

Measure D Annual Report Cover Sheet

Fiscal Year 2019/20

Investment Category:

San Lorenzo Valley (SLV)/Highway 9 Corridor (Neighborhood Projects)


Lead Agency: RTC, Caltrans, County of Santa Cruz

Dear Measure D Taxpayer Oversight Committee: The Santa Cruz County Regional Transportation Commission (SCCRTC) is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for San Lorenzo Valley/Hwy 9 Corridor Improvements.

As noted in the Measure D Expenditure Plan, the Neighborhood Projects investment category includes **\$10 million in Measure revenues over 30 years for transportation projects improving travel for residents of San Lorenzo Valley.**

Measure D Financial Information	
FY19/20 Formula Allocation	\$333,333
Unspent Prior Year Allocations	\$727,711
Interest earned in FY19/20 on Unspent Prior Allocations	\$16,146
Total Measure D Funding Available in FY19/20	\$1,061,044
Total Measure D Funds Spent in FY19/20	\$52,515.72
Total Measure D Rollover to FY20/21	\$1,024,675
Maintenance of Effort	
FY19/20 LOCAL funds spent on transportation projects, operations, and/or services <i>(excludes grants & Measure D funds)</i>	\$2,617,436
Maintenance of Effort Baseline <i>(average amount of local funds spent on transportation projects in 3-5 fiscal years prior to 2017)</i>	\$2,114,952
Measure D Compliance Documents	
Document	Attached (check)
1. FY19/20 Annual Report Cover Sheet <i>(this document)</i>	x
2. FY19/20 Audited Financials for Measure D funds	X – For all regional projects
3. FY19/20 Expenditure Report Spreadsheet <i>(shows what Measure D formula funds were spent on)</i>	x
3a. Grouped projects supplemental information	NA
4. Map: See Hwy 9/SLV Complete Streets Plan for maps www.sccrtc.org/slv	See Plan
5. FY19/20 Performance Measures Report - <i>only applies to direct recipients (cities, county and transit agencies)</i>	NA
6. Maintenance of Effort Compliance Spreadsheet: <i>List of local funds spent on transportation projects through FY19/20 as compared to the 3-5 years prior to FY16/17.</i>	X For all regional projects

a. If FY19/20 Local funds expended were lower than Baseline amount, explain why: NA. Baseline MOE met.	NA
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)? a. Date of most recent ICAP: <u>March 13, 2020</u>	Yes
8. 5-Year Program of Projects (5-Year Plan): <i>List showing planned use Measure D formula funds in the next 5 years.</i>	x
a. Evidence that the 5-year program of projects was approved through a public process. i. Public hearings at <u>RTC meetings</u>: <ul style="list-style-type: none"> 6/6/2019: https://sccrtc.org/rtc-holding-public-hearing-on-measure-d-5-year-transportation-investment-plans/ 9/3/2020: https://sccrtc.org/public-hearing-measure-d-five-year-plan-adoption/ ii. Advisory Committee Review of draft plans: April 2019 <ul style="list-style-type: none"> <u>Bike Committee</u>: https://sccrtc.org/wp-content/uploads/2019/04/BAC_April_2019_Packet.pdf <u>Elderly and Disabled Transportation Advisory Committee (E&D TAC)</u>: https://sccrtc.org/wp-content/uploads/2019/04/00_Packet_19April09.pdf <u>ITAC</u>: https://sccrtc.org/wp-content/uploads/2019/04/Apr2019-ITAC-packet.pdf 	X See RTC website for staff reports
b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan	Date: 6/6/19 & 9/3/20
c. Date annual 5-year Plan approved by Governing Board	Date: 6/6/19 & 9/3/20
9. Complete Streets Compliance (<i>Cities/County only</i>)	NA
10. Annual Report Narrative (<i>may be included in Management & Admin section of audit</i>)	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known. <ul style="list-style-type: none"> \$10 million of Measure D revenues over the 30 year measure are designated for projects in San Lorenzo Valley (SLV). During the first years of Measure D, a major community-based plan was developed to prioritize improvements in the corridor. In FY19/20, Measure D funds were used to fund a Caltrans "Project Initiation Document", which is required for implementation of projects on the state highway system. Balances will be used to leverage state funds and grants to implement community-identified priorities. The fund balance (funds that have not yet been expended) is kept in a separate trust fund and tracked via the accounting system ledgers and programming spreadsheets. 	x
b. Future Liabilities: Since only 1/30 th of the \$10 million in Measure D funds designated for this project are allocated each year (approx. \$333k/year over 30 years) and the Complete Streets Corridor Plan identified over \$100 million in priorities, the RTC, County of Santa Cruz and Caltrans will utilize Measure D funds to leverage other funds.	
c. Compliance with Applicable Laws: <i>Confirm projects were implemented in compliance with applicable laws, regulations,</i>	Yes

requirements, standards of practice, guidelines, etc. which are applicable to publicly funded transportation projects are met and adhered to, including requirements of the California Environmental Quality Act (CEQA), state design and procurement requirements, etc.	
Public Outreach/Notification of Use of Measure D Funds	
11. Public Outreach/Process: Caltrans and the County of Santa Cruz, in partnership with SCCRTC, conducted several meetings with stakeholders, including SLV schools during FY19/20.	x
12. Sample(s) of public outreach on Measure D-funded projects 7/1/19-6/30/20	
a. Websites with Measure D information <ul style="list-style-type: none"> • www.sccrtc.org/move • https://sccrtc.org/funding-planning/measured/regional-projects/ • www.sccrtc.org/slv 	x
b. News Articles and News Releases: <ul style="list-style-type: none"> • https://dot.ca.gov/caltrans-near-me/district-5/district-5-current-projects/crosswalk-enhancement 	x
c. Signage: The Measure D logo informing the community of projects funded by Measure D, was included on project fact sheet and the RTC website.	x
13. Photos: <i>Hwy 9 Pedestrian Crosswalks and Enhancements (rapid flashing beacons)</i>	
	

Farmer Street, Felton alternate walking route to SLV schools – Before/After



14. Fact Sheet: Attached

x

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Email
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MEASURE D EXPENDITURE REPORT - Direct Allocations

Reporting Year **FY19/20**

SCCRTC **Hwy 9/San Lorenzo Valley (SLV)**

#	Project Name	Total Project Cost	FY19/20 Measure D Expenditures	Prior Year expenditures (if applicable)			Measure D TOTAL SPENT FY16/17-19/20	(Est) Future Year(s) Measure D Expenditures	Project in approved 5-year plan? (yes/no)
				FY16/17 Measure D Expenditures on this project	FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures			
1	Preliminary scope and engineering documents for near term projects	\$ 150,000	\$25,152.04	\$0	\$0	\$0	\$25,152.04	\$124,848	Yes
2	Hwy 9 Pedestrian Crosswalks and Enhancements	\$ 280,000	\$11,588.68	\$0	\$0	\$0	\$11,588.68	\$18,411	Yes
3	SLV/SR9 Corridor technical assistance, oversight, and community outreach	ongoing	\$775.00	\$0	\$0	\$0	\$775.00	\$99,225	Yes
4	Farmer St. Road Repair (alternate ped/bike route to Hwy 9)	\$ 15,000	\$15,000.00	\$0	\$0	\$0	\$15,000.00	\$0	Yes
Total			\$52,515.72	\$ -	\$ -	\$ -	\$52,515.72		

	FY 19/20 Measure D Revenues	FY 16/17 Measure D Revenues (4/1/17-6/30/17)	FY 17/18 Measure D Revenues	FY 18/19 Measure D Revenues	Measure D Expended (FY16/17-19/20)	Fund Balance/ Carryover to Future Years
Allocation	\$ 333,333.33	\$27,778	\$333,333	\$333,333	\$ 87,515.72	\$ 969,120
Interest	\$ 16,146.27	\$ -	1,987.50	10,723.58		

MEASURE D EXPENDITURE REPORT

#	Project Name	Project Description/Location		Project Status/Timeline			
		Project Description (may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)	Location/Limits (if grouped project, list each location/limit)	Work Done in FY19/20 (e.g. planning, design, construction, right-of-way)	CEQA compliance (List type of doc & certification date - e.x. CE, 5/1/18)	Construction/Implement schedule	Completion Date (actual or estimate)
1	Preliminary scope and engineering documents for near term projects	Development of engineers estimates, prelim. designs, and initial screening, implementation documents needed to secure funds for priority projects; may include engineering needed to integrate complete streets components into SHOPP projects and to prepare grant applications for priority projects in SLV Complete Streets Plan.	Hwy 9: Felton to Boulder Creek	Caltrans Project Initiation Document (PID) started	N/A	TBD	TBD
2	Hwy 9 Pedestrian Crosswalks and Enhancements	Stripe new crosswalks and add RRFBs, ladder striping, etc to several existing crosswalks	Several locations along Highway 9	RTC staff time/oversight and community outreach and coordination	N/A	2020	2021
3	SLV/SR9 Corridor technical assistance, oversight, and community outreach	Includes legal, engineering review, grant preparation, funding agreements, RTC staff coordination with Caltrans, County, schools, and other stakeholders, public outreach, other other planning activities	Hwy 9 Corridorwide	RTC Legal and professional services	N/A	TBD	TBD
4	Farmer St. Road Repair (alternate ped/bike route to Hwy 9)	Resurfacing Farmer Street, a pedestrian bypass to access SLV Schools Campus	Farmer St from Cooper St to Fall Creek	Construction	CE	Fall 2019	Fall 2019

MEASURE D EXPENDITURE REPORT

#	Project Name	Leveraged Funds, if any		FY19/20 Expenditure Detail			Other
		Leveraged Fund Amounts (grants & other funds where Meas. D used as match)	Leveraged Fund Source(s) Name(s)	FY19/20 Measure D Capital Costs	FY19/20 Measure D Non-capital (non-infrastructure, outreach, admin)	Measure D-funded Indirect costs (and overhead)	Other Notes or Information (optional)
1	Preliminary scope and engineering documents for near term projects	Est. \$6M	SHOPP	\$ -	\$25,152	\$ -	Upon completion of the PID, it will be easier to secure state funds for complete streets projects on Highway 9 and Caltrans will be able to more easily integrate Complete Streets projects into other safety and maintenance projects.
2	Hwy 9 Pedestrian Crosswalks and Enhancements	\$250,000	HSIP	\$ -	\$11,589	\$ 5,794.34	
3	SLV/SR9 Corridor technical assistance, oversight, and community outreach	TBD	SHOPP, Local, +	\$ -	\$775	\$ 387.50	
4	Farmer St. Road Repair (alternate ped/bike route to Hwy 9)	\$0	County staff time	\$15,000.00	\$0	\$ -	
		\$ -		\$ 15,000	\$ 37,516	\$ -	

Measure D: 5-Year Program of Projects (FY20/21-FY24/25)

*Approved 9/3/20
Previously updated 6/6/19, 11/7/19.*

Category: Neighborhood Projects: San Lorenzo Valley (SLV)/Highway 9 Corridor (\$333,333/year; \$10 million over 30 years)

	Name/Road/Limits	Description	Schedule	Prior Years	Planned Use					Total Measure D	Proposed 2020 Updates
					FY20/21	FY21/22	FY22/23	FY23/24	FY24/25		
1	San Lorenzo Valley (SLV) Safe Routes to Schools -Preconstruction & grant match	Funding designated for potential match of future grant opportunities.	Est. FY2022	\$0	\$250,000	\$750,000				\$1,000,000	Caltrans is evaluating options for the area. Once funding plan is developed, staff will return with recommendations.
2	Preliminary scope and engineering documents for near term projects	Develop engineers estimates, prelim. designs, and initial screening, implementation documents needed to secure funds for priority projects; including work needed to integrate complete streets components into Caltrans SHOPP and local projects.	PID to be completed FY20/21	\$25,000	\$125,000					\$150,000	\$150k approved for Caltrans PID. Additional \$100k previously programmed that is being used for tehcnical assitance, oversight, and community outreach is now shown in row 4.
3	Hwy 9 Pedestrian Crosswalks and Enhancements	Stripe new crosswalks and add RRFBs, ladder striping, etc to several existing crosswalks	2021	\$11,589	\$18,411					\$30,000	No change to total. \$250k HSIP grant being used for construction being implemented by Caltrans.
4	SLV/SR9 Corridor technical assistance, oversight, and community outreach	Includes legal, engineering review, grant preparation, funding agreements, RTC staff coordination with Caltrans, County, schools, and other stakeholders, public outreach, other other planning activities	Ongoing	\$775	\$99,225					\$100,000	No change to total. New line separating out work that was previously included in line 2.
5	Hwy 9/SLV Corridor Plan	Community-based comprehensive corridor plan, identifying priority transportation projects.	Completed 6/19	\$35,000						\$35,000	Reduce to \$35k to reflect actual spent. (previously \$50k)
6	Farmer St. Road Repair (alternate ped/bike route to Hwy 9)	Resurfacing Farmer Street, a pedestrian bypass to access SLV Schools Campus	Completed Fall 2019	\$15,000						\$15,000	No change. Work completed in FY19/20
Estimated 5-Year Measure D Expenditures				\$87,364	\$492,636	\$750,000	\$0	\$0	\$0	\$1,330,000	



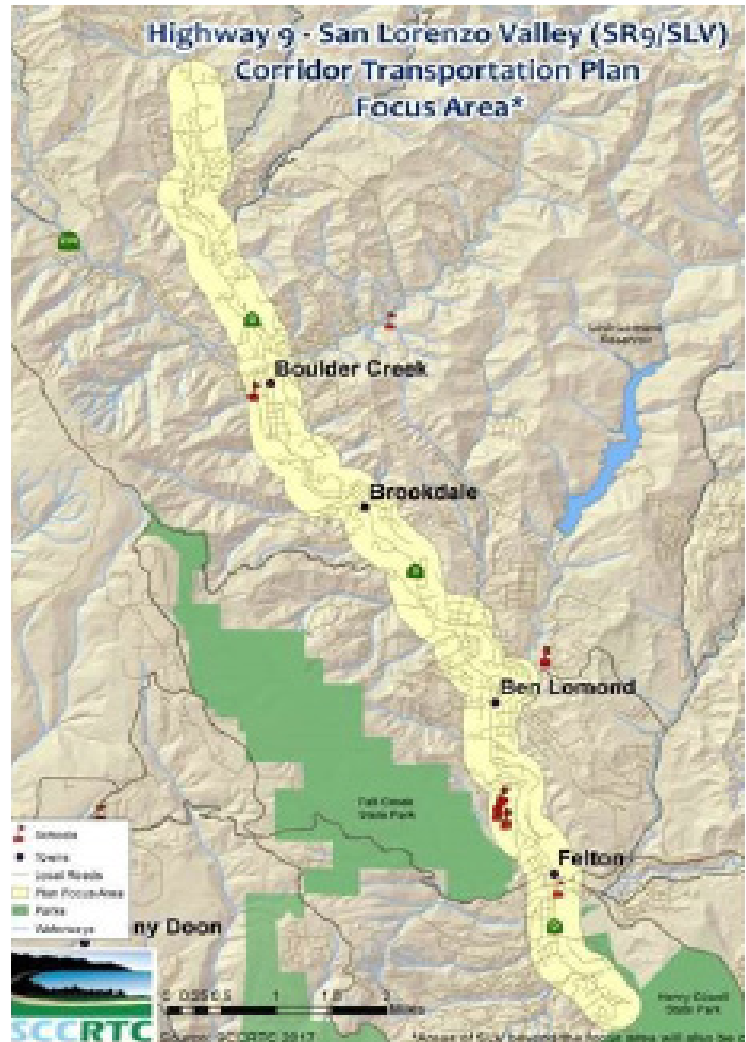
Neighborhood Projects: San Lorenzo Valley Highway 9 Corridor Improvements

Project Description

Highway 9 serves as the “Main Street” and economic corridor for the San Lorenzo Valley (SLV) towns of Felton, Ben Lomond, Brookdale, and Boulder Creek, and is an interregional arterial connecting Silicon Valley and Santa Cruz. It is the backbone for the movement of people and goods through the SLV and is the only direct route linking the four SLV towns.

There are significant transportation concerns throughout the SLV. This mountainous area has high collision rates, narrow curving roadways frequently impacted by steep terrain, significant gaps in bicycle and pedestrian facilities, a lack of walkways to many of the bus stops, traffic backups at a number of choke points, as well as pavement, drainage, and other assets in disrepair.

Measure D designates \$10 million for transportation projects in the Highway 9 corridor that will improve travel for residents of the SLV. Since Measure D, state, federal, and other local revenues are limited, a first step in identifying priority projects was the development of a comprehensive Highway 9 San Lorenzo Valley Complete Streets Corridor Plan. Building on past public input and planning activities, the plan identifies, evaluates, and prioritizes transportation projects on Highway 9 and connecting county roads through the SLV that can be implemented in the short- and mid-term to address challenges along the corridor. The plan focuses on safety for pedestrians, bicyclists, and motorists; multi-modal access to schools, businesses, and bus stops; traffic operations, pavement conditions, drainage, and other needs in this travel corridor.



Total Programming (in thousands)

Total Funding Secured	\$	10,821
Funding Sources		
Measure D (Neighborhood)	\$	10,000
Other*	\$	821

*Includes HSIP Grant (Fed), Caltrans Planning Grant, and Match (TDA, RTC Reserve Fund & RPA) funds.



Neighborhood Projects: San Lorenzo Valley Highway 9 Corridor Improvements

Priority Projects

The Highway 9 Corridor Plan identifies over 30 priority projects for the corridor, including the following:

- ▶ Pedestrian and bicycle paths to SLV schools and modifications to improve traffic flow along the schools' entrances
- ▶ Modifications to Felton, Brookdale, Ben Lomond, and Boulder Creek town centers to create safer pedestrian walking and crossing facilities, reduce speeding, and improve access to businesses
- ▶ Corridor-wide roadway maintenance, storm damage repairs, and emergency preparedness; pedestrian safety and visibility improvements; and shoulder widening for bicycles

The plan will be used to secure grants and facilitate subsequent design, environmental review, and construction of these and other priority projects.

Project Delivery Strategy

The RTC, Caltrans, and the County of Santa Cruz are working together to deliver components of the various complete streets projects. Caltrans has agreed to construct the crosswalk improvements that were awarded Highway Safety Improvement Program (HSIP) funds by the end of 2020. The RTC is working with Caltrans on two Program Initiation Documents (PIDS). The first PID was funded by Caltrans and focused on improving safety for pedestrians and cyclists in the segment of Hwy 9 between Kirby Street and the three-school campus in Felton. It was completed in spring 2020 and the project is now moving into the Project Approval & Environmental Documentation (PA&ED) phase. The second PID is funded by Measure D and covers the remaining projects in the Complete Streets Plan. Once the complete streets PID is complete in 2021, the RTC plans to use Measure D funds to leverage state and federal grants to fund construction of additional improvements. Caltrans and RTC are also currently collaborating on incorporating complete streets elements identified in the Plan into other Caltrans-led PIDs in the San Lorenzo Valley.

