedule for completing construction at each.	NA
4. Map: Attach or provide web link to map(s) of projects funded with Measure D funds in FY19/20 (for projects with specific locations).	X
5. FY19/20 Performance Measures Report (<i>Measure D Guidelines Attachment B</i>)	X*

6. Maintenance of Effort Compliance Spreadsheet: List of local funds spent on transportation projects through FY19/20 as compared to the 3-5 years prior to FY16/17.	X*
a. If FY19/20 Local funds expended were lower than Baseline amount, explain why:	NA
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)? (If "no," Measure D cannot be used for indirect costs) a. Date of most recent ICAP: <u>7/1/2020</u>	Yes
8. 5-Year Program of Projects (5-Year Plan): List showing planned use Measure D formula funds in the next 5 years.	X*
a. Evidence that the 5-year program of projects was approved through a public process. Include information on process used to select projects and develop 5-year plan. This may be in the form of public hearing notices, staff reports, and other means that agencies may have solicited input on their 5-year program of projects. Public process must include at least one public hearing and approval by recipient's governing board.	X*
b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan (per Article III.A.4.)	Date:6/25/2020
c. Date annual 5-year Plan approved by Governing Board	Date:6/25/2020
9. Complete Streets Compliance (Cities/County only): Attach copy or provide link to board adopted Complete Streets policy that is compliant with the California Complete Streets Act of 2008 (AB1358), including any amendments. <i>Per Measure D Agreement: Agencies are required to have a complete streets policy by April 1, 2018.</i>	X*
10. Annual Report Narrative (may be included in Management & Admin section of audit)	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known. Describe how agency is tracking Measure D funds not yet expended and include explanation of why not all funds were spent, reasons for large carryover balances, and planned future use of carryover funds. This could include a list of projects anticipated to use Measure D funds in the future, such as priority future projects, projects that will be implemented in a future year or over several years, larger projects that an agency is saving funds for, etc.)	X*
b. Future Liabilities: Describe possible liabilities, including information on how future costs for projects not yet completed are anticipated to be funded.	NA
c. Compliance with Applicable Laws: Confirm projects were implemented in compliance with applicable laws, regulations, requirements, standards of practice, guidelines, etc. which are applicable to publicly funded transportation projects are met and adhered to, including requirements of the California	Yes

Environmental Quality Act (CEQA), state design and procurement requirements, etc.	
Public Outreach/Notification of Use of Measure D Funds	
11. Public Outreach/Process: Describe outreach conducted during the reporting year to inform Santa Cruz County taxpayers as to how your agency is using or plans to use Measure D funds. <i>(per Article III.A.3.)</i>	X*
12. Sample(s) of public outreach on Measure D-funded projects 7/1/19-6/30/20	X*
a. Website with Measure D information (attach PDF): Web address: http://www.cityofcapitola.org/publicworks/page/streets <i>(per Article III.A.7.)</i>	X
b. News Article: <i>Include a copy of or weblink to at least one news article or press release about Measure D-funded projects in the past fiscal year. News release should inform public that project is funded by Measure D. (per Article III.A.8.)</i>	X
c. Signage: Did you display the Measure D logo on signs at construction sites, on vehicles, and/or public education materials/websites? Attach photos if available. See <i>"Measure D: Sign Specifications"</i> for additional signage guidance. <i>(per Article III.A.6.)</i>	X
13. Photos: Attach before/after and construction photos if available of projects/work done in FY19/20.	X
14. Fact Sheets on larger projects: Attach more detailed fact sheet on large projects (includes public information such as project name, description of work to be done, location, project benefits/purpose, schedule, graphics/maps, complete streets components/consistency, total cost & funding, including info on if Measure D was used to leverage other grants/funds).	NA

X* - see attached Additional Information page for Items 5, 6, 8, 9, 10, 11, and 12

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Phone/email
Steve Jesberg	Public Works Director	(831) 475-7300; sjesberg@ci.capitola.ca.us
Jim Malberg	Finance Director	(831) 475-7300; jmalberg@ci.capitola.ca.us
Kailash Mozumder	Public Works Project Manager	(831) 475-7300; kmozumder@ci.capitola.ca.us

Measure D Recipient Agency: City of Capitola

Measure D Annual Report Additional Information

Fiscal Year 2019/20

5. FY19/20 Performance Measures Report *(Measure D Guidelines Attachment B)*

- See “CityCoPMs” Tab on Expenditure Report

6. Maintenance of Effort Compliance Spreadsheet: List of local funds spent on transportation projects through FY19/20 as compared to the 3-5 years prior to FY16/17.

- See “MOE” Tab on Expenditure Report

8. 5-Year Program of Projects (5-Year Plan): List showing planned use Measure D formula funds in the next 5 years.

- Funds will be focused on system preservation. Focused on streets with greatest need, some with PCI as low as 13-16 (failed).
- See attached project details PDF for 20/21
- a. **Evidence that the 5-year program of projects was approved through a public process.** Include information on process used to select projects and develop 5-year plan. This may be in the form of public hearing notices, staff reports, and other means that agencies may have solicited input on their 5-year program of projects. Public process must include at least one public hearing and approval by recipient’s governing board.
 - See attached June 25, 2020 Staff Report

9. Complete Streets Compliance *(Cities/County only):* Attach copy or provide link to board adopted Complete Streets policy that is compliant with the California Complete Streets Act of 2008 (AB1358), including any amendments. *Per Measure D Agreement: Agencies are required to have a complete streets policy by April 1, 2018.*

- See updated General Plan <https://www.cityofcapitola.org/page-17>
- https://www.cityofcapitola.org/sites/default/files/fileattachments/page//general_plan_-_update_2019.pdf
- **Pg MO 16 Policies** Policy MO-2.1 Complete Streets. Support projects, programs, policies, and regulations to maintain a balanced multi-modal transportation network that meets the needs of all users of local roadways in a manner that is suitable to the scale and character of Capitola

10. Annual Report Narrative *(may be included in Management & Admin section of audit)*

- a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known.** Describe how agency is tracking Measure D funds not yet expended and include explanation of why not all funds were spent, reasons for large carryover balances, and planned future use of carryover funds. This could include a list of projects anticipated to use Measure D funds in the future, such as priority future projects, projects that will be implemented in a future year or over several years, larger projects that an agency is saving funds for, etc.)
- The current fund balance will be carried over and banked to allow for the funding of a larger project.
 - See attached project details PDF for planned use 20/21

Public Outreach/Notification of Use of Measure D Funds

- 11. Public Outreach/Process:** Describe outreach conducted during the reporting year to inform Santa Cruz County taxpayers as to how your agency is using or plans to use Measure D funds. *(per Article III.A.3.)*
- Social Media - Active projects funded by Measure D were advertised on our social media accounts (Facebook & Instagram)
 - Public Meetings – Staff reports, and presentations were held to help inform the public of current and planned uses of the Measure D funds.
- 12. Sample(s) of public outreach on Measure D-funded projects 7/1/19-6/30/20**
- The Brommer Street Project had a public meeting on 3/26/2019 and 2/27/2020.
 - See attached presentation

CITY OF CAPITOLA, CALIFORNIA

MEASURE D FUND
FINANCIAL STATEMENTS

FOR THE FISCAL YEAR ENDED JUNE 30, 2020

Prepared by the Capitola Finance Department

City of Capitola
Measure D Fund Financial Statements
Year Ended June 30, 2020

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INDEPENDENT AUDITOR'S REPORT

The Honorable City Council
City of Capitola, California

Report on the Financial Statements

We have audited the accompanying balance sheet and the statement of revenues, expenditures and changes in fund balance of the Measure D Fund, a special revenue fund of City of Capitola, California (City), as of and for the year ended June 30, 2020, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Measure D Fund of the City, as of June 30, 2020, and the change in financial position for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Emphasis of Matters

As discussed in Note 1, the financial statements present only the Measure D Fund, and do not purport to, and do not, present fairly the financial position of the City as of June 30, 2020, and the changes in its financial position for the year then ended in accordance with accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to this matter.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that budgetary comparison information for the Measure D Fund as listed in the table of contents, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Management has omitted management's discussion and analysis information that accounting principles generally accepted in the United States of America require to be presented to supplement the basic financial statements. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of the financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. Our opinion on the basic financial statements is not affected by this missing information.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated December 8, 2020, on our consideration of the City's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the City's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City's internal control over financial reporting and compliance.

A handwritten signature in cursive script that reads "Eide Sully LLP".

San Mateo, California
December 8, 2020

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**CITY OF CAPITOLA
Measure D Fund
Balance Sheet
June 30, 2020**

	Measure D Fund
ASSETS	
Cash and investments	\$ 233,038
Due from other governments	1,446
Total Assets	<u>\$ 234,484</u>
LIABILITIES	
Accounts payable	<u>\$ 128,132</u>
FUND BALANCE	
Restricted for Measure D projects	<u>106,352</u>
Total Liabilities and Fund Balance	<u>\$ 234,484</u>

The accompanying notes are an integral part of these financial statements.

CITY OF CAPITOLA
Measure D Fund
Statement of Revenues, Expenditures, and Changes in Fund Balance
Year Ended June 30, 2020

	Measure D Fund
REVENUES	
Intergovernmental	\$ 308,522
Use of money and property	7,928
Total Revenues	<u>316,450</u>
EXPENDITURES	
Capital outlay	<u>421,213</u>
Net Change in Fund Balance	(104,763)
Fund Balance, Beginning of Year	<u>211,115</u>
Fund Balance, End of Year	<u><u>\$ 106,352</u></u>

The accompanying notes are an integral part of these financial statements.

CITY OF CAPITOLA
Measure D Fund
Notes to the Financial Statements
Year Ended June 30, 2020

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Description of Reporting Entity

All transactions of the Santa Cruz County Regional Transportation Commission – Measure D Fund (Measure D Fund) of the City of Capitola (the City) are included as a separate special revenue fund in the basic financial statements of the City. The accompanying financial statements are for Measure D Fund only and are not intended to fairly present the financial position or results of operations of the City.

B. Basis of Presentation

Fund Accounting

The operations of the Fund are accounted for in a separate set of self-balancing accounts that comprise its assets, liabilities, fund balances, revenues, and expenditures. Resources are allocated to and accounted for in the fund based upon the purpose for which they are to be spent and the means by which spending activities are controlled.

C. Basis of Accounting

The Measure D fund financial statements are reported using the *current financial resources measurement focus* and the *modified accrual basis of accounting*. Revenues are recognized as soon as they are both measurable and available. Revenues are considered to be available when they are collected within the current period or soon enough thereafter to pay liabilities of the current period. For this purpose, the City considers the majority of revenues to be available if they are collected within 60 days of the end of the current fiscal period. The City's only exception to this timeline is Sales Tax receipts which are recorded as revenues if received within 90 days.

Expenditures generally are recorded when a liability is incurred, as under accrual accounting. However, debt service expenditures, as well as expenditures related to compensated absences, pension and other postemployment benefits and claims and judgments, are recorded only when payment is due.

CITY OF CAPITOLA
Measure D Fund
Notes to the Financial Statements
Year Ended June 30, 2020

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES, (continued)

D. Assets, Liabilities, and Fund Balance

1) Cash and Investments

Investments are reported in the accompanying balance sheet at fair value, except for nonparticipating certificates of deposit and investment contracts that would be reported at cost because they are not transferable and they have terms that are not affected by changes in interest rates.

Changes in fair market value that occur during a fiscal year are recognized as *investment income* reported for that fiscal year. *Investment income* includes interest earnings, changes in fair value, and any gains or losses realized upon the liquidation, maturity, or sale of investments.

The City pools cash and investments of all funds under management of the City's Treasurer. The pool is not registered with the SEC. Each fund's share in this pool is displayed in the accompanying financial statements as *cash and investments*.

The City pools idle cash from all funds in order to increase income earned through its investment program. Investment income from pooled investments is allocated to those funds that are required by law or administrative action to receive interest. Investment income is allocated on a quarterly basis based on the cash balance in each fund.

2) Receivables and Payables

Activity between funds that are representative of lending/borrowing arrangements outstanding at the end of the fiscal year are referred to as either "due to/from other funds" (i.e., the current portion of interfund loans) or "advances to/from other funds" (i.e., the non-current portion of interfund loans).

Noncurrent portions of long-term interfund loan receivables are reported as advances and such amounts are offset equally by a fund balance restricted account that indicates they do not constitute expendable available financial resources and therefore are not available for appropriation.

3) Use of Estimates

The financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America and necessarily include amounts based on estimates and assumptions by management. Actual results could differ from those amounts.

CITY OF CAPITOLA
Measure D Fund
Notes to the Financial Statements
Year Ended June 30, 2020

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES, (continued)

D. Assets, Liabilities, and Fund Balance (continued)

4) Fund Balance

As of June 30, 2020, fund balance of the Measure D Fund was classified as restricted which is defined as amounts that can be spent only for specific purposes because of constitutional provisions or enabling legislation or because of constraints that are externally imposed by creditors, grantors, contributors, or the laws or regulations of other governments.

2. MEASURE D FUNDS

Under Measure D, approved by the voters of Santa Cruz County in 2016, the City receives a portion of the proceeds of an additional one-half cent sales tax to be used to improve children's safety around schools; repair potholes; repave streets; improve traffic flow on Highway 1; maintain senior/disabled transit; reduce global warming pollution by providing transportation options like sidewalks, buses, bike lanes, trails; and preserve rail options.

3. CASH AND INVESTMENTS

Cash and investments held by the Measure D Fund at June 30, 2020, consisted of \$433,038 in cash pooled with the City's Cash and Investments.

Investments Authorized by the California Government Code and the City of Capitola's Investment Policy:

Allowable investment instruments are defined in the California Government Code Section 53600, et. seq., as amended. If the Code is further revised to allow additional investments or is changed regarding the limits on certain categories of investments, the City is authorized to conform to these changes, excluding those changes that may be prohibited by this policy. Where the Government Code specifies a percentage limitation for a particular category of investments, that percentage is applicable only at the date of purchase. The City's pool is not rated and is not registered with the SEC. The City's average maturity of its investments is less than one year.

REQUIRED SUPPLEMENTARY INFORMATION

CITY OF CAPITOLA
Supplementary Information
Measure D Fund
Budgetary Comparison Schedule
Year Ended June 30, 2020

	<u>Budgeted Amounts</u>		<u>Actual</u>	<u>Variance with Final Budget</u>
	<u>Original</u>	<u>Final</u>		<u>Positive (Negative)</u>
Revenues:				
Intergovernmental	\$ 500,000	\$ 500,000	\$ 308,522	\$ (191,478)
Use of money and property	<u>-</u>	<u>-</u>	<u>7,928</u>	<u>7,928</u>
Total revenues	<u>500,000</u>	<u>500,000</u>	<u>316,450</u>	<u>(183,550)</u>
Expenditures:				
Current:				
Transportation	<u>890,000</u>	<u>890,000</u>	<u>421,213</u>	<u>468,787</u>
Total expenditures	<u>890,000</u>	<u>890,000</u>	<u>421,213</u>	<u>468,787</u>
Net Change in Fund Balance	(390,000)	(390,000)	(104,763)	285,237
Fund balance, beginning of year	<u>211,115</u>	<u>211,115</u>	<u>211,115</u>	<u>-</u>
Fund balance, end of year	<u>\$ (178,885)</u>	<u>\$ (178,885)</u>	<u>\$ 106,352</u>	<u>\$ 285,237</u>

CITY OF CAPITOLA
Notes to Supplementary Information
Year Ended June 30, 2020

BUDGETS AND BUDGETARY ACCOUNTING

The City adheres to the following procedures in establishing the budgetary data reflected in the financial statements:

Annual budgets are adopted on a basis consistent with accounting principles generally accepted in the United States of America for governmental funds. The City's budget ordinance requires that in April of each fiscal year, the City Manager must submit a preliminary budget that includes projected expenditures and the means of financing them, to the City Council for the fiscal year commencing the following July 1. As modified during public study sessions, the preliminary budget becomes the proposed budget. Following public hearings on the proposed budget, the final annual budget is adopted by the City Council in June of the following fiscal year. After adoption of the final budget, transfers of appropriations within a general fund department, or within each fund can be made by the City Manager. Budget modifications between funds, increases or decreases to a fund's overall budget, transfers between general fund departments or transfers that affect capital projects must be approved by the City Council or Agency Board. Numerous properly authorized amendments are made during the fiscal year. Appropriations lapse at fiscal year-end.

Budgetary control is enhanced by integrating the budget into the general ledger. Encumbrance accounting is employed (e.g., purchase orders) to avoid over-expenditure. Encumbrances outstanding at fiscal year-end are automatically rebudgeted in the following fiscal year, unless specifically cancelled by Council action. Per Capitola Municipal Code 3.20.060.C: "The appropriation for the uncompleted balance of executory contracts should not lapse at year-end but is automatically appropriated for the succeeding fiscal year unless specifically cancelled by council action."



**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN
ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

The Honorable City Council
City of Capitola, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of the Measure D Fund (Measure D Fund) of the City of Capitola, California (City), as of and for the year ended June 30, 2020, and have issued our report thereon dated December 8, 2020. Our opinion included an emphasis of matter as discussed in Note 1, that the financial statements present only the Fund specific to Measure D Fund, and are not intended to present fairly the financial position and results of operations of the City in conformity with accounting principles generally accepted in the United States of America.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the City's internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, we do not express an opinion on the effectiveness of the City's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Measure D Fund's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, grant agreements including the applicable compliance requirements specified in the Measure D agreement between the City and Santa Cruz Regional Transportation Commission, and noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the City's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

A handwritten signature in cursive script that reads "Eide Bailly LLP".

San Mateo, California
December 8, 2020

MEASURE D EXPENDITURE REPORT - Direct Allocations

Reporting Year **FY19/20**
 Recipient Agency **City of Capitola**

#	Project Name	Total Project Cost	FY19/20 Measure D Expenditures	Prior Year expenditures (if applicable)			Measure D TOTAL SPENT through reporting year	(Est) Future Year(s) Measure D Expenditures	Consistency with 5-year plan	
				FY16/17 Measure D Expenditures on this project	FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures			Project in approved 5-year plan? (yes/no)	If not in 5-year plan or different amount or scope, why different?
1	Hwy 1 Enhanced Bike Lanes	\$ 148,558.00		\$ -	\$ 63,276.83	\$ -	\$ 63,276.83	\$ -	Yes	NA
2	2017-18 City-wide Slurry Seal	\$ 398,632.00		\$ -	\$ 45,325.00	\$ 301,407.59	\$ 346,732.59	\$ -	Yes	NA
3	Brommer Complete Street Improvement Project	\$ 666,160.00	\$ 121,213.00	\$ -	\$ -	\$ 43,947.00	\$ 165,160.00	\$ -	Yes	NA
4	Park Ave Sidewalks	\$1,053,554.00	\$ 300,000.00	\$ -	\$ -	\$ -	\$ 300,000.00	\$ -	Yes	NA
Total			\$ 421,213	\$ -	\$ 108,602	\$ 345,355	\$ 875,169	\$ -		

	FY 19/20 Measure D Revenues	FY 16/17 Measure D Revenues (4/1/17-6/30/17)	FY 17/18 Measure D Revenues	FY 18/19 Measure D Revenues	Measure D Expended (7/1/19-6/30/20)	Fund Balance/ Carryover to Future Years
Allocation	\$308,522	\$11,254	\$312,311	\$330,161	\$875,169	\$106,353
Interest	\$7,928		\$2,652	\$8,694		

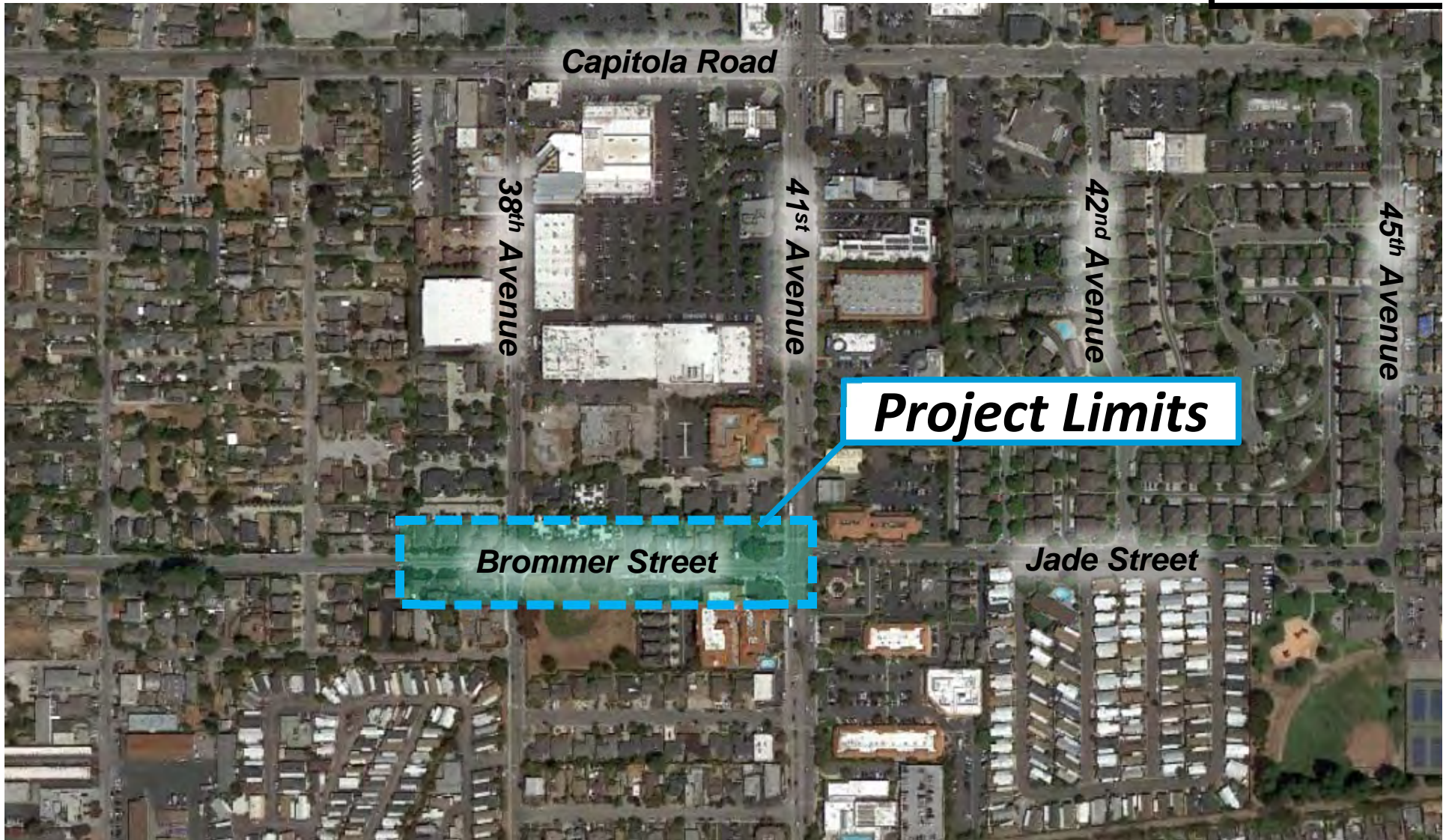
MEASURE D EXPENDITURE REPORT

		Project Description/Location		Project Status/Timeline			
#	Project Name	Project Description <i>(may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)</i>	Location/Limits (if grouped project, list each location/limit)	Work Done in FY19/20 (e.g. planning, design, construction, right-of-way)	CEQA compliance <i>(List type of doc & certification date - e.x. CE, 5/1/18)</i>	Construction/Implement schedule	Completion Date <i>(actual or estimate)</i>
1	Hwy 1 Enhanced Bike Lanes	installed green bike lanes	all intersections in city limits near HWY 1	NA	exempt	8/29/2017 - 10/13/2017	10/13/2017
2	2017-18 City-wide Slurry Seal	Type 2 Slurry Seal	various streets within city	NA	exempt	9/4/2018 - 9/30/2018	9/30/2018
3	Brommer Complete Street Improvement Project	Complete street project	Brommer street from City Limits to 41st	construction	exempt	5/26/2020 - 7/30/2020	7/30/2020
4	Park Ave Sidewalks	Sidewalk, curbramps, crosswalks, bike lanes	Park Ave from Cabrillo to McCormick Ave	construction	exempt	7/29/2019 - 8/7/2020	8/7/2020

MEASURE D EXPENDITURE REPORT

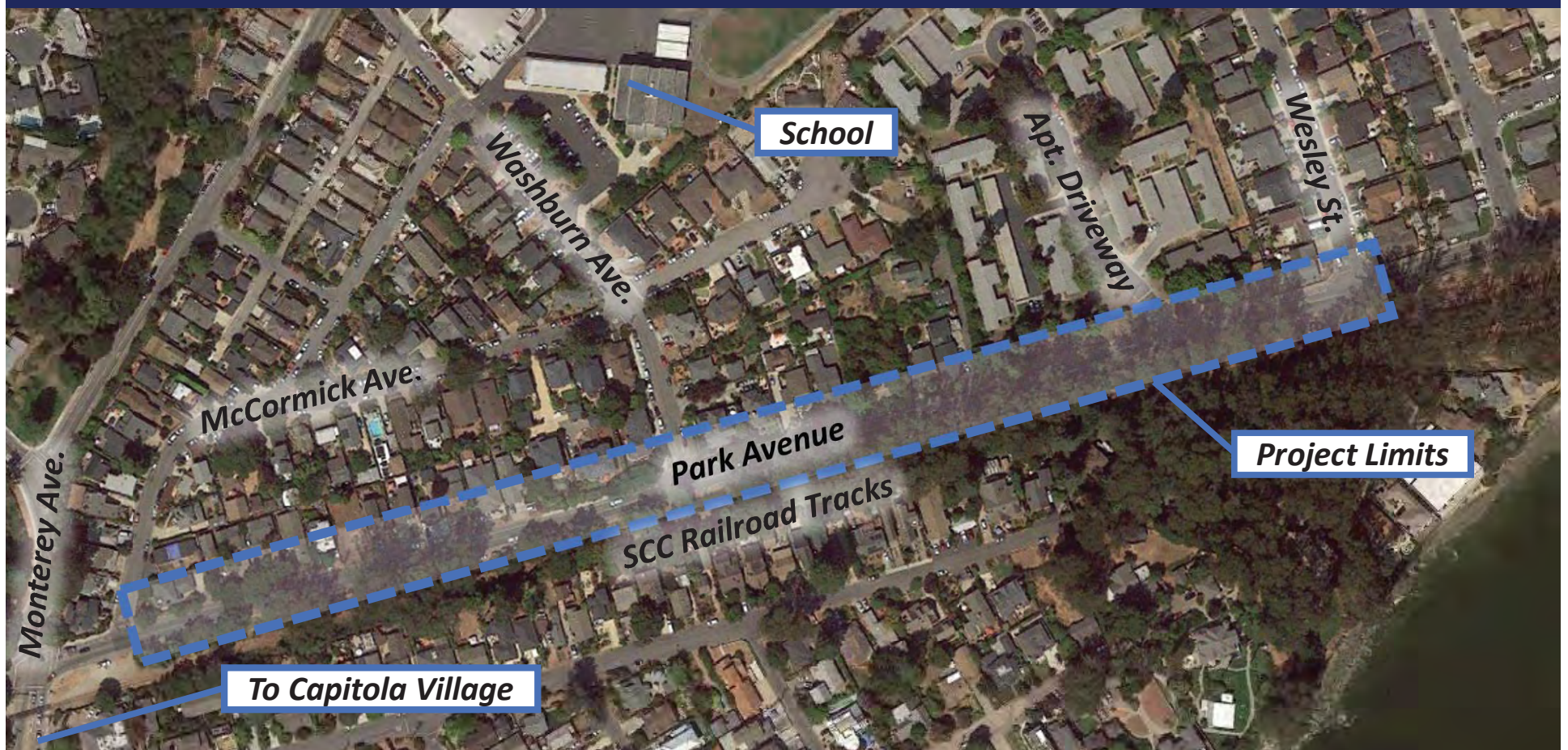
#	Project Name	Stats - if applicable					Leveraged Funds, if any		Measure D use		
		New Bike Lane Miles	New Ped Path or Sidewalk Miles	Miles of pavement rehab/repair (pothole, seals, etc)	Rehab of bikeways, sidewalks, or ADA ramps included? (describe)	If Complete Streets not incorporated into project, why not?	Leveraged Fund Amounts (grants & other funds where Meas. D used as match)	Leveraged Fund Source(s) Name(s)	Measure D-funded Capital Costs	Measure D-funded Non-capital (non-infrastructure, outreach, admin)	Measure D-funded Indirect costs (and overhead)
1	Hwy 1 Enhanced Bike Lanes	0.25	0	0	Yes- upgrade of bike lanes	NA	NA	NA	\$ 63,276.83	\$ -	\$ -
2	2017-18 City-wide Slurry Seal	0	0	3	No	NA	NA	NA	\$ 346,732.59	\$ -	\$ -
3	Brommer Complete Street Improvement Project	0.2	0.06	0.2	Yes- upgrade of bike lanes and sidewalks		NA	NA	\$ 165,160.00	\$ -	\$ -
4	Park Ave Sidewalks	0.3	0.3	0	Yes- upgrade of bike lanes, sidewalks and ADA ramps	NA	NA	NA	\$ 300,000.00	\$ -	\$ -
		0.75	0.36	3.20			\$ -		\$ 875,169	\$ -	\$ -

Brommer Complete Street Improvement Project



Park Avenue Sidewalks

Project Overview Map



Measure D: Neighborhood Projects Program (Funds to Cities and County for Local Streets and Roads - LSR)**Performance Measures**

AGENCY:

City of Capitola

Measure D recipients are to document the performance and benefits of the projects and programs funded with Measure D funds. The following performance measures are a selection of performance standards to be documented by the recipients, as applicable. Additional or alternate performance measures may be provided or requested by the RTC or recipients.

Submittal date

12/31/2020

Performance Measure	Performance Metric and Standard		Corrective Action
	Baseline/Prior Year	Current	
Pavement State of Repair	Track city/countywide Pavement Condition Index (PCI), which rates the "surface condition" of local streets from 1 to 100, at least every three years.		Any agency with a falling PCI must provide an explanation. If the PCI drops, specify what
Average Network PCI (0-100)*	65	65	NA
Date of PCI Analysis (month/year)	Jun-17	Jun-17	
Miles repaved in FY18/19 (using all funds, not just Measure D)		1	
Miles repaved in FY19/20 (using all funds, not just Measure D)		0.5	
Total centerline miles in jurisdiction	26	26	
Complete Streets Implementation			Provide an explanation if less than 20% of Measure D funds are
Percent of annual Measure D funds used to support bicycling and walking.		85%	NA
Number of linear feet or lane miles of bicycle facilities built or maintained (bike lanes, bike routes, multi-use pathways) w/Meas D		0.5	
Number of pedestrian projects completed (linear feet of sidewalks, number of crossing improvements/stripping, quantify traffic calming items, lighting, landscaping/streetscape, number of curb/ADA ramps, linear feet of trail/pathway built or maintained) w/Meas D		2	
Describe how school access was improved for children bicycling and walking, which may include collision data w/Meas D		N/A	
Capital Project and Program Investment	Investment into capital projects and programs is greater than funding program administration (outreach, staffing, administrative support)		Any agency expending less on capital investments compared to other activities must explain how capital investments will increase
Amount of Meas D expended on capital projects and programs by phase (design, right-of-way, construction and capital support)	See Expenditures Tab	See Expenditures Tab	
Leveraged Funds	Report total grants and other funding secured using Measure D as a match.		Explain if Measure D funds fully funding all projects.
Amount of competitive grants secured using Measure D as match		\$0.00	Other funds were used, but not grants

Measure D Maintenance of Effort (MOE) Actuals, Baseline Calculation and BudgetedJurisdiction: City of Capitola

REVENUES - Enter the total revenues expended in previous Fiscal Years (for transportation) from all local sources and state/fed formula programs.	FY20/21 Budgeted	Actuals			Baseline				
		FY19/20	FY18/19	FY17/18	FY16/17	FY15/16	FY14/15	FY13/14	FY12/13
Average Annual MOE Revenues	\$1,162,911	\$1,484,171	\$1,453,293	\$1,166,141	\$1,196,754	\$1,360,624	\$1,082,326	\$669,607	\$719,786

\$1,005,819
MOE Baseline (Average of last 5 years)

Project Details

Title	Description	Location	Component	Priority Status	Est. Schedule		Useful Life		Assem	Senate
					Start	Complete	Min	Max		
2020/21 Road Maintenance and Rehabilitation	This project will include: ADA Curb Ramp Rehab/Repair (Existing), Bicycle Lane Rehab/Repair (Existing), Cost Estimating, Document Preparation, Environmental Study/Documents, Maintenance Program Plan Development, Pavement Rehab/Repair (Existing), Paving and/or Striping Rehab/Repair (Existing Safety), Preliminary Design, Project Scope Development, Sidewalk and/or Crosswalk Installation (New), Sidewalk and/or Crosswalk Rehab/Repair (Existing), Storm Drain and/or Culvert Rehab/Repair (Existing)	42nd Avenue - Capitola Road to Jade Street Diamond Street - 42nd Avenue to 45th Avenue Ruby Court - entire length 41st Avenue - Highway 1 to Capitola Road Clares Street - Wharf Road to 41st Avenue Bay Avenue - Highway 1 to Monterey Avenue Capitola Avenue - Bay Avenue to Stockton Avenue	Pre-Construction and Construction	Forecasted 20/21	08/2020	02/2021	15	30	29	17
					05/2021	11/2021				



CAPITOLA CITY COUNCIL AGENDA REPORT

MEETING OF JUNE 25, 2020

FROM: Public Works Department

SUBJECT: Consider a Resolution Allocating the 2020/21 Road Maintenance and Rehabilitation Account Funds

RECOMMENDED ACTION: Adopt the proposed resolution allocating the 2020/21 Road Maintenance and Rehabilitation Account (RMRA) funds to engineering and construction of a pavement management program project.

BACKGROUND: Senate Bill 1, known as SB 1 or the Road Maintenance and Rehabilitation Account (RMRA), was signed into law in April 2017 to address the state's basic road maintenance and critical safety needs. In fiscal year 2020/21 staff estimates Capitola will receive \$193,401 from RMRA.

SB 1 requires the City submit a resolution prior to July 15 indicating how the City's RMRA allocation will be used for the following fiscal year.

DISCUSSION: The past two fiscal year SB 1 fund allocations went to the construction of the Park Avenue Sidewalk project, which is nearly complete. In allocating two years of funding to the sidewalk project, needed road pavement improvement projects were deferred on 42nd Avenue, Diamond Street, and Ruby Court.

Staff recommends combining SB 1 funds, \$250,000 of RTC Measure D funds, and a \$505,264 allocation of Regional Transportation Improvement funds from RTC to pay for one large pavement management project. The exact scope of the project will need to be further refined based on engineering and public input. At a minimum, the following road sections will be analyzed, and the most economical project developed:

42 nd Avenue	- Capitola Road to Jade Street
Diamond Street	- 42 nd Avenue to 45 th Avenue
Ruby Court	- entire length
41 st Avenue	- Highway 1 to Capitola Road
Clares Street	- Wharf Road to 41 st Avenue
Bay Avenue	- Highway 1 to Monterey Avenue
Capitola Avenue	- Bay Avenue to Stockton Avenue

Additional roads may be added to this list, but it is unlikely there is enough funding for all the recommended streets. The timing of the project may be such that 2021/22 funds could also be

SB 1 Project List 2020/21
June 25, 2020

allocated to the project.

In order to meet the State's requirements for SB 1 funds allocation, the attached resolution must be submitted by July 15, 2020.

FISCAL IMPACT: This allocation of SB 1 RMRA funding is consistent with the 2020/21 fiscal year budget, which includes the following allocation of funds to the Capital Improvement Fund.

SB 1 RMRA funds	\$193,401
RTC Measure D	\$250,000
RTIP	\$505,264
Total	\$948,665

ATTACHMENTS:

1. Measure D and SB 1 Funding Proposal for 2018-19 and 2019-20 Funds (PDF)

Report Prepared By: Chloe Woodmansee
Interim City Clerk

Reviewed and Forwarded by:



Jamie Goldstein, City Manager

6/18/2020

RESOLUTION NO. _____

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CAPITOLA
ADOPTING A LIST OF PROJECTS FOR FISCAL YEAR 2020/21 FUNDED BY
SENATE BILL 1: THE ROAD REPAIR AND ACCOUNTABILITY ACT OF 2017**

WHEREAS, Senate Bill 1 (SB 1), the Road Repair and Accountability Act of 2017 (Chapter 5, Statutes of 2017) was passed by the Legislature and signed into law by the Governor in April 2017 to address the significant multi-modal transportation funding shortfalls statewide; and

WHEREAS, SB 1 includes accountability and transparency provisions that will ensure the residents of our City are aware of the projects proposed for funding in our community and which projects have been completed each fiscal year; and

WHEREAS, the City must adopt by resolution a list of projects proposed to receive fiscal year funding from the Road Maintenance and Rehabilitation Account (RMRA), created by SB 1, which must include a description and the location of each proposed project, a proposed schedule for the project's completion, and the estimated useful life of the improvement; and

WHEREAS, the City will receive an estimated \$193,401 in RMRA funding in Fiscal Year 2020/21 from SB 1; and

WHEREAS, this is the fourth year in which the City is receiving SB 1 funding and will enable the City to continue essential road maintenance and rehabilitation projects, safety improvements, repairing and replacing aging bridges, and increasing access and mobility options for the traveling public that would not have otherwise been possible without SB 1; and

WHEREAS, the City has undergone a public process to ensure public input into our community's transportation priorities/the project list; and

WHEREAS, the City completed an update to the pavement management program in 2017 to develop the SB 1 project list to ensure revenues are being used on the most high-priority and cost-effective projects that also meet the City's priorities for transportation investment; and

WHEREAS, the funding from SB 1 will help the City complete needed pavement management improvement projects; and

WHEREAS, the SB 1 project list and overall investment in our local streets and roads infrastructure with a focus on basic maintenance and safety, investing in complete streets infrastructure, and using cutting-edge technology, materials and practices, will provide a dedicated source of funding for roadway projects.

NOW, THEREFORE IT IS HEREBY RESOLVED, ORDERED AND FOUND by the City Council of the City of Capitola, State of California, as follows:

1. The foregoing recitals are true and correct.
2. The following list of proposed projects will be funded in-part or 2020/21 Road Maintenance and Rehabilitation Account revenues:
3. Pavement Management Program Projects on 42nd Avenue, Diamond Street, Ruby Court, 41st Avenue, Clares Street, Bay Avenue and Capitola Avenue.

SB 1 Project List 2020/21
June 25, 2020

I HEREBY CERTIFY that the above and foregoing Resolution was passed and adopted by the City Council of the City of Capitola at its regular meeting held on the 25th day of June, 2020, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

Kristen Petersen, Mayor

ATTEST:

Chloé Woodmansee, Interim City Clerk



COMMUNITY WORKSHOP BROMMER STREET IMPROVEMENT PROJECT

Tuesday, March 26th, 2019

6:00 p.m.

Fairfield Inn & Suites (Meeting Room A)

(1255 41st Ave, Capitola, CA 95010)

The City of Capitola Department of Public Works invites you to attend a community workshop to discuss plans for the Brommer Street Improvement Project. This project will result in roadway improvements, including access for vehicles, bikes, pedestrians, and a continuous sidewalk on the north side of Brommer Street between 38th and 41st Avenue.

During the workshop we will review the improvements planned along the road, the expected design elements and any impacts that may occur. We look forward to your participation. For additional information, contact Kailash Mozumder in the Public Works Department at 831-475-7300 or kmozumder@ci.capitola.ca.us



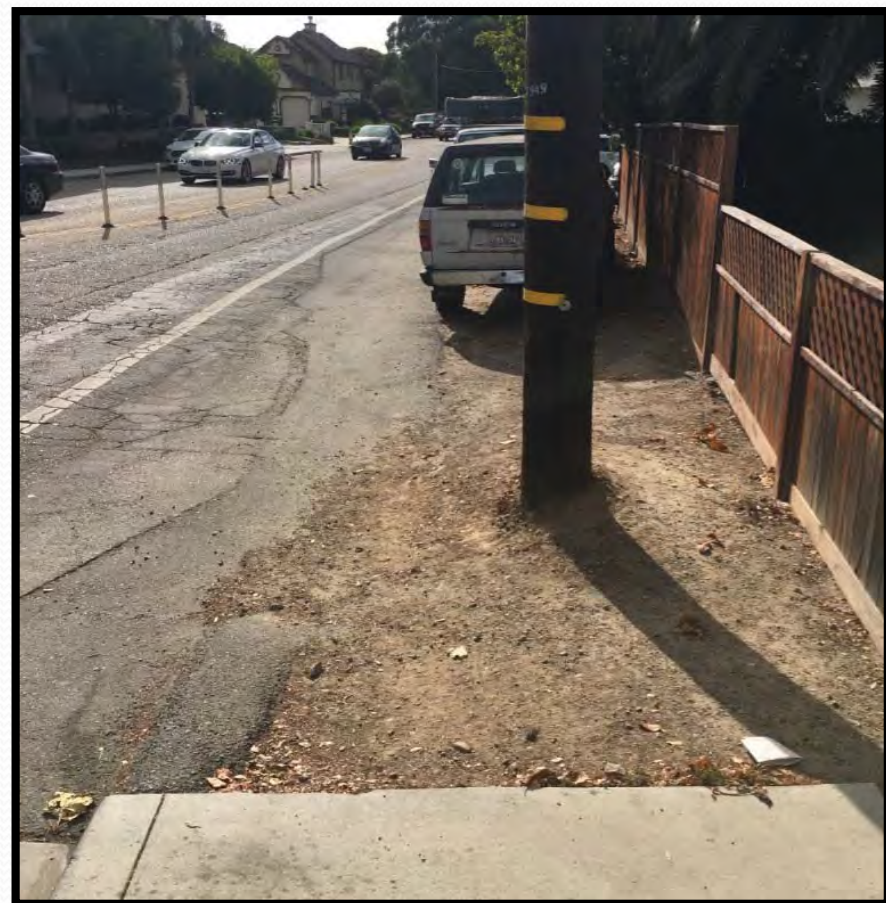
Americans with Disabilities Act: Services are available to enable persons with a disability to participate in this meeting consistent with the Federal Americans with Disabilities Act of 1990. Should you require special accommodations to participate in the meeting due to a disability, please contact the City Clerk's office at least 24-hours in advance of the meeting at 831-475-7300. In an effort to accommodate individuals with environmental sensitivities, attendees are requested to refrain from wearing perfumes and other scented products.

Brommer Complete Street Improvement Project



Project Purpose & Need

Discontinuous Sidewalk



Poor Pavement Condition (PCI = 17)



Alligator Cracking



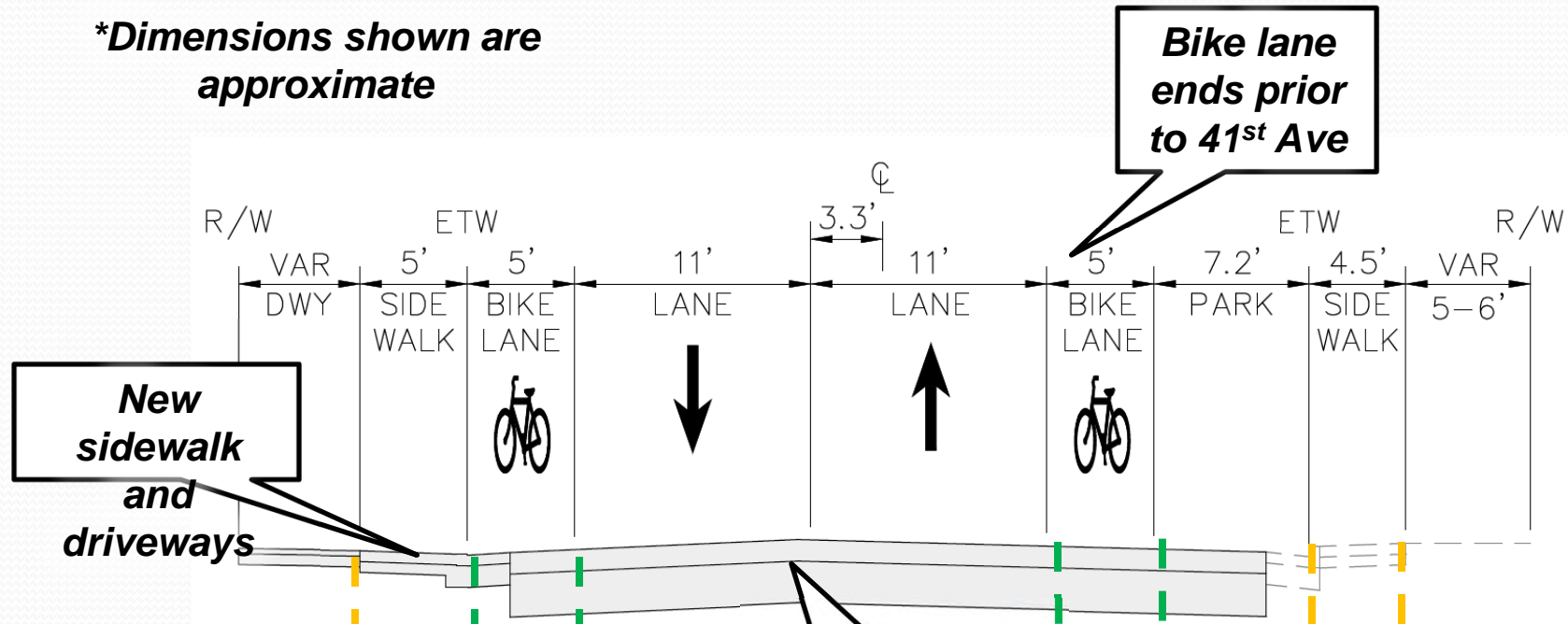
***Utility Trench Repairs
& Faded Striping***



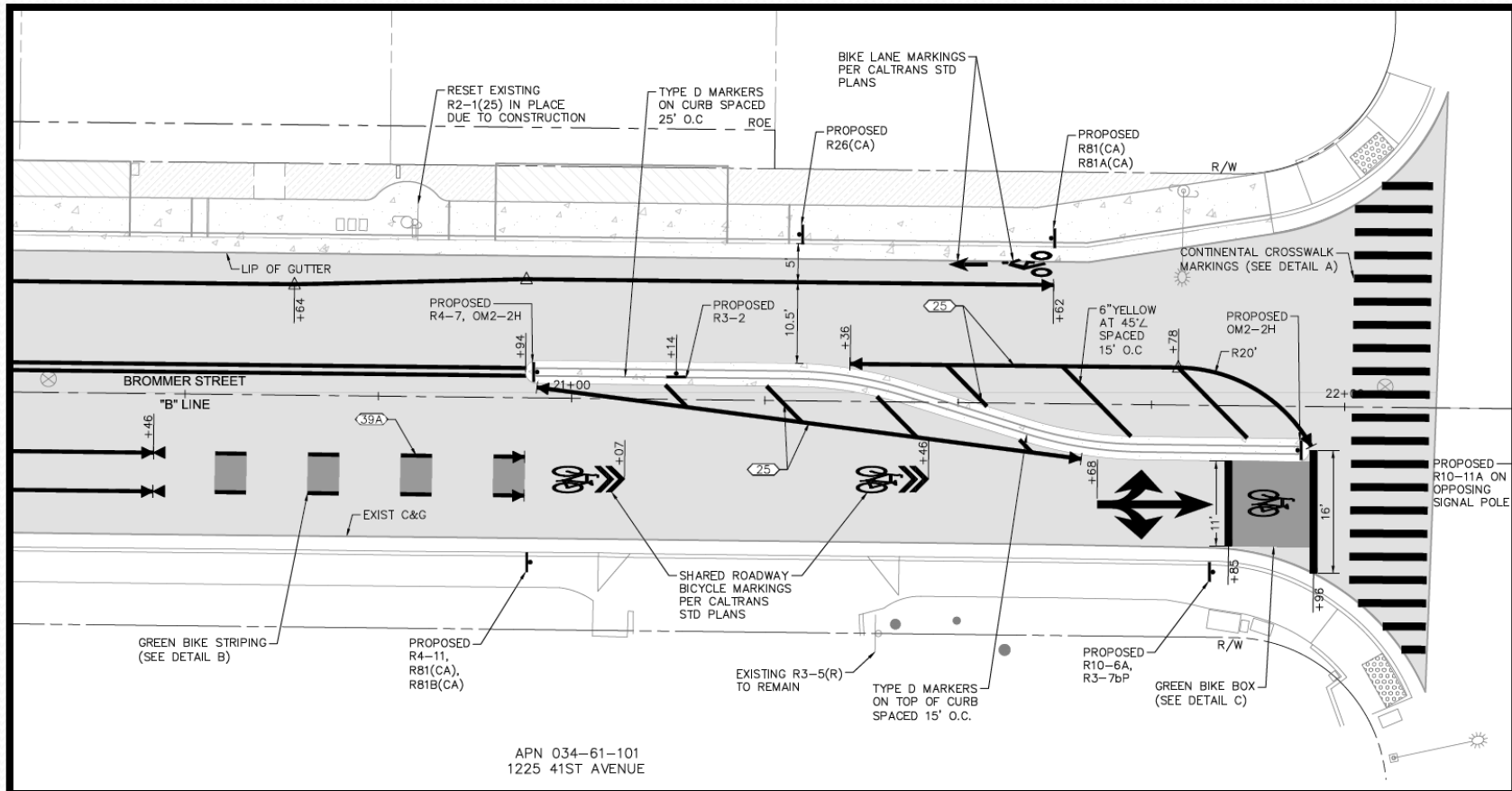
***Longitudinal Cracking
& Uneven Pavement***

Roadway Improvements

**Dimensions shown are approximate*



Unique Features



What is a Green Bike Box?



Schedule, Cost & Funding



Funding

\$770k estimate

RSTPX – 470k

Measure D – 300k

Schedule

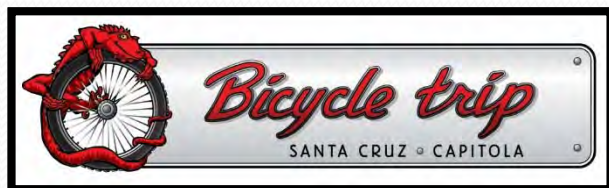
Advertise Project estimate

Award Contract

Construct Project

Enjoy

Outreach & Collaboration



Thanks for your input!

[illegible]



**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION
COMMISSION
MEASURE D FUND**

FINANCIAL STATEMENTS
June 30, 2020

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
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June 30, 2020

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INDEPENDENT AUDITORS' REPORT

Citizen Oversight Committee
Santa Cruz County Regional Transportation Commission
Santa Cruz, California

We have audited the accompanying financial statements of the Measure D Fund of Santa Cruz County Regional Transportation Commission, as of and for the fiscal year ended June 30, 2020, and the related notes to the financial statements, as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Measure D Fund of Santa Cruz County Regional Transportation Commission, as of June 30, 2020, and the changes in financial position thereof for the fiscal year then ended in accordance with accounting principles generally accepted in the United States of America.

Emphasis of Matter

As discussed in Note 1, the financial statements present only the Measure D Fund and do not purport to, and do not present fairly the financial position of the Santa Cruz County Regional Transportation Commission, as of June 30, 2020, the changes in its financial position for the fiscal year then ended in accordance with accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to this matter.

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis on pages 3 through 15 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, of the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise the Measure D Fund of Santa Cruz County Regional Transportation Commission's financial statements. The supplementary information listed in the table of contents are presented for purposes of additional analysis and are not a required part of the financial statements.

The supplementary information, listed in the table of contents, are the responsibility of management and was derived from and relate directly to the underlying accounting and other records used to prepare the financial statements. Such information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Moss, Remy & Hartshorn LLP

Santa Maria, California
March 12, 2021

Management Discussion and Analysis

I. INTRODUCTION

Measure D is a comprehensive and inclusive package of transportation investments passed in November 2016 by over two-thirds (2/3) of Santa Cruz County voters. This ½-cent transactions and use tax guarantees a steady, direct source of local funding to every city and the County of Santa Cruz for local street and road maintenance, bicycle, pedestrian, and safety projects; to Santa Cruz METRO and Community Bridges-Lift Line for transit and paratransit services for seniors and people with disabilities, as well as providing funds to implement priority highway, trail, and other essential transportation projects and programs throughout the county. Measure D went into effect on April 1, 2017. After costs for administration and implementation of the Measure are accounted for, funds are distributed by the formula set forth in the Expenditure Plan for Measure D to the following investment categories:



1. 30% - Neighborhood Projects (Cities & County)
2. 25% - Highway Corridor
3. 20% - Transit/Paratransit (SCMTD and Community Bridges)
4. 17 - Active Transportation
5. 8% - Rail Corridor

The RTC is the administrator of Measure D. A five-year program of projects showing how recipient agencies plan to use Measure D funds is updated and adopted annually by each agency receiving Measure D revenues. An annual audit of receipts and expenditures of each recipient is also required; and the audited reports are reviewed by an oversight committee of five citizens. The Measure D Oversight Committee reviewed recipient agency fiscal audits and prepared an annual report of findings for FY2018/19 and will also be reviewing the FY2019/20 audits and expenditure reports, consistent with the Measure D Ordinance.

II. Measure D Funded Projects in FY2019/20

Since Measure D went into effect, the cities of Capitola, Santa Cruz, Scotts Valley, and Watsonville, the County of Santa Cruz, Santa Cruz METRO, Community Bridges, and the RTC have put Measure D funds to work - filling potholes, building new bicycle and walking paths, replacing aging buses, increasing transportation service for seniors and people with disabilities, designing major infrastructure projects, maintaining existing infrastructure,

and providing traveler information and assistance programs. The following highlights a few of the projects that were funded by Measure D in FY2019/20.

Active Transportation:

Monterey Bay Sanctuary Scenic Trail Network (MBSST)

- 1. MBSST Network Implementation:** RTC staff provided oversight and technical assistance for implementation of the Monterey Bay Sanctuary Scenic Trail Network (MBSST). This included coordination with local jurisdictions and Central Federal Lands of the Federal Highways Administration, who are implementing specific sections of the trail network.
- 2. Segment 7 - Phase 1:** The City of Santa Cruz started construction of the Rail Trail from Natural Bridges Drive to Bay Street/California Avenue (Phase 1), with the trail open for use by the end of 2020. Measure D funds match other construction fund sources.
- 3. Segment 7 - Phase 2:** The City of Santa Cruz continued working on the final design and is pursuing grants, using Measure D funds as a match, for construction of Phase 2, from Bay Street/California Avenue to the Santa Cruz Wharf.
- 4. North Coast Segment 5:** In coordination with the SCCRTC, Federal Highway Administration Central Federal Lands Highway Division (FHWA-CFLHD) continued work on design of the 7.5 mile trail from Davenport to Wilder Ranch State Park. Work on preconstruction activities, including environmental, design, right-of-way, and permitting continued in FY2019/20 and is scheduled to be completed in FY2020/21. The 5.4-mile section from Wilder Ranch to Panther/Yellowbank Beach is fully funded for construction and expected to be ready to break ground in FY2021/22, pending the release of federal funds. RTC is seeking construction grants for the remaining 2.1 mile section of the trail.
- 5. Segment 8/9, Seabright-Live Oak:** The City of Santa Cruz solicited proposals for a consultant to complete the design and environmental review of Segments 8 & 9 of the Coastal Rail Trail in FY2019/20. The



Segment 7 Rail Trail Construction in Santa Cruz

City of Santa Cruz is expected to award the contract for these services in FY2020/21. Preliminary design is funded by state and private funds. The city is pursuing grants, using Measure D funds as a match, to fully fund construction.

- 6. Segment 18, Watsonville:** Construction of the trail between Ohlone Parkway and Watsonville Slough Trail (Phase 1) started in Spring 2020. The City of Watsonville continued design for Phase 2 of the trail, between Lee Road and Ohlone and between the Slough Trail and Walker Street.

Ongoing Corridor Maintenance: RTC continued to use Measure D funds to maintain the future trail corridor, including vegetation control; hazardous tree removal; trash and dumping abatement; encampments; drainage maintenance and repairs; and clearing encroachments. RTC also initiated work to secure on-going maintenance permits to help ensure more efficient maintenance activities.

Rail Corridor

- 1. Infrastructure Preservation:** In FY2019/20, the RTC continued environmental and engineering work necessary to implement 2017 storm damage repairs to the Santa Cruz Branch Rail Line right-of-way. In addition, Measure D funds are being used to fund engineering work necessary for repair and maintenance of the railroad bridges, which was initiated in FY2019/20. Phase 1 bridge repairs are expected to begin construction in FY2020/21.
- 2.** Additional storm damage repairs, infrastructure preservation, and preventative maintenance for railroad infrastructure including railroad signals are also funded by Measure D-Rail Corridor funding.
- 3. Transit Corridor Alternatives Analysis:** Measure D funds provided a match to a Caltrans Planning grant for RTC, in partnership with Santa Cruz METRO, to conduct a more detailed analysis of potential high-capacity transit options for the rail corridor. The RTC will consider the final analysis in FY2020/21.

Highway Corridors

On February 6, 2020, the Commission amended the Measure D expenditure plan by specifying two additional sets of auxiliary lanes for the Highway 1

corridor and bus-on-shoulder improvements as a congestion reduction program.

1. Highway 1 - Auxiliary Lanes and BOS Projects: RTC is sponsoring the development of three contracts to construct five (5) new sets of auxiliary lanes and to implement a hybrid bus on shoulder (BOS) program along 7.5-miles of Highway 1 (see map below). In FY2019/20, Measure D funds were used on design and right-of-way of auxiliary lanes and bus on shoulders facilities between 41st Avenue and Soquel Drive (Phase 1), with construction scheduled to start as early as FY2021/22, pending availability of potential grant funding. The project includes a new bicycle/pedestrian bridge over Highway 1 near Chanticleer Avenue and will provide for buses to operate in auxiliary lanes and on shoulders. During FY2019/20, the RTC also initiated environmental review and preliminary engineering for the Highway 1 hybrid auxiliary lanes and bus on shoulders project between State Park Drive and Bay-Porter interchanges (Phase 2), including a new bicycle and pedestrian overcrossing at Mar Vista Avenue. In Spring 2020, the RTC initiated environmental review and design for Highway 1 hybrid auxiliary lanes and bus-on-shoulder facilities between Freedom Boulevard.-Rio Del Mar-State Park Drive (Phase 3). The Phase 3 project also includes portions of Segment 12 of the Rail Trail from State Park Drive to Rio Del Mar Boulevard, this includes replacing two rail bridges over Highway 1 in Aptos with longer bridges, in order to accommodate the width of the new auxiliary lanes.



2. Cruz511 Program: The Cruz511 program is a traveler resource and transportation demand management program. In FY2019/20, the RTC continued to provide rideshare, transit, bicycle, and traffic information to the public, primarily through the www.Cruz511.org website. In FY2019/20, staff worked with County of Santa Cruz to include county road and lane closure information directly on Cruz511.org. Staff continued to provide traffic safety messaging and active transportation mapping resources to community members throughout the county. Staff also worked with other entities to develop a more robust demand management program, including an online commute manager and rewards platform with dynamic ride matching and trip planning. Cruz511 traveler information and rideshare resources were also updated due to the global COVID-19 health crisis and local wildfires in 2020.

3. Safe on 17 and Freeway Service Patrol: Measure D continued to provide critical funding for extra California Highway Patrol (CHP) enforcement on Highway 17, through the Safe on 17 program. Measure D funds were also used to provide tow truck patrols on Highway 1 and Highway 17 during peak travel times, which work in tandem with CHP to reduce incident related congestion, improve traffic flow and safety by removing debris, assist motorists with broken down vehicles, and clear collisions and other incidents.

Neighborhood Projects

Capitola, Santa Cruz, Scotts Valley, Watsonville, and County public works departments repaired several local roads and improved bicycle and pedestrian facilities throughout the county during FY2019/20.

1. County of Santa Cruz:

- Roadway Resurfacing:
The County used Measure D funds to finish resurfacing 5.75 miles of roads in the Live Oak, Ben Lomond, Rio Del Mar, and Bonny Doon areas.
- The County also used Measure D funds for design of 6.26 miles of roads in Felton, Seacliff, and Lakeview Road north of Highway 129 that are scheduled to be resurfaced in FY2020/21.

Measure D-funded road resurfacing – Love Creek Road, Ben Lomond - Before & after



2. City of Capitola:

- Park Avenue Sidewalk:
Capitola used Measure D funds to construct approximately 1,600 feet of new sidewalk, new crosswalks, new curb ramps and updated pavement markings.
- Brommer Street
Improvements: Capitola completed design and started construction of this “complete streets” improvement project using Measure D and grant funds. The project includes approximately 1,000 feet of new rehabilitated roadway, new sidewalks, updated pavement markings, new bicycles loop detectors, and a green bike box.

3. City of Santa Cruz:

- FY2019/20 Overlay Program: The City of Santa Cruz completed overlays of Broadway, California Street and Soquel Avenue Project, including new curb ramps and green bike lanes along portions of these arterial streets.
- River Street and Water Street Overlay Projects: The City of Santa Cruz completed overlays of these streets, including green, buffered and protected bike lanes and new accessible curb ramps and crosswalks. The project utilized Cold-In-Place Asphalt Recycling which reuses much of the old pavement. Old pavement not used on River and Water Streets was used as base for Segment 7 Phase 1 of the Rail Trail, saving money and resources.



*City of Santa Cruz Repaving and green bike lanes
– Water Street*

4. City of Scotts Valley

- East Glenwood Preserve Trails: Scott's Valley completed construction of new trails and ADA improvements at Siltanen Park, K Street, and Glenwood East Trails using Measure D funds.
- Glenwood Drive: Scott's Valley started construction of new bike lanes from K Street to city limits, including pavement repair.

5. City of Watsonville:

- Bicycle Safety Improvements: Watsonville continued to contract with Ecology Action for Bicycle Safety Training in Watsonville elementary schools and with Bike Santa Cruz County to implement their Earn-A-Bike program at Pajaro Valley High School and with middle school students. Education programs integrated online resources and trainings in response to the COVID-19 health crisis and transition to online school.
- Lee Road Trail: Watsonville prepared design and environmental documents for the project.
- Trail Maintenance and Improvements: Watsonville prepared designs for trail repair and maintenance projects.
- Pedestrian & Traffic Safety: Watsonville implemented pedestrian safety programs, sidewalk repairs, and design for traffic signals.

- Pavement Projects: Watsonville prepared design documents for Green Valley Road Improvements Project from Freedom Boulevard to City limits.

6. Highway 17 Wildlife Crossing

Caltrans prepared design plans for the Highway 17 Wildlife Crossing, using State Highway Operation and Protection Program (SHOPP) funds. \$5 million from Measure D will be used for construction of the project and financing needed to accelerate delivery in FY2021/22. The Land Trust of Santa Cruz County raised funds needed to match Measure D funds allocated to the project. This project is under the Neighborhood investment category but is regional in nature so the funds flow through the RTC.

7. Highway 9 Corridor Investments

During FY2019/20, Measure D funds were provided to the County of Santa Cruz to make improvements to Farmer Street in Felton, which bicycles and pedestrians are encouraged to use as an alternative to Highway 9. Building off the community-based “complete streets” corridor plan, Caltrans and RTC used Measure D funds in FY2019/20 to conduct more detailed engineering analysis of priority projects for implementation in the corridor, including new pedestrian facilities on Highway 9 between downtown Felton and SLV High School. RTC entered into a Cooperative Agreement with Caltrans to prepare a Project Initiation Document (PID) for the “complete streets” elements identified in the plan. Measure D revenues will be used to fund this PID and, once it is complete, to leverage grants for priority projects. This project is under the Neighborhood investment category but is regional in nature so the funds flow through the RTC. Projects are currently being implemented by Caltrans and the County of Santa Cruz, in partnership with RTC.

Transit/Paratransit

1. Santa Cruz Metro:

- METRO used Measure D funding to retrofit 10 hybrid diesel-electric buses for the Highway 17 Express fleet, replacing 2003 CNG buses, which are beyond their useful life.
- METRO purchased four Compressed Natural Gas (CNG) buses which will improve fleet reliability and reflect METRO’s continued commitment to the transition to clean air buses.
- METRO is acquiring four electric buses for its fixed-route buses to replace aging vehicles with more efficient and cleaner running

equipment. The new vehicles include METRO's first four electric buses and six replacement CNG buses.

- Acquisition of new vehicles was made possible because of Measure D funds used to leverage state and federal grants.

2. Lift Line Community Bridges:

- Lift Line continued its expanded services seven days per week utilizing two additional drivers. For the first three quarters of FY2019/20, Lift Line provided 4,069 additional rides funded through Measure D.
- Community Bridges/Lift Line installed fast charging equipment for Electric Vehicles and has started



upgrading their new 9,000 sf operation/maintenance facility financed with Measure D funds. Community Bridges/ Lift Line received title of this new facility, located at 545 Ohlone Parkway in Watsonville, at the end of last FY. In October of 2019, the driving and maintenance departments started operation out of the new facility. Lift Line plans to move the remainder of its departments into the new facility by the end of 2020. Lift Line is currently in the permit process for drainage and paving permits which will allow for additional fleet parking. Work will start as soon as the permits are approved.

III. FINANCIAL STATEMENTS

The financial statements of Measure D are on accrual basis, as the revenues are recorded when earned and expenses are recognized when incurred. Measure D, the Fund, is divided into seven subfunds – Measure D – Distribution, Administration and Implementation, Neighborhood, Highway Corridor, Transit/Para-transit, Active Transportation, and Rail Corridor subfunds. The Neighborhood Subfund has two additional subfunds to account for the San Lorenzo Valley Highway 9 Corridor Improvements and Highway 17 Wildlife Crossing Expenditure Plan projects.

Measure D – Distribution Subfund is a place holder where all Measure D proceeds are deposited prior to the distribution to other subfunds. This is the place to find total proceeds from the California Department of Tax and Fee

Administration (formerly the Board of Equalization) and the amounts distributed to the Administration and Implementation; and to each of the five Investment Categories. Each subfund earns and keeps any interest earned due to the time lag between the deposit to the subfunds and the payment to recipients.

The interfund transactions were eliminated on the Statement of Net Position (page 16); and on the Changes in Net Position Statement (page 17). The revenues represent actual Measure D proceeds received and any interest earned; the expenses are actual payments sent to recipients or vendors – these are not inter-fund payments. Funds not actually paid (which have not left the Measure D Fund) comprise the Net Position.

IV. FINANCIAL HIGHLIGHTS

Financial Statements

The Statement of Net Position shows a cash balance of \$26,478,572 and a net position of \$27,032,542 on 6/30/2020. The total liability of \$3,849,649 is the sum of the accounts payable and represents the amount owed to actual recipients of Measure D and outside vendors.

In FY 2019/20 Measure D revenue totaled \$21,714,292 (page 17) and they earned \$458,709 in interest for total revenues of \$22,173,001. Total expenses amounted to \$15,056,704 over the same 12 months through 6/30/2020. The net position, except for \$9,222, is restricted to related subfunds and is not available for distribution. The \$9,222 balance is the cumulative interest earned by Measure D and will be credited to the cash balance of the respective programs.

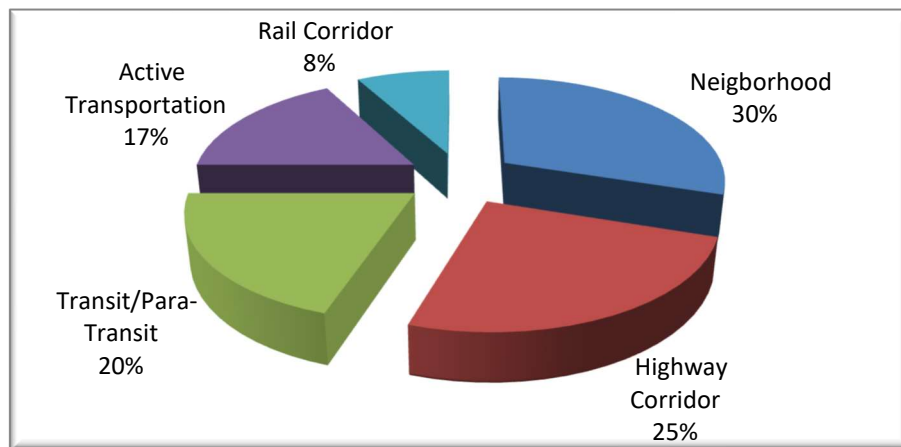
Subfunds Financial Statements

The monthly receipts from California Department of Tax and Fee Administration are accounted for in Measure D – Distribution Subfund. These receipts were all (100%) distributed to the Administration & Implementation Subfund and the Five Investment Category subfunds according to a pre-determined allocation formula, which is prescribed in Measure D. The revenues equal expenses in Measure D – Distribution Subfund; and any net position is the cumulative interest earned by the cash receipts prior to distribution. This net position is unrestricted and is available for distribution to other subfunds. Below is the FY 2019/20 receipts distribution schedule and the graphical presentation of the distribution with and without the Administration & Implementation subfund in the mix:

**Measure D Allocations
FY 2019/20 Ending 6/30/2020**

	<u>With Administration & Implementation</u>		<u>Without Administration & Implementation</u>	
Administration Salaries & Benefits	\$ 213,139	1%		
Implementation & Overhead	507,709	2%		
Neighborhood	6,177,910	29%	\$ 6,177,910	30%
Highway Corridor	5,148,258	24%	5,148,258	25%
Transit/Para-Transit	4,118,607	19%	4,118,607	20%
Active Transportation	3,500,815	16%	3,500,815	17%
Rail Corridor	1,647,442	8%	1,647,442	8%
TOTAL	\$21,313,880	100%	\$20,593,032	100%

Graph 1 – Measure D Allocations Without Adm. & Imp.



The total receipts of \$21,313,880 are 1% below projection in each investment subfund except for Administration & Implementation, which received 7% less than budgeted as shown below:

Measure D Receipts Compared to Budget

FY 2019/20 Ending 6/30/2020

	<u>Receipts</u>	<u>Budget</u>	<u>Over Budget*</u>	<u>(%)</u>
Administration & Implementation	\$ 720,848	\$ 776,732	\$ -55,884	-7%
Neighborhood	6,177,910	6,251,173	-73,263	-1%
Highway Corridor	5,148,258	5,209,310	-61,052	-1%
Transit/Para-Transit	4,118,607	4,167,448	-48,841	-1%
Active Transportation	3,500,815	3,542,331	-41,516	-1%
Rail Corridor	1,647,442	1,666,979	-19,537	-1%
TOTAL	\$21,313,880	\$21,613,973	\$ -300,093	-1.4%

* Excess of Receipts over Distribution

Payments to Neighborhood and Transit/Para-transit recipients were made monthly while disbursements to regional projects (Highway Corridor, Rail/Trail, and Rail Corridor) were based on presentation of invoices or other evidentiary cost documentation. Highway 9 Complete Streets Investment Plan and Highway 17 Wildlife Overcrossing are in the Neighborhood Subfund, but they are regional projects and funds are managed by the RTC. \$55,516 was expended in FY 2019/20 for Highway 9 and \$1,806 was expended in FY 2019/20 for the Highway 17 project.

Absent, Highway 9 and Highway 17, Measured D receipts would equal expenditures in the Neighborhood. The equality of receipts and expenditures also exists in Transit/Para-transit subfund because these are pass-through funds and monthly payments are automatic provided that the recipients are in compliance with Measure D requirements. Schedule 1 (page 28) in the Supplemental Information/Schedule Section presents the detailed monthly distribution of Measure D.

Highway 9, Highway 17, Highway Corridor, and Active Transportation (Rail/Tail - MBSST) all show high fund balances because construction works have not commenced. The following is a condensed revenues, expenditures, and change in fund balance statements:

MEASURE D
CHANGES IN NET POSITION
FY 2019-2020
PERIOD ENDING 6-30-2020

	Unallocated	Admin	Neighborhood	SR9 SLV	Hwy 17 Wild	Highway	Transit	Active Transportation	Rail	TOTAL
REVENUES										
Tax Revenues	\$ -	\$ 720,848	\$ 5,677,910	\$ 333,333	\$ 166,667	\$ 5,148,258	\$ 4,118,607	\$ 3,500,815	\$ 1,647,442	\$ 21,313,880
Interest	(485)	14,945	139	16,147	8,475	239,694	2,351	131,115	46,328	458,709
Other Revenues									400,412	400,412
TOTAL REVENUES	(485)	735,793	5,678,049	349,480	175,142	5,387,952	4,120,958	3,631,930	2,094,182	22,173,001
EXPENDITURES										
Admin/Impl		546,035								546,035
Neighborhood			5,677,910							5,677,910
SLV/SR9				52,516						52,516
Highway 17 Wildlife Overcrossing					1,806					1,806
Highway Corr						1,340,086				1,340,086
Transit/Para Transit							4,120,958			4,120,958
Active Transp								1,162,230		1,162,230
Rail Corridor									2,155,163	2,155,163
TOTAL EXPENDITURES		546,035	5,677,910	52,516	1,806	1,340,086	4,120,958	1,162,230	2,155,163	15,056,704
EXCESS OF REVENUES OVER EXPENDITURES	(485)	189,758	139	296,964	173,336	4,047,866		2,469,700	(60,981)	7,116,297
Beginning Net Position	9,707	629,457	3,318	727,711	381,356	10,585,769	313	5,710,728	1,867,887	19,916,245
ENDING NET POSITION	\$ 9,222	\$ 819,215	\$ 3,457	\$ 1,024,676	\$ 554,691	\$ 14,633,635	\$ 313	\$ 8,180,428	\$ 1,806,906	\$ 27,032,542

V. FINANCIAL ISSUES AND CONCERNS

What it will take to start larger projects: While pay-as-you-go financing is the preferred method for delivery of projects, the RTC is working to accelerate delivery of most projects. Measure D revenues are intended to be used to leverage other grants. The RTC, Caltrans and local agencies anticipate using Measure D funds to leverage new state grants available thanks to SB-1 transportation funding. In order to accelerate delivery of some larger projects, RTC will be evaluating a range of financing options, starting with inter-program loans. The RTC approved a Strategic Implementation Plan in February 2020 which includes policies and long-range cash flow models with relatively conservative revenue projections.

VI. COMPLIANCE WITH MEASURE D ORDINANCE

In FY2019/20, the RTC and recipient agencies demonstrated that they implemented Measure D in accordance with the Measure D Ordinance and Expenditure Plan, as well as agreements and guidelines established by the RTC for recipient agencies. Recipients provided expenditure reports and audited financials showing how Measure D funds were spent and provided information to demonstrate compliance with the Ordinance, including Maintenance of Effort and public outreach.

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF NET POSITION
June 30, 2020

ASSETS

Cash in county treasury	\$ 26,478,572
Accounts receivable	<u>4,403,619</u>
Total assets	<u>30,882,191</u>

LIABILITIES

Accounts payable	<u>3,849,649</u>
Total liabilities	<u>3,849,649</u>

NET POSITION

Restricted for distribution	27,023,320
Unrestricted	<u>9,222</u>
Total net position	<u><u>\$ 27,032,542</u></u>

See accompanying notes to financial statements.

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF CHANGES IN NET POSITION
For the Fiscal Year Ended June 30, 2020

Revenues:

State Board of Equalization - sales tax apportionment	\$ 21,313,880
Interest	458,709
Other	<u>400,412</u>
Total revenues	<u>22,173,001</u>

Expenses:

Salaries and benefits	185,691
Services and supplies	936,997
Consultant services	79,545
Claimants:	
City of Capitola	312,095
City of Santa Cruz	1,292,099
City of Scotts Valley	267,880
City of Watsonville	869,823
County of Santa Cruz	2,954,167
Santa Cruz County Regional Transportation Commission	4,037,449
Community Bridges	824,211
Santa Cruz Metropolitan Transit District	<u>3,296,747</u>
Total expenses	<u>15,056,704</u>
Change in net position	7,116,297
Net position, beginning of fiscal year	<u>19,916,245</u>
Net position, end of fiscal year	<u><u>\$ 27,032,542</u></u>

See accompanying notes to financial statements.

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
NOTES TO FINANCIAL STATEMENTS
June 30, 2020

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Reporting Entity

The Measure D Fund (the Fund) was established as an expendable trust fund of Santa Cruz County Regional Transportation Commission (the Commission). The purpose of the trust fund is to accumulate revenues transmitted to the Commission by the State of California derived from a ½ cent of the general sales tax collected within Santa Cruz County.

The Commission is the Regional Transportation Planning Agency which is responsible for apportionments from the Measure D Fund.

The financial statements present only the activity of the Measure D Fund, and are not intended to present the financial position and changes in financial position of Santa Cruz County Regional Transportation Commission, in conformity with accounting principles generally accepted in the United States of America.

B. Basis of Accounting

The financial statements have been prepared on the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when a liability is incurred. Interest income is allocated quarterly to the fund by the Treasurer of Santa Cruz County.

C. Cash and County Treasury

The Measure D Fund holds its cash in the County of Santa Cruz Treasury. The County maintains a cash and investment pool, and allocates interest to the various funds based upon the average daily cash balances. Information regarding categorization of investments can be found in the County of Santa Cruz's basic financial statements.

D. Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

NOTE 2 - CASH AND INVESTMENTS

Custodial credit risk is the risk that in the event of a bank failure, the Fund's deposits may not be returned to it. The Fund does not have a formal deposit policy for custodial credit risk in addition to the California Government Code collateral requirements. Cash balances held in banks are insured up to \$250,000 by the Federal Depository Insurance Corporation. All deposits held by financial institutions are fully insured or collateralized with securities, held by the pledging financial institutions' trust departments in the Fund's name.

Investments at June 30, 2020, consist of the following:

Pooled Investment Funds:	
Cash in county treasury	<u>\$ 26,478,572</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION

MEASURE D FUND

NOTES TO FINANCIAL STATEMENTS

June 30, 2020

NOTE 2 - CASH AND INVESTMENTS (Continued)

The Fund categorizes its fair value measurements within the fair value hierarchy established by accounting principles generally accepted in the United States of America. The hierarchy is based on the valuation inputs used to measure the fair value of the asset. Level 1 inputs are quoted prices in active markets for identical assets; Level 2 inputs are significant other observable inputs; Level 3 inputs are significant unobservable inputs. The Fund's investments are in the Santa Cruz County Investment Pool which is an external investment pool that is valued under Level 2.

Cash in County Treasury

The fund maintains a portion of its cash in the Santa Cruz County Treasury, an external investment pool. The County pools these funds with those of other governmental entities in the county and invests the cash. These pooled funds are carried at fair value. Interest is earned based on average daily balance and is deposited quarterly into participating funds. Any investment gains and losses are proportionately shared by all funds in the pool. The County is restricted by the California Government Code to invest in obligations issued by the United States Treasury; obligations, participations, or other instruments of or issued by a federal agency or a United States government sponsored enterprise; obligations of state and local agencies of this State; bankers' acceptances; commercial paper; negotiable certificates of deposit; repurchase agreements; medium-term corporate notes; as well as other investments established by the California Government Code. The County's report discloses the required information in accordance with Governmental Accounting Standards Board Statement Nos. 3 and 40. Pooled investments are not required to be categorized by risk category.

Interest Rate Risk

The Fund does not have a formal investment policy that limits investment maturities as a means of managing its exposure to fair value losses arising from increasing interest rates.

Credit Risk

State law limits investments in commercial paper, corporate bonds, and mutual bond funds to the top two ratings issued by nationally recognized statistical rating organizations. The Fund has no investment policy that would further limit its investment choices. Santa Cruz County Treasury pooled investment funds are unrated.

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SUPPLEMENTARY INFORMATION



INDEPENDENT AUDITOR'S REPORT ON MEASURE D COMPLIANCE

Citizen Oversight Committee
Santa Cruz County Regional Transportation Commission
Santa Cruz, California

We have audited the financial statements of the Measure D Fund of the Santa Cruz County Regional Transportation Commission's (the Commission) compliance with the types of compliance requirements described in *Ordinance No. 2016-01* (Measure D), applicable for the fiscal year ended June 30, 2020.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to the Measure D.

Auditors' Responsibility

Our responsibility is to express an opinion on the Commission's compliance based on our audit of the compliance with applicable statutes, rules and regulations of Measure D. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and *Ordinance No. 2016-01*. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the compliance requirements referred to above that could have a direct and material effect on the state laws and regulations applicable to the Fund occurred. An audit includes examining, on a test basis, evidence about the Fund's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance. However, our audit does not provide a legal determination of the Commission's compliance.

Opinion on Compliance with Measure D

In our opinion, the funds allocated to and received by Santa Cruz County Regional Transportation Commission pursuant to Measure D, complied, in all material respects, with the compliance requirements referred to above that are applicable to the statutory requirements of Measure D of Santa Cruz County Regional Transportation Commission for the fiscal year ended June 30, 2020.

Purpose of this Report

This report is intended solely for the information and use of the Citizen Oversight Committee, management of the Santa Cruz County Regional Transportation Commission and for filing with the appropriate regulatory agencies and is not intended to be and should not be used by anyone other than these specified parties.

Moss, Levy & Hartzheim LLP

Santa Maria, California
March 12, 2021

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF NET POSITION BY ALLOCATION
June 30, 2020

	<u>Unallocated</u>	<u>Admin</u>	<u>Neighborhood</u>	<u>Highway Corridor</u>
ASSETS				
Cash and investments	\$ 875,458	\$ 701,262	\$ 1,499,642	\$ 13,874,270
Accounts receivable	4,241,602			138,852
Due from other sub funds	<u>500</u>	<u>124,760</u>	<u>1,218,534</u>	<u>1,138,110</u>
Total assets	<u>5,117,560</u>	<u>826,022</u>	<u>2,718,176</u>	<u>15,151,232</u>
LIABILITIES				
Accounts payable	1,006,724	6,307	1,052,019	517,598
Due to other sub funds	<u>4,101,614</u>	<u>500</u>	<u>83,333</u>	
Total liabilities	<u>5,108,338</u>	<u>6,807</u>	<u>1,135,352</u>	<u>517,598</u>
NET POSITION				
Restricted for distribution		819,215	1,582,824	14,633,634
Unrestricted	<u>9,222</u>			
Total net position	<u>\$ 9,222</u>	<u>\$ 819,215</u>	<u>\$ 1,582,824</u>	<u>\$ 14,633,634</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF NET POSITION BY ALLOCATION (Continued)
June 30, 2020

	<u>Transit</u>	<u>Active Transportation</u>	<u>Rail Corridor</u>	<u>Total Measure D</u>
ASSETS				
Cash and investments	\$ 2,664	\$ 7,569,721	\$ 1,955,555	\$ 26,478,572
Accounts receivable		3,163	20,002	4,403,619
Due from other sub funds	<u>756,800</u>	<u>643,780</u>	<u>303,463</u>	<u>4,185,947</u>
Total assets	<u>759,464</u>	<u>8,216,664</u>	<u>2,279,020</u>	<u>35,068,138</u>
LIABILITIES				
Accounts payable	759,151	36,236	471,614	3,849,649
Due to other sub funds	<u></u>	<u></u>	<u>500</u>	<u>4,185,947</u>
Total liabilities	<u>759,151</u>	<u>36,236</u>	<u>472,114</u>	<u>8,035,596</u>
NET POSITION				
Restricted for distribution	313	8,180,428	1,806,906	27,023,320
Unrestricted	<u></u>	<u></u>	<u></u>	<u>9,222</u>
Total net position	<u>\$ 313</u>	<u>\$ 8,180,428</u>	<u>\$ 1,806,906</u>	<u>\$ 27,032,542</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF CHANGES IN NET POSITION BY ALLOCATION
For the Fiscal Year Ended June 30, 2020

	Unallocated	Admin	Neighborhood	Highway Corridor
Revenues:				
State Board of Equalization - sales tax	\$ -	\$ 720,848	\$ 6,177,910	\$ 5,148,258
Interest	(485)	14,945	24,761	239,694
Other				
Total revenues	(485)	735,793	6,202,671	5,387,952
Expenses:				
Salaries and benefits		185,691		
Services and supplies		20,380	13,395	337,149
Consultant services		17,500	40,927	
Claimants:				
City of Capitola			312,095	
City of Santa Cruz			1,292,099	
City of Scotts Valley			267,880	
City of Watsonville			869,481	
County of Santa Cruz			2,936,355	
Santa Cruz County Regional Transportation Commission		322,464		1,002,937
Community Bridges				
Santa Cruz Metropolitan Transit District				
Total expenses		546,035	5,732,232	1,340,086
Change in net position	(485)	189,758	470,439	4,047,866
Net position - beginning of fiscal year	9,707	629,457	1,112,385	10,585,768
Net position - end of fiscal year	\$ 9,222	\$ 819,215	\$ 1,582,824	\$ 14,633,634

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF CHANGES IN NET POSITION BY ALLOCATION (Continued)
For the Fiscal Year Ended June 30, 2020

	<u>Transit</u>	<u>Active Transportation</u>	<u>Rail Corridor</u>	<u>Total Measure D</u>
Revenues:				
State Board of Equalization - sales tax	\$ 4,118,607	\$ 3,500,815	\$ 1,647,442	\$ 21,313,880
Interest	2,351	131,115	46,328	458,709
Other			400,412	400,412
Total revenues	<u>4,120,958</u>	<u>3,631,930</u>	<u>2,094,182</u>	<u>22,173,001</u>
Expenses:				
Salaries and benefits				185,691
Services and supplies			566,073	936,997
Consultant services			21,118	79,545
Claimants:				
City of Capitola				312,095
City of Santa Cruz				1,292,099
City of Scotts Valley				267,880
City of Watsonville		342		869,823
County of Santa Cruz		17,812		2,954,167
Santa Cruz County Regional Transportation Commission		1,144,076	1,567,972	4,037,449
Community Bridges	824,211			824,211
Santa Cruz Metropolitan Transit District	<u>3,296,747</u>			<u>3,296,747</u>
Total expenses	<u>4,120,958</u>	<u>1,162,230</u>	<u>2,155,163</u>	<u>15,056,704</u>
Change in net position		2,469,700	(60,981)	7,116,297
Net position - beginning of fiscal year	<u>313</u>	<u>5,710,728</u>	<u>1,867,887</u>	<u>19,916,245</u>
Net position - end of fiscal year	<u>\$ 313</u>	<u>\$ 8,180,428</u>	<u>\$ 1,806,906</u>	<u>\$ 27,032,542</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
SUMMARY OF OUTGO BY PROJECT
For the Fiscal Year Ended June 30, 2020

	<u>Unallocated</u>	<u>Admin</u>	<u>Neighborhood</u>	<u>Highway Corridor</u>
Expenses:				
Salaries and benefits	\$ -	\$ 185,691	\$ -	\$ -
Services and supplies		20,380		337,149
Consultant services		17,500		
City of Capitola			312,095	
City of Santa Cruz			1,292,099	
City of Scotts Valley			267,880	
City of Watsonville			869,481	
County of Santa Cruz			2,936,355	
Commission oversight and coordination		322,464		
SR9 SLV			52,516	
Highway 17 wildlife overcrossing			1,806	
Corridor encroachment & maintenance				
North Coast- Segment 5				
Highway 1				879,476
Cruz 511				38,133
FSP				63,823
Community Bridges				
MBSST- City of Watsonville				
MBSST- Segment 18				
Coastal Rail Trail - Segment 7,8,9				
TCAA				
Storm damage				
Bridge repairs and maintenance				
Rail preservation				
Santa Cruz Metropolitan Transit District				
SAFE				21,505
	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Total expenses	\$ -	\$ 546,035	\$ 5,732,232	\$ 1,340,086

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
SUMMARY OF OUTGO BY PROJECT (Continued)
For the Fiscal Year Ended June 30, 2020

	<u>Transit</u>	<u>Active Transportation</u>	<u>Rail Corridor</u>	<u>Total Measure D</u>
Expenses:				
Salaries and benefits	\$ -	\$ -	\$ -	\$ 185,691
Services and supplies			566,073	923,602
Consultant services			21,118	38,618
City of Capitola				312,095
City of Santa Cruz				1,292,099
City of Scotts Valley				267,880
City of Watsonville				869,481
County of Santa Cruz		17,812		2,954,167
Commission oversight and coordination		601,063		923,527
SR9 SLV				52,516
Highway 17 wildlife overcrossing				1,806
Corridor encroachment & maintenance		221,016		221,016
North Coast- Segment 5		234,067		234,067
Highway 1				879,476
Cruz 511				38,133
FSP				63,823
Community Bridges	824,211			824,211
MBSST- City of Watsonville		342		342
MBSST- Segment 18		25,938		25,938
Coastal Rail Trail - Segment 7,8,9		61,992		61,992
TCAA			414,151	414,151
Storm damage			257,327	257,327
Bridge repairs and maintenance			578,565	578,565
Rail preservation			317,929	317,929
Santa Cruz Metropolitan Transit District	3,296,747			3,296,747
SAFE				21,505
	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Total expenses	\$ 4,120,958	\$ 1,162,230	\$ 2,155,163	\$ 15,056,704

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
SUMMARY OF REVENUE ALLOCATION BY MONTH - CASH BASIS
For the Fiscal Year Ended June 30, 2020

	July	August	September	October	November	December	January
Revenues							
Gross	\$ 2,191,713	\$ 1,401,060	\$ 1,983,801	\$ 2,310,259	\$ 1,613,584	\$ 1,827,168	\$ 1,879,525
Board of Equalization Fees		(62,760)			(62,760)		
Net Sales Tax	<u>2,191,713</u>	<u>1,338,300</u>	<u>1,983,801</u>	<u>2,310,259</u>	<u>1,550,824</u>	<u>1,827,168</u>	<u>1,879,525</u>
Administration & Implementation							
Admin Salaries & Benefits	21,917	13,383	19,838	23,102	15,508	18,272	18,795
Overhead Admin	20,164	12,312	18,251	21,254	14,268	16,810	17,292
Implementation & Oversight	9,856	9,856	9,856	9,856	9,856	9,856	9,856
Services & Supplies	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>
Subtotal	<u>66,937</u>	<u>50,551</u>	<u>62,945</u>	<u>69,212</u>	<u>54,632</u>	<u>59,938</u>	<u>60,943</u>
Amount to Distribute to Investment Categories	<u>\$ 2,124,776</u>	<u>\$ 1,287,749</u>	<u>\$ 1,920,856</u>	<u>\$ 2,241,047</u>	<u>\$ 1,496,192</u>	<u>\$ 1,767,230</u>	<u>\$ 1,818,582</u>
Investment Categories							
Neighborhood							
SLV SR9	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,777	\$ 27,778	\$ 27,778	\$ 27,778
HWY 17 Wildlife	13,889	13,889	13,889	13,889	13,889	13,889	13,889
City of Capitola	33,020	19,103	29,630	34,954	22,568	27,075	27,929
City of Santa Cruz	135,600	78,446	121,675	143,539	92,679	111,186	114,692
City of Scotts Valley	27,721	16,037	24,874	29,344	18,946	22,730	23,447
City of Watsonville	91,141	52,726	81,782	96,477	62,292	74,732	77,088
County of Santa Cruz	<u>308,285</u>	<u>178,346</u>	<u>276,629</u>	<u>326,334</u>	<u>210,705</u>	<u>252,780</u>	<u>260,752</u>
Total Neighborhood	<u>637,434</u>	<u>386,325</u>	<u>576,257</u>	<u>672,314</u>	<u>448,857</u>	<u>530,170</u>	<u>545,575</u>
Highway Corridors							
Highway Corridors	<u>531,194</u>	<u>321,937</u>	<u>480,214</u>	<u>560,262</u>	<u>374,048</u>	<u>441,808</u>	<u>454,646</u>
Transit/Paratransit							
Santa Cruz Metro	339,964	206,040	307,337	358,567	239,391	282,757	290,973
Community Bridges	<u>84,991</u>	<u>51,510</u>	<u>76,834</u>	<u>89,642</u>	<u>59,848</u>	<u>70,689</u>	<u>72,743</u>
Total Transit/Paratransit	<u>424,955</u>	<u>257,550</u>	<u>384,171</u>	<u>448,209</u>	<u>299,239</u>	<u>353,446</u>	<u>363,716</u>
Active Transportation							
Active Transportation	<u>361,212</u>	<u>218,917</u>	<u>326,546</u>	<u>380,978</u>	<u>254,353</u>	<u>300,429</u>	<u>309,159</u>
Rail Corridor							
Rail Corridor	<u>169,982</u>	<u>103,020</u>	<u>153,668</u>	<u>179,284</u>	<u>119,695</u>	<u>141,378</u>	<u>145,487</u>
Amount Distributed to Investment Categories	<u>\$ 2,124,777</u>	<u>\$ 1,287,749</u>	<u>\$ 1,920,856</u>	<u>\$ 2,241,047</u>	<u>\$ 1,496,192</u>	<u>\$ 1,767,231</u>	<u>\$ 1,818,583</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
SUMMARY OF REVENUE ALLOCATION BY MONTH- CASH BASIS (Continued)
For the Fiscal Year Ended June 30, 2020

	February	March	April	May	June	Total
Revenues						
Gross	\$ 2,425,338	\$ 1,584,808	\$ 1,481,589	\$ 922,763	\$ 1,550,204	\$ 21,171,812
Board of Equalization Fees	(62,760)			(48,400)		(236,680)
Net Sales Tax	2,362,578	1,584,808	1,481,589	874,363	1,550,204	20,935,132
Administration & Implementation						
Admin Salaries & Benefits	23,626	15,848	14,816	8,744	15,502	209,351
Overhead Admin	25,752	17,274	16,149	9,531	16,897	205,954
Implementation & Oversight	9,856	9,856	9,856	9,856	9,855	118,271
Services & Supplies	15,000	15,000	15,000	15,000	15,000	180,000
Subtotal	74,234	57,978	55,821	43,131	57,254	713,576
Amount to Distribute to Investment Categories	<u>\$ 2,288,344</u>	<u>\$ 1,526,830</u>	<u>\$ 1,425,768</u>	<u>\$ 831,232</u>	<u>\$ 1,492,950</u>	<u>\$ 20,221,556</u>
Investment Categories						
Neighborhood						
SLV SR9	\$ 27,777	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,777	\$ 333,333
HWY 17 Wildlife	13,889	13,889	13,889	13,889	13,888	166,667
City of Capitola	35,740	23,078	21,398	11,512	22,515	308,522
City of Santa Cruz	146,768	94,771	87,870	47,274	92,457	1,266,957
City of Scotts Valley	30,004	19,374	17,963	9,664	18,901	259,005
City of Watsonville	98,648	63,699	59,060	31,775	62,144	851,564
County of Santa Cruz	333,676	215,461	199,772	107,478	210,201	2,880,419
Total Neighborhood	686,502	458,050	427,730	249,370	447,883	6,066,467
Highway Corridors						
Highway Corridors	572,086	381,707	356,442	207,808	373,237	5,055,389
Transit/Paratransit						
Santa Cruz Metro	366,135	244,293	228,123	132,997	238,872	3,235,449
Community Bridges	91,534	61,073	57,031	33,249	59,718	808,862
Total Transit/Paratransit	457,669	305,366	285,154	166,246	298,590	4,044,311
Active Transportation						
Active Transportation	389,019	259,561	242,380	141,310	253,801	3,437,665
Rail Corridor						
Rail Corridor	183,068	122,146	114,061	66,499	119,436	1,617,724
Amount Distributed to Investment Categories	<u>\$ 2,288,344</u>	<u>\$ 1,526,830</u>	<u>\$ 1,425,767</u>	<u>\$ 831,233</u>	<u>\$ 1,492,947</u>	<u>\$ 20,221,556</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
PRIOR YEAR SUMMARY OF REVENUE ALLOCATION BY MONTH - CASH BASIS
For the Fiscal Year Ended June 30, 2019

	July	August	September	October	November	December	January
Revenues							
Gross	\$ 1,873,759	\$ 1,528,779	\$ 1,904,969	\$ 2,650,591	\$ 1,641,036	\$ 1,944,488	\$ 1,885,278
Board of Equalization Fees		(71,380)			(71,380)		
Net Sales Tax	<u>1,873,759</u>	<u>1,457,399</u>	<u>1,904,969</u>	<u>2,650,591</u>	<u>1,569,656</u>	<u>1,944,488</u>	<u>1,885,278</u>
Administration & Implementation							
Admin Salaries & Benefits	18,738	14,574	19,050	26,506	15,697	19,445	18,853
Overhead Admin	17,239	13,408	17,526	24,385	14,441	17,889	17,345
Implementation & Oversight	9,855	9,856	9,855	9,856	9,856	9,856	9,856
Services & Supplies	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>
Subtotal	<u>60,832</u>	<u>52,838</u>	<u>61,431</u>	<u>75,747</u>	<u>54,994</u>	<u>62,190</u>	<u>61,054</u>
Amount to Distribute to Investment Categories	<u>\$ 1,812,927</u>	<u>\$ 1,404,561</u>	<u>\$ 1,843,538</u>	<u>\$ 2,574,844</u>	<u>\$ 1,514,662</u>	<u>\$ 1,882,298</u>	<u>\$ 1,824,224</u>
Investment Categories							
Neighborhood							
SLV SR9	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,778
HWY 17 Wildlife	13,889	13,889	13,889	13,889	13,889	13,889	13,889
City of Capitola	28,224	21,339	28,740	41,069	23,195	29,393	28,414
City of Santa Cruz	114,167	86,317	116,254	166,128	93,825	118,898	114,937
City of Scotts Valley	24,599	18,598	25,049	35,795	20,215	25,618	24,765
City of Watsonville	76,286	57,677	77,681	111,007	62,694	79,447	76,801
County of Santa Cruz	<u>258,935</u>	<u>195,771</u>	<u>263,671</u>	<u>376,787</u>	<u>212,801</u>	<u>269,666</u>	<u>260,683</u>
Total Neighborhood	<u>543,878</u>	<u>421,369</u>	<u>553,062</u>	<u>772,453</u>	<u>454,397</u>	<u>564,689</u>	<u>547,267</u>
Highway Corridors							
Highway Corridors	<u>453,232</u>	<u>351,140</u>	<u>460,884</u>	<u>643,711</u>	<u>378,666</u>	<u>470,574</u>	<u>456,056</u>
Transit/Paratransit							
Santa Cruz Metro	290,068	224,730	294,966	411,975	242,346	301,168	291,876
Community Bridges	<u>72,517</u>	<u>56,182</u>	<u>73,742</u>	<u>102,994</u>	<u>60,587</u>	<u>75,292</u>	<u>72,969</u>
Total Transit/Paratransit	<u>362,585</u>	<u>280,912</u>	<u>368,708</u>	<u>514,969</u>	<u>302,933</u>	<u>376,460</u>	<u>364,845</u>
Active Transportation							
Active Transportation	<u>308,198</u>	<u>238,775</u>	<u>313,401</u>	<u>437,723</u>	<u>257,493</u>	<u>319,991</u>	<u>310,118</u>
Rail Corridor							
Rail Corridor	<u>145,034</u>	<u>112,365</u>	<u>147,483</u>	<u>205,988</u>	<u>121,173</u>	<u>150,584</u>	<u>145,938</u>
Amount Distributed to Investment Categories	<u>\$ 1,812,927</u>	<u>\$ 1,404,561</u>	<u>\$ 1,843,538</u>	<u>\$ 2,574,844</u>	<u>\$ 1,514,662</u>	<u>\$ 1,882,298</u>	<u>\$ 1,824,224</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
PRIOR YEAR SUMMARY OF REVENUE ALLOCATION BY MONTH - CASH BASIS (Continued)
For the Fiscal Year Ended June 30, 2019

	February	March	April	May	June	Total
Revenues						
Gross	\$ 1,884,283	\$ 1,845,285	\$ 1,850,320	\$ 1,415,538	\$ 1,845,374	\$ 22,269,700
Board of Equalization Fees	(71,380)			(73,540)		(287,680)
Net Sales Tax	1,812,903	1,845,285	1,850,320	1,341,998	1,845,374	21,982,020
Administration & Implementation						
Admin Salaries & Benefits	18,129	18,452	18,503	13,419	18,454	219,820
Overhead Admin	19,760	20,114	20,168	14,628	20,115	217,018
Implementation & Oversight	9,856	9,856	9,856	9,856	9,856	118,270
Services & Supplies	15,000	15,000	15,000	15,000	15,000	180,000
Subtotal	62,745	63,422	63,527	52,903	63,425	735,108
Amount to Distribute to Investment Categories	<u>\$ 1,750,158</u>	<u>\$ 1,781,863</u>	<u>\$ 1,786,793</u>	<u>\$ 1,289,095</u>	<u>\$ 1,781,949</u>	<u>\$ 21,246,912</u>
Investment Categories						
Neighborhood						
SLV SR9	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,778	\$ 333,336
HWY 17 Wildlife	13,889	13,889	13,889	13,889	13,889	166,668
City of Capitola	27,166	27,700	27,783	19,392	27,702	330,117
City of Santa Cruz	109,886	112,048	112,384	78,442	112,054	1,335,340
City of Scotts Valley	23,676	24,142	24,216	16,902	24,144	287,719
City of Watsonville	73,426	74,871	75,096	52,415	74,875	892,276
County of Santa Cruz	249,227	254,130	254,893	177,911	254,144	3,028,619
Total Neighborhood	525,048	534,558	536,039	386,729	534,586	6,374,075
Highway Corridors						
Highway Corridors	437,539	445,466	446,698	322,274	445,487	5,311,727
Transit/Paratransit						
Santa Cruz Metro	280,025	285,098	285,887	206,255	285,112	3,399,506
Community Bridges	70,006	71,275	71,471	51,564	71,277	849,876
Total Transit/Paratransit	350,031	356,373	357,358	257,819	356,389	4,249,382
Active Transportation						
Active Transportation	297,527	302,917	303,755	219,146	302,931	3,611,975
Rail Corridor						
Rail Corridor	140,013	142,549	142,943	103,127	142,556	1,699,753
Amount Distributed to Investment Categories	<u>\$ 1,750,158</u>	<u>\$ 1,781,863</u>	<u>\$ 1,786,793</u>	<u>\$ 1,289,095</u>	<u>\$ 1,781,949</u>	<u>\$ 21,246,912</u>

Measure D Maintenance of Effort (MOE) Baseline Calculation Form: SCCRTC

Jurisdiction: Santa Cruz County Regional Transportation Commission (SCCRTC)

Calculation of Annual Average Transportation Expenditures/Baseline MOE:

Revenue Sources by Expenditure Category

L=Local non-Measure D RTC discretionary funds

Revenues/expenditures per program	FY20/21 BUDGET	FY19/20 Spent	FY18/19 Spent	FY17/18 Spent	Total Expenditure by Year		
					FY16/17	FY15/16	FY14/15
Highway Program:							
Hwy Cruz511							
Rideshare - RSTPX/STIP	\$365,959		\$134,631	\$89,783	\$226,508	\$169,020	\$151,882
Measure D-rideshare	\$250,000	\$94,939	\$41,253	\$50,000	\$0		
L Rideshare - SAFE	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000
City funds for Commute Manager		\$40,000					
L Reserves	\$57,000						
Highway 1							
RSTPX- PA/ED				\$760,922	\$2,000,000	\$882,557	
CMIA					\$194,935	\$149,637	\$888,994
RSTPX- Mar Vista	\$445,000			\$60,373	\$102,764		
RSTPX/STBG/STIP - 41st -Soquel Aux Lanes	\$809,000	\$2,545,103	\$352,752				\$3,684
STIP- Bay Porter to State Park Aux Lanes	\$769,847						
LPP- State Park to Freedom Aux Lanes	\$1,190,000						
Measure D - Hwy 1 aux lanes	\$5,711,391	\$1,170,283	\$572,267				
Other revs	\$7,950	\$84,009	\$45,390		\$8	\$121	
Hwy - SAFE							
L DMV Fees	\$257,750	\$252,190	\$283,065	\$257,230	\$260,404	\$249,512	\$246,387
L MTC contribution	\$50,000	\$50,853	\$50,000	\$48,308	\$50,000	\$42,840	\$50,000
STIP							\$9,681
L SAFE Reserve	\$62,800						
L "Transfer In"							
Measure D	\$50,000	\$21,505	\$25,000				
Other revs							\$2,636
Hwy - FSP							
L Caltrans & SB1	\$301,007	\$310,222	\$185,600		\$163,680	\$171,195	\$204,240
RSTPX					\$100,000	\$135,000	
STIP grant			\$135,910		\$83,448	\$66,552	\$135,000
Measure D	\$150,000	\$75,140	\$129,413				
Other revs							\$100
Rail							
Measure D	\$1,505,240	\$1,476,744	\$943,143	\$141,103	\$0		
L Leases	\$70,000	\$82,428	\$70,753	\$90,295	\$155,547	\$155,547	\$56,948
L "Transfer In"	\$110,000	\$110,000	\$110,000	\$110,000	\$110,000	\$110,000	\$110,000
RSTPX-grant	\$163,583	\$81,789	\$95,113				\$58,325
STIP grant							\$3,388,185
Budgeted reserves							
FEMA	\$5,381,219						
Other revs	\$0	\$6,285	\$7,153	\$11,928	\$3,153	\$3,153	\$22,791
Trail-MBSST							
Measure D	\$5,137,068	\$1,134,029	\$1,039,544	\$674,927	\$0		
RSTPX	\$300,000		\$48,942	\$42,000	\$90,000		
Land Trust & Coastal Conservancy	\$500,000	\$216,813	\$381,766	\$175,394	\$1,139,270	\$170,353	
L TDA			\$51,479				
Other revs/Grants		\$137,155	\$152,837	\$7,989	\$189,039		
Admin & Planning							
L TDA	\$1,147,062	\$1,457,976	\$1,438,584	\$1,325,634	\$1,325,634	\$1,181,350	\$1,380,283

L=Local non-Measure D RTC discretionary funds

					Total Expenditure by Year		
Revenues/expenditures per program	FY20/21 BUDGET	FY19/20 Spent	FY18/19 Spent	FY17/18 Spent	FY16/17	FY15/16	FY14/15
L RPA	\$376,518	\$297,482	\$424,392	\$233,848	\$332,334	\$337,031	\$337,000
RSTPX	\$201,155	\$83,356	\$99,997		\$97,156	\$178	
STIP Planning Programming & Monitoring	\$144,579	\$134,625	\$133,660		\$170,000	\$175,000	\$177,470
Federal PL						\$45,526	\$247,431
L "Transfer In"				\$0	\$50,000		\$50,000
Measure D	\$720,861	\$984,999	\$977,470	\$493,587	\$0		
Other revs					\$11,232	\$100,000	\$7,346
Grants	\$501,399	\$134,636	\$71,154		\$475,752	\$186,217	\$566,079
L Reserves	\$281,717						
Average Annual MOE Revenues	\$27,068,105	\$11,032,561	\$8,051,268	\$4,623,321	\$7,380,864	\$4,380,789	\$8,144,462

MOE Exclusions*	\$24,304,251	\$8,415,125	\$5,380,242	\$2,496,078	\$4,880,112	\$2,543,708	\$6,137,440
<i>Excluded special one-time grants</i>	<i>\$10,779,691</i>	<i>\$3,457,486</i>	<i>\$1,652,152</i>	<i>\$1,136,461</i>	<i>\$4,880,112</i>	<i>\$2,080,161</i>	<i>\$5,634,077</i>
<i>Excluded Measure D</i>	<i>\$13,524,560</i>	<i>\$4,957,639</i>	<i>\$3,728,090</i>	<i>\$1,359,617</i>	<i>\$0</i>	<i>\$0</i>	<i>\$0</i>
Total Annual Local Revenues	\$2,763,854	\$2,617,436	\$2,671,026	\$2,127,243	\$2,500,752	\$1,837,081	\$2,007,022

MOE Baseline (Avg of 3 years prior to Measure D)	\$2,114,952
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Source/location of supporting budget or fiscal statement documentation.

SCCRTC and Measure D Audited Financial Statements and Annual Internal Financial Statements

Certification:

I hereby certify that the SCCRTC has budgeted and will meet the Maintenance of Effort requirement for Fiscal Year FY20/21.

Tracy New	2/18/2021
Finance Director Signature	Date

*Funding sources excluded from MOE calculation are listed for information purposes and will make it easier to reconcile this report against annual budgets. Excluded sources include special, non-formula, competitive grants, and/or past revenues carried over for special projects and/or emergencies. This includes one-time grants - such as RSTP/STBG, STIP, ATP, ARRA, FEMA, HSIP, FTA5310, No & Low Emission Bus program.

Measure D Annual Report Cover Sheet

Fiscal Year 2019/20

Investment Category: Highway Corridors (25%)

Lead: Santa Cruz County Regional Transportation Commission (SCCRTC)


Dear Measure D Taxpayer Oversight Committee: The SCCRTC is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for the Highway Corridors Measure D investment category.

As noted in the Measure D Expenditure Plan, this investment category includes: Highway 1 auxiliary lanes, and bike/pedestrian over-crossings, traveler information and Transportation Demand Management (TDM), and highway safety and congestion reduction programs.

Measure D Financial Information	
FY19/20 Formula Allocation	\$5,148,258.15
Unspent Prior Year Allocations	\$10,585,768
Interest earned on Unspent Prior Allocations	\$239,693.84
Total Measure D Funding Available in FY19/20	\$15,973,720
Total Measure D Funds Spent in FY19/20	\$1,340,086.77
Total Measure D Rollover to FY20/21	\$14,633,634.02
Maintenance of Effort	
FY19/20 LOCAL funds spent on transportation projects, operations, and/or services <i>(excludes grants & Measure D funds)</i>	\$2,617,436
Maintenance of Effort Baseline <i>(average amount of local funds spent on transportation projects in 3-5 fiscal years prior to 2017)</i>	\$2,114,952
Measure D Compliance Documents	
Document	Attached (check)
1. FY19/20 Annual Report Cover Sheet <i>(this document)</i>	X
2. FY19/20 Audited Financials for Measure D funds	X – For all regional projects
3. FY19/20 Expenditure Report Spreadsheet <i>(shows what Measure D formula funds were spent on)</i>	X
3a. Grouped projects supplemental information	NA
4. Map: Attach or provide web link to map(s) of projects funded with Measure D funds in FY19/20 (for projects with specific locations).	Attached and in fact sheets
5. FY19/20 Performance Measures Report - <i>only applies to direct recipients (cities, county and transit agencies)</i>	NA
6. Maintenance of Effort Compliance Spreadsheet: <i>List of local funds spent on transportation projects through FY19/20 as compared to the 3-5 years prior to FY16/17.</i>	X For all regional projects
a. If FY19/20 Local funds expended were lower than Baseline amount, explain why: NA. Baseline MOE met.	NA
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)?	Yes

a. Date of most recent ICAP: <u>March 13, 2020</u>	
8. 5-Year Program of Projects (5-Year Plan): <i>List showing planned use Measure D formula funds in the next 5 years.</i>	x
a. Evidence that the 5-year program of projects was approved through a public process. <ul style="list-style-type: none"> i. Public hearings at <u>RTC meetings</u>: <ul style="list-style-type: none"> • 6/6/2019: https://sccrtc.org/rtc-holding-public-hearing-on-measure-d-5-year-transportation-investment-plans/ • 9/3/2020: https://sccrtc.org/public-hearing-measure-d-five-year-plan-adoption/ ii. Advisory Committee Review of draft plans: April 2019 <ul style="list-style-type: none"> • <u>Bike Committee</u>: https://sccrtc.org/wp-content/uploads/2019/04/BAC_April_2019_Packet.pdf • <u>Elderly and Disabled Transportation Advisory Committee (E&D TAC)</u>: https://sccrtc.org/wp-content/uploads/2019/04/00_Packet_19April09.pdf • <u>ITAC</u>: https://sccrtc.org/wp-content/uploads/2019/04/Apr2019-ITAC-packet.pdf 	X See RTC website for staff reports
b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan	Date: 6/6/19 & 9/3/20
c. Date annual 5-year Plan approved by Governing Board – <i>*Interim amendments also made during RTC public meetings</i>	Date: 6/6/19 & 9/3/20*
9. Complete Streets Compliance (Cities/County only)	NA- but complete streets included in projects
10. Annual Report Narrative <i>(may be included in Management & Admin section of audit)</i>	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known. <ul style="list-style-type: none"> • 25% of Measure D net revenues are allocated to the Highway Corridors investment category. The fund balance (funds that have not yet been expended) is kept in a separate trust fund and tracked via the accounting system ledgers and programming spreadsheets. Most of the Highway 1 projects currently programmed to receive Measure D funds in the 5-year plan were undergoing environmental review, design, and/or right-of-way in FY19/20. • Using Measure D funds to advance pre-construction activities and provide match made Highway 1 auxiliary lanes/bus-on-shoulder/bike-ped crossing projects more competitive for over \$90 million in SB1-Solutions for Congested Corridors Program (SCCP) and Local Partnership Program (LPP) grants in 2020 for Highway 1 projects between State Park Drive and Soquel Dr. • Because of grants secured from the state, some of the Highway Corridor funds are being used to expedite delivery of the Highway 17 Wildlife Project and reduce debt service paid from Measure D revenues. The inter-program loan to the Wildlife Crossing will be repaid with interest to the Highway Corridors “bucket.” • The Measure D 5-year plan and Strategic Implementation Plan provide additional information on Measure D fund management and long term planned use of Measure D revenues. 	x

<p>b. Future Liabilities: Measure D funds are being focused on pre-construction activities and to serve as a match to make projects more competitive for grants. In FY19/20 Measure D funds were used to initiate Highway 1 auxiliary lanes/bus-on-shoulder between Freedom Blvd-Rio Del Mar-State Park Drive interchanges. As this project completes pre-construction activities and becomes “shovel ready” using Measure D and some state and federal funds, the RTC intends to use Measure D funds to leverage grants for the construction phase. In the event that the RTC is unable to secure sufficient state and federal grants for construction of the section of auxiliary lanes between State Park Dr and Freedom Blvd, the RTC may consider financing options in the future to accelerate project delivery.</p> <p>While the RTC successfully secured over \$90 million in competitive SB1 Solutions for Congested Corridors Program (SCCP) and Local Partnership Program (LPP) grants from the California Transportation Commission (CTC) in 2020 for Highway 1 Auxiliary Lanes and bike/ped crossings between Soquel Dr and State Park Dr interchanges, if actual costs are higher, the RTC may need to use additional Measure D funds on the projects. The 41st-Soquel Drive auxiliary lanes/bus-on-shoulder and Chanticleer bike/ped bridge project is scheduled to go out to bid Summer 2021.</p>	
<p>c. Compliance with Applicable Laws: <i>Confirm projects were implemented in compliance with applicable laws, regulations, requirements, standards of practice, guidelines, etc. which are applicable to publicly funded transportation projects are met and adhered to, including requirements of the California Environmental Quality Act (CEQA), state design and procurement requirements, etc.</i></p>	<p>Yes, environmental documents and design are compliant with state & federal regulations.</p>
<p>Public Outreach/Notification of Use of Measure D Funds</p>	
<p>11. Public Outreach/Process: During FY19/20 the RTC conducted outreach on Highway Corridors projects and programs through:</p> <ul style="list-style-type: none"> • Fact sheets • Enews to people who signed up for project updates • Website updates • Social media updates • Public hearing on 5-year plan • News releases • Tabling at community events • Online workshops, videos and surveys 	<p>x</p>
<p>12. Sample(s) of public outreach on Measure D-funded projects 7/1/19-6/30/20</p>	
<p>a. Websites with Measure D information</p> <ul style="list-style-type: none"> • www.sccrtc.org/move • https://sccrtc.org/funding-planning/measured/regional-projects/ • http://www.cruz511.org/ • https://sccrtc.org/meetings/tos-safe-on-17/ • https://sccrtc.org/services/motorist/ 	<p>x</p>
<p>b. News Articles and News Releases:</p>	<p>x</p>

<ul style="list-style-type: none"> • https://sccrtc.org/online-open-house-now-open-for-highway-1-auxiliary-lanes-and-bus-on-shoulder-project/ • https://sccrtc.org/community-feedback-sought-on-chanticleer-bike-ped-overcrossing-design-aesthetics/ • https://sccrtc.org/rtc-and-caltrans-to-hold-public-information-meetings-on-highway-1-projects-under-development/ • https://sccrtc.org/public-comment-period-on-highway-1-auxiliary-lane-project-draft-environmental-impact-report-now-open/ • https://sccrtc.org/draft-2020-measure-d-strategic-implementation-plan-now-available/ • https://sccrtc.org/measure-d-annual-report-released-2/ • https://sccrtc.org/rtc-and-caltrans-to-hold-public-information-meetings-on-highway-1-projects-under-development/ • https://sccrtc.org/community-input-sought-on-mar-vista-bicycle-pedestrian-overcrossing-project/ • https://sccrtc.org/rtc-survey-on-covid-19s-impacts-on-commuting/ • https://www.santacruzsentinel.com/2020/02/06/rtc-aims-to-improve-traffic-on-highway-1/ 	
<p>c. Signage: The Measure D logo, informing the community of projects funded by Measure D, was included on project fact sheets, the RTC website. While no construction projects were implemented in FY19/20, Measure D signage will be included in project design and bid documents.</p>	<p>X</p>
<p>13. Photos: <i>Highway 1 Public Outreach Meetings</i></p> 	

	
14. Fact Sheets on larger projects: Attached	x

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Email
Tracy New	Director of Budget and Finance	tnew@sccrtc.org
Rachel Moriconi	Sr. Transportation Planner	rmoriconi@sccrtc.org
Shannon Munz	Communications Specialist	smunz@sccrtc.org
Sarah Christensen	Highway program manager/Engineer	schristensen@sccrtc.org
Amy Naranjo	FSP and Cruz511 programs manager	anaranjo@sccrtc.org

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MEASURE D EXPENDITURE REPORT - Direct Allocations

Reporting Year **FY19/20**

SCCRTC **Highway Corridors**

#	Project Name	Total Project Cost	FY19/20 Measure D Expenditures	Prior Year expenditures (if applicable)			Measure D TOTAL SPENT through reporting year	(Est) Future Year(s) Measure D Expenditures	Project in approved 5-year plan?
				FY16/17 Measure D Expenditures on this project	FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures			
1	Highway 1: Auxiliary Lanes and Bus on Shoulder from 41st to Soquel; Chanticleer Bike/Pedestrian Overcrossing	\$ 38,000,000	\$ 472,183.45			\$ 571,203.48	\$ 1,043,386.93	\$ 970,195.07	Yes
2	Highway 1: Auxiliary Lanes & Bus on Shoulder from State Park to Bay-Porter, Reconstruction of Capitola Avenue Overcrossing and Bicycle/Pedestrian Overcrossing at Mar Vista Dr	\$ 93,500,000	\$ 490,943.97			\$ 1,063.47	\$ 492,007.44	\$ 16,648,330.62	Yes
3	Highway 1: Auxiliary Lanes & Bus on Shoulder from Freedom to State Park, and Reconstruction of two railroad bridges, and widening of the bridge over Aptos Creek/Spreckles Drive	\$ 102,000,000	\$ 171,770.71				\$ 171,770.71	\$ 13,740,229.29	Yes
4	Santa Cruz County Regional Conservation Investment Strategy (RCIS) ¹	\$720,000	\$ 13,604.54				\$ 13,604.54	\$ 11,895.46	Yes
5	Cruz 511-Traveler Information and Commute Manager	\$200k-\$400k/year	\$ 94,939.17		\$ 50,000.00	\$ 41,252.73	\$ 186,191.90	Est.\$200k/yr	Yes
6	Safe on 17	\$125k/year	\$ 21,505.25			\$ 25,000.00	\$ 46,505.25	Est. \$50k/yr	Yes
7	Freeway Service Patrol	\$450k/year	\$ 75,139.68			\$ 129,413.15	\$ 204,552.83	Est. \$200k/yr	Yes
8	Completed: UCIS	\$1.2M	\$ -			\$ 199,807.51	\$ 199,807.51	\$ -	Yes
Total			\$ 1,340,086.77	\$ -	\$ 50,000	\$ 967,740	\$ 2,357,827		

1-RCIS funded from several Measure D investment categories

	FY 19/20 Measure D Revenues	FY 16/17 Measure D Revenues (4/1/17- 6/30/17)	FY 17/18 Measure D Revenues	FY 18/19 Measure D Revenues	Measure D Expended (FY16/17-19/20)	Fund Balance/ Carryover to Future Years
Allocation	\$ 5,148,258.15	\$ 852,728.00	\$ 5,199,740.00	\$ 5,360,487.14	\$ 2,357,827.11	\$ 14,633,634.02
Interest	\$ 239,693.84	\$ -	\$32,252	\$158,302		

MEASURE D EXPENDITURE REPORT

Project Description/Location				
#	Project Name	Project Description (may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)	Location/Limits (if grouped project, list each location/limit)	Work Done in FY19/20 (e.g. planning, design, construction, right-of-way)
1	Highway 1: Auxiliary Lanes and Bus on Shoulder from 41st to Soquel; Chanticleer Bike/Pedestrian Overcrossing	Freeway operational improvement, bus on shoulder improvements, rehab roadway and drainage, improve bicycle/pedestrian access over freeway.	Aux lanes: Highway 1 between 41st Ave to Soquel Dr/Ave interchanges; Bike/Ped Bridge: Chanticleer Ave over Hwy 1	Final design and right-of-way
2	Highway 1: Auxiliary Lanes & Bus on Shoulder from State Park to Bay-Porter, Reconstruction of Capitola Avenue Overcrossing and Bicycle/Pedestrian Overcrossing at Mar Vista Dr	Freeway operational improvement, bus on shoulder improvements, soundwalls and retaining walls, reconstruct Capitola Ave. overcrossing with sidewalks and bike lanes	Aux lanes: Highway 1 between State Park Dr and Bay/Porter interchanges; Bike/Ped Bridge: Mar Vista Dr over Hwy 1	Environmental review and preliminary design
3	Highway 1: Auxiliary Lanes & Bus on Shoulder from Freedom to State Park, and Reconstruction of two railroad bridges, and widening of the bridge over Aptos Creek/Spreckles Drive	Freeway operational improvement, bus on shoulder improvements, soundwalls and retaining walls, reconstruct 2 railroad bridges and widen bridge over Aptos Creek/Spreckles Drive	Aux lanes & Bus-on-shoulder: Highway 1 between Freedom Blvd and State Park interchanges; Bike/Ped Bridge/Rail bridges over Hwy 1 in Aptos	Initiated environmental review and preliminary design
4	Santa Cruz County Regional Conservation Investment Strategy (RCIS) ¹	Match to Wildlife Conservation Board grant for early mitigation planning for transportation projects.	Countywide	Study development
5	Cruz 511-Traveler Information and Commute Manager	Ongoing system & demand management (TDM), includes Cruz511.org traveler information, carpool and other TDM programs	Countywide	Ongoing public info and assistance
6	Safe on 17	Ongoing system management program, involves increased CHP enforcement on Highway 17	Highway 17	CHP service
7	Freeway Service Patrol	Ongoing system management and congestion reducing program. Roving tow trucks removing incidents and obstructions during peak travel periods on Hwy 1 and Hwy 17	Hwy 1: Hwy 9-State Park; Hwy 17: Hwy 1-Summit	Roving tow trucks
8	Completed: UCIS	Unified Corridor Investment Study-Analysis of Highway 1 corridor projects - portion funded by Measure D -Hwy (other investment categories also provided funds)	Santa Cruz to Watsonville	NA

1-RCIS funded from several Measure D investment categories

MEASURE D EXPENDITURE REPORT

#	Project Name	Project Status/Timeline			Stats - If applicable				
		CEQA compliance (List type of doc & certification date - e.x. CE, 5/1/18)	Construction/ Implement schedule	Completion Date (actual or estimate)	New Bike Lane Miles	New Ped Path or Sidewalk Miles	Miles of pavement rehab/repair (pothole, seals, etc)	Rehab of bikeways, sidewalks, or ADA ramps included? (describe)	If Complete Streets not incorporated into project, why not?
1	Highway 1: Auxiliary Lanes and Bus on Shoulder from 41st to Soquel; Chanticleer Bike/Pedestrian Overcrossing	EIR/FONSI: 12/31/2018	2021 - pending funding availability	Est. 2022	0.4	0.2	1.4	Yes	Part of project
2	Highway 1: Auxiliary Lanes & Bus on Shoulder from State Park to Bay-Porter, Reconstruction of Capitola Avenue Overcrossing and Bicycle/Pedestrian Overcrossing at Mar Vista Dr	In progress. DEIR/EA released 11/20; est. final Spring 2021	Est. 2023	Est. 2024	0.5	0.2	3.8	Yes	Part of project
3	Highway 1: Auxiliary Lanes & Bus on Shoulder from Freedom to State Park, and Reconstruction of two railroad bridges, and widening of the bridge over Aptos Creek/Spreckles Drive	EIR/EA- Est. Spring 2022	Est. Fall 2024	Est. 2025	1.15	1.15	2.5	Yes	Part of project
4	Santa Cruz County Regional Conservation Investment Strategy (RCIS) ¹	na	ongoing	ongoing	na	na	na	na	na
5	Cruz 511-Traveler Information and Commute Manager	na	ongoing	ongoing	na	na	na	na	part of program
6	Safe on 17	na	ongoing	ongoing	na	na	na	na	na
7	Freeway Service Patrol	na	ongoing	ongoing	na	na	na	na	na
8	Completed: UCIS	na	na	1/19 study completed	na	na	na	na	na
					2.05	1.55	7.70		

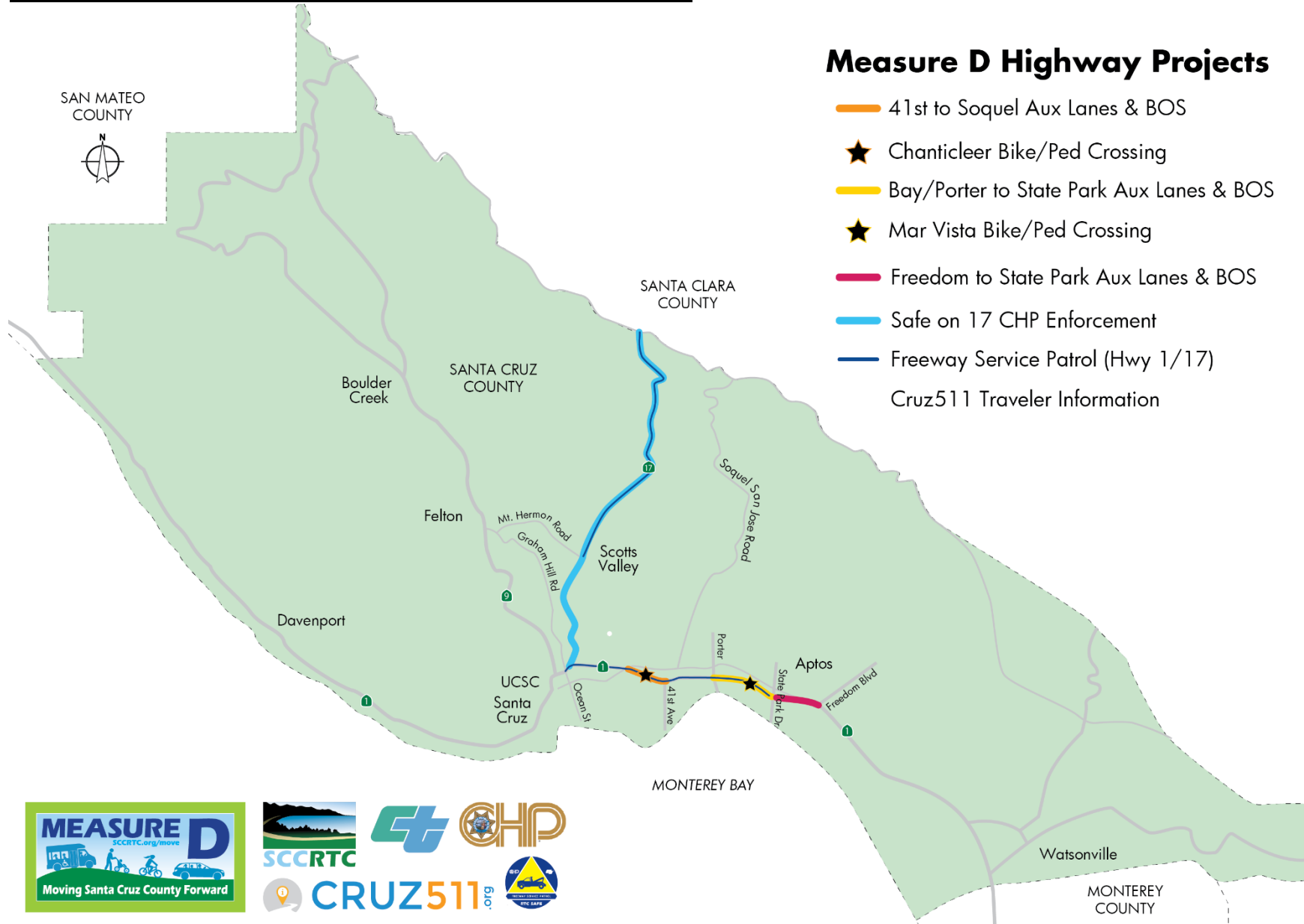
1-RCIS funded from several Measure D investment categories

MEASURE D EXPENDITURE REPORT

#	Project Name	Leveraged Funds, if any		Measure D use FY19/20			Other
		Leveraged Fund Amounts (grants & other funds where Meas. D used as match)	Leveraged Fund Source(s) Name(s)	Measure D-funded Capital Costs	Measure D-funded Non-capital (non-infrastructure, outreach, admin)	Measure D-funded Indirect costs (and overhead)	Other Notes or Information (optional)
1	Highway 1: Auxiliary Lanes and Bus on Shoulder from 41st to Soquel; Chanticleer Bike/Pedestrian Overcrossing	\$ 35,301,608	STIP, HIP, SCCP	\$ 23,953.36	\$ 340,991.14	\$ 107,238.95	
2	Highway 1: Auxiliary Lanes & Bus on Shoulder from State Park to Bay-Porter, Reconstruction of Capitola Avenue Overcrossing and Bicycle/Pedestrian Overcrossing at Mar Vista Dr	\$ 76,285,000	STIP, SB1 SCCP & LPP		\$ 472,799.47	\$ 18,144.50	
3	Highway 1: Auxiliary Lanes & Bus on Shoulder from Freedom to State Park, and Reconstruction of two railroad bridges, and widening of the bridge over Aptos Creek/Spreckles Drive	\$ 1,190,000	SB 1 Local Partnership Program (LPP) formula		\$ 161,616.77	\$ 10,153.94	
4	Santa Cruz County Regional Conservation Investment Strategy (RCIS) ¹	\$ 645,000	Prop 68-\$594k; RCD \$51k		\$ 6,417.23	\$ 7,187.31	Additional Measure D Rail and Trail category expenditures shown under those reports
5	Cruz 511-Traveler Information and Commute Manager	\$ -	none yet		\$ 64,928.30	\$ 30,010.87	
6	Safe on 17	\$ -	none yet		\$ 21,505.25		
7	Freeway Service Patrol	\$ -	none yet		\$ 69,161.10	\$ 5,978.58	
8	Completed: UCIS	\$ -	under rail				Additional Measure D Rail and Trail category expenditures shown under those reports
		\$ 113,421,608		\$ 23,953	\$ 1,137,419	\$ 178,714	

1-RCIS funded from several Measure D investment categories

4. MAP OF MEASURE D HIGHWAY CORRIDORS PROJECTS



Measure D: 5-Year Program of Projects (FY20/21-FY24/25)

Amended 3/4/2021

Previously updated 6/6/19, 6/27/19, 2/6/20, 3/5/20, 5/7/20, 9/3/20, 11/5/2020, 12/3/2020.

Category: Highway Corridors (25% of Measure D Revenues)

	Project	Description	Schedule	Prior Years Spent	FY20/21	FY21/22	FY22/23	FY23/24	FY24/25	Total Measure D	3/4/2021 Updates
1	Highway 1: Auxiliary Lanes and Bus on Shoulder from 41st to Soquel; Chanticleer Bike/Pedestrian Overcrossing	Freeway operational improvement, bus on shoulder improvements, rehab roadway and drainage, improve bicycle/pedestrian access over freeway.	Summer 2021-2022	\$1,043,387	<u>\$746,585</u>	\$620,000	\$200,000	\$100,000	\$100,000	\$2,809,972	Adds \$226,390 in FY 20/21 to fully fund right of way support & capital
2	Highway 1: Auxiliary Lanes & Bus on Shoulder from State Park to Bay-Porter, Reconstruction of Capitola Avenue Overcrossing and Bicycle/Pedestrian Overcrossing at Mar Vista Dr	Freeway operational improvement, bus on shoulder improvements, soundwalls and retaining walls, reconstruct Capitola Ave. overcrossing with sidewalks and bike lanes	FY22/23	\$492,007	\$1,728,331	\$4,220,000	\$2,900,000	\$5,200,000	\$2,600,000	\$17,140,338	
3	Highway 1: Auxiliary Lanes & Bus on Shoulder from Freedom to State Park, and Reconstruction of two railroad bridges, and widening of the bridge over Aptos Creek/Spreckles Drive	Freeway operational improvement, bus on shoulder improvements, soundwalls and retaining walls, reconstruct 2 railroad bridges and widen bridge over Aptos Creek/Spreckles Drive	FY23/24	\$171,771	\$3,276,229	\$4,971,500	\$6,350,500	\$350,000	\$250,000	\$15,370,000	
4	Santa Cruz County Regional Conservation Investment Strategy - Grant match	Match to Wildlife Conservation Board grant for early mitigation planning for transportation projects.	2019-2022	\$13,605	\$8,922	\$2,974				\$25,500	
5	Cruz 511-Traveler Information and Commute Manager	Ongoing system & demand management (TDM), includes Cruz511.org traveler information, carpool and other TDM programs	Ongoing	\$186,192	\$250,000	\$200,000	\$200,000	\$200,000	\$225,000	\$1,261,192	
6	Safe on 17	Ongoing system management program, involves increased CHP enforcement on Highway 17	Ongoing	\$46,505	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$296,505	
7	Freeway Service Patrol	Ongoing system management and congestion reducing program. Roving tow trucks removing incidents and obstructions during peak travel periods on Hwy 1 and Hwy 17	Ongoing	\$204,553	\$166,099	\$174,404	\$183,124	\$192,280	\$201,894	\$1,122,355	
	Completed Projects	Unified Corridor Investment Study-Analysis of Highway 1 corridor projects	completed Jan 2019	\$199,808	\$0	\$0	\$0	\$0	\$0	\$199,808	
Estimated Annual Measure D Highway Corridors Expenditures				\$2,357,827	\$6,226,166	\$10,238,878	\$9,883,624	\$6,092,280	\$3,426,894	\$38,225,669	
8	Interprogram Loan for Hwy 17 Wildlife Crossing	Interprogram loan to allow Hwy 17 Wildlife Crossing project to proceed without bonding.	Interprogram Loan	\$0	\$0	\$479,999	\$2,549,000	-\$166,667	-\$166,667	\$2,695,666	
Total Expenditures (with loans & repayments)				\$2,357,827	\$6,226,166	\$10,718,877	\$12,432,624	\$5,925,614	\$3,260,228	\$40,921,335	



PROJECT FACT SHEET

Updated March 2021

Highway Corridor: Highway 1 Aux Lanes, BOS (41st Avenue to Soquel Drive), and Chanticleer Bicycle/Pedestrian Overcrossing

Project Description

The project will construct northbound and southbound auxiliary lanes and bus-on-shoulder improvements between the 41st Avenue and Soquel Avenue/Drive interchanges, and construct a new bicycle and pedestrian overcrossing at Chanticleer Avenue. Historically, this section of Highway 1 has been the busiest in the county, serving over 100,000 vehicles a day, providing access to the primary regional commercial/retail activity centers on 41st Avenue and regional medical facilities located on Soquel Drive. The auxiliary lanes will connect the on-ramps with the next off-ramp, thereby extending the weaving and merging distance between the ramps, improving traffic operations, and reducing cut-through traffic diverting to local streets and neighborhoods.

The bicycle/pedestrian overcrossing at Chanticleer Avenue provides an alternative route for bicyclists and pedestrians currently using the Soquel or 41st interchanges to cross over Highway 1. The overcrossing will be lighted, 12- to 14-foot wide, and will incorporate aesthetic treatments consistent with the visual character of the corridor and the adjacent community.

Unified Corridor Investment Study

Recognizing the need to address both existing transportation problems and future needs of Santa Cruz County, a Unified Corridor Investment Study (UCS) was undertaken to consider transportation options between Santa Cruz and Watsonville along three of the most important north to south transportation routes in the County, including the Highway 1 corridor. The study provides a rigorous analysis of how various groups of projects or scenarios advance the transportation goals of Santa Cruz County.



Project Highlights

- ▶ Improves traffic operations on Highway 1
- ▶ Improves bicycle and pedestrian connectivity to regional destinations and transit by providing a dedicated crossing for active transportation users at Chanticleer Avenue
- ▶ Adds infrastructure for buses to travel in the auxiliary lanes between interchanges and on the outside shoulder through interchanges
- ▶ Measure D funds are being used to accelerate the project development process
- ▶ Construction ready in early 2021, pending availability of funds

Project Delivery Strategy

The RTC is leading the delivery of this project. Measure D-Highway Corridor funds and other RTC discretionary funds will be used to complete the work necessary to ready the project for construction, and as a match for future SB1 and federal construction grants. This project has environmental clearance and is currently competing for Cycle 2 of the SB1 Solutions to Congested Corridors Program, Local Partnership Program, and Active Transportation Program competitive funds.



PROJECT FACT SHEET

Updated March 2021

Highway Corridor: Highway 1 Aux Lanes, BOS (41st Avenue to Soquel Drive), and Chanticleer Bicycle/Pedestrian Overcrossing

Project Funding (in thousands)

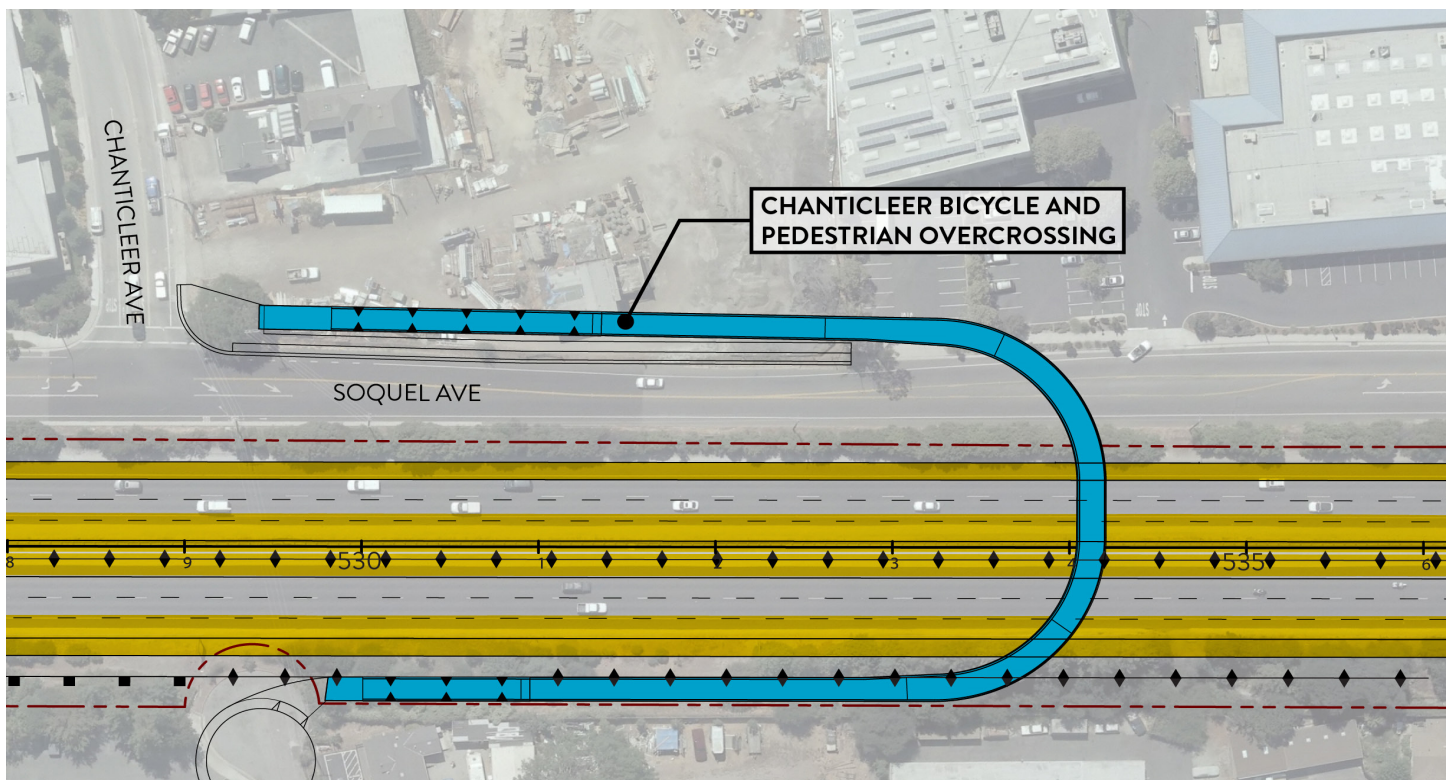
Estimated Project Cost	\$	37, 886
Funding Sources		
Measure D (Highway)	\$	2,584
Other Secured*	\$	35,302
Needed	\$	0

*Includes STIP, SB1 (SCCP and LPP programs) and HIP funds.



Project Status/Schedule

The Unified Corridor Investment Study was completed in 2019. The Environmental Impact Report was certified in 2019. The final design phase is currently underway and is expected to be completed in early 2021. The project is expected to go to construction in late 2021.





PROJECT FACT SHEET

Updated March 2021

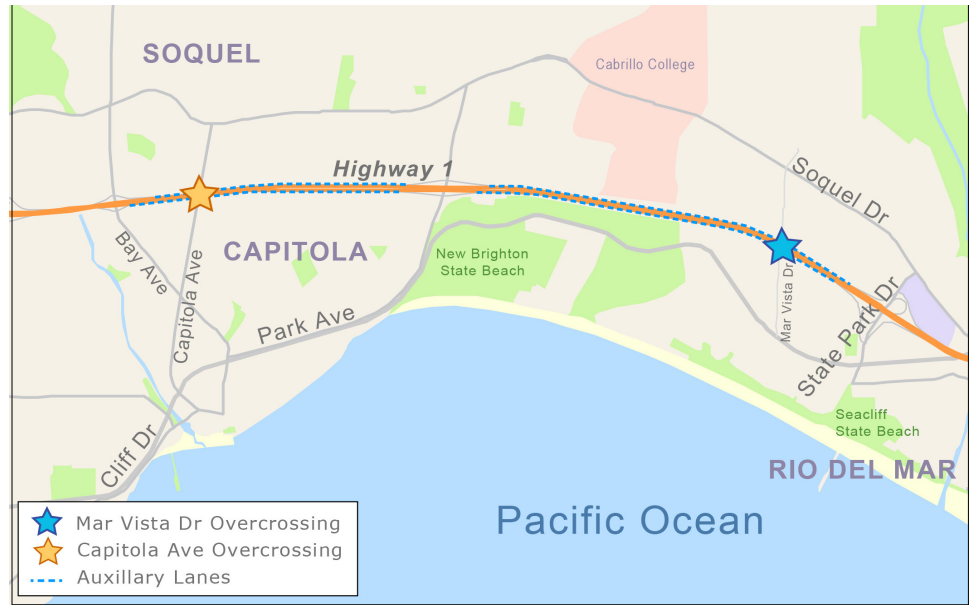
Highway Corridor: Highway 1 Aux Lanes, BOS (Bay Avenue/Porter Street to State Park Drive), and Mar Vista Bicycle/Pedestrian Overcrossing

Project Description

The project will construct northbound and southbound auxiliary lanes and bus-on-shoulder improvements between the Bay Avenue/Porter Street and State Park Drive interchanges and replace the existing Capitola Avenue local roadway overcrossing. This section of Highway 1 is one of the busiest in the county, providing access to the City of Capitola, Soquel and Aptos villages, and Cabrillo College. The auxiliary lanes will connect the on-ramps with the next off-ramp, thereby extending the weaving and merging distance between the ramps, improving traffic operations, and reducing cut-through traffic diverting to local streets and neighborhoods.

The new Capitola Avenue overcrossing will include enhanced bicycle and pedestrian facilities to improve connectivity for bicyclists and pedestrians between Soquel Drive to the north and the future Coastal Rail Trail to the south. The overcrossing, soundwalls, and retaining walls will incorporate aesthetic treatments consistent with the visual character of the corridor and the adjacent community.

The project also includes a new bicycle and pedestrian overcrossing (POC) at Mar Vista to provide a safe link between schools, the beach, residential neighborhoods and retail centers on each side of Highway 1. This POC was one of three bicycle and pedestrian overcrossings identified in the Highway 1 Corridor Investment Plan.



Project Highlights

- ▶ Improves traffic and safety operations on Highway 1
- ▶ Reduces cut-through traffic diverting to local streets and neighborhoods by adding auxiliary lanes between Bay Avenue/Porter Street and State Park Drive
- ▶ Improves bicycle and pedestrian connectivity to regional destinations and transit by providing enhanced bicycle and pedestrian facilities for active transportation users at Capitola Avenue
- ▶ Adds infrastructure for buses to travel in the auxiliary lanes between interchanges and on the outside shoulder through interchanges
- ▶ Improves bicycle and pedestrian connectivity to regional destinations and transit by providing a dedicated crossing for active transportation users
- ▶ Connects neighborhoods, schools, parks/beaches and commercial centers

Project Delivery Strategy

The RTC is leading the delivery of this project. Measure D-Highway Corridor funds and other RTC discretionary funds will be used to complete the work necessary to ready the project for construction, and as a match for future SB1 and federal construction grants. Environmental clearance is expected to be obtained by 2021. The project is also competing for Cycle 2 of the SB1 Solutions to Congested Corridors Program and Local Partnership Program competitive funds.



PROJECT FACT SHEET

Updated March 2021

Highway Corridor: Highway 1 Aux Lanes, BOS (Bay Avenue/Porter Street to State Park Drive), and Mar Vista Bicycle/Pedestrian Overcrossing

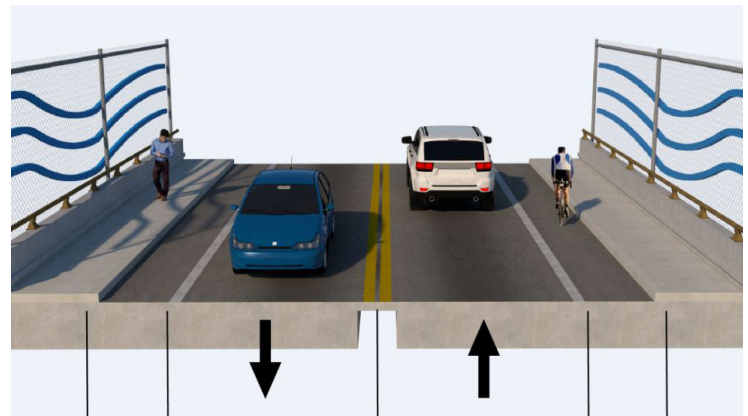
Project Funding (in thousands)

Estimated Total Cost	\$ 93,426
Funding Sources	
Measure D (Highway)	\$ 17,141
Other Secured*	\$ 76,285
Need	\$ 0

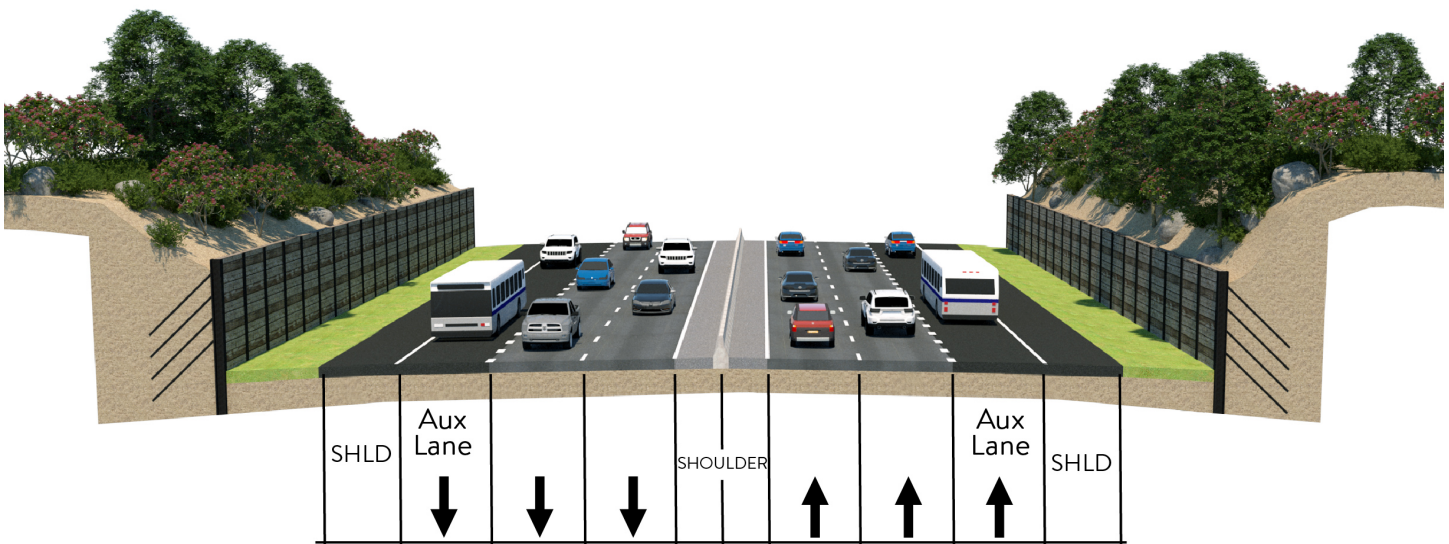
*Includes STIP and SB1 (SCCP and LPP programs) funds.

Project Status/Schedule

The project is in the environmental phase, which is expected to be completed in 2021. Final design is underway and expected to be completed by 2022. The project is expected to go to construction in 2023.



Preliminary rendering of Capitola Avenue Overcrossing



Preliminary rendering of auxiliary lanes



PROJECT FACT SHEET

Updated March 2021

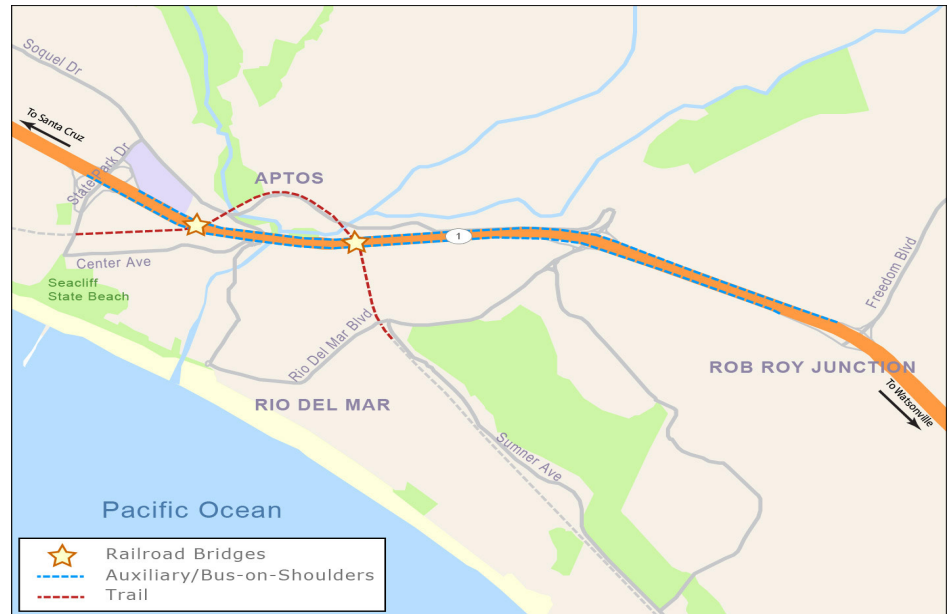
Highway Corridors: Highway 1 Aux Lanes and BOS (State Park Drive to Freedom Boulevard), and Coastal Rail Trail Segment 12

Project Description

The project will construct northbound and southbound auxiliary lanes between the State Park Drive and Freedom Boulevard interchanges, replace the two existing railroad bridges between the State Park Drive and Rio del Mar interchanges, and widen the Aptos Creek bridge. This section of Highway 1 provides access to Aptos Village, Rio del Mar, Aptos High School, and Aptos Hills/Corralitos. The auxiliary lanes will connect the on-ramps with the next off-ramp, thereby extending the weaving and merging distance between the ramps, improving traffic operations, and reducing cut-through traffic diverting to local streets and neighborhoods.

The existing railroad bridges will be replaced with longer span bridges to accommodate the addition of auxiliary lanes on Highway 1. The new bridges will also be able to accommodate future high-capacity public transit and trail to improve multimodal transportation across the County. The new bridges, soundwalls, and retaining walls will incorporate aesthetic treatments consistent with the visual character of the corridor and the adjacent community.

This project also includes construction of Segment 12 of the Coastal Rail Trail, a bicycle and pedestrian trail along an approximately 1.25-mile segment of the Santa Cruz Branch Rail Line right-of-way from State Park Drive to Rio Del Mar Boulevard. The Santa Cruz Branch Rail Line corridor is an active freight line and is owned by the RTC.



Project Highlights

- ▶ Improves traffic and safety operations on Highway 1
- ▶ Adds infrastructure for buses to travel in the auxiliary lanes between interchanges and on the outside shoulder through interchanges
- ▶ Reduces cut-through traffic diverting to local streets and neighborhoods by adding auxiliary lanes between State Park Drive and Freedom Boulevard
- ▶ Replaces two existing railroad bridges and widens the Highway 1 Aptos Creek bridge
- ▶ Accommodates future high-capacity public transit on the new railroad bridges
- ▶ Multimodal options to increase corridor throughput
- ▶ Improves active transportation connectivity

Project Delivery Strategy

The RTC is leading the delivery of this project. Measure D-Highway Corridor funds and other RTC discretionary funds will be used to complete the work necessary to ready the project for construction, and as a match for future SB1 and federal construction grants. By obtaining environmental clearance by early 2022, this project can compete for cycle 3 of the SB1 Solutions to Congested Corridors Program, Local Partnership Program, and Active Transportation Program competitive funds.



PROJECT FACT SHEET

Updated March 2021

Highway Corridors: Highway 1 Aux Lanes and BOS (State Park Drive to Freedom Boulevard), and Coastal Rail Trail Segment 12

Project Funding (in thousands)

Estimated Total Cost	\$	102,000
Funding Sources		
Measure D (Highway)	\$	13,912
Other Secured	\$	1,190
Needed	\$	86,900



Project Status/Schedule

The environmental phase of this project is expected to be completed in 2022. The design phase is expected to begin in 2021. The project is expected to go to construction pending availability of funding.



Preliminary rendering of new railroad bridge



Highway Corridors: Freeway Service Patrol

Program Description

The Santa Cruz County Regional Transportation Commission (RTC) operates the Freeway Service Patrol (FSP) program in Santa Cruz County in conjunction with the Department of Transportation (Caltrans) and the California Highway Patrol (CHP). This program consists of two tow trucks patrolling Highway 1 from Highway 9 to State Park Drive and Highway 17 from Mount Herman Road to the Santa Clara County Line.

FSP tow trucks remove disabled vehicles from the highway at no cost to motorists. They reduce congestion on the highway by quickly clearing accidents, debris, and other incidents, and allowing traffic to return to normal conditions. They commonly perform tasks such as changing a flat tire, jump-starting a vehicle, or providing gas. Service is available during weekday commute hours and on a limited schedule on weekends.

The FSP program is designed to reduce congestion, improve safety, and reduce fuel consumption and auto emissions by minimizing the congestion associated with collisions and other incidents. The benefit/cost ratio for the Santa Cruz County FSP program is 4:1.



Program Highlights

- ▶ Reduces the number of traffic jams by quickly clearing accidents, debris, and other incidents
- ▶ Lessens the chain of further accidents and bottlenecks caused by passing drivers
- ▶ Saves fuel and cuts air-pollution emissions by reducing stop-and-go traffic
- ▶ Helps stranded motorists

Service Schedule

	WEEKDAYS		WEEKENDS	
	AM	PM	Saturday	Sunday
Hwy 1	6:00-9:00 a.m.	3:30-6:30 p.m.	No regular weekend service*	
Hwy 17	6:30-9:30 a.m.	3:30-6:30 p.m.	None	1:00-7:00 p.m.

*Special weekend service is provided during Spring Break and Summer.



PROJECT FACT SHEET

Updated March 2021

Highway Corridors: Freeway Service Patrol

Total Programming (in thousands)*

Total Funding Secured	\$	350/year avg.
Funding Sources		
Measure D (Highway)	\$	1,122 (FY17/18-24/25)
Other Secured**	\$	1,019

*Amounts show money programmed beginning in FY17/18 when Measure D funds first became available.

**Includes SB1, FSP, and STIP funds.



FSP Partners





Highway Corridors: Cruz511

Program Description

For more than 35 years, the RTC has offered transportation demand management (TDM) services to Santa Cruz County with the goal of using the existing transportation system more effectively by assisting travelers to use various modes of transportation, especially sustainable transportation modes. TDM programs use a multi-pronged approach to incentivize and support mode share changes to non-drive alone methods of transportation.

Cruz511, the RTC's TDM service, provides a comprehensive website of resources for travel options around the county, including a trip planner, bicycle maps, accessible travel options, and an interactive traffic map with real-time information on travel speeds, incident details, and lane closures on county roads and state highways. Knowledgeable travel counselors are also available to help people understand their options for getting around.

The Cruz511 program works with non-profits and community-based organizations to deliver messaging around transportation safety. Staff works closely with agencies implementing Vision Zero plans and campaigns around the county by providing resources and guidance. Cruz511 also provides a hazard reporting system where members of the public can report issues that impede access and mobility on our roadway networks.

In 2019, Cruz511 partnered with RideAmigos to launch MyCruz511, a commuter engagement and incentives hub for changing the way people commute to reduce traffic and pollution. The platform offers end-users a commuter dashboard, unified trip planner, workplace challenges, and gamification for sustainable commuting.

The MyCruz511 platform now open to all users! Register or login at my.cruz511.org. Use the trip planner to discover commute options. Log your commute trips for a chance to win prizes.



Program Highlights

- ▶ Provides sustainable commute choices to residents through coordination with major employers in the county
- ▶ Hosts an online and mobile platform to make sustainable commute choices easier for all people to access
- ▶ Coordinates with Metro to promote and encourage transit usage
- ▶ Provides education and informational messaging regarding safety and mobility options to the public

Total Programming (in thousands)

Total Funding	\$	300/year avg.
Funding Sources		
Measure D (Highway)	\$	1,300
Other Sources**	\$	995

*Amounts show money programmed beginning in FY17/18 when Measure D funds first became available.

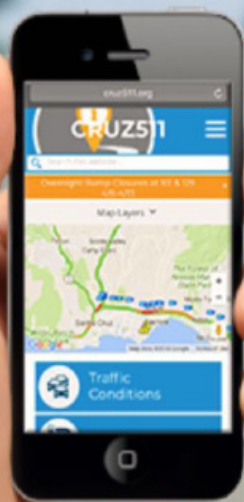
**Includes STBG, RSTPX, STIP, SAFE, City of Santa Cruz and Fund Interest



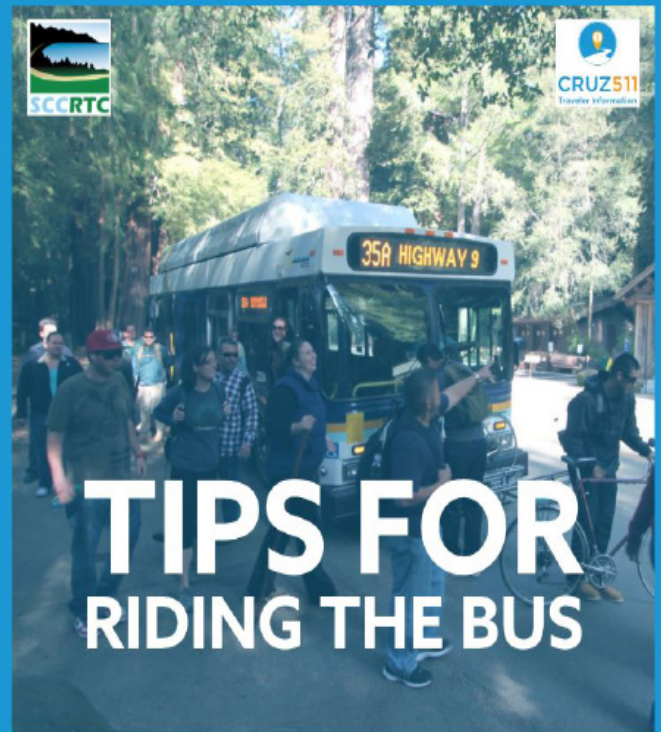
Highway Corridors: Cruz511



**CRUZ511
HOW
TO:
USE OUR
TRAFFIC
MAP**



**TIPS FOR
RIDING THE BUS**





Highway Corridors: Safe on 17

Program Description

The Highway 17 corridor was identified as a high-collision corridor in 1998 as a result of collision data analysis and recommendations of local, regional, county, and state agencies. The Safe on 17 Task Force was formed to develop and implement collision reducing strategies, such as additional CHP enforcement, traffic safety improvements, and public education. These strategies focus on preventing the occurrence of the top five Primary Collision Factors in fatal and injury collisions including driving at unsafe speeds, vehicle right-of-way violations, improper turning, and improper lane change.

The Task Force is comprised of representatives from the Santa Cruz County Regional Transportation Commission (RTC), the San Francisco Bay Area's Metropolitan Transportation Commission (MTC), the California Highway Patrol (CHP), the California Department of Transportation (Caltrans), local police and fire departments, legislators' offices, and the media. The RTC and MTC provide \$100,000 annually for enhanced CHP enforcement using Service Authority for Freeway Emergencies (SAFE) funds. SAFE funds come from a \$1 registration fee on all vehicles in the region and fund the highway call box system, Freeway Service Patrols on Highways 1 and 17 in addition to extra CHP enforcement on Highway 17, and other motorist-aid services. The RTC provides an additional \$50,000 annually to the program from Measure D funds.



Program Highlights

- ▶ Multi-agency, multi-jurisdictional effort to reduce injury and fatal collisions on Highway 17
- ▶ Encourages safer driving and deters traffic violations with extra CHP enforcement
- ▶ Implements road safety improvements to reduce wet weather and run-off-road collisions through guardrail upgrades, shoulder widening projects, and pavement surface treatments
- ▶ Increases safe driving practices, especially on mountainous highway roads, through education and public outreach

Meeting Schedule

The Safe on 17 Task Force meets twice a year to share information about transportation projects and collisions on Highway 17 and traffic operation systems in Santa Cruz County. Meetings are open to the public and all are welcome to attend. A teleconference option is available for those unable to attend meetings in person.

SAFE ON 17 MEETINGS	LOCATION	ADDRESS
3rd Wednesday in March	CHP San Jose	2020 Junction Ave., San Jose, CA
3rd Wednesday in September	RTC office	1523 Pacific Ave., Santa Cruz, CA



PROJECT FACT SHEET

Updated January 2021

Highway Corridors: Safe on 17

Total Programming (in thousands)

Total Funding	\$ 150/year
Funding Sources	
Measure D (Highway)*	\$ 50/year
Other Secured**	\$ 100/year

*In FY17/18 Measure D funds first became available.

**Includes MTC-SAFE and DMV-SAFE funds.



Measure D Annual Report Cover Sheet

Fiscal Year 2019/20

Investment Category: **Active Transportation/MBSST (17%)**

Lead: **Santa Cruz County Regional Transportation Commission (SCCRTC)**

Dear Measure D Taxpayer Oversight Committee: The SCCRTC is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for the Active Transportation Measure D investment category.

Measure D Financial Information	
FY19/20 Formula Allocation	\$3,500,816
Unspent Prior Year Allocations	\$5,710,727.97
Interest earned on Unspent Prior Allocations	\$240,194.49
Total Measure D Funding Available in FY19/20	\$9,342,658
Total Measure D Funds Spent in FY19/20	\$1,162,230
Total Measure D Rollover to <u>FY20/21</u>	\$8,180,428
Maintenance of Effort	
FY19/20 LOCAL funds spent on transportation projects, operations, and/or services <i>(excludes grants & Measure D funds)</i>	\$2,617,436
Maintenance of Effort Baseline <i>(average amount of <u>local</u> funds spent on transportation projects in 3-5 fiscal years prior to 2017)</i>	\$2,114,952
Measure D Compliance Documents	
Document	Attached
1. FY19/20 Annual Report Cover Sheet <i>(this document)</i>	x
2. FY19/20 Audited Financials for Measure D funds	x
3. FY19/20 Expenditure Report Spreadsheet: Shows what Measure D formula funds were spent on.	x
3a. Grouped projects supplemental information	
A. Ongoing RTC oversight, coordination, and assistance, including: <ul style="list-style-type: none"> • Surveys and soil investigations, including consultant-led boundary surveys • County Environmental Health (EHS) agreements and overall reviews (some EHS work also charged to specific MBSST projects) • Development of MBSST cooperative agreement template for preconstruction, construction, and maintenance, including staff and legal reviews, meetings with implementing agencies • Public outreach: including website and fact sheet updates, responding to public and media comments and questions, presentations on trail to RTC and community groups, news releases • Stakeholder coordination • Legal actions, review of agreements, • Ongoing updates to total cost estimates for MBSST 	

B. Corridor Maintenance – Various Locations

Work done in FY19/20	Schedule
Cleared 10 cross culverts	Spring 2020
Tree risk assessments	Winter 2020
Removal or trimming of dead or fallen trees; grass mowing	Spring 2020
Trash, dumping, and encampment cleanups	Ongoing
Corridor property management	Ongoing

4. Map:

X



5. FY19/20 Performance Measures Report - only applies to direct recipients (cities, county and transit agencies)

NA

6. Maintenance of Effort Compliance Spreadsheet: List of local funds spent on transportation projects through FY19/20 as compared to the 3-5 years prior to FY16/17.

X

Covers all regional projects

a. If FY19/20 Local funds expended were lower than Baseline amount, explain why: NA. Baseline MOE met.

NA

7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)?

Yes

a. Date of most recent ICAP: March 13, 2020

8. 5-Year Program of Projects (5-Year Plan): List showing planned use Measure D formula funds in the next 5 years.

x

a. Evidence that the 5-year program of projects was approved through a public process.

X

See RTC website for staff reports

i. Public hearings at RTC meetings:

- 6/6/2019: <https://scrtc.org/rtc-holding-public-hearing-on-measure-d-5-year-transportation-investment-plans/>

<ul style="list-style-type: none"> 9/3/2020: https://sccrtc.org/public-hearing-measure-d-five-year-plan-adoption/ 	
ii. Advisory Committee Review of draft plans: April 2019 <ul style="list-style-type: none"> Bike Committee: https://sccrtc.org/wp-content/uploads/2019/04/BAC_April_2019_Packet.pdf Elderly and Disabled Transportation Advisory Committee (E&D TAC): https://sccrtc.org/wp-content/uploads/2019/04/00_Packet_19April09.pdf ITAC: https://sccrtc.org/wp-content/uploads/2019/04/Apr2019-ITAC-packet.pdf 	
b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan	Date: 6/6/19 & 9/3/20
c. Date annual 5-year Plan approved by Governing Board – <i>*Interim amendments also made during RTC public meetings</i>	Date: 6/6/19 & 9/3/20*
9. Complete Streets Compliance (Cities/County only)	NA
10. Annual Report Narrative <i>(may be included in Management & Admin section of audit)</i>	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known.	x
<ul style="list-style-type: none"> 17% of Measure D net revenues are allocated to the Active Transportation investment category for implementation and maintenance of the Monterey Bay Sanctuary Scenic Trail Network (MBSST/Rail Trail). The fund balance (funds that have not yet been expended) is kept in a separate trust fund and tracked via the accounting system ledgers and programming spreadsheets. Most of the trail projects currently programmed to receive Measure D funds in the 5-year plan were undergoing environmental review, design, and/or right-of-way in FY19/20, with \$8,180,428 in Measure D balances are being used to leverage other grants, for construction, development, and maintenance of Segments 5, 7b, 8-9, and 18 in FY20/21-24/25. The City of Santa Cruz initiated construction of Segment 7, phase 1 in FY19/20 and invoices will be submitted and expenditures reflected in FY20/21. Measure D approved for Phase 2 was used as match for competitive Active Transportation Program (ATP). The County of Santa Cruz is initiating environmental review and design of Segments 10-11 in FY20/21. The County is using \$4 million in Measure D funds for this pre-construction work. Future projects: Taking into consideration extensive public and stakeholder input, technical analysis of the corridor, as well as local, regional, state, and federal transportation goals, the Monterey Bay Sanctuary Scenic Trail Network Master Plan identified priorities for future sections of the trail. Future Measure D revenues are expected to continue to serve as match to leverage other funds in order to fully fund trail construction. Measure D funds are used for ongoing maintenance of the corridor and coordination and oversight of work done by agencies implementing individual sections. Maintenance of the rail trail corridor, technical assistance from the RTC, and ongoing oversight will continue into the future. RTC is currently working with local jurisdictions on long-term maintenance agreements. A portion of Measure D funds are expected to continue to be used for ongoing oversight, maintenance and technical assistance for the trail into the foreseeable future. 	

<ul style="list-style-type: none"> The Measure D 5-year plan and Strategic Implementation Plan (SIP) provide additional information on Measure D fund management and long term use of Measure D revenues. 	
b. Future Liabilities: The MBSST Network is being implemented and constructed as funding becomes available. As noted in the Measure D Strategic Implementation Plan , Measure D funds are being focused on pre-construction activities and to serve as match to make projects more competitive for grants. RTC and local jurisdictions will continue to seek grants and construct the trail in sections or phases. The Active Transportation Program (ATP), SB1 Solutions for Congested Corridors (SCCP), Prop 68, Federal Lands Access Program, Coastal Conservancy, and funding from non-profits such as the Land Trust of Santa Cruz County are some of the sources Measure D is leveraging.	
c. Compliance with Applicable Laws: <i>Confirm projects were implemented in compliance with applicable laws, regulations, requirements, standards of practice, guidelines, etc. which are applicable to publicly funded transportation projects are met and adhered to, including requirements of the California Environmental Quality Act (CEQA), state design and procurement requirements, etc.</i>	Yes. Trail projects are also reviewed by Caltrans Div. of Local Assistance for compliance
Public Outreach/Notification of Use of Measure D Funds	
11. Public Outreach/Process: During FY19/20 the RTC conducted outreach on the MBSST through: <ul style="list-style-type: none"> Fact sheets Enews to people who signed up for project updates Website updates Social media updates on trail projects Public hearing on 5-year plan News releases Tabling at community events Segment 7, Phase I groundbreaking event 	x
12. Sample(s) of public outreach on Measure D-funded projects 7/1/19-6/30/20	
a. Websites with Measure D information <ul style="list-style-type: none"> www.sccrtc.org/move https://sccrtc.org/projects/multi-modal/monterey-bay-sanctuary-scenic-trail/ 	x
b. News Articles and News Releases: <ul style="list-style-type: none"> https://sccrtc.org/rail-trail-segment-7-phase-i-awarded-with-completion-slated-for-summer-2020/ https://sccrtc.org/draft-2020-measure-d-strategic-implementation-plan-now-available/ https://sccrtc.org/measure-d-annual-report-released-2/ https://sccrtc.org/rtc-and-caltrans-to-hold-public-information-meetings-on-highway-1-projects-under-development/ https://www.kazu.org/post/has-santa-cruz-county-rail-and-trail-plan-finally-turned-corner#stream/0 	x

- c. **Signage:** The Measure D logo, informing the community of projects funded by Measure D, was included on project fact sheets, the RTC website, and required to be displayed at construction sites, such as the one below for Segment 7 of the Rail Trail.



13. Photos: Segment 7-Phase 1 construction



14. Fact Sheets on larger projects: Attached

x

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Email
Tracy New	Director of Budget and Finance	tnew@sccrtc.org
Rachel Moriconi	Sr. Transportation Planner	rmoriconi@sccrtc.org
Shannon Munz	Communications Specialist	smunz@sccrtc.org

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MEASURE D EXPENDITURE REPORT - Direct Allocations

Reporting Year **FY19/20**

SCCRTC **Active Transportation-Trail/MBSST**

				Prior Year expenditures (if applicable)			Consistency with 5-year plan		
#	Project Name	Total Cost	FY19/20 Measure D Expenditures	FY16/17 Measure D Expenditures on this project	FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures	Measure D TOTAL SPENT FY16/17-19/20	(Est) Future Year(s) Measure D Expenditures	Project in approved 5-year plan? (yes/no)
1	North Coast Segment 5	\$22M est.	\$ 429,853.91	\$0	\$476,939	\$522,276	\$1,429,069	\$777,805	Yes
5	Segment 7: Oversight and technical assistance	\$ 241,972	\$ 105,971.93	\$0	\$21,972	\$50,954	\$178,898	\$63,074	Yes
7	Segment 8: Oversight and technical assistance	\$ 7,149	\$ 384.40	\$0	\$0	\$6,765	\$7,149	\$0	Yes
9	Segment 8/9: Oversight and technical assistance	\$ 200,000	\$ 11,156.84	\$0	\$0	\$148	\$11,305	\$188,695	Yes
12	Segment 10-11 Oversight and technical assistance	TBD	\$ 30,020.21	\$0	\$0	\$0	\$30,020	\$248,571	Yes
14	Segment 18: Oversight and technical assistance	\$ 158,887	\$ 47,672.47	\$0	\$2,775	\$36,328	\$86,775	\$72,111	Yes
17	Santa Cruz County Regional Conservation Investment Strategy <i>Funded from several Measure D categories</i>	\$ 720,000	\$ 9,251.07			\$0	\$9,251	\$8,089	Yes
18	Ongoing RTC oversight, coordination, and assistance, including on development of future trail sections.	estimated avg. \$300k/year	\$ 103,099.96	\$0	\$144,767	\$159,057	\$406,924	\$1,874,563	Yes
19	Corridor encroachments & maintenance	\$500k/year	\$ 424,819.18	\$0	\$28,474	\$208,706	\$661,999	\$3,119,395	Yes
		Total	\$ 1,162,230						

	FY 19/20 Measure D Revenues	FY 16/17 Revenues (4/1/17-6/30/17)	FY 17/18 Measure D Revenues	FY 18/19 Measure D Revenues	Measure D Expended FY16/17-19/20	Fund Balance/ Carryover to Future Years
Allocation	\$ 3,500,816	\$ 579,855	\$ 3,535,823	\$ 3,645,131	\$ 3,321,391	\$ 8,180,428
Interest	\$ 131,114.85	\$ -	\$ 20,725.00	\$ 88,354.64		\$ 240,194.49

MEASURE D EXPENDITURE REPORT

#	Project Name	Project Description/Location		Project Status/Timeline			
		Project Description <i>(may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)</i>	Location/Limits (if grouped project, list each location/limit)	Work Done in FY19/20 <i>(e.g. planning, design, construction, right-of-way)</i>	CEQA compliance <i>(List type of doc & certification date - e.x. CE, 5/1/18)</i>	Construction/Implement schedule	Completion Date <i>(actual or estimate)</i>
1	North Coast Segment 5	EIR & design consultants, legal, Environmental Health Services & ROW; RTC project mgmt, oversight, outreach and technical assistance	Wilder Ranch to Davenport	Environmental review, design & right-of-way	EIR -3/7/2019; NEPA-2021	est. spring 2022, pending funding	est. 2024
5	Segment 7: Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	Natural Bridges Dr to Wharf, City of Santa Cruz	Ongoing coordination and assistance	na	FY17/18	FY23
7	Segment 8: Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	Seg 8: San Lorenzo River trestle. City of Santa Cruz	Ongoing coordination and assistance	na	1/2/19-6/1/19	6/1/2019
9	Segment 8/9: Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	SLR- 17th Ave	Ongoing coordination and assistance	na	FY18/19	est. 2023
12	Segment 10-11 Oversight and technical assistance	RTC project mgmt, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	17th Ave - 47th/Jade Street Park & Monterey Ave to State Park Drive	Initial analysis	na	FY19/20	2025
14	Segment 18: Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	Lee Road to Walker, Watsonville	Ongoing coordination and assistance	na	FY17/18	Est. 2024
17	Santa Cruz County Regional Conservation Investment Strategy <i>Funded from several Measure D categories</i>	Match to Wildlife Conservation Board grant for early mitigation planning for transportation projects.	Rail line - entire length	Study analysis	na	2019	2022
18	Ongoing RTC oversight, coordination, and assistance, including on development of future trail sections.	RTC: Surveys, soil investigations, Environmental Health (EHS), legal, stakeholder coordination, response to public comments, and development of future projects/grant applications	Rail line - entire length	Ongoing coordination and assistance	na	na	ongoing
19	Corridor encroachments & maintenance	Ongoing corridor maintenance, including vegetation, tree removal, trash, graffiti, drainage, encroachments, storm damage repairs outside of what is required for railroad operations	Rail line - entire length	ongoing maintenance	na	ongoing	ongoing

MEASURE D EXPENDITURE REPORT

		Stats - if applicable					Leveraged Funds, if any		FY19/20 Expenditure Detail		
#	Project Name	New Bike Lane Miles	New Ped Path or Sidewalk Miles	Miles of pavement rehab/repair (pothole, seals, etc)	Rehab of bikeways, sidewalks, or ADA ramps included? (describe)	If Complete Streets not incorporated into project, why not?	Leveraged Fund Amounts (grants & other funds where Meas. D used as match)	Leveraged Fund Source(s) Name(s)	FY19/20 Measure D Capital Costs	FY19/20 Measure D Non-capital (non-infrastructure, outreach, admin)	Measure D-funded Indirect costs (and overhead)
1	North Coast Segment 5	7.1	7.1	na	na	na	\$11,540,000	FLAP, Land Trust, RSTPX, Coastal Conservancy		\$ 326,419.32	\$ 103,434.59
5	Segment 7: Oversight and technical assistance	na	na	na	na	na			na	\$82,737	\$ 23,234.49
7	Segment 8: Oversight and technical assistance	na	na	na	na	na			na	\$181	\$ 203.08
9	Segment 8/9: Oversight and technical assistance	na	na	na	na	na			na	\$5,263	\$ 5,894.18
12	Segment 10-11 Oversight and technical assistance	na	na	na	na	na	na	na	na	\$23,751	\$ 6,268.83
14	Segment 18: Oversight and technical assistance	na	na	na	na	na	na	na	na	\$36,190	\$ 11,482.36
17	Santa Cruz County Regional Conservation Investment Strategy <i>Funded from several Measure D categories</i>	na	na	na	na	na		WCB+		\$4,364	\$ 4,887.36
18	Ongoing RTC oversight, coordination, and assistance, including on development of future trail sections.	na	na	na	na	na	na	na		\$48,623	\$ 54,477.41
19	Corridor encroachments & maintenance	na	na	na	na	na	varies	IP/shortline operator		\$ 317,149.59	\$ 107,669.59

Measure D: 5-Year Program of Projects (FY20/21-24/25)

Approved 9/3/20

Previously updated 6/6/19, 12/5/19, 5/7/20, 6/29/20.

Category: Active Transportation/MBSST-Rail Trail (17% of Measure D Revenues)

					Planned					Total Measure D	2020 Updates
	Rail Trail Project/Program	Description	Schedule	Prior Years Spent	FY20/21	FY21/22	FY22/23	FY23/24	FY24/25		
1	North Coast Segment 5	EIR & design consultants, legal, Environmental Health Services & ROW; RTC project mgmt, oversight, outreach and technical assistance	est 2021	\$1,467,599	\$689,274	\$50,000	\$0	\$0	\$0	\$2,206,873	Update prior. Add funds for right-of-way, technical assistance, and interpretative signs. Previously \$1.77M
1A	North Coast Segment 5: trail maintenance and operations	Ongoing maintenance of sections of trail once constructed. Includes restriping, sweeping, vegetation management, mitigations, and periodic repaving.	ongoing	\$0	\$0	\$100,000	\$125,000	\$125,000	\$125,000	\$475,000	Update to reflect current schedule. Add funds for FY24/25
1B	North Coast Seg 5: Trail construction and reserve to match grants	Funds to serve as match to grant application(s). Includes \$125k for Davenport Crosswalk.	est. 2021	\$0	\$325,000	\$1,750,000	\$875,000	\$875,000	\$0	\$3,825,000	No change to total. Respread to match current schedule.
2	Segment 7: Natural Bridges to Bay/California (Phase I), Bay/Californiat to Wharf (Phase II), City of Santa Cruz (SC) lead	Allocation to City of Santa Cruz for Segment 7 rail trail	Phase I: 2020; Phase II: est. 2021-2022	--->	\$1,100,000	\$1,000,000	\$0	\$0	\$0	\$2,100,000	No change to total. Move \$1M for Phase 1 to FY20/21 based on est. completion 2020. Shift \$1 M for phase 2 construction to FY21/22 based on updated schedule. City seeking ATP grant for Phase 2.
2A	Segment 7: Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	duration of project delivery	\$173,769	\$35,000	\$33,203	\$0	\$0	\$0	\$241,972	No change to total. Unspent funds from prior years moved to FY21/22 based on updated schedule.
3	Seg 8: San Lorenzo River trestle widening, City of Santa Cruz	Allocation to City of SC for widening of existing walkway on the existing railroad bridge over San Lorenzo River near Boardwalk	Completed June 2019	\$500,000	\$0	\$0	\$0	\$0	\$0	\$500,000	No change. Project completed.
3A	Segment 8: Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	done	\$7,149	\$0	\$0	\$0	\$0	\$0	\$7,149	Reduced to reflect lower spending in prior years.
4	Seg 8/9: SC Wharf to 17th Ave., City of SC lead (partnership with County)	Allocation to City of SC: \$2M set aside to serve as match for construction grants. Joint project with County.	Fall 2022 start	\$0	\$0	\$0	\$1,750,000	\$250,000	\$0	\$2,000,000	City of Santa Cruz submitting application for ATP grant. \$250k moved to FY23/24
4A	Segment 8/9: Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	duration of project delivery	\$13,140	\$40,000	\$40,000	\$40,000	\$40,000	\$26,860	\$200,000	No change to total. Unspent funds from prior years moved to FY21/22 based on updated schedule.
5	Trail maintenance and operations in Santa Cruz	Ongoing maintenance. Includes restriping, sweeping, vegetation management, mitigations, and periodic repaving.	ongoing	\$0	\$25,000	\$50,000	\$50,000	\$50,000	\$50,000	\$225,000	Funds from FY19/20 moved to FY24/25. FY20/21 reduced to \$25k
6	Segment 10-11 12: Segment 10 (17th-47th/Jade St. park), Seg 11 (Monterey to St. Park Dr), & Seg 12 (St Park to Aptos Creek Rd) PA/ED	Allocation to County DPW for planning, environmental review, design, and right of way. Joint County-Capitola project, led by County DPW.	Starting PA/ED late 2020	\$0	\$1,000,000	\$2,000,000	\$1,000,000	\$0	\$0	\$4,000,000	Update to reflect RTC decision to evaluate Segment 12 with Highway 1 Freedom-SP project. Respread other funds to match to match current schedule.
6A	Segment 10-11 Oversight and technical assistance	RTC project mgmt, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	TBD	\$28,591	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$278,591	Reduced FY19/20 funds. Add FY24/25.

	Rail Trail Project/Program	Description	Schedule	Prior Years Spent	FY20/21	FY21/22	FY22/23	FY23/24	FY24/25	Total Measure D	2020 Updates
7	Segment 18: Ohlone to slough trail (Phase I), Remainder Lee to Walker (Phase II), City of Watsonville lead	Allocation to City of Watsonville for trail construction.	FY19/20 and remaining portion in FY21/22	\$0	\$150,000	---->	\$933,333	\$933,333	\$933,333	\$2,950,000	No change to total. Funds shifted out based on updated schedule for phase 2.
7A	Segment 18: Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	duration of project delivery	\$85,215	\$53,672	\$20,000	\$0	\$0	\$0	\$158,887	Update prior. Carryover funds not spent prior years. \$10k added for anticipated EHS work on land use covenant
8	Trail maintenance and operations in Watsonville	Ongoing maintenance. Includes restriping, sweeping, vegetation management, mitigations, and periodic repaving.	ongoing	\$0	\$4,000	\$6,000	\$11,000	\$11,000	\$11,000	\$43,000	No change to total. Some funds from FY20/21-21/22 moved to FY24/25 based on schedule.
9	Capitola Trail: City Hall to Monterey Ave	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	TBD	\$1,087	\$13,913	\$0	\$0	\$0	\$0	\$15,000	FY19/20 balance shifted to FY20/21
10	Santa Cruz County Regional Conservation Investment Strategy - Grant match	Match to Wildlife Conservation Board grant for early mitigation planning for transportation projects.	FY19/20	\$17,340						\$17,340	No change
11	Ongoing RTC oversight, coordination, and assistance, including on development of future trail sections.	RTC: Surveys, soil investigations, Environmental Health (EHS), legal, stakeholder coordination, response to public comments, and development of future projects/grant applications	ongoing	\$648,986	\$337,500	\$260,000	\$345,000	\$345,000	\$345,000	\$2,281,486	Update prior based on actuals. Add funds for FY24/25
12	Corridor encroachments & maintenance	Ongoing corridor maintenance, including vegetation, tree removal, trash, graffiti, drainage, encroachments, storm damage repairs outside of what is required for railroad operations	ongoing	\$390,685	\$1,313,709	\$519,250	\$519,250	\$519,250	\$519,250	\$3,781,394	Add funds for significant drainage anticipated in FY20/21 and funds in FY24/25. Updated to reflect funds spent in prior years and shift unspent funds to FY19/20.
Estimated 5-Year Measure D Expenditures				\$3,333,563	\$5,137,068	\$5,878,453	\$5,698,583	\$3,198,583	\$2,060,443	\$25,306,693	



Coastal Rail Trail

Monterey Bay Sanctuary Scenic Trail Network

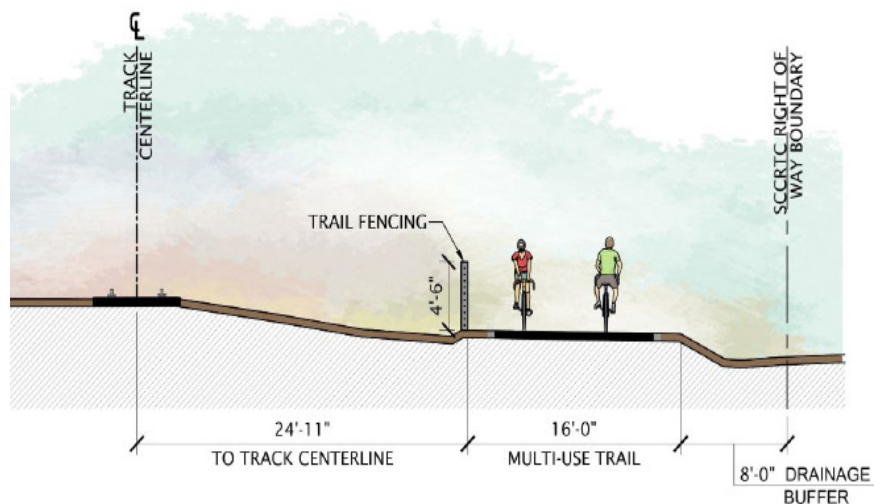
Project Description

The Monterey Bay Sanctuary Scenic Trail Network (MBSST) is a Regional Transportation Commission (RTC) proposed 50-mile bicycle and pedestrian trail project. The spine of the trail network will be the 32-mile Coastal Rail Trail from Davenport to Watsonville, to be built within or adjacent to the RTC-owned rail right-of-way. The remaining miles will be connecting paths, sidewalks, bike lanes, other roadway improvements or unpaved coastal spur trails.

The Coastal Rail Trail promises to be a highly valuable asset to the Santa Cruz County community for transportation, recreation, education, health, eco-tourism, coastal access, economic vitality, and other visitor-serving purposes. It will connect to neighborhoods, schools, parks, transit hubs, commercial and other activity centers. Thirteen miles of projects are in various stages of development and have been funded in full or in part. Project delivery includes completing design, engineering and environmental permitting, as well as receiving public input.

Approximately \$24M has been secured from state/federal grants and private donations. Additionally, Measure D, the voter-approved transportation sales tax, will allocate approximately \$120M for the trail over 30 years.

Following extensive public outreach, the RTC prepared and adopted an award-winning Master Plan which provides initial cost estimates and concept designs. All local jurisdictions through which the trail will traverse have also adopted the Master Plan as a guide for implementation.



Project Highlights

- ▶ Half the county population, 92 parks and 44 schools are located within 1 mile of the rail line.
- ▶ Thirteen (13) miles of projects are under development.
- ▶ Approximately \$24M has been secured from state/federal grants and private donations.
- ▶ Measure D will allocate approximately \$125M for the trail over 30 years.
- ▶ Construction of the first project began in 2019.



PROJECT FACT SHEET

Updated March 2021

Coastal Rail Trail

Monterey Bay Sanctuary Scenic Trail Network



Status of Funded Rail Trail Projects

North Coast: Davenport to Wilder Ranch

- **Benefits:** Provides a car-free alternative to Highway 1; connects to Wilder Ranch trails, numerous beaches, soon to be opened Coast Dairies and San Vicente Redwoods trails and Davenport; includes parking lots and restrooms at Panther/ Yellowbank beach and in Davenport; links to City of Santa Cruz providing approximately 11 miles of continuous bike/ped paths.
- **Distance:** 5.4 miles (fully funded); 2.1 miles + 3 parking lot improvements and a crossing in Davenport (partially funded) **Width:** 16-20 feet **Construction:** Preconstruction activities will be complete in Spring 2021 and the project is scheduled to go to construction in 2022 pending funding availability.

City of Santa Cruz: Natural Bridges Drive to Pacific Avenue/Santa Cruz Wharf

- **Benefits:** Connects over 30,000 residents who live within one mile of the trail, to schools, work, beaches, shopping and other activity centers; provides safer car-free alternative to Mission Street and connects to the Beach Street bike-way; 9 schools and 28 parks are located within a mile of this section of trail.
- **Distance:** 2.1 miles **Width:** 12 -16 feet **Construction:** Construction of Phase I was completed in December 2020 and the trail is now open. Phase II is environmentally cleared and design is complete. Once funding and a minor section of right-of-way is acquired, Phase II can go to construction.

City of Santa Cruz/County of Santa Cruz: Boardwalk to 17th Avenue

- **Benefits:** Close to 48,000 residents live within one mile of the corridor where 15 schools and 37 parks are also located.
- **Distance:** 2.2 miles **Width:** 12 feet **Construction:** Estimated 2022-23
- **San Lorenzo River walkway:** Widening of the San Lorenzo River walkway at the Boardwalk was funded and advanced ahead of trail **Width:** 10 feet **Construction:** Completed May 2019.

City of Watsonville: Lee Road to Walker Street

- **Benefits:** Close to 20,000 residents live within one mile of the corridor where 12 schools and 12 parks are also located. The new trail will link 850 homes along Ohlone Parkway with the City's 7-mile slough trail system and the downtown area.
- **Distance:** 1.2 miles **Width:** 12 feet **Construction:** Construction on Phase I is expected to be completed in summer 2021; Phase II is expected to be completed in 2022.

Measure D Annual Report Cover Sheet

Fiscal Year 2019/20

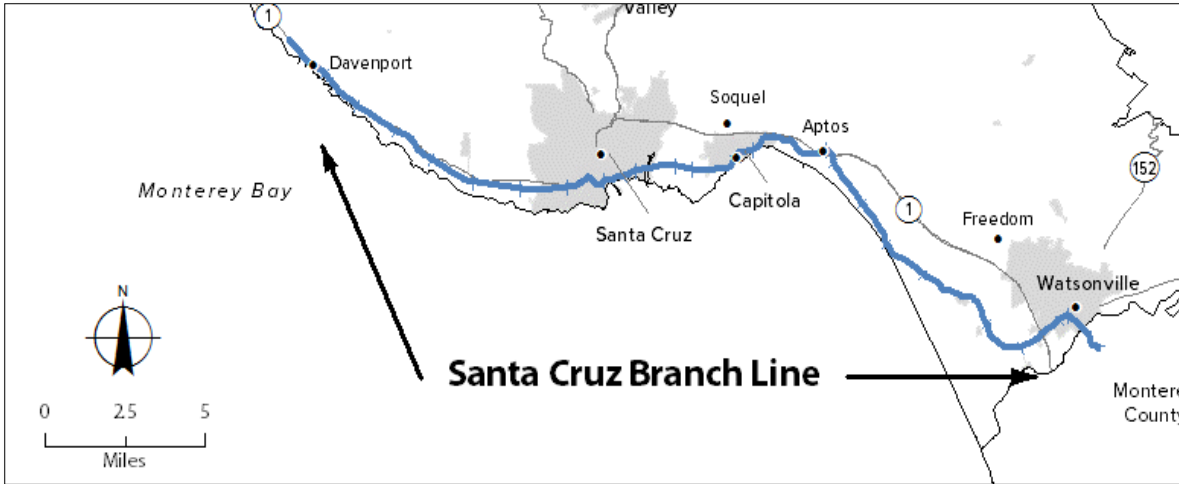
Investment Category: Rail (8%)

Lead: Santa Cruz County Regional Transportation Commission (SCCRTC)

Dear Measure D Taxpayer Oversight Committee: The SCCRTC is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for the Rail Corridor Measure D investment category.

The Measure D Expenditure Plan allocates 8% of revenues to the Rail Corridor investment category for infrastructure preservation and analysis of options, including environmental analysis of both transit and non-rail options for the corridor; rail line maintenance and repairs. The SCCRTC as owner of the Santa Cruz Branch Rail Line and a short-line operator are responsible for maintaining railroad infrastructure.

Measure D Financial Information		
FY19/20 Formula Allocation	\$1,647,443	
Unspent Prior Year Allocations	\$1,867,887	
Interest earned on Unspent Prior Allocations	\$46,328	
Total Measure D Funding Available in <u>FY19/20</u>	\$3,515,329	
Total Measure D Funds Spent in <u>FY19/20</u>	\$2,155,162	
Total Measure D Rollover to <u>FY20/21</u>	\$1,806,906	
<i>Note: Includes \$400,412 FEMA reimbursement for prior expenditures.</i>		
Maintenance of Effort		
FY19/20 LOCAL funds spent on transportation projects, operations, and/or services <i>(excludes grants & Measure D funds)</i>	\$2,617,436	
Maintenance of Effort Baseline <i>(average amount of <u>local</u> funds spent on RTC transportation projects in 3-5 fiscal years prior to 2017)</i>	\$2,114,952	
Measure D Compliance Documents		
Document	Attached	
1. FY19/20 Annual Report Cover Sheet <i>(this document)</i>	x	
2. FY19/20 Audited Financials for Measure D funds	x	
3. FY19/20 Expenditure Report Spreadsheet: Shows what Measure D formula funds were spent on.	X	
3a. Grouped projects supplemental information		
Location	Work done in FY19/20	Schedule for completion
Between Boardwalk and Capitola	Track improvements	Winter 2020
Various	Walkway repairs to 11 bridges	Winter 2020
Various	Erosion repairs at 5 locations	Spring 2020
MP 4.87	Emergency bridge repair	Winter 2021
Various	Structural assessment and analysis of all 29 bridges	Fall 2019

Various	Preparation of construction documents for phase 1 bridge repairs	Fall 2020
N/A	New safety training program	Winter 2020
4. Map:		x
 <p>The map shows the Santa Cruz Branch Line route in Monterey County, California. The route is highlighted in blue and starts at Davenport, passing through Santa Cruz, Capitola, Aptos, and Freedom, ending at Watsonville. A scale bar indicates distances up to 5 miles, and a north arrow is present. The route is labeled 'Santa Cruz Branch Line' with an arrow pointing to the line.</p>		
5. FY19/20 Performance Measures Report - only applies to direct recipients (cities, county and transit agencies)		NA
6. Maintenance of Effort Compliance Spreadsheet: List of local funds spent on transportation projects through FY19/20 as compared to the 3-5 years prior to FY16/17.		x
a. If FY19/20 Local funds expended were lower than Baseline amount, explain why: NA. Baseline MOE met.		NA
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)? (If "no," Measure D cannot be used for indirect costs) a. Date of most recent ICAP: March 13, 2020		Yes
8. 5-Year Program of Projects (5-Year Plan): List showing planned use Measure D formula funds in the next 5 years.		x
a. Evidence that the 5-year program of projects was approved through a public process. <ol style="list-style-type: none"> Public hearings at <u>RTC meetings</u>: <ul style="list-style-type: none"> 6/6/2019: https://sccrtc.org/rtc-holding-public-hearing-on-measure-d-5-year-transportation-investment-plans/ 9/3/2020: https://sccrtc.org/public-hearing-measure-d-five-year-plan-adoption/ Advisory Committee Review of draft plans: April 2019 <ul style="list-style-type: none"> Bicycle Committee Elderly and Disabled Transportation Advisory Committee (E&D TAC) https://sccrtc.org/wp-content/uploads/2019/04/Apr2019-ITAC-packet.pdf 		x

b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan	Date: 6/6/19 & 9/3/20
c. Date annual 5-year Plan approved by Governing Board – <i>*Interim amendments also made during RTC public meetings</i>	Date: 6/6/19 & 9/3/20*
9. Complete Streets Compliance (Cities/County only)	NA
10. Annual Report Narrative (may be included in Management & Admin section of audit)	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known.	x
<ul style="list-style-type: none"> 8% of Measure D net revenues are allocated to the Rail Corridor investment category for railroad infrastructure maintenance and repairs, analysis of transit and other uses of the rail line. The RTC is preparing a long term maintenance plan for the corridor. In FY20/21-21/22 significant repairs are being made to the corridor using a combination of Measure D and FEMA funds. In FY19/20, RTC received a \$400,412 reimbursement from FEMA for storm damage repairs which had been funded by Measure D in prior years, which is reflected in the carryover balance. The Transit Corridor Alternatives Analysis (TCAA) analyzes long term potential transit uses and funding for the rail corridor. The Measure D 5-year plan and Strategic Implementation Plan (SIP) provide additional information on Measure D fund management and long term use of Measure D revenues. 	
b. Future Liabilities: <ul style="list-style-type: none"> Track infrastructure, signage, maintenance, bridge inspections, and repairs will be ongoing expenses. Those costs are anticipated to be funded primarily by Measure D. Potential future transit service and construction would be funded by other sources, as described in the Business Plan for the locally-preferred electric rail alternative identified in the TCAA. It is currently unknown how much of storm damage repairs will be reimbursed by FEMA. The total cost of repairs is estimated at \$4-\$6 million. RTC is using Measure D funds to pay for damages upfront and will continue to request reimbursement from FEMA, but reimbursement is not guaranteed. 	
c. Compliance with Applicable Laws: <i>Confirm projects were implemented in compliance with applicable laws, regulations, requirements, standards of practice, guidelines, etc. which are applicable to publicly funded transportation projects are met and adhered to, including requirements of the California Environmental Quality Act (CEQA), state design and procurement requirements, etc.</i>	Yes
Public Outreach/Notification of Use of Measure D Funds	
11. Public Outreach/Process: During FY19/20 the RTC conducted outreach on Rail through: <ul style="list-style-type: none"> Fact sheets Enews to people who signed up for project updates Website updates Social media updates rail projects 	x


<ul style="list-style-type: none"> • Public hearing on 5-year plan • News releases • Tabling at community events • TCAA online and in person workshops and stakeholder meetings 	
12. Sample(s) of public outreach on Measure D-funded projects 7/1/19-6/30/20	
a. Websites with Measure D information <ul style="list-style-type: none"> • www.sccrtc.org/move • https://sccrtc.org/projects/multi-modal/transitcorridoraa/ • https://sccrtc.org/projects/rail/maintenance/ 	x
b. News Articles/News Releases/eNews: <ul style="list-style-type: none"> • https://sccrtc.org/transit-corridor-alternatives-analysis-online-open-house-now-open/ • https://sccrtc.org/take-our-survey-and-tell-us-what-is-important-to-you-when-it-comes-to-transit/ • https://sccrtc.org/transit-corridor-alternatives-analysis-underway-and-public-input-being-sought-on-project/ • https://sccrtc.org/draft-2020-measure-d-strategic-implementation-plan-now-available/ • https://sccrtc.org/measure-d-annual-report-released-2/ 	x
c. Signage: The Measure D logo, informing the community of projects funded by Measure D, was included on project fact sheets, the RTC website, and will be required to be displayed at construction sites. Note that for ongoing maintenance, signage is not typically posted.	x
13. Photos: Photos of some of the work done in FY19/20.	
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Photo Credits: Howard Cohen

14. Fact Sheets on larger projects: Attached

x

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Email
Tracy New	Director of Budget and Finance	tnew@sccrtc.org
Rachel Moriconi	Sr. Transportation Planner	rmoriconi@sccrtc.org
Shannon Munz	Communications Specialist	smunz@sccrtc.org

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MEASURE D EXPENDITURE REPORT - Direct Allocations

Reporting Year **FY19/20**

SCCRTC **RAIL Corridor**

Prior Year expenditures (if applicable)									
#	Project Name	Total Project Cost	FY19/20 Measure D Expenditures	FY16/17 Measure D Expenditures	FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures	Measure D TOTAL SPENT FY16/17-19/20	(Est) Future Year(s) Measure D Expenditures (including FY20/21)	Project in approved 5-year plan? (yes/no)
1	2017 Storm Damage Repair & Cleanup	\$3,650,000	\$364,429.95		\$36,337.48	\$143,791.58	\$544,559	\$101,957	Yes
2	Railroad Bridge Inspections & Analysis	\$1,684,739	\$16,241.88		\$104,765.57	\$314,973.66	\$435,981	Approx \$550k every 2 years	Yes
3	Capitola Trestle Railroad Bridge analysis	\$50,000	\$0.00				\$0	\$50,000	Yes
4	Railroad Bridge Rehabilitation	\$1,102,284	\$562,323.29				\$562,323	TBD	Yes
5	Track infrastructure and signage maintenance and repairs	\$2,990,445	\$585,418.04			\$347,290.72	\$932,709	\$1,933,925	Yes
6	Transit Corridor Alternatives Analysis	\$1,033,000	\$622,395.81			\$0.00	\$622,396	\$310,604	Yes
7	Santa Cruz County Regional Conservation Investment Strategy (funded from several Measure D categories)	\$720,000	\$4,353.44				\$4,353	\$3,807	Yes
8	Unified Corridor Investment Study	\$1,066,539	\$0.00		\$501,221.00	\$241,318.30	\$742,539	\$0	Yes
9	Rail Agreement Lawsuit	\$145,057	\$0.00			\$145,056.74	\$145,057	\$0	Yes
Total			\$2,155,162.41	\$0	\$642,324	\$1,192,431	\$3,989,917		

	FY 19/20 Measure D Revenues	FY 16/17 Measure D Revenues (4/1/17-6/30/17)	FY 17/18 Measure D Revenues	FY 18/19 Measure D Revenues	Measure D Expended	FEMA Reimbursement for prior expend.	Fund Balance/ Carryover
Allocation	\$ 1,647,442.61	\$272,873	\$1,663,917	\$1,715,355.92	\$3,989,917	\$400,412	\$ 1,806,906
Interest	\$ 46,327.61	\$ -	\$ 9,983	\$40,513.00			

MEASURE D EXPENDITURE REPORT

		Project Description/Location		Project Status/Timeline					
#	Project Name	Project Description <i>(may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)</i>	Location/Limits (if grouped project, list each location/limit)	Work Done in FY19/20 (e.g. planning, design, construction, right-of-way)	CEQA compliance	Construction/Implement schedule	Completion Date <i>(actual or estimate)</i>	New Bike Lane Miles	
1	2017 Storm Damage Repair & Cleanup	Repair and cleanup of damage resulting from the 2017 winter storms including one washout, minor slides and various downed or compromised trees	Staff time, project management support for delivery of storm damage repair projects.	design, environmental permitting, and project management	CE	2020-2021	est 2021	na	
2	Railroad Bridge Inspections & Analysis	Railroad Bridges are required to be inspected and load rated every 540 days per Federal Railroad Administration (FRA) requirements	Bridges along Santa Cruz Branch Rail Line	Inspections	CE	Ongoing	Ongoing	na	
3	Capitola Trestle Railroad Bridge analysis	Analysis of feasibility for building a trail on the bridge through Capitola Village and over Soquel Creek.	Soquel Creek, Capitola Village	N/A	TBD	2021-2022	est 2022	na	
4	Railroad Bridge Rehabilitation	Rehabilitation of railroad bridges consistent with analysis completed in 2012 and updated analysis proposed for 2018	Design for Phase 1 bridge repairs (5 bridges) began in 2020, emergency bridge repair (MP4.87) in FY19/20-20/21.	Design of 5 bridges and beginning of construction for Timber Trestle at MP4.87	CE	2020-2022	est 2022	na	
5	Track infrastructure and signage maintenance and repairs	On-going maintenance, repair and rehabilitation of railroad track infrastructure and signage	Santa Cruz Branch Rail Line: Santa Cruz to Pajaro	General maintenance and reapirs	CE	Ongoing	Ongoing	na	
6	Transit Corridor Alternatives Analysis	Analysis of high-capacity transit uses on rail corridor including commuter rail, light rail, bus rapid transit.	Santa Cruz Branch Rail Line: Santa Cruz to Pajaro	Analysis of alternatives	na	2019-early 2021	est. early 2021	na	
7	Santa Cruz County Regional Conservation Investment Strategy <i>(funded from several Measure D categories)</i>	Match to Wildlife Conservation Board grant for early mitigation planning for transportation projects.	Countywide	Study initiated	na	Plan: 2019-2022	est. 2022	na	
8	Unified Corridor Investment Study	Analysis of rail corridor usage options	Santa Cruz-Watsonville: Rail Corridor, Soquel Dr/Freedom Blvd, & Highway 1	na	na	2017-2019	Jan. 2019	na	
9	Rail Agreement Lawsuit	Defense against CEQA lawsuit regarding the rail operator agreement	Santa Cruz Branch Rail Line	na	na	2018	Jul. 2018	na	
									0.00

MEASURE D EXPENDITURE REPORT

#	Project Name	Stats - if applicable				Leveraged Funds, if any		FY19/20 Expenditure Detail		
		New Ped Path or Sidewalk Miles	Miles of pavement rehab/repair (pothole, seals, etc)	Rehab of bikeways, sidewalks, or ADA ramps included? (describe)	If Complete Streets not incorporated into project, why not?	Leveraged Fund Amounts (grants & other funds where Meas. D used as match)	Leveraged Fund Source(s) Name(s)	FY19/20 Measure D Capital Costs	FY19/20 Measure D Non-capital (non-infrastructure, outreach, admin)	Measure D-funded Indirect costs (and overhead)
1	2017 Storm Damage Repair & Cleanup	na	na	na	na	TBD- \$700k so far	FEMA		\$308,212.38	\$56,217.57
2	Railroad Bridge Inspections & Analysis	na	na	na	na			\$16,241.88		
3	Capitola Trestle Railroad Bridge analysis	na	na	na	part of analysis					
4	Railroad Bridge Rehabilitation	na	na	na	part of analysis	\$600,000	RSTPX	\$562,323.29		
5	Track infrastructure and signage maintenance and repairs	na	na	na		\$467,500	RR operator		\$455,259.22	\$130,158.82
6	Transit Corridor Alternatives Analysis	na	na	na	part of analysis	\$100,000	CT planning grant		\$512,379.86	\$110,015.95
7	Santa Cruz County Regional Conservation Investment Strategy (funded from several Measure D categories)	na	na	na	part of analysis	\$645,000	Prop 68-\$594k; RCD \$51k		\$2,053.51	\$2,299.93
8	Unified Corridor Investment Study	na	na	na	part of analysis	\$ 324,000.00	CT planning grant		\$0.00	
9	Rail Agreement Lawsuit	na	na	na	na				\$ -	
		0.00	0.00			\$ 2,136,500		\$ 578,565	\$ 1,277,905	\$ 298,692

Measure D: 5-Year Program of Projects (FY20/21-FY24/25)

Approved 9/3/20

Previously updated 6/6/19, 6/27/19, 10/3/19 and 5/7/20.

Category: Rail Corridor (8% of Measure D Revenues)

	Project	Description	Est. Schedule	Prior Years	Planned Use					Total Measure D	2020 Updates
					FY20/21	FY21/22	FY22/23	FY23/24	FY24/25		
1	2017 Storm Damage Repair & Cleanup	Repair and cleanup of damage resulting from the 2017 winter storms including one washout, minor slides and various downed or compromised trees	Spring 2020-2021	\$444,643	\$205,357					\$650,000	No change to total. "Prior Years" updated to reflect audited financials; carryover shifted to FY20/21.
2	Railroad Bridge Inspections & Analysis	Railroad Bridges are required to be inspected and load rated every 540 days per Federal Railroad Administration (FRA) requirements	Ongoing	\$419,739	\$200,000	\$480,000	\$30,000	\$520,000	\$35,000	\$1,684,739	Prior reduced based on expenditures. Added funds for remaining engineering work for inspections and load ratings and phase 1 bridge repairs; funds for project management consultant support; and required ongoing inspections. Prior updated to reflect audited financials. Increase total from \$450k.
2a	Capitola Trestle Railroad Bridge analysis	Analysis of feasibility for building a trail on the bridge through Capitola Village and over Soquel Creek.	est. 20/21	\$0	\$50,000					\$50,000	No Change
3	Railroad Bridge Rehabilitation	Rehabilitation of railroad bridges consistent with analysis completed in 2012 and updated analysis proposed for 2018	2020-2022	\$502,284	\$0					\$502,284	Phase 1 Bridge repairs will be construction-ready in 20/21 or 21/22. Total reduced from \$2.2M. Staff will seek grants to fund construction. Matching funds for construction will be programmed at a later date, if needed.
4	Track infrastructure and signage maintenance and repairs	On-going maintenance, repair and rehabilitation of railroad track infrastructure and signage	Ongoing	\$589,021	\$1,246,841	\$169,010	\$171,207	\$173,433	\$173,433	\$2,522,945	Prior updated to reflect expenditures. Added \$1M in FY 20/21 for Manresa Erosion Repair, \$80k for PM consultant support, and anticipated FY24/25 expenditures. Previously \$1.58M total.
5a	Alternatives Analysis	Analysis of high-capacity transit uses on rail corridor.	2019-early 2020	\$621,071	\$311,929					\$933,000	Prior years updated based on expenditures, carryover shifted to FY20/21. No change to total.
5b	Preliminary Engineering and Environmental Analysis for Transit	Preparation of environmental documents for transit project on the rail corridor resulting from the Transit Corridor Alternatives Analysis (TCAA)	TBD	\$0						\$0	Placeholder - Staff recommends programming funds for environmental and prelim. design at a later date, pending results from the TCAA, development of the business plan, and grant opportunities. Modified description per TCAA.
6	Santa Cruz County Regional Conservation Investment Strategy	Match to Wildlife Conservation Board grant for early mitigation planning for transportation projects.	FY19/20-20/21	\$4,353	\$3,807					\$8,160	No change to total. "Prior Years" carryover shown in FY20/21.
7	Completed Projects	Completed work, includes UCS and lawsuit	2018-2019	\$887,596						\$887,596	Completed projects combined into a summary line.
Total Measure D Expenditures				\$3,468,708	\$2,017,934	\$649,010	\$201,207	\$693,433	\$208,433	\$7,238,725	



Rail Corridor: Infrastructure Preservation

Program Description

The Santa Cruz County Regional Transportation Commission (RTC) acquired the Santa Cruz Branch Line (SCBRL) in 2012 for \$14.2M to expand transportation options and alternatives to driving, and plan for the future mobility needs of Santa Cruz County residents and visitors. The 135-year-old rail corridor spans 32-miles from Davenport to Watsonville and connects to regional and state rail lines.

The short-line railroad operator, St. Paul & Pacific Railroad, currently provides freight rail service to a number of local construction, agricultural, beverage, and biofuel companies through an Administration, Coordination, and License Agreement with the RTC. Freight rail service helps to reduce traffic and greenhouse gases as one rail car load generally removes four truck trips from roadways and freeways. Big Trees & Pacific Railroad currently operates seasonal and special event recreational rail service on a portion of the Santa Cruz Branch Rail Line. St. Paul & Pacific Railroad also plans to operate some recreational rail service.

Measure D funds are used for inspections and repairs to the 29 railroad bridges, as well as repairs and upgrades to railroad signals, grade crossings, and the railbed to meet the Federal Railroad Administration (FRA) Requirements for a short-line railroad.

In 2017, severe winter storms damaged the line at several locations. The RTC is working on securing necessary permits for the repairs and expects construction to occur over two seasons. Phase I will start in 2020 and Phase II on the North Coast will start in 2021, as permits are received.



Program Highlights

Rail Preservation Efforts:

- ▶ Inspections and repairs of railroad bridges on an ongoing basis
- ▶ Storm damage repairs and clean up resulting from the 2017 winter storms, the majority of which will be funded by state and federal disaster assistance relief funds
- ▶ Capital Maintenance efforts to comply with FRA requirements, including repair and rehabilitation of railroad track infrastructure, grade crossings, signals, and signage as needed

Total Programming (in thousands)

Total Funding Secured	\$	7,955
Funding Sources		
Measure D (Rail)	\$	5,975
Other Sources*	\$	2,866

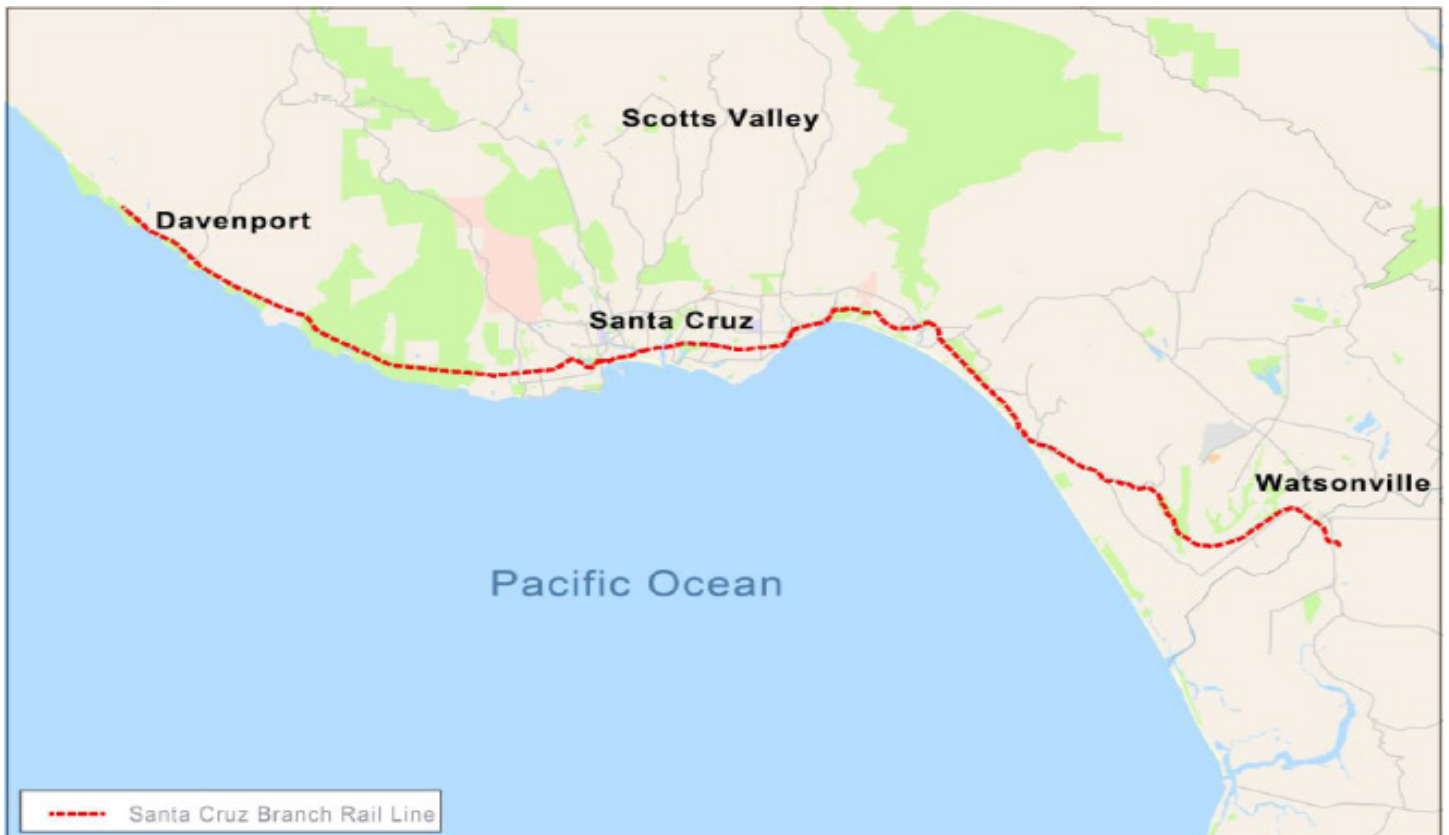
*Includes RSTPX and FEMA funds.



PROJECT FACT SHEET

Updated March 2021

Rail Corridor: Infrastructure Preservation



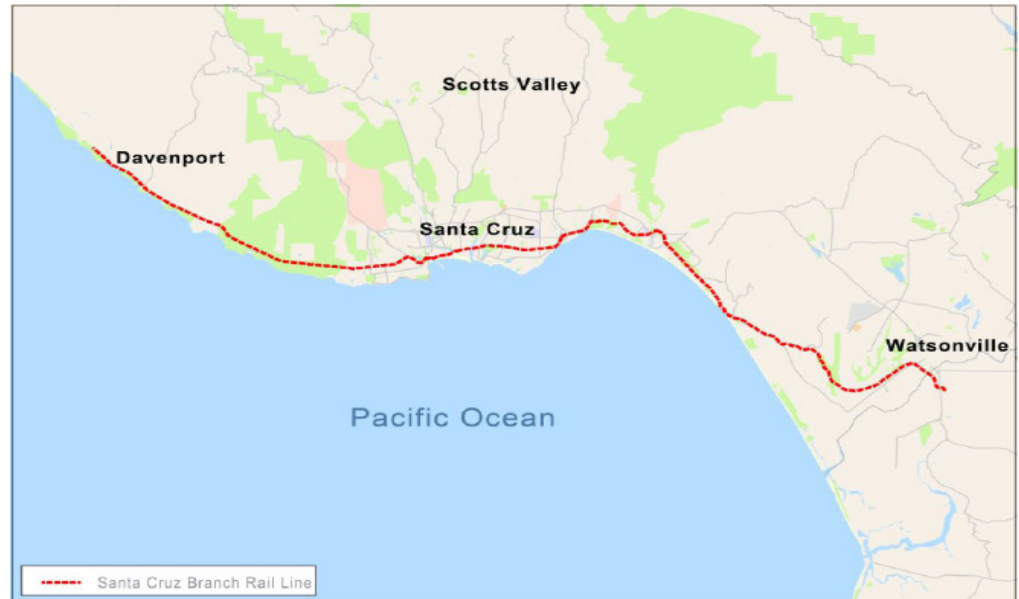


Active Transportation: RTC PM and Maintenance

Santa Cruz Branch Rail Line

The 32-mile Santa Cruz Branch Rail Line (SCBRL) is a continuous transportation corridor that spans the length of the county offering tremendous potential for new mobility options for residents and visitors alike. In 2013, the RTC adopted the Monterey Bay Sanctuary Scenic Trail Network Master Plan and certified a programmatic Environmental Impact Report. The Coastal Rail Trail, serving as the system's spine, is a result of a 20-year effort to purchase the SCBRL, which was first established in 1876. On October 12, 2012, the RTC successfully closed escrow, placing title of the branch line into public ownership with the commitment of facilitating passenger and freight service, as well as creating a multi-use bicycle and pedestrian trail.

This important transportation corridor is within one mile of more than 80 parks, 25 schools, approximately half of the county's population, provides access to the Monterey Bay National Marine Sanctuary at several key locations, and connects to regional and state rail lines.



RTC Project Management and Maintenance

The RTC is responsible for managing the overall development of the Coastal Rail Trail corridor, including oversight, technical assistance, and implementing some of the project development work for segments of the trail.

The RTC is also responsible for maintaining the portion of the right-of-way outside of the railroad easement. The short-line railroad operator, Saint Paul & Pacific Railroad, is responsible for maintaining the infrastructure within the railroad easement.

It is important to maintain the corridor in order to:

- ▶ Retain the integrity of the land to support future increased transportation use
- ▶ Support existing storm water drainage systems that flow along and across the corridor
- ▶ Limit impacts to adjacent properties from trees or sediment

The RTC has a Preventative Maintenance Program that outlines procedures for RTC staff to carry out maintenance operations, with individual inquiries being tracked using ArcGIS, a mapping and analytics platform. Regular inspections and repair or cleanup work within the right-of-way will maintain the corridor for future use and limit impacts to adjacent jurisdictions and private property.

General property management of a railroad right-of-way must account for federal railroad law and oversee various activities, such as access for utility companies or local government jurisdictions.



Active Transportation: RTC PM and Maintenance

Program Highlights

Maintenance

- ▶ Semiannual inspections of full corridor
- ▶ Track and process individual requests from neighbors or local jurisdictions for maintenance
- ▶ Future trail maintenance agreements for constructed segments
- ▶ Annual clearing of drainage facilities and grass mowing
- ▶ Removal of encampments, trash, or problem trees as needed
- ▶ Other vegetation control as needed

Other Property Management

- ▶ Management of utility crossings and leases
- ▶ Processing of right-of-entry agreements
- ▶ Addressing illegal encroachments, altered drainage, and dumping of sediment and trash from adjacent property owners
- ▶ Trail Segment Maintenance



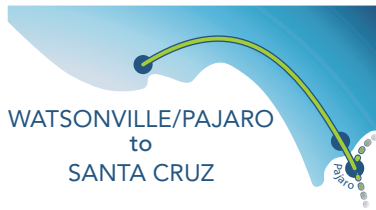
Total Programming (in thousands)

Total Funding Secured*	\$	7,700
Funding Sources		
Measure D (Active Transportation)	\$	7,700
Other	\$	0

*Reflects Measure D-funded oversight and maintenance costs FY17/18-FY24/25 for all segments (\$1.1M/yr on average).



TRANSIT CORRIDOR ALTERNATIVES ANALYSIS



FACT SHEET

OCTOBER 2020

TRANSIT CORRIDOR ALTERNATIVES ANALYSIS

In late 2019, the Santa Cruz County Regional Transportation Commission (RTC), in partnership with Santa Cruz Metropolitan Transit District (METRO), initiated the next phase of planning for a transit corridor along the existing rail right-of-way that will provide additional travel options, and enhanced access and connectivity for residents, businesses and visitors. One of the outcomes of the Unified Corridor Investment Study, completed in January 2019, was to reserve the Santa Cruz Branch Rail Line (SCBRL) for high-capacity public transit adjacent to a bicycle and pedestrian trail.

The Transit Corridor Alternatives Analysis (TCAA) will evaluate public transit investment options that

provide an integrated transit network for Santa Cruz County utilizing all or part of the length of the rail right-of-way as a dedicated transit facility. A performance-based planning approach based on a triple bottom line sustainability framework will be utilized to assess various public transit options for the rail right-of-way. Transit alternatives will be compared to define a locally-preferred alternative that offers the greatest benefit to Santa Cruz County in terms of equity, environment, and economy. Proposed future intercounty and interregional connections to Monterey, Gilroy, the San Francisco Bay Area and beyond will be considered.



ALTERNATIVES ANALYSIS PROCESS

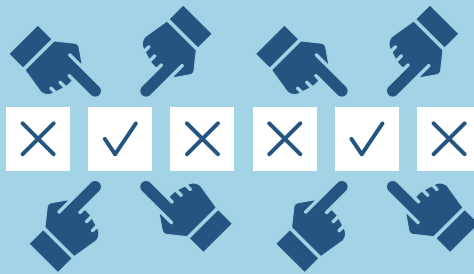
During the TCAA planning process, project goals, screening criteria and performance measures will be established to screen and then evaluate the performance of each potential alternative quantitatively. Potential transit alternatives will consider mode types such as rail, bus and other innovative services. Potential connector services will also be evaluated. The analysis will identify potential infrastructure, vehicle type and right-of-way needs as well as other potential transit features.

Agency partners, local and regional stakeholders and the general public will have the opportunity to provide valuable input into the alternatives and evaluation criteria to aid in narrowing down to a feasible transit solution. The ultimate goal of the TCAA is to identify one locally-preferred transit alternative that meets the needs of the diverse community for which it will serve.

TCAA HIGHLIGHTS



Rail right-of-way passes within one mile of half of the county's population and can provide access to 44 schools and 92 parks.



Involves the community, partner agencies, RTC and METRO in the decision-making process to identify a preferred alternative and next steps.



Develops a strategic business plan for the selected alternative, including a cash flow analysis of environmental clearance, right-of-way, design, construction, operations and maintenance.



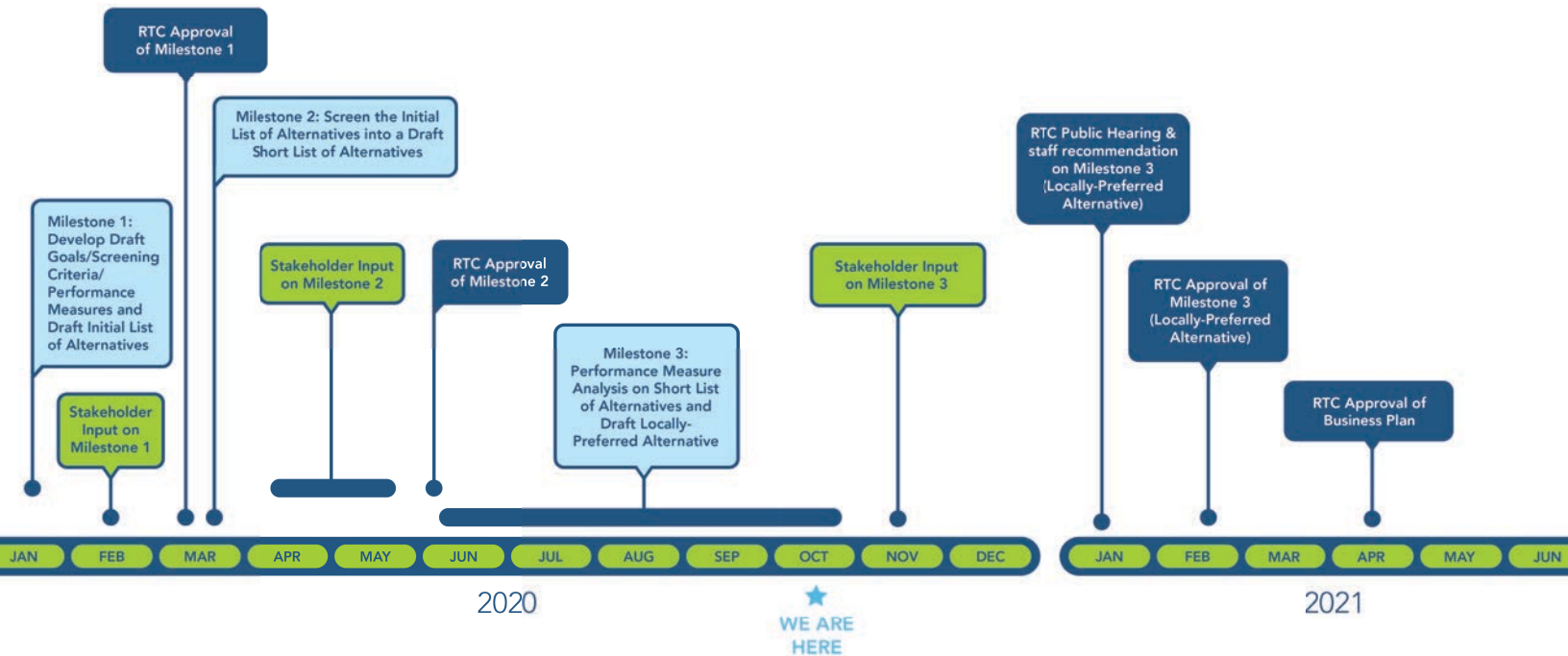
Rail Network Integration Study funded by Caltrans will be performed as part of the Alternatives Analysis.



Utilizes a performance-based planning approach with a triple-bottom line framework of equity, environment and economy.



KEY MILESTONES





STAY INFORMED

RTC and our METRO partner are committed to engaging the public and regional stakeholders throughout the TCAA process. The outreach program will include multiple opportunities to share information, listen and address concerns as well as seek valuable input to help identify a preferred

transit alternative to serve and connect our communities. To stay informed, visit the project website to be added to the contact list. New information will be distributed electronically through the website, social media and email blasts along with in-person distribution at meetings.



WEBSITE

sccrtc.org/transitcorridora



PHONE

831.460.3200



E-MAIL

transitcorridora@sccrtc.org



E-SUBSCRIPTIONS

sccrtc.org/about/esubscriptions



INSTAGRAM

@sccrtc



FACEBOOK

@sccrtc



TWITTER

@santacruzrtc



Measure D Annual Report Cover Sheet

Fiscal Year 2019/20

Investment Category: Highway 17 Wildlife Crossing
(Neighborhood Project)
 Lead Agency: Caltrans

Dear Measure D Taxpayer Oversight Committee: The SCCRTC is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for the Highway 17 Wildlife Crossing.

As noted in the Measure D Expenditure Plan, the Neighborhood Projects investment category includes \$5 million in Measure revenues for construction of a safe passage for wildlife to cross under Highway 17. The RTC has entered into a cooperative agreement with the California Department of Transportation (Caltrans) which is implementing the project.

Measure D Financial Information	
FY19/20 Formula Allocation	\$166,667
Unspent Prior Year Allocations	\$381,356
Interest earned in FY19/20 on Unspent Prior Allocations	\$8475
Total Measure D Funding Available in FY19/20	\$548,022
Total Measure D Funds Spent in FY19/20	\$1,805.75
Total Measure D Rollover to <u>FY20/21</u>	\$554,692
Maintenance of Effort	
FY19/20 LOCAL funds spent on transportation projects, operations, and/or services <i>(excludes grants & Measure D funds)</i>	\$2,617,436
Maintenance of Effort Baseline <i>(average amount of <u>local</u> funds spent on transportation projects in 3-5 fiscal years prior to 2017)</i>	\$2,114,952
Measure D Compliance Documents	
Document	Attached
1. FY19/20 Annual Report Cover Sheet <i>(this document)</i>	x
2. FY19/20 Audited Financials for Measure D funds	X – For all regional projects
3. FY19/20 Expenditure Report Spreadsheet <i>(shows what Measure D formula funds were spent on)</i>	x
3a. Grouped projects supplemental information	NA
4. Map	See fact sheet
5. FY19/20 Performance Measures Report - <i>only applies to direct recipients (cities, county and transit agencies)</i>	NA
6. Maintenance of Effort Compliance Spreadsheet: <i>List of local funds spent on transportation projects through FY19/20 as compared to the 3-5 years prior to FY16/17.</i>	X For all regional projects

a. If FY19/20 Local funds expended were lower than Baseline amount, explain why: NA. Baseline MOE met.	NA
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)? a. Date of most recent ICAP: <u>March 13, 2020</u>	Yes
8. 5-Year Program of Projects (5-Year Plan): <i>List showing planned use Measure D formula funds in the next 5 years.</i>	x
a. Evidence that the 5-year program of projects was approved through a public process. <ul style="list-style-type: none"> i. Public hearings at RTC meetings: <ul style="list-style-type: none"> • 6/6/2019: https://sccrtc.org/rtc-holding-public-hearing-on-measure-d-5-year-transportation-investment-plans/ • 9/3/2020: https://sccrtc.org/public-hearing-measure-d-five-year-plan-adoption/ ii. Advisory Committee Review of draft plans: April 2019 <ul style="list-style-type: none"> • Bike Committee: https://sccrtc.org/wp-content/uploads/2019/04/BAC_April_2019_Packet.pdf • Elderly and Disabled Transportation Advisory Committee (E&D TAC): https://sccrtc.org/wp-content/uploads/2019/04/00_Packet_19April09.pdf • ITAC: https://sccrtc.org/wp-content/uploads/2019/04/Apr2019-ITAC-packet.pdf 	X See RTC website for staff reports
b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan	Date: 6/6/19 & 9/3/20
c. Date annual 5-year Plan approved by Governing Board	Date: 6/6/19 & 9/3/20
9. Complete Streets Compliance (<i>Cities/County only</i>)	NA
10. Annual Report Narrative (<i>may be included in Management & Admin section of audit</i>)	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known. <ul style="list-style-type: none"> • \$5 million of Measure D revenues over the 30 year measure are designated for this project. Prior year balances will be used during construction of the project in FY21/22. The project was undergoing design in FY19/20 and is schedule to start construction in the Fall of 2021. • The fund balance (funds that have not yet been expended) is kept in a separate trust fund and tracked via the accounting system ledgers and programming spreadsheets. • Measure D funds have been used to leverage State Highway Operation and Protection Program (SHOPP) funds from the California Transportation Commission (CTC) for environmental review, design, and right-of-way, as well as \$3 million from the Land Trust of Santa Cruz County for construction. 	x
b. Future Liabilities: Since only 1/30 th of the \$5 million in Measure D funds designated for this project are allocated each year (approx. \$166k/year over 30 years), the RTC needs to borrow funds in order to expedite construction of this project. In order to reduce financing costs, the RTC approved an inter-program loan from the Highway Corridors investment category starting in FY21/22, to be repaid with future allocations to the Wildlife Crossing. This is consistent with the Measure D Ordinance, 5-year plan and Strategic Implementation Plan .	
c. Compliance with Applicable Laws: <i>Confirm projects were implemented in compliance with applicable laws, regulations,</i>	Yes, environment

<i>requirements, standards of practice, guidelines, etc. which are applicable to publicly funded transportation projects are met and adhered to, including requirements of the California Environmental Quality Act (CEQA), state design and procurement requirements, etc.</i>	al documents and design are compliant with state & federal regulations.
Public Outreach/Notification of Use of Measure D Funds	
11. Public Outreach/Process: During FY19/20 the RTC conducted outreach on 5-year plan, however major public outreach on the Wildlife Crossing is planned for when the project is nearing construction. Fact sheets and other information on the project are available on the RTC and Caltrans websites.	x
12. Sample(s) of public outreach on Measure D-funded projects 7/1/19-6/30/20	
a. Websites with Measure D information <ul style="list-style-type: none"> • www.sccrtc.org/move • https://sccrtc.org/funding-planning/measured/regional-projects/ • https://sccrtc.org/wp-content/uploads/factsheets/Hwy17WildlifeCrossing_Fact_Sheet.pdf 	x
b. News Articles and News Releases: <ul style="list-style-type: none"> • https://www.landtrustsantacruz.org/category/protected-lands/hwy17-wildlife-crossing/ • https://www.santacruzsentinel.com/2019/07/02/clear-path-ahead-for-highway-17-animal-corridor-with-5-million-campaign-complete/ 	x
c. Signage: The Measure D logo informing the community of projects funded by Measure D, was included on project fact sheet and the RTC website. When construction begins in FY21/22, Measure D signage will be posted at the construction site.	X
13. Photos: <i>Attach before/after and construction photos if available of projects/work done in FY19/20.</i>	None yet
14. Fact Sheet: Attached	x

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Email
Tracy New	Director of Budget and Finance	tnew@sccrtc.org
Rachel Moriconi	Sr. Transportation Planner	rmoriconi@sccrtc.org
Shannon Munz	Communications Specialist	smunz@sccrtc.org

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MEASURE D EXPENDITURE REPORT

Reporting Year **FY19/20**
SCCRTC **Hwy 17 Wildlife Crossing**

				Prior Year expenditures (if applicable)			Consistency with 5-year plan		
#	Project Name	Total Project Cost	FY19/20 Measure D Expenditures	FY16/17 Measure D Expenditures on this project	FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures	Measure D TOTAL SPENT FY16/17-19/20	(Est) Future Year(s) Measure D Expenditures	Project in approved 5-year plan? (yes/no)
1	Highway 17 Wildlife Crossing near Laurel Curve: Oversight and public outreach	\$ 12,000,000	\$1,806	\$0	\$0	\$0	\$1,806	\$4,998,194	Yes
		Total	\$ 1,806	\$ -	\$ -	\$ -	\$ 1,806		

	FY 19/20 Measure D Revenues	FY 16/17 Measure D Revenues (4/1/17-6/30/17)	FY 17/18 Measure D Revenues	FY 18/19 Measure D Revenues	Measure D Expended	Fund Balance/ Carryover to Future Years
Allocation	\$ 166,666.67	\$41,667	\$166,667	\$166,667	\$ 1,805.75	\$ 554,692
Interest	\$ 8,475.23	\$ -	994	\$5,362		

MEASURE D EXPENDITURE REPORT

		Project Description/Location		Project Status/Timeline			
#	Project Name	Project Description <i>(may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)</i>	Location/Limits (if grouped project, list each location/limit)	Work Done in FY19/20 (e.g. planning, design, construction, right-of-way)	CEQA compliance <i>(List type of doc & certification date - e.x. CE, 5/1/18)</i>	Construction/Implement schedule	Completion Date <i>(actual or estimate)</i>
1	Highway 17 Wildlife Crossing near Laurel Curve: Oversight and public outreach	RTC costs associated with oversight, agreements, financing, coordination, and public engagement.	Highway 17 north of Laurel Curve	Agreements with Caltrans, Land Trust, public info	NEPA & CEQA (CE 4/30/18; recert 8/26/20)	fall 2021-2022	Est. 2022

MEASURE D EXPENDITURE REPORT

		Leveraged Funds, if any		FY19/20 Expenditure Detail			Other
#	Project Name	Leveraged Fund Amounts <i>(grants & other funds where Meas. D used as match)</i>	Leveraged Fund Source(s) Name(s)	FY19/20 Measure D Capital Costs	FY19/20 Measure D Non-capital <i>(non-infrastructure, outreach admin)</i>	Measure D-funded Indirect costs (and overhead)	Other Notes or Information <i>(optional)</i>
1	Highway 17 Wildlife Crossing near Laurel Curve: Oversight and public outreach	\$8,400,000	Land Trust (\$3M) & SHOPP (\$5.4M)	\$ -	\$ 1,805.75	\$ 902.88	Caltrans is implementing agency
		\$ 8,400,000		\$ -	\$ 1,806	\$ 903	

Measure D: 5-Year Program of Projects (FY20/21-FY24/25)

Approved 9/3/20

Previously updated 6/6/19

Category: Highway 17 Wildlife Corridor (\$5 million over 30 years)

				Anticipated Expenditures							Total Measure D	Proposed 2020 Updates
	Project	Description	Schedule	Prior Years	FY20/21	FY21/22*	FY22/23*	FY23/24	FY24/25	Future Debt Service*		
1	Highway 17 Wildlife Crossing near Laurel Curve: Construction**	Construct wildlife undercrossing to connect habitat on either side of the highway. Creates a wildlife corridor enabling animals to safely cross the highway.	2021-2023	\$0	\$0	\$1,350,333	\$2,700,667				\$4,051,000	Updated from \$4M to reflect updated cost estimate range (\$6.8-\$7.2M). Staff recommends interprogram loan from Meas D: Hwy, consistent with SIP. Land Trust match: \$3M. Financing, and outreach/ oversight listed below.
2	Highway 17 Wildlife Crossing near Laurel Curve: Construction Financing	Financing/debt service on loans or bonds needed advance implementation, since Measure D revenues are allocated over 30 years. RTC anticipates using interprogram loans from other Measure D Regional programs.	NA	\$0				Debt Service payments \$166,667/year			\$902,194	Repay inter-program loan through 2047.
3	Highway 17 Wildlife Crossing near Laurel Curve: Oversight and public outreach	RTC costs associated with oversight, agreements, financing, coordination, and public engagement.	FY19/20-FY22/23	\$1,806	\$15,000	\$15,000	\$15,000				\$46,806	Prior Years reduced based on expenditures.
Estimated Annual Measure D Expenditures				\$1,806	\$15,000	\$1,365,333	\$2,715,667	\$166,667	\$166,667	\$568,861	\$5,000,000	

*Since the full \$5M committed in Measure D for this project will not be available until end of the 30 year measure, consistent with the Measure D Strategic Implementation Plan, staff recommends that the RTC authorize a loan from the Highway Corridors investment category. Land Trust has committed \$3M to be used to fund first months of construction costs, postponing when Measure D interprogram loan starts.

**Pre-construction and support costs funded through Caltrans SHOPP. Actual cost will depend on final design, bids, any claims, and financing costs.

Highway 17 Wildlife Habitat Connectivity Project



PROJECT INFORMATION

PROPOSED PROJECT

The California Department of Transportation (Caltrans) in partnership with the Land Trust of Santa Cruz County and the Santa Cruz County Regional Transportation Commission is proposing to construct a wildlife undercrossing on State Route 17 near Laurel Road in Santa Cruz County. Two core wildlife habitat areas on either side of Highway 17 at Laurel Road have been identified in several landscape-scale wildlife connectivity modeling efforts as a critical linkage for mountain lion and other wildlife species. Using GPS/radio telemetry, wildlife cameras, and roadkill data, the curve at Laurel Road has been identified as one of the locations along the Highway 17 corridor where a wildlife crossing structure would provide the greatest benefit. At the project location, 460 acres of mostly undeveloped land on both sides of the highway have been preserved in a conservation easement by the Land Trust of Santa Cruz County.

PURPOSE

The purpose of the project is to connect important wildlife habitat on both sides of the highway and provide for safe movement of wildlife under Highway 17.

NEED

High Annual Average Daily Traffic volumes (61,500 vehicles per day) combined with a concrete median barrier and a lack of adequate culvert or bridge undercrossings contributes to a high frequency of animal-vehicle collisions along Highway 17.

TIMELINE

Construction is anticipated to begin in the Fall of 2021.

PROJECT COST

The current construction estimate for the proposed project is \$5.6 million. Some of the construction funding has been secured through the voter approved 2016 Santa Cruz County Tax Measure D. The Land Trust of Santa Cruz County is also raising funds for the project.



Highway 17 Wildlife Habitat Connectivity Project



QUESTIONS

- For more project related information, contact Aaron Henkel, Caltrans Project Manager by email: aaron.henkel@dot.ca.gov or by phone: (805) 549-3084
- For more environmental related information, contact Cecilia Boudreau, Associate Environmental Planner by email: cecilia.boudreau@dot.ca.gov or by phone: (805) 549-3376



Measure D Annual Report Cover Sheet

Fiscal Year 2019/20

Investment Category:

San Lorenzo Valley (SLV)/Highway 9 Corridor (Neighborhood Projects)







Lead Agency: RTC, Caltrans, County of Santa Cruz

Dear Measure D Taxpayer Oversight Committee: The Santa Cruz County Regional Transportation Commission (SCCRTC) is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for San Lorenzo Valley/Hwy 9 Corridor Improvements.

As noted in the Measure D Expenditure Plan, the Neighborhood Projects investment category includes **\$10 million in Measure revenues over 30 years for transportation projects improving travel for residents of San Lorenzo Valley.**

Measure D Financial Information	
FY19/20 Formula Allocation	\$333,333
Unspent Prior Year Allocations	\$727,711
Interest earned in FY19/20 on Unspent Prior Allocations	\$16,146
Total Measure D Funding Available in FY19/20	\$1,061,044
Total Measure D Funds Spent in FY19/20	\$52,515.72
Total Measure D Rollover to FY20/21	\$1,024,675
Maintenance of Effort	
FY19/20 LOCAL funds spent on transportation projects, operations, and/or services <i>(excludes grants & Measure D funds)</i>	\$2,617,436
Maintenance of Effort Baseline <i>(average amount of local funds spent on transportation projects in 3-5 fiscal years prior to 2017)</i>	\$2,114,952
Measure D Compliance Documents	
Document	Attached (check)
1. FY19/20 Annual Report Cover Sheet <i>(this document)</i>	x
2. FY19/20 Audited Financials for Measure D funds	X – For all regional projects
3. FY19/20 Expenditure Report Spreadsheet <i>(shows what Measure D formula funds were spent on)</i>	x
3a. Grouped projects supplemental information	NA
4. Map: See Hwy 9/SLV Complete Streets Plan for maps www.sccrtc.org/slv	See Plan
5. FY19/20 Performance Measures Report - <i>only applies to direct recipients (cities, county and transit agencies)</i>	NA
6. Maintenance of Effort Compliance Spreadsheet: <i>List of local funds spent on transportation projects through FY19/20 as compared to the 3-5 years prior to FY16/17.</i>	X For all regional projects

a. If FY19/20 Local funds expended were lower than Baseline amount, explain why: NA. Baseline MOE met.	NA
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)? a. Date of most recent ICAP: <u>March 13, 2020</u>	Yes
8. 5-Year Program of Projects (5-Year Plan): <i>List showing planned use Measure D formula funds in the next 5 years.</i>	x
a. Evidence that the 5-year program of projects was approved through a public process. i. Public hearings at <u>RTC meetings</u>: <ul style="list-style-type: none"> 6/6/2019: https://sccrtc.org/rtc-holding-public-hearing-on-measure-d-5-year-transportation-investment-plans/ 9/3/2020: https://sccrtc.org/public-hearing-measure-d-five-year-plan-adoption/ ii. Advisory Committee Review of draft plans: April 2019 <ul style="list-style-type: none"> <u>Bike Committee</u>: https://sccrtc.org/wp-content/uploads/2019/04/BAC_April_2019_Packet.pdf <u>Elderly and Disabled Transportation Advisory Committee (E&D TAC)</u>: https://sccrtc.org/wp-content/uploads/2019/04/00_Packet_19April09.pdf <u>ITAC</u>: https://sccrtc.org/wp-content/uploads/2019/04/Apr2019-ITAC-packet.pdf 	X See RTC website for staff reports
b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan	Date: 6/6/19 & 9/3/20
c. Date annual 5-year Plan approved by Governing Board	Date: 6/6/19 & 9/3/20
9. Complete Streets Compliance (<i>Cities/County only</i>)	NA
10. Annual Report Narrative (<i>may be included in Management & Admin section of audit</i>)	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known. <ul style="list-style-type: none"> \$10 million of Measure D revenues over the 30 year measure are designated for projects in San Lorenzo Valley (SLV). During the first years of Measure D, a major community-based plan was developed to prioritize improvements in the corridor. In FY19/20, Measure D funds were used to fund a Caltrans "Project Initiation Document", which is required for implementation of projects on the state highway system. Balances will be used to leverage state funds and grants to implement community-identified priorities. The fund balance (funds that have not yet been expended) is kept in a separate trust fund and tracked via the accounting system ledgers and programming spreadsheets. 	x
b. Future Liabilities: Since only 1/30 th of the \$10 million in Measure D funds designated for this project are allocated each year (approx. \$333k/year over 30 years) and the Complete Streets Corridor Plan identified over \$100 million in priorities, the RTC, County of Santa Cruz and Caltrans will utilize Measure D funds to leverage other funds.	
c. Compliance with Applicable Laws: <i>Confirm projects were implemented in compliance with applicable laws, regulations,</i>	Yes

<p><i>requirements, standards of practice, guidelines, etc. which are applicable to publicly funded transportation projects are met and adhered to, including requirements of the California Environmental Quality Act (CEQA), state design and procurement requirements, etc.</i></p>	
Public Outreach/Notification of Use of Measure D Funds	
11. Public Outreach/Process: Caltrans and the County of Santa Cruz, in partnership with SCCRTC, conducted several meetings with stakeholders, including SLV schools during FY19/20.	x
12. Sample(s) of public outreach on Measure D-funded projects 7/1/19-6/30/20	
a. Websites with Measure D information <ul style="list-style-type: none"> • www.sccrtc.org/move • https://sccrtc.org/funding-planning/measured/regional-projects/ • www.sccrtc.org/slv 	x
b. News Articles and News Releases: <ul style="list-style-type: none"> • https://dot.ca.gov/caltrans-near-me/district-5/district-5-current-projects/crosswalk-enhancement 	x
c. Signage: The Measure D logo informing the community of projects funded by Measure D, was included on project fact sheet and the RTC website.	x
13. Photos: <i>Hwy 9 Pedestrian Crosswalks and Enhancements (rapid flashing beacons)</i> <div>       </div>	

Farmer Street, Felton alternate walking route to SLV schools – Before/After



14. Fact Sheet: Attached

x

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Email
Tracy New	Director of Budget and Finance	tnew@sccrtc.org
Rachel Moriconi	Sr. Transportation Planner	rmoriconi@sccrtc.org
Shannon Munz	Communications Specialist	smunz@sccrtc.org

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MEASURE D EXPENDITURE REPORT - Direct Allocations

Reporting Year **FY19/20**

SCCRTC **Hwy 9/San Lorenzo Valley (SLV)**

#	Project Name	Total Project Cost	FY19/20 Measure D Expenditures	Prior Year expenditures (if applicable)			Measure D TOTAL SPENT FY16/17-19/20	(Est) Future Year(s) Measure D Expenditures	Project in approved 5-year plan? (yes/no)
				FY16/17 Measure D Expenditures on this project	FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures			
1	Preliminary scope and engineering documents for near term projects	\$ 150,000	\$25,152.04	\$0	\$0	\$0	\$25,152.04	\$124,848	Yes
2	Hwy 9 Pedestrian Crosswalks and Enhancements	\$ 280,000	\$11,588.68	\$0	\$0	\$0	\$11,588.68	\$18,411	Yes
3	SLV/SR9 Corridor technical assistance, oversight, and community outreach	ongoing	\$775.00	\$0	\$0	\$0	\$775.00	\$99,225	Yes
4	Farmer St. Road Repair (alternate ped/bike route to Hwy 9)	\$ 15,000	\$15,000.00	\$0	\$0	\$0	\$15,000.00	\$0	Yes
Total			\$52,515.72	\$ -	\$ -	\$ -	\$52,515.72		

	FY 19/20 Measure D Revenues	FY 16/17 Measure D Revenues (4/1/17-6/30/17)	FY 17/18 Measure D Revenues	FY 18/19 Measure D Revenues	Measure D Expended (FY16/17-19/20)	Fund Balance/ Carryover to Future Years
Allocation	\$ 333,333.33	\$27,778	\$333,333	\$333,333	\$ 87,515.72	\$ 969,120
Interest	\$ 16,146.27	\$ -	1,987.50	10,723.58		

MEASURE D EXPENDITURE REPORT

#	Project Name	Project Description/Location		Project Status/Timeline			
		Project Description (may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)	Location/Limits (if grouped project, list each location/limit)	Work Done in FY19/20 (e.g. planning, design, construction, right-of-way)	CEQA compliance (List type of doc & certification date - e.x. CE, 5/1/18)	Construction/Implement schedule	Completion Date (actual or estimate)
1	Preliminary scope and engineering documents for near term projects	Development of engineers estimates, prelim. designs, and initial screening, implementation documents needed to secure funds for priority projects; may include engineering needed to integrate complete streets components into SHOPP projects and to prepare grant applications for priority projects in SLV Complete Streets Plan.	Hwy 9: Felton to Boulder Creek	Caltrans Project Initiation Document (PID) started	N/A	TBD	TBD
2	Hwy 9 Pedestrian Crosswalks and Enhancements	Stripe new crosswalks and add RRFBs, ladder striping, etc to several existing crosswalks	Several locations along Highway 9	RTC staff time/oversight and community outreach and coordination	N/A	2020	2021
3	SLV/SR9 Corridor technical assistance, oversight, and community outreach	Includes legal, engineering review, grant preparation, funding agreements, RTC staff coordination with Caltrans, County, schools, and other stakeholders, public outreach, other other planning activities	Hwy 9 Corridorwide	RTC Legal and professional services	N/A	TBD	TBD
4	Farmer St. Road Repair (alternate ped/bike route to Hwy 9)	Resurfacing Farmer Street, a pedestrian bypass to access SLV Schools Campus	Farmer St from Cooper St to Fall Creek	Construction	CE	Fall 2019	Fall 2019

MEASURE D EXPENDITURE REPORT

#	Project Name	Leveraged Funds, if any		FY19/20 Expenditure Detail			Other
		Leveraged Fund Amounts <i>(grants & other funds where Meas. D used as match)</i>	Leveraged Fund Source(s) Name(s)	FY19/20 Measure D Capital Costs	FY19/20 Measure D Non-capital <i>(non-infrastructure, outreach, admin)</i>	Measure D-funded Indirect costs <i>(and overhead)</i>	Other Notes or Information <i>(optional)</i>
1	Preliminary scope and engineering documents for near term projects	Est. \$6M	SHOPP	\$ -	\$25,152	\$ -	Upon completion of the PID, it will be easier to secure state funds for complete streets projects on Highway 9 and Caltrans will be able to more easily integrate Complete Streets projects into other safety and maintenance projects.
2	Hwy 9 Pedestrian Crosswalks and Enhancements	\$250,000	HSIP	\$ -	\$11,589	\$ 5,794.34	
3	SLV/SR9 Corridor technical assistance, oversight, and community outreach	TBD	SHOPP, Local, +	\$ -	\$775	\$ 387.50	
4	Farmer St. Road Repair (alternate ped/bike route to Hwy 9)	\$0	County staff time	\$15,000.00	\$0	\$ -	
		\$ -		\$ 15,000	\$ 37,516	\$ -	

Measure D: 5-Year Program of Projects (FY20/21-FY24/25)

*Approved 9/3/20
Previously updated 6/6/19, 11/7/19.*

Category: Neighborhood Projects: San Lorenzo Valley (SLV)/Highway 9 Corridor (\$333,333/year; \$10 million over 30 years)

	Name/Road/Limits	Description	Schedule	Prior Years	Planned Use					Total Measure D	Proposed 2020 Updates
					FY20/21	FY21/22	FY22/23	FY23/24	FY24/25		
1	San Lorenzo Valley (SLV) Safe Routes to Schools -Preconstruction & grant match	Funding designated for potential match of future grant opportunities.	Est. FY2022	\$0	\$250,000	\$750,000				\$1,000,000	Caltrans is evaluating options for the area. Once funding plan is developed, staff will return with recommendations.
2	Preliminary scope and engineering documents for near term projects	Develop engineers estimates, prelim. designs, and initial screening, implementation documents needed to secure funds for priority projects; including work needed to integrate complete streets components into Caltrans SHOPP and local projects.	PID to be completed FY20/21	\$25,000	\$125,000					\$150,000	\$150k approved for Caltrans PID. Additional \$100k previously programmed that is being used for tehcnical assitance, oversight, and community outreach is now shown in row 4.
3	Hwy 9 Pedestrian Crosswalks and Enhancements	Stripe new crosswalks and add RRFBS, ladder striping, etc to several existing crosswalks	2021	\$11,589	\$18,411					\$30,000	No change to total. \$250k HSIP grant being used for construction being implemented by Caltrans.
4	SLV/SR9 Corridor technical assistance, oversight, and community outreach	Includes legal, engineering review, grant preparation, funding agreements, RTC staff coordination with Caltrans, County, schools, and other stakeholders, public outreach, other other planning activities	Ongoing	\$775	\$99,225					\$100,000	No change to total. New line separating out work that was previously included in line 2.
5	Hwy 9/SLV Corridor Plan	Community-based comprehensive corridor plan, identifying priority transportation projects.	Completed 6/19	\$35,000						\$35,000	Reduce to \$35k to reflect actual spent. (previously \$50k)
6	Farmer St. Road Repair (alternate ped/bike route to Hwy 9)	Resurfacing Farmer Street, a pedestrian bypass to access SLV Schools Campus	Completed Fall 2019	\$15,000						\$15,000	No change. Work completed in FY19/20
Estimated 5-Year Measure D Expenditures				\$87,364	\$492,636	\$750,000	\$0	\$0	\$0	\$1,330,000	



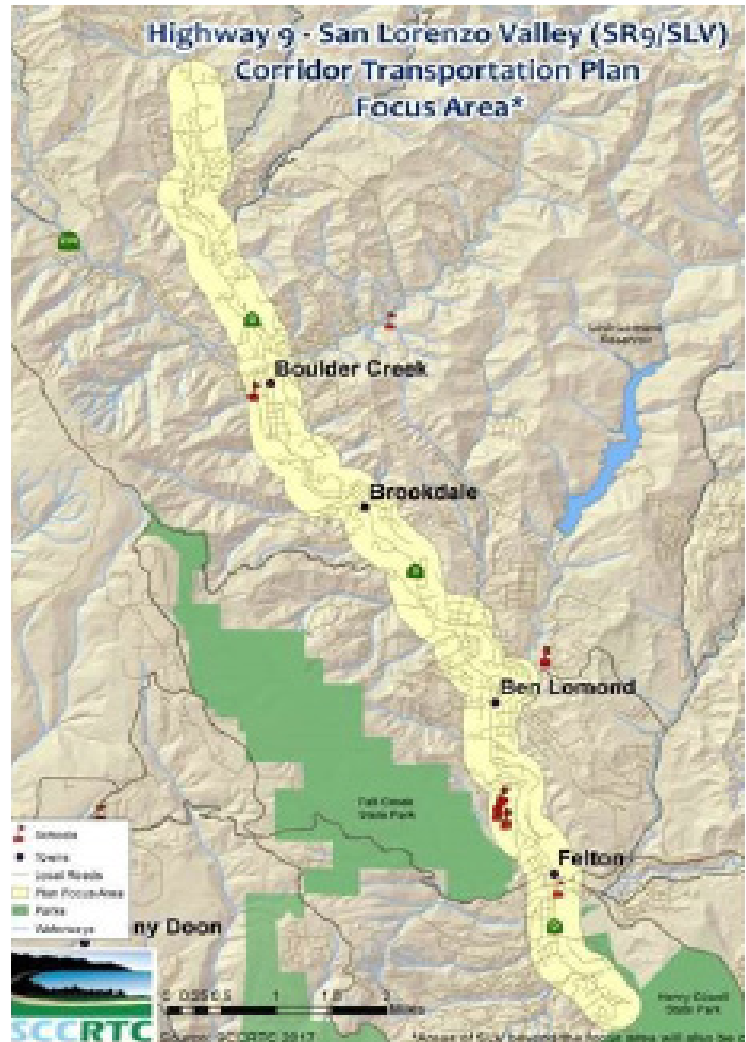
Neighborhood Projects: San Lorenzo Valley Highway 9 Corridor Improvements

Project Description

Highway 9 serves as the “Main Street” and economic corridor for the San Lorenzo Valley (SLV) towns of Felton, Ben Lomond, Brookdale, and Boulder Creek, and is an interregional arterial connecting Silicon Valley and Santa Cruz. It is the backbone for the movement of people and goods through the SLV and is the only direct route linking the four SLV towns.

There are significant transportation concerns throughout the SLV. This mountainous area has high collision rates, narrow curving roadways frequently impacted by steep terrain, significant gaps in bicycle and pedestrian facilities, a lack of walkways to many of the bus stops, traffic backups at a number of choke points, as well as pavement, drainage, and other assets in disrepair.

Measure D designates \$10 million for transportation projects in the Highway 9 corridor that will improve travel for residents of the SLV. Since Measure D, state, federal, and other local revenues are limited, a first step in identifying priority projects was the development of a comprehensive Highway 9 San Lorenzo Valley Complete Streets Corridor Plan. Building on past public input and planning activities, the plan identifies, evaluates, and prioritizes transportation projects on Highway 9 and connecting county roads through the SLV that can be implemented in the short- and mid-term to address challenges along the corridor. The plan focuses on safety for pedestrians, bicyclists, and motorists; multi-modal access to schools, businesses, and bus stops; traffic operations, pavement conditions, drainage, and other needs in this travel corridor.



Total Programming (in thousands)

Total Funding Secured	\$	10,821
Funding Sources		
Measure D (Neighborhood)	\$	10,000
Other*	\$	821

*Includes HSIP Grant (Fed), Caltrans Planning Grant, and Match (TDA, RTC Reserve Fund & RPA) funds.



Neighborhood Projects: San Lorenzo Valley Highway 9 Corridor Improvements

Priority Projects

The Highway 9 Corridor Plan identifies over 30 priority projects for the corridor, including the following:

- ▶ Pedestrian and bicycle paths to SLV schools and modifications to improve traffic flow along the schools' entrances
- ▶ Modifications to Felton, Brookdale, Ben Lomond, and Boulder Creek town centers to create safer pedestrian walking and crossing facilities, reduce speeding, and improve access to businesses
- ▶ Corridor-wide roadway maintenance, storm damage repairs, and emergency preparedness; pedestrian safety and visibility improvements; and shoulder widening for bicycles

The plan will be used to secure grants and facilitate subsequent design, environmental review, and construction of these and other priority projects.

Project Delivery Strategy

The RTC, Caltrans, and the County of Santa Cruz are working together to deliver components of the various complete streets projects. Caltrans has agreed to construct the crosswalk improvements that were awarded Highway Safety Improvement Program (HSIP) funds by the end of 2020. The RTC is working with Caltrans on two Program Initiation Documents (PIDS). The first PID was funded by Caltrans and focused on improving safety for pedestrians and cyclists in the segment of Hwy 9 between Kirby Street and the three-school campus in Felton. It was completed in spring 2020 and the project is now moving into the Project Approval & Environmental Documentation (PA&ED) phase. The second PID is funded by Measure D and covers the remaining projects in the Complete Streets Plan. Once the complete streets PID is complete in 2021, the RTC plans to use Measure D funds to leverage state and federal grants to fund construction of additional improvements. Caltrans and RTC are also currently collaborating on incorporating complete streets elements identified in the Plan into other Caltrans-led PIDs in the San Lorenzo Valley.

