

**SANTA CRUZ COUNTY REGIONAL TRANSPORTATION
COMMISSION
MEASURE D FUND**

FINANCIAL STATEMENTS
June 30, 2021

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
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June 30, 2021

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Moss, Levy & Hartzheim LLP

Certified Public Accountants

INDEPENDENT AUDITORS' REPORT

Citizen Oversight Committee
Santa Cruz County Regional Transportation Commission
Santa Cruz, California

We have audited the accompanying financial statements of the Measure D Fund of Santa Cruz County Regional Transportation Commission, as of and for the fiscal year ended June 30, 2021, and the related notes to the financial statements, as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Measure D Fund of Santa Cruz County Regional Transportation Commission, as of June 30, 2021, and the changes in financial position thereof for the fiscal year then ended in accordance with accounting principles generally accepted in the United States of America.

Emphasis of Matter

As discussed in Note 1, the financial statements present only the Measure D Fund and do not purport to, and do not present fairly the financial position of the Santa Cruz County Regional Transportation Commission, as of June 30, 2021, the changes in its financial position for the fiscal year then ended in accordance with accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to this matter.

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis on pages 3 through 16 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, of the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise the Measure D Fund of Santa Cruz County Regional Transportation Commission's financial statements. The supplementary information listed in the table of contents are presented for purposes of additional analysis and are not a required part of the financial statements.

The supplementary information, listed in the table of contents, are the responsibility of management and was derived from and relate directly to the underlying accounting and other records used to prepare the financial statements. Such information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Moss, Kelly & Haugheim LLP

Santa Maria, California
February 7, 2022

I. INTRODUCTION

Measure D is a comprehensive and inclusive package of transportation investments passed in November 2016 by over two-thirds (2/3) of Santa Cruz County voters. This ½-cent (0.5%) transactions and use tax guarantees a steady, direct source of local funding to every city and the County of Santa Cruz for local street and road



maintenance, bicycle, pedestrian, and safety projects; to Santa Cruz METRO and Community Bridges-Lift Line for transit and paratransit services for seniors and people with disabilities, as well as funds to implement priority highway, trail, and other essential transportation projects and programs throughout the county. Measure D went into effect on April 1, 2017. After costs for administration and implementation of the Measure are accounted for, funds are distributed by the formula set forth in the Expenditure Plan for Measure D to the following Investment categories:

- 30% - Neighborhood Projects (Cities & County)
- 25% - Highway Corridor
- 20% - Transit/Paratransit (SCMTD and Community Bridges)
- 17% - Active Transportation
- 8% - Rail Corridor

The RTC is the administrator of Measure D responsible for receiving tax revenues collected by the California Department of Tax and Fee Administration, distributing revenues to local agencies and project sponsors, and ensuring compliance with the voter-approved Ordinance. A five-year program of projects showing how recipient agencies plan to use Measure D funds is updated and adopted annually by each agency receiving Measure D revenues. An annual audit of receipts and expenditures of each recipient is also required; and the audited reports are to be reviewed by an oversight committee of five residents. Consistent with the Measure D Ordinance, the Measure D Taxpayer Oversight Committee reviews recipient agency fiscal audits and expenditure reports, and prepares an annual report of findings

II. Measure D Funded Projects in FY2020/21

Since Measure D went into effect, the cities of Capitola, Santa Cruz, Scotts Valley, and Watsonville, the County of Santa Cruz, Santa Cruz METRO, Community Bridges, and the RTC have put Measure D funds to work - filling

potholes, building new bicycle and walking paths, replacing aging buses, increasing transportation service for seniors and people with disabilities, designing major infrastructure projects, maintaining existing infrastructure, and providing traveler information and assistance programs. The following highlights a few of the projects that were funded by Measure D in FY2020/21.

Active Transportation:

Monterey Bay Sanctuary Scenic Trail Network (MBSST)/Coastal Rail Trail

- 1. MBSST Network Implementation:** RTC staff provided oversight and technical assistance for implementation of the Monterey Bay Sanctuary Scenic Trail Network (MBSST). This included coordination with local jurisdictions and Central Federal Lands of the Federal Highway Administration who are implementing specific sections of the trail network.
- 2. Segment 7 - Phase 1:** The City of Santa Cruz completed construction of the Rail Trail from Natural Bridges Drive to Bay Street/California Avenue (Phase 1), with the trail open for use in December 2020. Measure D funds were used as a match to other fund sources.
- 3. Segment 7 - Phase 2:** The City of Santa Cruz continued working on the final design and prepared a successful Active Transportation Program (ATP) grant application in FY2020/21, using Measure D funds as a match, for construction of Phase 2, from Bay Street/California Avenue to the Santa Cruz Wharf. This section of trail is scheduled to start construction in spring 2022.
- 4. North Coast Segment 5, Wilder Ranch-Davenport:** In coordination with the SCCRTC, Federal Highway Administration Central Federal Lands Highway Division (FHWA-CFLHD) continued development of the 7.5-mile trail from Davenport to Wilder Ranch State Park. Work on preconstruction activities, including environmental, design, right-of-way and permitting, continued in FY2020/21. The 5.4-mile section from Wilder Ranch to Panther/Yellowbank Beach is fully funded for



Segment 7-Phase 1 Rail Trail Construction in Santa Cruz

construction and expected to be ready to break ground in FY2022/23, pending the release of federal funds. In FY2020/21, the RTC also prepared grant applications for the remaining 2.1-mile section of the trail.

- 5. Segments 8 & 9, Santa Cruz-Live Oak:** The City of Santa Cruz, in partnership with the County of Santa Cruz, initiated technical studies to support preliminary design and environmental review of Segments 8 & 9 of the Coastal Rail Trail between the Santa Cruz Wharf and 17th Avenue (2.5 miles) in FY2020/21. Preliminary design and environmental review is funded by state and private funds. The city is pursuing grants, using Measure D funds as match, to fully fund construction.
- 6. Segments 10 & 11, Live Oak to Seacliff/Aptos:** In FY2020/21, the County of Santa Cruz, in partnership with the City of Capitola, initiated technical studies to support preliminary environmental review and preliminary design of Segments 10 & 11 of the trail from 17th Ave to State Park Drive (4.7 miles) using Measure D funds.
- 7. Segment 12, Aptos/Rio del Mar:** Environmental review and preliminary design of Segment 12 of the Coastal Rail Trail was initiated between State Park Drive and Rio Del Mar Blvd., as part of the Highway 1 Freedom-State Park Auxiliary Lanes and Bus-on-Shoulder project by Caltrans in partnership with the RTC. This section of trail includes bridges over Highway 1 and Soquel Drive connecting coastal neighborhoods and Aptos Village.
- 8. Segment 18, Watsonville:** Construction of the trail between Ohlone Parkway and Watsonville Slough Trail (Phase 1) was completed in FY2020/21, with the official ribbon cutting in July 2021. Measure D expenditures for Segment 18 will be reflected in the FY2021/22 audit. The City of Watsonville continued design for Phase 2 of the trail, between Lee Road and Ohlone and between the Slough Trail and Walker Street.

Ongoing Corridor Maintenance: RTC continued to use Measure D funds to maintain the future trail corridor, including vegetation control; tree maintenance; trash and dumping abatement; encampments; drainage maintenance and repairs; and clearing encroachments. RTC also initiated work to secure environmental permits to support maintenance activities.

Technical Support and Oversight: RTC staff provided technical support and stakeholder coordination for development of the MBSST/Coastal Rail Trail projects. Consultants were hired for technical assistance when needed.

Rail Corridor

Infrastructure Preservation:

1. Storm Damage Repairs - In FY2020/21 the RTC completed environmental and engineering work necessary to implement 2017 storm damage repairs to the Santa Cruz Branch Rail Line right-of-way. In FY2019/20 and FY2020/21, RTC received \$67,570 and \$355,031 (respectively) reimbursements from FEMA for storm damage repairs which had been funded by Measure D in prior years, which is reflected in the carryover balance.
2. Bridge Repairs – The final design of the Phase 1 Bridge Repairs was completed in FY2020/21 and Measure D funds were used as a match to the Shortline Railroad Improvement Program grant funds secured in 2020 for the repair of the Pajaro River Bridge, expected to begin construction in FY2021/22.
3. Coastal Erosion Repair – The Phase 1 Manresa Coastal Erosion Repair project began the final design in FY2020/21.

Transit Corridor Alternatives Analysis: Measure D funds provided a match to a Caltrans Planning grant for RTC, in partnership with Santa Cruz METRO, to conduct a more detailed analysis of potential high-capacity transit options and funding for the rail corridor. Following extensive technical analysis and public outreach, the RTC completed the Transit Corridor Alternatives Analysis (TCAA) in February 2021, identifying electric passenger rail as the preferred alternative. A draft Business Plan was prepared however, approval of the Business Plan and direction for staff to seek funds for environmental review of electric passenger rail by the RTC board failed on a vote of 6-6. The TCAA and Business Plan provides data which could be used if RTC, METRO, or others decide to pursue electric passenger rail transit in the rail corridor in the future.

Highway Corridors

Highway 1 - Auxiliary Lanes and Bus on Shoulder (BOS) Projects: RTC staff, consultants and Caltrans continued environmental review, design, and construction documents for five (5) new sets of auxiliary lanes and a hybrid bus-on-shoulder (BOS) program along 7.5-miles of Highway 1 (see map below). In FY2020/21 Measure D funds were used to leverage a \$107 million SB1 grants from the California Transportation Commission (CTC) for construction Phase 1 and Phase 2 projects between the Soquel and State Park Drive interchanges, as well as multimodal investments along parallel sections of Soquel Ave/Dr.

1. Phase 1: Soquel Drive to 41st Avenue – FY2020/21 Measure D revenues were used to finalize design and acquire right-of-way of auxiliary lanes and bus-on-shoulder facilities between 41st Avenue and Soquel Drive (Phase 1), with construction scheduled to start in summer 2022. The project includes a new bicycle/pedestrian bridge over Highway 1 at Chanticleer Avenue.
2. Phase 2: Bay/Porter to State Park Drive – During FY2020/21 the RTC completed environmental review and preliminary engineering and initiated final design and right-of-way acquisition for the Highway 1 auxiliary lanes and bus-on-shoulder project between the State Park Drive and Bay-Porter interchanges, including a new bicycle/pedestrian bridge over Highway 1 at Mar Vista Drive and replacement of the Capitola Avenue overcrossing. Construction is scheduled to start in 2023.
3. Phase 3: State Park Drive to Freedom Boulevard, including Segment 12 of the Coastal Rail Trail – In Spring 2020, environmental and preliminary design for Highway 1 hybrid auxiliary lanes and bus-on-shoulder facilities between Freedom Boulevard-Rio Del Mar-State Park Drive (Phase 3) was initiated. The Phase 3 project also includes the 1.25 mile Segment 12 of the Rail Trail from State Park Drive to Rio Del Mar Boulevard. The environmental review is funded by a combination of Measure D and state SB1 Local Partnership Program formula funds, which are available to the region because of Measure D. The Phase 3 project is expected to be construction-ready in 2025.



Cruz511 Program: The Cruz511 program is a traveler resource and transportation demand management program. In FY2020/21, the RTC, in partnership with the City of Santa Cruz, UCSC, Ecology Action, and other entities, launched “Go Santa Cruz County,” a robust demand management program, including an online commute manager and rewards platform with dynamic ride matching and trip planning. More than 500 participants registered during the months following the countywide expansion, joining the 1,000+ participants already enrolled in the downtown GO Santa Cruz pilot.



Consultants assisted with creating marketing materials, employer marketing toolkit, program website (www.gosantacruzcounty.org), and social media content. Additionally, consultants assisted with employer outreach by engaging with major employers, onboarding new employers to the platform, and providing virtual commuter workshops to participants.

In FY2020/21 the RTC also continued to provide rideshare, transit, bicycle, traffic information, and county road and lane closure information to the public, primarily through the www.Cruz511.org website. Staff continued to provide traffic safety messaging and active transportation mapping resources to community members throughout the county. Cruz511 traveler information and rideshare resources were also updated due to the global COVID-19 health crisis and local wildfires in the fall of FY2020/21.

Safe on 17 and Freeway Service Patrol (FSP): Measure D continued to provide critical funding to provide extra California Highway Patrol (CHP) enforcement on Highway 17, through the Safe on 17 program. In FY2020/21, CHP Santa Cruz provided 1,140 hours of extra enforcement on Highway 17, making use of all allocated funds for the year, \$50,000 from SAFE and \$100,000 from Measure D funds. Measure D funds are also being used to provide tow truck patrols (FSP) on Highway 1 and Highway 17 during peak travel times, which work in tandem with CHP to reduce incident related congestion, and improve traffic flow and safety by removing debris, assisting motorists with broken down vehicles, clearing collisions and other incidents. The FSP tow providers worked a total of 3,755 hours and provided approximately 1,706 assists in FY2020/21, with an average of 0.45 assists per hour. The FY2020/21 average benefit/cost ratio for the program is 3. In FY2020/21, the most frequent types of motorist aid provided by FSP include assistance with collisions, mechanical quick fixes, and flat tire repairs.

Neighborhood Projects

Capitola, Santa Cruz, Scotts Valley, Watsonville and County public works departments repaired several local roads and improved bicycle and pedestrian facilities throughout the county during FY2020/21.

1. County of Santa Cruz:

Roadway Resurfacing:

The County used Measure D funds to finish resurfacing over 12 miles of roads around the county including in the Thurber, Seacliff Beach, Lakeview Road, Downtown Felton, Corralitos, and Boulder Creek areas.

2. City of Capitola:

Pavement Management Program:

- 2021/2022 Road Rehabilitation Project is in the design phase.
- 2021 Road Repair Project is being advertised for construction bids.

3. City of Santa Cruz:

FY2020/21 Overlay Program:

- The City of Santa Cruz completed overlays of Broadway, Fairmont Avenue, California Street and Soquel Avenue, including new curb ramps and green bike lanes along portions of these arterial streets.
- Design and partial construction of arterial, collector, and residential streets occurred on Delaware, Fairmount, King, and Market, as well as other local streets, and included pedestrian and bicycle facilities.
- Completed a pilot Slow Streets program on 11 local streets.

4. City of Scotts Valley

- Glenwood Drive: Started construction of new bike lanes from K Street to city limits, including pavement repair.

Measure D-funded road resurfacing – Santa Cruz Avenue, Seacliff Beach - Before & after



- Completed root repair work on several streets including Lockhart Gulch and Whispering Pine.
- Completed trench repair work on Bethany Drive and Janis Way.
- Bean Creek Road repair project is in the design phase.

5. City of Watsonville:

- Bicycle Safety Improvements: Watsonville continued to contract with Ecology Action for Bicycle Safety Training in Watsonville elementary schools and with Bike Santa Cruz County to implement their Earn-A-Bike program at Pajaro Valley High School and among middle school students. Education programs integrated online resources and trainings in response to the COVID-19 health crisis and transition to online school.
- Lee Road Trail: Prepared design and environmental documents for the project.
- Trail Maintenance and Improvements: Prepared designs for trail repair and maintenance projects.
- Pedestrian & Traffic Safety: Implemented pedestrian safety programs, sidewalk repairs and design for traffic signals.
- Pavement Projects: Prepared design documents for Green Valley Road Improvements Project from Freedom Boulevard to city limits.
- Lincoln Street Overlay Project: Completed construction of the project that passes through the Watsonville High School campus to improve pedestrian safety in this area. Work included curb extensions, landscaping, lighting, enhanced crosswalks, improved sidewalks, pavement markings, and signage.



Lincoln Street Overlay Project – Watsonville

6. Highway 17 Wildlife Crossing

Caltrans finalized design plans for the Highway 17 Wildlife Crossing, using State Highway Operation and Protection Program (SHOPP) funds. Staff and Caltrans finalized the fund agreement in FY2020/21 for \$5 million from Measure D and \$3 million from the Land Trust of Santa Cruz County, which will be used for construction of the project and financing needed to accelerate delivery in early 2022. Measure D funds were also used to update public outreach materials in FY2020/21. This project is

under the Neighborhood investment category but is regional in nature so the funds flow through the RTC.

7. Highway 9 Corridor Investments

Building off the community-based Highway 9/San Lorenzo Valley (SLV) Complete Streets Corridor Plan, Caltrans and RTC used Measure D funds in FY2020/21 to scope and identify priority projects for implementation along the corridor through preparation of a Project Initiation Document (PID). Once the PID is complete, Measure D revenues will be used to initiate future phases of priority projects to get them construction-ready and competitive for state and federal grants.

Transit/Paratransit

1. Santa Cruz Metro:

- Using Measure D to leverage state and federal grants, METRO used Measure D funding to replace aging buses, which were beyond their useful life, with more efficient and lower emission/cleaner running equipment. The new vehicles improve fleet reliability and reflect METRO's continued commitment to the transition to clean air buses.
- Using Measure D, METRO acquired four electric buses, three New Flyer replacements, and three paratransit vehicles.

2. Lift Line Community Bridges:

- Lift Line continued its expanded services seven days per week utilizing two additional drivers. In FY2020/21, Lift Line provided 5,047 one-way trips funded through Measure D. Due to COVID health crisis, number of regular rides dropped significantly, and Lift Line pivoted to bringing meals and providing free rides to COVID vaccinations.
- Community Bridges/Lift Line installed fast charging equipment for Electric Vehicles and upgraded its new 9,000-sf Watsonville-based operation/maintenance facility financed with Measure D funds. Lift Line used Measure D funds for pre-construction development costs of a new parking lot for its new transit facility with construction anticipated in 2022.



- Lift Line used Measure D funds for new scheduling software and mobile data equipment to be installed into their vehicles.

III. FINANCIAL STATEMENTS

The financial statements of Measure D are on an accrual basis, as the revenues are recorded when earned and expenditures are recognized when incurred. Measure D, the Fund, is divided into six subfunds – Administration and Implementation; Neighborhood; Highway Corridor; Transit/Para-transit; Active Transportation; and Rail Corridor subfunds. The Neighborhood Subfund has two additional subfunds to account for the San Lorenzo Valley Highway 9 Corridor Improvements and Highway 17 Wildlife Crossing Expenditure Plan projects.

Measure D – the fund is a place holder where all Measure D proceeds are deposited prior to the distribution to the subfunds. This is the place to find total proceeds from the California Department of Tax and Fee Administration (formerly the Board of Equalization) and the amounts distributed to the Administration and Implementation; and to each of the five Investment Categories. Each subfund earns and keeps any interest earned due to the time lag between the deposit to the subfunds and the payment to recipients.

The interfund transactions were eliminated on the Statement of Net Position (page 17); and on the Statement of Changes in Net Position (page 18). The revenues represent actual Measure D proceeds received and any interest earned; the expenditures are actual payments sent to recipients or vendors – these are not inter-fund payments. Funds not actually paid (which have not left Measure D Fund) comprise the net position.

IV. FINANCIAL HIGHLIGHTS

Consolidated Financial Statements

The Statement of Net Position shows a cash balance of \$30,226,631 and a net position of \$31,645,796 on 6/30/2021. These balances are being reserved for construction and other major expenses anticipated in FY2021/22 and beyond, including significant highway and trail construction projects. The total liability of \$5,820,280 is the sum of the accounts payable from all subfunds; and represents the amount the subfunds owe to actual recipients of Measure D and outside vendors.

In FY2020/21 Measure D revenues totaled \$ 24,675,262 (page 25) and they earned \$192,340 in interest which is added to other revenues for total

revenues of \$25,725,442. Other revenues include reimbursements for 2017 storm damage project costs. Total expenses by the RTC, including payments made to direct recipients, amounted to \$21,112,188 over the same 12 months through 6/30/2021. The RTC's Measure D net position, except for \$10,067, is restricted to related subfunds and is not available for distribution to direct recipients. The \$10,067 balance is the cumulative interest earned by Measure D and will be credited to the cash balance of the respective program.

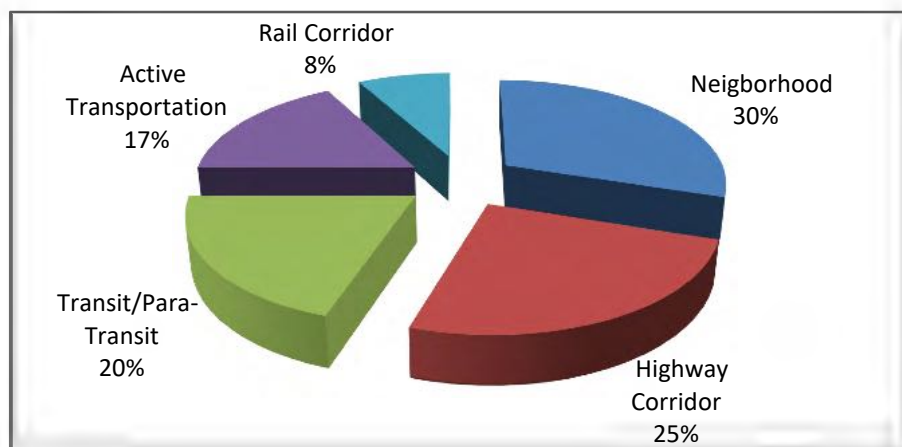
Subfunds Financial Statements

The monthly receipts from California Department of Tax and Fee Administration are accounted for in Measure D – the fund. These receipts were all (100%) distributed to the Administration & Implementation subfund and the Five Investment Category subfunds according to pre-determined allocation formula, which are prescribed in the Measure D ordinance. The revenues equal expenses in Measure D – the fund; and any net position is the cumulative interest earned by the cash receipts prior to distribution. This net position is unrestricted and is available for distribution to other subfunds. Below is the FY2020/21 receipts distribution schedule and the graphical presentation of the distribution with and without the Administration & Implementation subfund in the mix:

Measure D Distribution FY 2020/21 Ending 6/30/2021

	<u>With Administration & Implementation</u>		<u>Without Administration & Implementation</u>	
Administration Salaries & Benefits	\$ 246,753	1%		
Implementation & Overhead	431,590	2%		
Neighborhood	7,199,076	29%		
Highway Corridor	5,999,230	24%	\$ 7,199,076	30%
Transit/Para-Transit	4,799,384	19%	5,999,230	25%
Active Transportation	4,079,476	17%	4,799,384	20%
Rail Corridor	1,919,753	8%	4,079,476	17%
			1,919,753	8%
TOTAL	\$24,675,262	100%	\$23,996,919	100%

Graph 1 – Measure D Distribution Without Adm. & Imp.



The total receipts of \$24,675,262 are 9.7% above projection in each investment subfund except for Administration & Implementation received 1.7% more than budgeted as shown below:

**Measure D Receipts Compared to Budget
FY 2020/21 Ending 6/30/2021**

	<u>Receipts</u>	<u>Budget</u>	<u>Over Budget*</u>	<u>(%)</u>
Administration & Implementation	\$ 678,343	\$ 667,309	\$ 11,034	1.7%
Neighborhood	7,199,076	6,563,690	635,686	9.7%
Highway Corridor	5,999,230	5,469,742	529,488	9.7%
Transit/Para-Transit	4,799,384	4,375,794	423,590	9.7%
Active Transportation	4,079,476	3,719,425	360,051	9.7%
Rail Corridor	1,919,753	1,750,317	169,436	9.7%
TOTAL	\$24,675,262	\$22,546,277	\$2,128,985	9.4%

* Difference of Receipts (actual revenues received from state) over what was budgeted (forecasted prior to end of fiscal year).

Payments to Neighborhood and Transit/Para-transit recipients are made monthly, based on actual, rather than budgeted, revenues. Disbursements to regional projects (Highway Corridor; Rail/Trail; and Rail Corridor) were based on presentation of invoices or other evidentiary cost documentation. Highway 9 Complete Streets Investment Plan and Highway 17 Wildlife Overcrossing are in the Neighborhood subfund, but they are regional projects; and funds are managed by the RTC. For Highway 9, \$66,125 was expended in FY2020/21; \$2,876 were expended in FY2020/21 for the Highway 17 project.

Absent Highway 9 and Highway 17, Measured D receipts would equal expenses in the Neighborhood subfund. The equality of receipts and expenses also exists in Transit/Para-transit subfund because these are pass-through funds and monthly payments are automatic, provided that the recipients are in compliance with Measure D requirements. The Supplementary Information Section presents the detailed monthly distribution of Measure D (page 28).

Highway 9; Highway 17; Highway Corridor; and Active Transportation (Rail/Tail - MBSST) all show high net position because funds are needed for construction work scheduled to begin in FY2021/22 and beyond. The following is a condensed revenues, expenses, and change in net position table:

	Unallocated	Admin	Neighborhood	SR9 SLV	Hwy 17 Wild	Highway	Transit	Active Transportation	Rail	TOTAL
REVENUES										
Tax Revenues	\$ -	\$ 678,343	\$ 7,199,076	\$ 333,333	\$ 166,667	\$ 5,999,230	\$ 4,799,384	\$ 4,079,476	\$ 1,919,753	\$ 25,175,262
Interest	845	6,023	531	7,402	4,005	105,793	257	59,327	8,157	192,340
Other Revenues									857,840	857,840
TOTAL REVENUES	845	684,366	7,199,607	340,735	170,672	6,105,023	4,799,641	4,138,803	2,785,750	26,225,442
EXPENDITURES										
Admin/Impl		610,377								610,377
Neighborhood			7,199,075							7,199,075
SLV/SR9				66,125						66,125
Highway 17 Wildlife Overcrossing					2,878					2,878
Highway Corr						3,127,139				3,127,139
Transit/Para Transit							4,799,384			4,799,384
Active Transp								3,251,718		3,251,718
Rail Corridor									2,555,492	2,555,492
TOTAL EXPENDITURES	610,377	7,199,075	66,125	2,878	3,127,139	4,799,384	3,251,718	2,555,492	21,612,188	
EXCESS OF REVENUES OVER EXPENDITURES	845	73,989	532	274,610	167,794	2,977,884	257	887,085	230,258	4,613,254
Beginning Net Position	9,222	819,215	3,457	1,024,675	554,692	14,633,634	313	8,180,428	1,806,906	27,032,542
ENDING NET POSITION	\$ 10,067	\$ 893,204	\$ 3,989	\$ 1,299,285	\$ 722,486	\$ 17,611,518	\$ 570	\$ 9,067,513	\$ 2,037,164	\$ 31,645,796

V. FINANCIAL ISSUES AND CONCERNS

What it will take to start larger projects: While pay-as-you-go financing is the preferred method for delivery of projects, the RTC is working to accelerate delivery of most projects identified in the Measure D Expenditure Plan and 5-Year Programs of Projects. Measure D revenues are intended to be used to leverage other grants. The RTC, Caltrans and local agencies anticipate using Measure D funds to leverage new state and federal transportation funding grants available in part because of SB-1 (2017) and the recently approved Federal Infrastructure Investment and Jobs Act (IIJA). In order to accelerate delivery of some larger projects, RTC will be evaluating a range of financing options, starting with inter-program loans between the Highway Subcategory and Highway 17 Wildlife Crossing project. The RTC approved a Strategic Implementation Plan in February 2020 which includes policies and long-range cash flow models with relatively conservative revenue projections.

VI. COMPLIANCE WITH MEASURE D ORDINANCE

In FY2020/21, the RTC and recipient agencies demonstrated that they implemented Measure D in accordance with the Measure D Ordinance and Expenditure Plan, as well as agreements and guidelines established by the RTC for recipient agencies. Recipients provided expenditure reports and audited financials showing how Measure D funds were spent, provided information to demonstrate compliance with the Ordinance, including Maintenance of Effort and public outreach.

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF NET POSITION
June 30, 2021

ASSETS

Cash in county treasury	\$ 30,226,631
Deposits held by others	258,200
Accounts receivable	6,951,400
Prepays	29,845
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Total assets	37,466,076
	<hr/>

LIABILITIES

Accounts payable	5,820,280
	<hr/>
Total liabilities	5,820,280
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NET POSITION

Restricted for distribution	31,635,729
Unrestricted	10,067
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Total net position	\$ 31,645,796
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See accompanying notes to financial statements.

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF CHANGES IN NET POSITION
For the Fiscal Year Ended June 30, 2021

Revenues:

State Board of Equalization - sales tax apportionment	\$ 24,675,262
Interest	192,340
Other	<u>857,840</u>
Total revenues	<u>25,725,442</u>

Expenses:

Salaries and benefits	1,004,146
Services and supplies	357,095
Consultant services	70,728
Claimants:	
City of Capitola	351,956
City of Santa Cruz	2,620,710
City of Scotts Valley	333,330
City of Watsonville	1,030,722
County of Santa Cruz	3,462,359
Santa Cruz County Regional Transportation Commission	7,081,758
Community Bridges	959,877
Santa Cruz Metropolitan Transit District	<u>3,839,507</u>
Total expenses	<u>21,112,188</u>
Change in net position	4,613,254
Net position, beginning of fiscal year	<u>27,032,542</u>
Net position, end of fiscal year	<u><u>\$ 31,645,796</u></u>

See accompanying notes to financial statements.

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
NOTES TO FINANCIAL STATEMENTS
June 30, 2021

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Reporting Entity

The Measure D Fund (the Fund) was established as an expendable trust fund of Santa Cruz County Regional Transportation Commission (the Commission). The purpose of the trust fund is to accumulate revenues transmitted to the Commission by the State of California derived from a ½ cent of the general sales tax collected within Santa Cruz County.

The Commission is the Regional Transportation Planning Agency which is responsible for apportionments from the Measure D Fund.

The financial statements present only the activity of the Measure D Fund, and are not intended to present the financial position and changes in financial position of Santa Cruz County Regional Transportation Commission, in conformity with accounting principles generally accepted in the United States of America.

B. Basis of Accounting

The financial statements have been prepared on the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when a liability is incurred. Interest income is allocated monthly to the fund by the Treasurer of Santa Cruz County.

C. Cash and County Treasury

The Measure D Fund holds its cash in the County of Santa Cruz Treasury. The County maintains a cash and investment pool, and allocates interest to the various funds based upon the average daily cash balances. Information regarding categorization of investments can be found in the County of Santa Cruz's basic financial statements.

D. Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

NOTE 2 - CASH AND INVESTMENTS

Custodial credit risk is the risk that in the event of a bank failure, the Fund's deposits may not be returned to it. The Fund does not have a formal deposit policy for custodial credit risk in addition to the California Government Code collateral requirements. Cash balances held in banks are insured up to \$250,000 by the Federal Depository Insurance Corporation. All deposits held by financial institutions are fully insured or collateralized with securities, held by the pledging financial institutions' trust departments in the Fund's name.

Investments at June 30, 2021, consist of the following:

Pooled Investment Funds:
Cash in county treasury

\$ 30,226,631

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
NOTES TO FINANCIAL STATEMENTS
June 30, 2021

NOTE 2 - CASH AND INVESTMENTS (Continued)

The Fund categorizes its fair value measurements within the fair value hierarchy established by accounting principles generally accepted in the United States of America. The hierarchy is based on the valuation inputs used to measure the fair value of the asset. Level 1 inputs are quoted prices in active markets for identical assets; Level 2 inputs are significant other observable inputs; Level 3 inputs are significant unobservable inputs. The Fund's investments are in the Santa Cruz County Investment Pool which is an external investment pool and is valued under Level 2.

Cash in County Treasury

The fund maintains a portion of its cash in the Santa Cruz County Treasury, an external investment pool. The County pools these funds with those of other governmental entities in the county and invests the cash. These pooled funds are carried at fair value. Interest is earned based on average daily balance and is deposited quarterly into participating funds. Any investment gains and losses are proportionately shared by all funds in the pool. The County is restricted by the California Government Code to invest in obligations issued by the United States Treasury; obligations, participations, or other instruments of or issued by a federal agency or a United States government sponsored enterprise; obligations of state and local agencies of this State; bankers' acceptances; commercial paper; negotiable certificates of deposit; repurchase agreements; medium-term corporate notes; as well as other investments established by the California Government Code. The County's report discloses the required information in accordance with Governmental Accounting Standards Board Statement Nos. 3 and 40. Pooled investments are not required to be categorized by risk category.

Interest Rate Risk

The Fund does not have a formal investment policy that limits investment maturities as a means of managing its exposure to fair value losses arising from increasing interest rates.

Credit Risk

State law limits investments in commercial paper, corporate bonds, and mutual bond funds to the top two ratings issued by nationally recognized statistical rating organizations. The Fund has no investment policy that would further limit its investment choices. Santa Cruz County Treasury pooled investment funds are unrated.

SUPPLEMENTARY INFORMATION



INDEPENDENT AUDITOR'S REPORT ON MEASURE D COMPLIANCE

Citizen Oversight Committee
Santa Cruz County Regional Transportation Commission
Santa Cruz, California

We have audited the financial statements of the Measure D Fund of the Santa Cruz County Regional Transportation Commission's (the Commission) compliance with the types of compliance requirements described in *Ordinance No. 2016-01* (Measure D), applicable for the fiscal year ended June 30, 2021.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to the Measure D.

Auditors' Responsibility

Our responsibility is to express an opinion on the Commission's compliance based on our audit of the compliance with applicable statutes, rules and regulations of Measure D. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and *Ordinance No. 2016-01*. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the compliance requirements referred to above that could have a direct and material effect on the state laws and regulations applicable to the Fund occurred. An audit includes examining, on a test basis, evidence about the Fund's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance. However, our audit does not provide a legal determination of the Commission's compliance.

Opinion on Compliance with Measure D

In our opinion, the funds allocated to and received by Santa Cruz County Regional Transportation Commission pursuant to Measure D, complied, in all material respects, with the compliance requirements referred to above that are applicable to the statutory requirements of Measure D of Santa Cruz County Regional Transportation Commission for the fiscal year ended June 30, 2021.

Purpose of this Report

This report is intended solely for the information and use of the Citizen Oversight Committee, management of the Santa Cruz County Regional Transportation Commission and for filing with the appropriate regulatory agencies and is not intended to be and should not be used by anyone other than these specified parties.

Moss, Levy & Hartzheim LLP

Santa Maria, California
February 7, 2022

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF NET POSITION BY ALLOCATION
June 30, 2021

	<u>Unallocated</u>	<u>Admin</u>	<u>Neighborhood</u>	<u>Highway Corridor</u>
ASSETS				
Cash and investments	\$ 9,567	\$ 775,842	\$ 2,109,803	\$ 16,498,818
Deposits held by others				
Accounts receivable	4,835,622			1,181,724
Prepays				29,845
Due from other sub funds	<u>500</u>	<u>123,864</u>	<u>1,413,528</u>	<u>1,177,940</u>
Total assets	<u>4,845,689</u>	<u>899,706</u>	<u>3,523,331</u>	<u>18,888,327</u>
LIABILITIES				
Accounts payable		6,002	1,497,571	1,276,809
Due to other sub funds	<u>4,835,622</u>	<u>500</u>		
Total liabilities	<u>4,835,622</u>	<u>6,502</u>	<u>1,497,571</u>	<u>1,276,809</u>
NET POSITION				
Restricted for distribution		893,204	2,025,760	17,611,518
Unrestricted	<u>10,067</u>			
Total net position	<u>\$ 10,067</u>	<u>\$ 893,204</u>	<u>\$ 2,025,760</u>	<u>\$ 17,611,518</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF NET POSITION BY ALLOCATION (Continued)
June 30, 2021

	<u>Transit</u>	<u>Active Transportation</u>	<u>Rail Corridor</u>	<u>Total Measure D</u>
ASSETS				
Cash and investments	\$ 570	\$ 9,688,903	\$ 1,143,128	\$ 30,226,631
Deposits held by others		258,200		258,200
Accounts receivable		3,163	930,891	6,951,400
Prepays				29,845
Due from other sub funds	<u>942,352</u>	<u>801,498</u>	<u>376,940</u>	<u>4,836,622</u>
Total assets	<u>942,922</u>	<u>10,751,764</u>	<u>2,450,959</u>	<u>42,302,698</u>
LIABILITIES				
Accounts payable	942,352	1,684,251	413,295	5,820,280
Due to other sub funds			500	4,836,622
Total liabilities	<u>942,352</u>	<u>1,684,251</u>	<u>413,795</u>	<u>10,656,902</u>
NET POSITION				
Restricted for distribution	570	9,067,513	2,037,164	31,635,729
Unrestricted				10,067
Total net position	<u>\$ 570</u>	<u>\$ 9,067,513</u>	<u>\$ 2,037,164</u>	<u>\$ 31,645,796</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF CHANGES IN NET POSITION BY ALLOCATION
For the Fiscal Year Ended June 30, 2021

	Unallocated	Admin	Neighborhood	Highway Corridor
Revenues:				
State Board of Equalization - sales tax	\$ -	\$ 678,343	\$ 7,199,076	\$ 5,999,230
Interest	845	6,023	11,938	105,793
Other				
Total revenues	845	684,366	7,211,014	6,105,023
Expenses:				
Salaries and benefits		263,406		295,035
Services and supplies		4,136	11,430	130
Consultant services		13,157	57,571	
Claimants:				
City of Capitola			351,956	
City of Santa Cruz			1,520,710	
City of Scotts Valley			333,330	
City of Watsonville			1,030,722	
County of Santa Cruz			3,462,359	
Santa Cruz County Regional Transportation Commission		329,678		2,831,974
Community Bridges				
Santa Cruz Metropolitan Transit District				
Total expenses		610,377	6,768,078	3,127,139
Change in net position	845	73,989	442,936	2,977,884
Net position - beginning of fiscal year	9,222	819,215	1,582,824	14,633,634
Net position - end of fiscal year	\$ 10,067	\$ 893,204	\$ 2,025,760	\$ 17,611,518

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
STATEMENT OF CHANGES IN NET POSITION BY ALLOCATION (Continued)
For the Fiscal Year Ended June 30, 2021

	Transit	Active Transportation	Rail Corridor	Total Measure D
Revenues:				
State Board of Equalization - sales tax	\$ 4,799,384	\$ 4,079,476	\$ 1,919,753	\$ 24,675,262
Interest	257	59,327	8,157	192,340
Other			857,840	857,840
Total revenues	<u>4,799,641</u>	<u>4,138,803</u>	<u>2,785,750</u>	<u>25,725,442</u>
Expenses:				
Salaries and benefits			445,705	1,004,146
Services and supplies		223,417	117,982	357,095
Consultant services				70,728
Claimants:				
City of Capitola				351,956
City of Santa Cruz		1,100,000		2,620,710
City of Scotts Valley				333,330
City of Watsonville				1,030,722
County of Santa Cruz				3,462,359
Santa Cruz County Regional Transportation Commission		1,928,301	1,991,805	7,081,758
Community Bridges	959,877			959,877
Santa Cruz Metropolitan Transit District	<u>3,839,507</u>			<u>3,839,507</u>
Total expenses	<u>4,799,384</u>	<u>3,251,718</u>	<u>2,555,492</u>	<u>21,112,188</u>
Change in net position	257	887,085	230,258	4,613,254
Net position - beginning of fiscal year	<u>313</u>	<u>8,180,428</u>	<u>1,806,906</u>	<u>27,032,542</u>
Net position - end of fiscal year	<u>\$ 570</u>	<u>\$ 9,067,513</u>	<u>\$ 2,037,164</u>	<u>\$ 31,645,796</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
SUMMARY OF OUTGO BY PROJECT
For the Fiscal Year Ended June 30, 2021

	<u>Unallocated</u>	<u>Admin</u>	<u>Neighborhood</u>	<u>Highway Corridor</u>
Expenses:				
Salaries and benefits	\$ -	\$ 263,406	\$ -	\$ 295,035
Services and supplies		4,136		130
Consultant services		13,157		
City of Capitola			351,956	
City of Santa Cruz			1,520,710	
City of Scotts Valley			333,330	
City of Watsonville			1,030,722	
County of Santa Cruz			3,462,359	
Commission oversight and coordination		329,678		
SR9 SLV			66,125	
Highway 17 wildlife overcrossing			2,876	
Corridor encroachment & maintenance				
North Coast- Segment 5				
Highway 1				2,620,633
Cruz 511				31,245
FSP				130,096
Community Bridges				
MBSST- Segment 18				
MBSST- City of Santa Cruz				
Coastal Rail Trail - Segment 7,8,9				
Coastal Rail Trail - Segment 10,11				
TCAA				
Storm damage				
Bridge repairs and maintenance				
Rail preservation				
Santa Cruz Metropolitan Transit District				
SAFE				50,000
Total expenses	<u>\$ -</u>	<u>\$ 610,377</u>	<u>\$ 6,768,078</u>	<u>\$ 3,127,139</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
SUMMARY OF OUTGO BY PROJECT (Continued)
For the Fiscal Year Ended June 30, 2021

	<u>Transit</u>	<u>Active Transportation</u>	<u>Rail Corridor</u>	<u>Total Measure D</u>
Expenses:				
Salaries and benefits	\$ -	\$ -	\$ 445,705	\$ 1,004,146
Services and supplies		223,417	117,982	345,665
Consultant services				13,157
City of Capitola				351,956
City of Santa Cruz				1,520,710
City of Scotts Valley				333,330
City of Watsonville				1,030,722
County of Santa Cruz				3,462,359
Commission oversight and coordination		635,152		964,830
SR9 SLV				66,125
Highway 17 wildlife overcrossing				2,876
Corridor encroachment & maintenance		593,409		593,409
North Coast- Segment 5		418,864		418,864
Highway 1				2,620,633
Cruz 511				31,245
FSP				130,096
Community Bridges	959,877			959,877
MBSST- Segment 18		3,926		3,926
MBSST- City of Santa Cruz		1,100,000		1,100,000
Coastal Rail Trail - Segment 7,8,9		39,385		39,385
Coastal Rail Trail - Segment 10,11		237,565		237,565
TCAA			204,443	204,443
Storm damage			960,688	960,688
Bridge repairs and maintenance			285,102	285,102
Rail preservation			541,572	541,572
Santa Cruz Metropolitan Transit District	3,839,507			3,839,507
SAFE				50,000
	<hr/>	<hr/>	<hr/>	<hr/>
Total expenses	\$ 4,799,384	\$ 3,251,718	\$ 2,555,492	\$ 21,112,188

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
SUMMARY OF REVENUE ALLOCATION BY MONTH - CASH BASIS
For the Fiscal Year Ended June 30, 2021

	<u>July</u>	<u>August</u>	<u>September</u>	<u>October</u>	<u>November</u>	<u>December</u>	<u>January</u>
Revenues							
Gross	\$ 2,164,570	\$ 1,790,461	\$ 2,015,015	\$ 2,493,858	\$ 1,578,595	\$ 1,923,598	\$ 1,992,435
Board of Equalization Fees		(46,270)			(46,270)		
Net Sales Tax	<u>2,164,570</u>	<u>1,744,191</u>	<u>2,015,015</u>	<u>2,493,858</u>	<u>1,532,325</u>	<u>1,923,598</u>	<u>1,992,435</u>
Administration & Implementation							
Admin Salaries & Benefits	21,645	17,441	20,151	24,940	15,323	19,235	19,924
Overhead Admin	19,914	16,047	18,538	22,943	14,097	17,697	18,330
Implementation & Oversight	9,856	9,856	9,856	9,856	9,856	9,856	9,856
Services & Supplies	15,000	15,000	15,000	15,000	15,000	15,000	15,000
Subtotal	<u>66,415</u>	<u>58,344</u>	<u>63,545</u>	<u>72,739</u>	<u>54,276</u>	<u>61,788</u>	<u>63,110</u>
Amount to Distribute to Investment Categories	<u>\$ 2,098,155</u>	<u>\$ 1,685,847</u>	<u>\$ 1,951,470</u>	<u>\$ 2,421,119</u>	<u>\$ 1,478,049</u>	<u>\$ 1,861,810</u>	<u>\$ 1,929,325</u>
Investment Categories							
Neighborhood							
SLV SR9	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,777	\$ 27,778	\$ 27,778	\$ 27,778
HWY 17 Wildlife	13,889	13,889	13,889	13,889	13,889	13,889	13,889
City of Capitola	31,123	24,573	28,792	36,253	21,272	27,368	28,441
City of Santa Cruz	133,657	105,530	123,650	155,689	91,355	117,534	122,140
City of Scotts Valley	29,411	23,222	27,209	34,259	20,103	25,863	26,877
City of Watsonville	90,404	71,380	83,636	105,306	61,791	79,499	82,614
County of Santa Cruz	<u>303,185</u>	<u>239,382</u>	<u>280,486</u>	<u>353,162</u>	<u>207,227</u>	<u>266,612</u>	<u>277,059</u>
Total Neighborhood	<u>629,447</u>	<u>505,754</u>	<u>585,440</u>	<u>726,335</u>	<u>443,415</u>	<u>558,543</u>	<u>578,798</u>
Highway Corridors							
Highway Corridors	<u>524,539</u>	<u>421,462</u>	<u>487,868</u>	<u>605,280</u>	<u>369,512</u>	<u>465,452</u>	<u>482,331</u>
Transit/Paratransit							
Santa Cruz Metro	335,705	269,735	312,235	387,379	236,488	297,890	308,692
Community Bridges	<u>83,926</u>	<u>67,434</u>	<u>78,059</u>	<u>96,845</u>	<u>59,122</u>	<u>74,472</u>	<u>77,173</u>
Total Transit/Paratransit	<u>419,631</u>	<u>337,169</u>	<u>390,294</u>	<u>484,224</u>	<u>295,610</u>	<u>372,362</u>	<u>385,865</u>
Active Transportation							
Active Transportation	<u>356,686</u>	<u>286,594</u>	<u>331,750</u>	<u>411,590</u>	<u>251,268</u>	<u>316,508</u>	<u>327,985</u>
Rail Corridor							
Rail Corridor	<u>167,852</u>	<u>134,868</u>	<u>156,118</u>	<u>193,690</u>	<u>118,244</u>	<u>148,945</u>	<u>154,346</u>
Amount Distributed to Investment Categories	<u>\$ 2,098,155</u>	<u>\$ 1,685,847</u>	<u>\$ 1,951,470</u>	<u>\$ 2,421,119</u>	<u>\$ 1,478,049</u>	<u>\$ 1,861,810</u>	<u>\$ 1,929,325</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
SUMMARY OF REVENUE ALLOCATION BY MONTH- CASH BASIS (Continued)
For the Fiscal Year Ended June 30, 2021

	<u>February</u>	<u>March</u>	<u>April</u>	<u>May</u>	<u>June</u>	<u>Total</u>
Revenues						
Gross	\$ 2,198,477	\$ 1,703,782	\$ 1,955,621	\$ 2,076,751	\$ 2,031,267	\$ 23,924,430
Board of Equalization Fees	(46,270)			(37,220)		(176,030)
Net Sales Tax	<u>2,152,207</u>	<u>1,703,782</u>	<u>1,955,621</u>	<u>2,039,531</u>	<u>2,031,267</u>	<u>23,748,400</u>
Administration & Implementation						
Admin Salaries & Benefits	21,523	17,037	19,556	20,394	20,314	237,483
Overhead Admin	19,800	15,675	17,992	18,764	18,688	218,485
Implementation & Oversight	9,856	9,856	9,856	9,856	9,855	118,271
Services & Supplies						105,000
Subtotal	<u>51,179</u>	<u>42,568</u>	<u>47,404</u>	<u>49,014</u>	<u>48,857</u>	<u>679,239</u>
Amount to Distribute to Investment Categories	<u>\$ 2,101,028</u>	<u>\$ 1,661,214</u>	<u>\$ 1,908,217</u>	<u>\$ 1,990,517</u>	<u>\$ 1,982,410</u>	<u>\$ 23,069,161</u>
Investment Categories						
Neighborhood						
SLV SR9	\$ 27,777	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,777	\$ 333,333
HWY 17 Wildlife	13,889	13,889	13,889	13,889	13,888	166,667
City of Capitola	31,168	24,182	28,105	29,413	29,284	339,974
City of Santa Cruz	133,853	103,850	120,700	126,314	125,761	1,460,033
City of Scotts Valley	29,454	22,852	26,560	27,795	27,674	321,279
City of Watsonville	90,537	70,243	81,640	85,438	85,064	987,552
County of Santa Cruz	<u>303,630</u>	<u>235,571</u>	<u>273,793</u>	<u>286,528</u>	<u>285,274</u>	<u>3,311,909</u>
Total Neighborhood	<u>630,308</u>	<u>498,365</u>	<u>572,465</u>	<u>597,155</u>	<u>594,722</u>	<u>6,920,747</u>
Highway Corridors						
Highway Corridors	<u>525,257</u>	<u>415,303</u>	<u>477,054</u>	<u>497,629</u>	<u>495,603</u>	<u>5,767,290</u>
Transit/Paratransit						
Santa Cruz Metro	336,165	265,794	305,315	318,483	317,186	3,691,067
Community Bridges	<u>84,041</u>	<u>66,449</u>	<u>76,329</u>	<u>79,621</u>	<u>79,296</u>	<u>922,767</u>
Total Transit/Paratransit	<u>420,206</u>	<u>332,243</u>	<u>381,644</u>	<u>398,104</u>	<u>396,482</u>	<u>4,613,834</u>
Active Transportation						
Active Transportation	<u>357,175</u>	<u>282,406</u>	<u>324,397</u>	<u>338,388</u>	<u>337,010</u>	<u>3,921,757</u>
Rail Corridor						
Rail Corridor	<u>168,082</u>	<u>132,897</u>	<u>152,657</u>	<u>159,241</u>	<u>158,593</u>	<u>1,845,533</u>
Amount Distributed to Investment Categories	<u>\$ 2,101,028</u>	<u>\$ 1,661,214</u>	<u>\$ 1,908,217</u>	<u>\$ 1,990,517</u>	<u>\$ 1,982,410</u>	<u>\$ 23,069,161</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
PRIOR YEAR SUMMARY OF REVENUE ALLOCATION BY MONTH - CASH BASIS
For the Fiscal Year Ended June 30, 2020

	<u>July</u>	<u>August</u>	<u>September</u>	<u>October</u>	<u>November</u>	<u>December</u>	<u>January</u>
Revenues							
Gross	\$ 2,191,713	\$ 1,401,060	\$ 1,983,801	\$ 2,310,259	\$ 1,613,584	\$ 1,827,168	\$ 1,879,525
Board of Equalization Fees		(62,760)			(62,760)		
Net Sales Tax	<u>2,191,713</u>	<u>1,338,300</u>	<u>1,983,801</u>	<u>2,310,259</u>	<u>1,550,824</u>	<u>1,827,168</u>	<u>1,879,525</u>
Administration & Implementation							
Admin Salaries & Benefits	21,916	13,383	19,838	23,102	15,508	18,271	18,794
Overhead Admin	20,164	12,312	18,251	21,254	14,268	16,810	17,292
Implementation & Oversight	9,856	9,856	9,856	9,856	9,856	9,856	9,856
Services & Supplies	15,000	15,000	15,000	15,000	15,000	15,000	15,000
Subtotal	<u>66,936</u>	<u>50,551</u>	<u>62,945</u>	<u>69,212</u>	<u>54,632</u>	<u>59,937</u>	<u>60,942</u>
Amount to Distribute to Investment Categories	<u>\$ 2,124,777</u>	<u>\$ 1,287,749</u>	<u>\$ 1,920,856</u>	<u>\$ 2,241,047</u>	<u>\$ 1,496,192</u>	<u>\$ 1,767,231</u>	<u>\$ 1,818,583</u>
Investment Categories							
Neighborhood							
SLV SR9	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,777	\$ 27,778	\$ 27,778	\$ 27,778
HWY 17 Wildlife	13,889	13,889	13,889	13,889	13,889	13,889	13,889
City of Capitola	33,020	19,103	29,630	34,954	22,568	27,075	27,929
City of Santa Cruz	135,600	78,446	121,675	143,539	92,679	111,186	114,692
City of Scotts Valley	27,721	16,037	24,874	29,344	18,946	22,730	23,447
City of Watsonville	91,141	52,726	81,782	96,477	62,292	74,732	77,088
County of Santa Cruz	<u>308,285</u>	<u>178,346</u>	<u>276,629</u>	<u>326,334</u>	<u>210,705</u>	<u>252,780</u>	<u>260,752</u>
Total Neighborhood	<u>637,434</u>	<u>386,325</u>	<u>576,257</u>	<u>672,314</u>	<u>448,857</u>	<u>530,170</u>	<u>545,575</u>
Highway Corridors							
Highway Corridors	<u>531,194</u>	<u>321,937</u>	<u>480,214</u>	<u>560,262</u>	<u>374,048</u>	<u>441,808</u>	<u>454,646</u>
Transit/Paratransit							
Santa Cruz Metro	339,964	206,040	307,337	358,567	239,391	282,757	290,973
Community Bridges	<u>84,991</u>	<u>51,510</u>	<u>76,834</u>	<u>89,642</u>	<u>59,848</u>	<u>70,689</u>	<u>72,743</u>
Total Transit/Paratransit	<u>424,955</u>	<u>257,550</u>	<u>384,171</u>	<u>448,209</u>	<u>299,239</u>	<u>353,446</u>	<u>363,716</u>
Active Transportation							
Active Transportation	<u>361,212</u>	<u>218,917</u>	<u>326,546</u>	<u>380,978</u>	<u>254,353</u>	<u>300,429</u>	<u>309,159</u>
Rail Corridor							
Rail Corridor	<u>169,982</u>	<u>103,020</u>	<u>153,668</u>	<u>179,284</u>	<u>119,695</u>	<u>141,378</u>	<u>145,487</u>
Amount Distributed to Investment Categories	<u>\$ 2,124,777</u>	<u>\$ 1,287,749</u>	<u>\$ 1,920,856</u>	<u>\$ 2,241,047</u>	<u>\$ 1,496,192</u>	<u>\$ 1,767,231</u>	<u>\$ 1,818,583</u>

SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION
MEASURE D FUND
PRIOR YEAR SUMMARY OF REVENUE ALLOCATION BY MONTH - CASH BASIS
For the Fiscal Year Ended June 30, 2020

	<u>February</u>	<u>March</u>	<u>April</u>	<u>May</u>	<u>June</u>	<u>Total</u>
Revenues						
Gross	\$ 2,425,338	\$ 1,584,808	\$ 1,481,589	\$ 922,763	\$ 1,550,204	\$ 21,171,812
Board of Equalization Fees	(62,760)			(48,400)		(236,680)
Net Sales Tax	<u>2,362,578</u>	<u>1,584,808</u>	<u>1,481,589</u>	<u>874,363</u>	<u>1,550,204</u>	<u>20,935,132</u>
Administration & Implementation						
Admin Salaries & Benefits	23,626	15,848	14,817	8,743	15,505	209,351
Overhead Admin	25,752	17,274	16,149	9,531	16,897	205,954
Implementation & Oversight	9,856	9,856	9,856	9,856	9,855	118,271
Services & Supplies	15,000	15,000	15,000	15,000	15,000	180,000
Subtotal	<u>74,234</u>	<u>57,978</u>	<u>55,822</u>	<u>43,130</u>	<u>57,257</u>	<u>713,576</u>
Amount to Distribute to Investment Categories	<u>\$ 2,288,344</u>	<u>\$ 1,526,830</u>	<u>\$ 1,425,767</u>	<u>\$ 831,233</u>	<u>\$ 1,492,947</u>	<u>\$ 20,221,556</u>
Investment Categories						
Neighborhood						
SLV SR9	\$ 27,777	\$ 27,778	\$ 27,778	\$ 27,778	\$ 27,777	\$ 333,333
HWY 17 Wildlife	13,889	13,889	13,889	13,889	13,888	166,667
City of Capitola	35,740	23,078	21,398	11,512	22,515	308,522
City of Santa Cruz	146,768	94,771	87,870	47,274	92,457	1,266,957
City of Scotts Valley	30,004	19,374	17,963	9,664	18,901	259,005
City of Watsonville	98,648	63,699	59,060	31,775	62,144	851,564
County of Santa Cruz	<u>333,676</u>	<u>215,461</u>	<u>199,772</u>	<u>107,478</u>	<u>210,201</u>	<u>2,880,419</u>
Total Neighborhood	<u>686,502</u>	<u>458,050</u>	<u>427,730</u>	<u>249,370</u>	<u>447,883</u>	<u>6,066,467</u>
Highway Corridors						
Highway Corridors	<u>572,086</u>	<u>381,707</u>	<u>356,442</u>	<u>207,808</u>	<u>373,237</u>	<u>5,055,389</u>
Transit/Paratransit						
Santa Cruz Metro	366,135	244,293	228,123	132,997	238,872	3,235,449
Community Bridges	<u>91,534</u>	<u>61,073</u>	<u>57,031</u>	<u>33,249</u>	<u>59,718</u>	<u>808,862</u>
Total Transit/Paratransit	<u>457,669</u>	<u>305,366</u>	<u>285,154</u>	<u>166,246</u>	<u>298,590</u>	<u>4,044,311</u>
Active Transportation						
Active Transportation	<u>389,019</u>	<u>259,561</u>	<u>242,380</u>	<u>141,310</u>	<u>253,801</u>	<u>3,437,665</u>
Rail Corridor						
Rail Corridor	<u>183,068</u>	<u>122,146</u>	<u>114,061</u>	<u>66,499</u>	<u>119,436</u>	<u>1,617,724</u>
Amount Distributed to Investment Categories	<u>\$ 2,288,344</u>	<u>\$ 1,526,830</u>	<u>\$ 1,425,767</u>	<u>\$ 831,233</u>	<u>\$ 1,492,947</u>	<u>\$ 20,221,556</u>

Measure D Annual Report Cover Sheet - Fiscal Year 2020/21

Investment Category:

Highway Corridors (25%)

Lead: **Santa Cruz County Regional Transportation Commission (SCCRTC)**

Dear Measure D Taxpayer Oversight Committee: The SCCRTC is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for the Highway Corridors Measure D investment category.

As noted in the Measure D Expenditure Plan, this investment category includes: Highway 1 auxiliary lanes, and bike/pedestrian over-crossings, traveler information and Transportation Demand Management (TDM), and highway safety and congestion reduction programs.

Measure D Financial Information	
FY20/21 Formula Allocation	\$5,999,230
Unspent Prior Year Allocations	\$14,633,634
Interest earned on Unspent Prior Allocations	\$105,793
Total Measure D Funding Available in FY20/21	\$20,738,657
Total Measure D Funds Spent in FY20/21	\$3,127,139
Total Measure D Rollover to FY21/22	\$17,611,518
Maintenance of Effort	
FY20/21 LOCAL funds spent on transportation projects, operations, and/or services <i>(excludes grants & Measure D funds)</i>	NA
Maintenance of Effort Baseline <i>(average amount of local funds spent on transportation projects in 3-5 fiscal years prior to 2017)</i>	NA
Measure D Compliance Documents	
Document	Attached (check)
1. FY20/21 Annual Report Cover Sheet <i>(this document)</i>	x
2. FY20/21 Audited Financials for Measure D funds	x For all regional projects
3. FY20/21 Expenditure Report Spreadsheet <i>(shows what Measure D formula funds were spent on)</i>	X
3a. Grouped projects supplemental information	NA
4. Map: Attach or provide web link to map(s) of projects funded with Measure D funds in FY20/21 (for projects with specific locations).	Attached on last page and in fact sheets
5. FY20/21 Performance Measures Report - <i>only applies to direct recipients (cities, county and transit agencies)</i>	NA
6. Maintenance of Effort Compliance Spreadsheet	NA
a. If FY20/21 Local funds expended were lower than Baseline amount, explain why: NA.	NA
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)? a. Date of most recent ICAP: <u>10/5/21</u> b. ICAP Rate: <u>125%</u>	Yes
8. 5-Year Program of Projects (5-Year Plan): List showing planned use Measure D formula funds in the next 5 years.	x

<p>a. Evidence that the 5-year program of projects was approved through a public process.</p> <p>i. Public hearings at RTC meetings 9/3/2020:</p> <ul style="list-style-type: none"> • News Release: https://sccrtc.org/public-hearing-measure-d-five-year-plan-adoption/ • Staff Report: https://sccrtc.org/wp-content/uploads/2020/09/2020-09-03-%20RTC-agenda-packet.pdf <p>ii. Advisory Committee Review of draft plans: August 2020</p> <ul style="list-style-type: none"> • Bicycle Committee • Elderly and Disabled Transportation Advisory Committee (E&D TAC) • Interagency Technical Advisory Committee (ITAC) 	<p>X See RTC website for staff reports</p>
<p>b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan</p>	<p>Date: 9/3/20 & 10/7/21</p>
<p>c. Date annual 5-year Plan approved by Governing Board – <i>*Interim amendments also made during RTC public meetings</i></p>	<p>Date: 9/3/20* & 10/7/21</p>
<p>9. Complete Streets Compliance (<i>Cities/County only</i>)</p>	<p>NA- but complete streets included in projects</p>
<p>10. Annual Report Narrative (<i>may be included in Management & Admin section of audit</i>)</p>	
<p>a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known.</p> <ul style="list-style-type: none"> • 25% of Measure D net revenues are allocated to the Highway Corridors investment category. The fund balance (funds that have not yet been expended) is kept in a separate trust fund and tracked via the accounting system ledgers and programming spreadsheets. Most of the Highway 1 projects currently programmed to receive Measure D funds in the 5-year plan were undergoing environmental review, design, and/or right-of-way in FY20/21. • Using Measure D funds to advance pre-construction activities and provide match made Highway 1 auxiliary lanes/bus-on-shoulder/bike-ped crossing projects more competitive for over \$90 million in SB1-Solutions for Congested Corridors Program (SCCP) and Local Partnership Program (LPP) grants in 2020 for Highway 1 projects between State Park Drive and Soquel Dr. • The Highway 1 projects currently under development are scheduled to start construction in 2022 (41st-Soquel), 2023 (Bay/Porter-State Park) and 2025 (Freedom-State Park). Depending on whether and the amount of grants secured for Freedom-State Park, RTC may consider bonding or other financing to accelerate delivery of at least some portions of that project (see below). • Because of grants secured from the state, some of the Highway Corridor funds are being used to expedite delivery of the Highway 17 Wildlife Project and reduce debt service paid from Measure D revenues. The inter-program loan to the Wildlife Crossing will be repaid with interest to the Highway Corridors “bucket.” • GO Santa Cruz County launched countywide in April 2021. GO Santa Cruz County is an online carpool matching and trip planning tool that allows commuters to find carpool partners and rides, join or start vanpools, plan transit, bicycling, and walking trips, and 	<p>x</p>

<p>participate in rewards programs and challenges. There are 2600 enrolled in GO Santa Cruz County, with over 1400 new participants in FY 20/21.</p> <ul style="list-style-type: none"> • Freeway Service Patrol and CHP enforcement is anticipated to continue as an ongoing annual expense. • RTC will be analyzing possible expansion of park and ride facilities in mid and south county in the future. 	
<p>b. Future Liabilities: Measure D funds have been focused on pre-construction activities and to serve as a match to make projects more competitive for grants. In FY20/21 Measure D funds were used on environmental review and preliminary design of Highway 1 auxiliary lanes/bus-on-shoulder between Freedom Blvd-Rio Del Mar-State Park Drive interchanges. As this project completes pre-construction activities and becomes “shovel ready” (using Measure D and some state and federal funds), the RTC intends to use Measure D funds to leverage grants for the construction phase. In order to avoid delays and accelerate delivery of the section of auxiliary lanes between State Park Dr and Freedom Blvd, the RTC will be discussing financing options for the project in 2022.</p> <p>While the RTC successfully secured over \$90 million in competitive SB1 Solutions for Congested Corridors Program (SCCP) and Local Partnership Program (LPP) grants from the California Transportation Commission (CTC) in 2020 for Highway 1 Auxiliary Lanes and bus on shoulder and bike/ped crossings between Soquel Dr and State Park Dr interchanges, if actual costs are higher, the RTC may need to use additional Measure D funds on the projects. The 41st-Soquel Drive auxiliary lanes/bus-on-shoulder and Chanticleer bike/ped bridge project is scheduled to go out to bid in 2022.</p> <p>The Measure D 5-year plan and Strategic Implementation Plan provide additional information on Measure D fund management and long term planned use of Measure D revenues.</p>	
<p>c. Compliance with Applicable Laws: <i>Confirm projects were implemented in compliance with applicable laws, regulations, requirements, standards of practice, guidelines, etc. which are applicable to publicly funded transportation projects are met and adhered to, including requirements of the California Environmental Quality Act (CEQA), state design and procurement requirements, etc.</i></p>	<p>Yes, environmental documents and design are compliant with state & federal regulations.</p>
<p>Public Outreach/Notification of Use of Measure D Funds</p>	
<p>11. Public Outreach/Process:</p> <p>During FY20/21 the RTC conducted outreach on Highway Corridors projects and programs through:</p> <ul style="list-style-type: none"> • Fact sheets • Enews to people who signed up for project updates • Website updates • Social media updates • Public hearing on 5-year plan • News releases • Online workshops/open houses, videos and surveys 	<p>x</p>

12. Sample(s) of public outreach on Measure D-funded projects 7/1/20-6/30/21 <ul style="list-style-type: none"> Highway 1 Virtual Open House for Draft EIR Circulation: https://youtu.be/H0pgKBulz3U Virtual Open House & Survey for Mar Vista Bike/Ped Overcrossing Aesthetic Features & Themes: https://youtu.be/GsBu9ufj6f4 GO Santa Cruz County Program Brochures (English/Spanish): <ul style="list-style-type: none"> https://gosantacruzcounty.org/wp-content/uploads/2022/03/GoSCCounty-Brochure.pdf https://gosantacruzcounty.org/wp-content/uploads/2022/03/GoSCCounty-Brochure-SPN.pdf 	
a. Websites with Measure D information <ul style="list-style-type: none"> www.sccrtc.org/move https://sccrtc.org/funding-planning/measured/regional-projects/ http://www.cruz511.org/ https://sccrtc.org/meetings/tos-safe-on-17/ https://sccrtc.org/services/motorist/ https://gosantacruzcounty.org/ https://sccrtc.org/projects/streets-highways/hwy1corridor/ 	
b. News Articles and News Releases: <ul style="list-style-type: none"> https://www.santacruzsentinel.com/2020/11/24/local-state-transit-authorities-invite-comment-on-possible-highway-1-renovations/ https://goodtimes.sc/santa-cruz-news/environmental-report-highway-1-widening-auxiliary-lanes/ https://sccrtc.org/rtc-receives-107-2-million-in-grant-funding-for-innovative-multimodal-transportation-improvements-throughout-the-county/ https://sccrtc.org/public-comment-period-on-highway-1-auxiliary-lane-project-draft-environmental-impact-report-now-open/ https://sccrtc.org/community-input-sought-on-mar-vista-bicycle-pedestrian-overcrossing-project/ https://sccrtc.org/online-open-house-now-open-for-highway-1-auxiliary-lanes-and-bus-on-shoulder-project/ https://cruz511.org/go-santa-cruz-alternative-commute-program-expands-countywide/ https://goodtimes.sc/santa-cruz-news/go-santa-cruz-incentives/ https://kion546.com/news/2021/04/22/program-rewarding-commuters-with-incentives-for-alternative-transportation-expands-to-all-of-santa-cruz-county/ 	
c. Signage: The Measure D logo, informing the community of projects funded by Measure D, was included on project fact sheets, and the RTC, Cruz511, and GO Santa Cruz County websites. While no construction projects were implemented in FY20/21, Measure D signage will be included in project design and bid documents.	X

13. Photos:



Figure 1 - 05.03.21 KSQD 90.7 Community Radio Interview about countywide expansion for GO Santa Cruz County

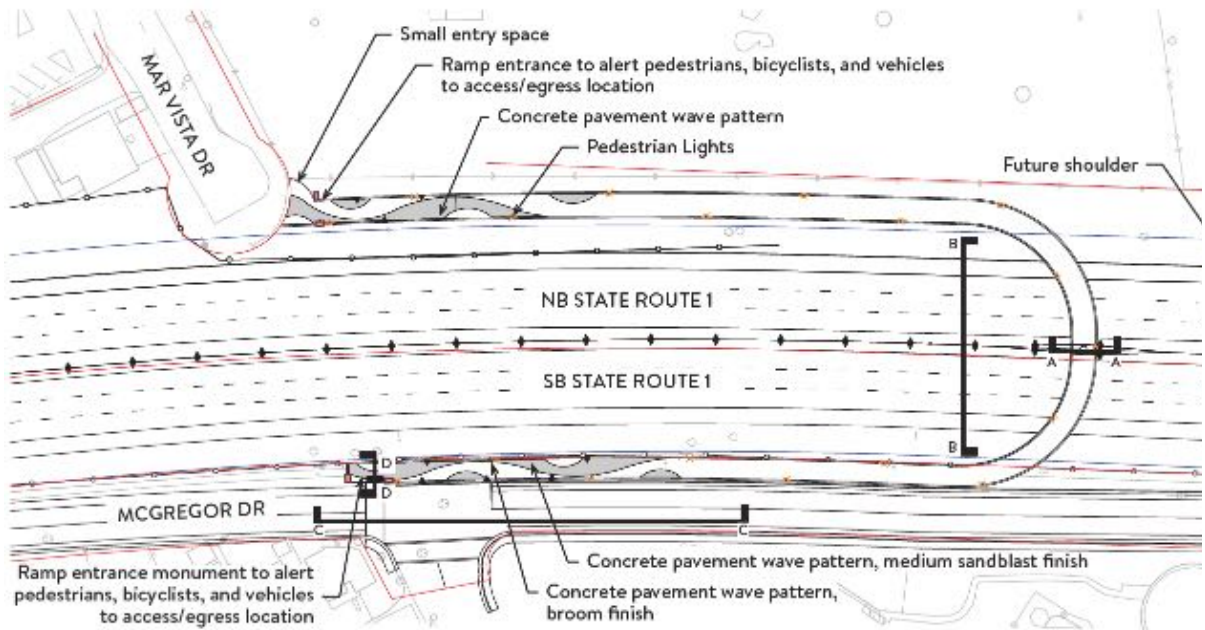


Figure 2 – December 2021 GO Santa Cruz County tabling at Dominican Hospital Sustainable Transportation Fair



Figure 3 - September/October 2021 GO Santa Cruz County tabling at Midtown Fridays! Summer Block Party





14. Fact Sheets on larger projects and ongoing programs:

Attached

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Email
Tracy New	Director of Budget and Finance	tnew@sccrtc.org
Shannon Munz	Communications Specialist	smunz@sccrtc.org
Sarah Christensen	Highway Program Manager/Engineer	schristensen@sccrtc.org
Amy Naranjo	SAFE and Cruz511/ GO Santa Cruz County Programs Manager	anaranjo@sccrtc.org
Amanda Marino	FSP Program Manager	amarino@sccrtc.org

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4. MAP OF MEASURE D HIGHWAY CORRIDORS PROJECTS



Reporting Year	FY20/21
Recipient Agency/Investment Category	SCCRTC- Highway Corridors

1-RCIS funded from several Measure D investment categories

MEASURE D EXPENDITURE REPORT

#	Project Name	Prior Year Measure D Expenditures (if applicable)			Measure D TOTAL SPENT <i>through reporting year</i>	<i>(Est) Future Year(s) Measure D Expenditures</i>	Leveraged Funds, if any		Total Project Cost
		FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures	FY19/20 Measure D Expenditures			Leveraged Fund Amounts <i>(grants & other funds where Meas. D used as match)</i>	Leveraged Fund Source(s) Name(s)	
1	Highway 1: Auxiliary Lanes and Bus on Shoulder from 41st to Soquel; Chanticleer Bike/Pedestrian Overcrossing		\$ 571,203.48	\$ 472,183.45	\$ 974,271.54	\$ 1,039,310.46	\$ 35,301,608.00	STIP, HIP, SCCP	\$ 38,000,000.00
2	Highway 1: Auxiliary Lanes & Bus on Shoulder from State Park to Bay-Porter, Reconstruction of Capitola Avenue Overcrossing and Bicycle/Pedestrian Overcrossing at Mar Vista Dr		\$ 1,063.47	\$ 490,943.97	\$ 2,290,189.12	\$ 14,850,148.94	\$ 76,285,000.00	STIP, SB1 SCCP & LPP	\$ 93,500,000.00
3	Highway 1: Auxiliary Lanes & Bus on Shoulder from Freedom to State Park, and Reconstruction of two railroad bridges, and widening of the bridge over Aptos Creek/Spreckles Drive			\$ 171,770.71	\$ 1,308,871.53	\$ 12,603,128.47	\$ 1,190,000.00	SB 1 Local Partnership Program (LPP) -formula	\$ 102,000,000.00
4	Santa Cruz County Regional Conservation Investment Strategy (RCIS)*			\$ 13,604.54	\$ 19,703.44	\$ 5,796.56	\$ 645,000.00	Prop 68-\$594k; RCD \$51k	\$ 720,000.00
5	Cruz 511-Traveler Information and Commute Manager	\$ 50,000.00	\$ 41,252.73	\$ 94,939.17	\$ 245,783.61	Est.\$200k/yr	\$ -	none yet	\$200k-\$400k/year
6	Safe on 17		\$ 25,000.00	\$ 21,505.25	\$ 96,505.25	Est. \$50k/yr	\$ -	none yet	\$125k/year
7	Freeway Service Patrol		\$ 129,413.15	\$ 75,139.68	\$ 349,834.28	Est. \$200k/yr	\$ -	none yet	\$450k/year

1-RCIS funded from several Measure D investment categories

MEASURE D EXPENDITURE REPORT

#	Project Name	Project in approved 5-year plan?	CEQA compliance (List type of doc & certification date - e.x. CE, 5/1/18)	Completion Date (actual or estimate)	Stats - if applicable				
					New Bike Lane Miles	New Ped Path or Sidewalk Miles	Miles of pavement rehab/repair (pothole, seals, etc)	Rehab of bikeways, sidewalks, or ADA ramps included? (describe)	If Complete Streets not incorporated into project, why not?
1	Highway 1: Auxiliary Lanes and Bus on Shoulder from 41st to Soquel; Chanticleer Bike/Pedestrian Overcrossing	Yes	EIR/EA FONSI: 12/31/2018	Est. 2023	\$ 0.40	\$ 0.20	\$ 1.40	Yes	Part of project
2	Highway 1: Auxiliary Lanes & Bus on Shoulder from State Park to Bay-Porter, Reconstruction of Capitola Avenue Overcrossing and Bicycle/Pedestrian Overcrossing at Mar Vista Dr	Yes	EIR/EA FONSI: 5/18/2021	Est. 2024	\$ 0.50	\$ 0.20	\$ 3.80	Yes	Part of project
3	Highway 1: Auxiliary Lanes & Bus on Shoulder from Freedom to State Park, and Reconstruction of two railroad bridges, and widening of the bridge over Aptos Creek/Spreckles Drive	Yes	EIR/EA: Est. Spring 2023	Est. 2025	\$ 1.15	\$ 1.15	\$ 2.50	Yes	Part of project
4	Santa Cruz County Regional Conservation Investment Strategy (RCIS)*	Yes	na	ongoing	na	na	na	na	na
5	Cruz 511-Traveler Information and Commute Manager	Yes	na	ongoing	na	na	na	na	part of program
6	Safe on 17	Yes	na	ongoing	na	na	na	na	na
7	Freeway Service Patrol	Yes	na	ongoing	na	na	na	na	na

1-RCIS funded from several Measure D investment categories

MEASURE D EXPENDITURE REPORT

#	Project Name	Measure D use		Other
		FY20/21 Measure D-funded Non-capital <i>(non-infrastructure, outreach, admin)</i>	FY20/21 Measure D-funded Indirect costs <i>(and overhead)</i>	Other Notes or Information <i>(optional)</i>
1	Highway 1: Auxiliary Lanes and Bus on Shoulder from 41st to Soquel; Chanticleer Bike/Pedestrian Overcrossing	(\$107,534.45)	\$38,419.10	Negative due to Highway RTC fund reimbursing Measure D \$400,035 for excess STIP match once STIP funds were received.
2	Highway 1: Auxiliary Lanes & Bus on Shoulder from State Park to Bay-Porter, Reconstruction of Capitola Avenue Overcrossing and Bicycle/Pedestrian Overcrossing at Mar Vista Dr	\$ 1,761,188.68	\$ 36,993.00	
3	Highway 1: Auxiliary Lanes & Bus on Shoulder from Freedom to State Park, and Reconstruction of two railroad bridges, and widening of the bridge over Aptos Creek/Spreckles Drive	\$ 1,092,400.46	\$ 44,700.36	
4	Santa Cruz County Regional Conservation Investment Strategy (RCIS)*	\$ 3,124.85	\$ 2,974.05	Additional Measure D Rail and Trail category expenditures shown under those reports
5	Cruz 511-Traveler Information and Commute Manager	\$ 46,086.16	\$ 13,505.55	
6	Safe on 17	\$ 50,000.00		
7	Freeway Service Patrol	\$ 138,046.67	\$ 7,234.78	

1-RCIS funded from several Measure D investment categories

Measure D Annual Report Cover Sheet - Fiscal Year 2020/21


Investment Category:

Active Transportation/MBSST (17%)

Lead: SCCRTC



Dear Measure D Taxpayer Oversight Committee: The SCCRTC is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for the Measure D Active Transportation/Monterey Bay Sanctuary Scenic Trail Network (MBSST) investment category (i.e. Measure D -Trail Funds).

Measure D Financial Information	
FY20/21 Formula Allocation	\$4,079,476
Unspent Prior Year Allocations	\$8,180,428
Interest earned on Unspent Prior Allocations	\$59,327
Total Measure D Funding Available in <u>FY20/21</u>	\$12,319,231
Total Measure D Funds Spent in <u>FY20/21</u>	\$2,555,492
Total Measure D Rollover to <u>FY21/22</u>	\$9,067,513
Maintenance of Effort	
FY20/21 LOCAL funds spent on transportation projects, operations, and/or services <i>(excludes grants & Measure D funds)</i>	NA
Maintenance of Effort Baseline <i>(average amount of <u>local</u> funds spent on transportation projects in 3-5 fiscal years prior to 2017)</i>	NA
Measure D Compliance Documents	
Document	
1. FY20/21 Annual Report Cover Sheet <i>(this document)</i>	Attached
2. FY20/21 Audited Financials for Measure D funds	Attached
3. FY20/21 Expenditure Report Spreadsheet: Shows what Measure D formula funds were spent on.	Attached
3a. Grouped projects supplemental information	
A. Ongoing RTC oversight, coordination, and assistance work in FY20/21 included: <ul style="list-style-type: none">• Technical analysis, soil investigations and boundary surveys; County Environmental Health (EHS) agreements and overall reviews <i>(some work also charged to specific MBSST projects)</i>• MBSST cooperative agreements for preconstruction, construction, and maintenance, including staff and legal reviews, meetings with implementing agencies• Public outreach: including website and fact sheet updates, responding to public and media comments and questions, presentations on trail to RTC and community groups, news releases• Stakeholder and local jurisdiction coordination• Legal actions, review of agreements• Ongoing updates to total cost estimates and funding needs for MBSST projects	
B. Corridor Maintenance – Various Locations <ul style="list-style-type: none">• Regrading of drainage ditches and culvert clearing – Fall 2020 & Spring 2021• Tree maintenance – ongoing• Vegetation control - Spring 2021	

<ul style="list-style-type: none"> • Trash, dumping, and encampment cleanups – Ongoing • Corridor property management - Ongoing 	
4. Map:	X
	
5. FY20/21 Performance Measures Report - only applies to direct recipients (cities, county and transit agencies)	NA
6. Maintenance of Effort Compliance Spreadsheet: List of local funds spent on transportation projects through current fiscal year as compared to the 3-5 years prior to FY16/17.	NA
a. If FY20/21 Local funds expended were lower than Baseline amount, explain why: NA	NA
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)? a. Date of most recent ICAP: <u>10/5/21</u> b. ICAP Rate: <u>125%</u>	Yes
8. 5-Year Program of Projects (5-Year Plan): List showing planned use Measure D formula funds in the next 5 years.	Attached
a. Evidence that the 5-year program of projects was approved through a public process. i. Public hearings at <u>RTC meetings</u>: <ul style="list-style-type: none"> • 9/3/2020: <ul style="list-style-type: none"> ○ News Release: https://sccrtc.org/public-hearing-measure-d-five-year-plan-adoption/ ○ Staff report: https://sccrtc.org/wp-content/uploads/2020/09/2020-09-03-%20RTC-agenda-packet.pdf • 10/7/2021: https://sccrtc.org/notice-of-public-hearing-measure-d-five-year-plan-adoption/ 	X See RTC website for staff reports

ii. Advisory Committee Review of draft plans: August 2020 <ul style="list-style-type: none"> • Bicycle Committee • Elderly and Disabled Transportation Advisory Committee (E&D TAC) • Interagency Technical Advisory Committee (ITAC) 	
b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan	Date: 9/3/20 & 10/7/21
c. Date annual 5-year Plan approved by Governing Board – <i>*Interim amendments also made during RTC public meetings</i>	Date: 9/3/20 & 10/7/21*
9. Complete Streets Compliance (Cities/County only)	NA
10. Annual Report Narrative <i>(may be included in Management & Admin section of audit)</i>	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known. <ul style="list-style-type: none"> • 17% of Measure D net revenues are allocated to the Active Transportation investment category for implementation and maintenance of the Monterey Bay Sanctuary Scenic Trail Network (MBSST/Coastal Rail Trail). The fund balance (funds that have not yet been expended) is kept in a separate trust fund and tracked via the accounting system ledgers and programming spreadsheets. Most of the trail projects currently programmed to receive Measure D funds in the 5-year plan were undergoing environmental review, design, and/or right-of-way in FY20/21, with a significant portion of Measure D carryover balances to be used to leverage grants and other funds for construction, development, and maintenance of Segments 5, 7-Phase 2, 8-9, 10-11, and 18-Phase 2 between 2022 and 2025. • The City of Santa Cruz completed construction of Segment 7, phase 1 (Natural Bridges to Bay/California) in December 2020; invoices were submitted and expenditures reflected in FY20/21. Measure D approved for Phase 2 (Bay St/California Ave to Wharf) was used as match for competitive Active Transportation Program (ATP) and the project was awarded \$9.1 million in ATP funds. • The City of Santa Cruz has initiated environmental review and design of Segment 8/9 from the Boardwalk to 17th Avenue using ATP and local funds and is using Measure D-Trail funds as a match for competitive grant applications for construction. • The City of Watsonville completed construction of Segment 18, phase 1 between Watsonville Slough Trail and Ohlone Parkway in June 2021, however invoices were submitted and expenditures will be reflected in FY21/22. Measure D-Trail funds programmed for Phase 2 is expected to be used in future years. • The County of Santa Cruz initiated technical studies to support environmental review and design of Segments 10-11 in FY20/21. The County is using \$4 million in Measure D- Trail funds for environmental review and design, which is expected to be completed in 2023. • Future projects: Taking into consideration extensive public and stakeholder input, technical analysis of the corridor, as well as local, regional, state, and federal transportation goals, the Monterey Bay Sanctuary Scenic Trail Network Master Plan identified priorities for future sections of the trail. Future Measure D Trail fund revenues are expected to continue to serve as match to leverage other funds in order to fully fund trail construction. 	x

<ul style="list-style-type: none"> Measure D- Trail funds are used for ongoing maintenance of the corridor and coordination and oversight of work done by agencies implementing individual sections. Maintenance of the rail trail corridor, technical assistance from the RTC, and ongoing oversight will continue into the future. RTC is currently working with local jurisdictions on long-term maintenance agreements. A portion of Measure D-Trail funds are expected to continue to be used for ongoing oversight, maintenance and technical assistance for the trail into the foreseeable future. The Measure D 5-year plan and Strategic Implementation Plan (SIP) provide additional information on Measure D fund management and long term use of Measure D-Trail revenues. 	
b. Future Liabilities: The MBSST Network is being implemented and constructed as funding becomes available. As noted in the Measure D Strategic Implementation Plan , Measure D funds are being focused on pre-construction activities and to serve as match to make projects more competitive for grants. RTC and local jurisdictions will continue to seek grants and construct the trail in sections or phases. The Active Transportation Program (ATP), SB1 Solutions for Congested Corridors (SCCP), Prop 68, Federal Lands Access Program (FLAP), Coastal Conservancy, and funding from non-profits such as the Land Trust of Santa Cruz County are some of the sources Measure D is leveraging.	
c. Compliance with Applicable Laws: <i>Confirm projects were implemented in compliance with applicable laws, regulations, requirements, standards of practice, guidelines, etc. which are applicable to publicly funded transportation projects are met and adhered to, including requirements of the California Environmental Quality Act (CEQA), state design and procurement requirements, etc.</i>	Yes. Trail projects undergo CEQA review and are also reviewed by state and federal agencies for compliance. Maintenance activities comply with the applicable resource agency regulations.
Public Outreach/Notification of Use of Measure D Funds	
11. Public Outreach/Process: During FY20/21 the RTC conducted outreach on the MBSST through: <ul style="list-style-type: none"> Fact sheets Enews to people who signed up for project updates Website updates Social media updates on trail projects Public hearing on 5-year plan News releases Presentations to Bike Committee and E&DTAC Segment 7, Phase I Ribbon Cutting Virtual Event 	x
12. Sample(s) of public outreach on Measure D-funded projects 7/1/19-6/30/20	
a. Websites with Measure D information <ul style="list-style-type: none"> www.sccrtc.org/move 	x

<ul style="list-style-type: none"> • https://sccrtc.org/projects/multi-modal/monterey-bay-sanctuary-scenic-trail/ 	
<p>b. News Articles and News Releases:</p> <ul style="list-style-type: none"> • https://sccrtc.org/rail-trail-segment-7-phase-i-virtual-ribbon-cutting-on-dec-10/ • https://goodtimes.sc/santa-cruz-news/santa-cruz-westside-new-rail-trail-segment/ • https://www.santacruzsentinel.com/2020/12/10/local-leaders-encourage-residents-to-get-outside-through-virtual-rail-trail-ribbon-cutting/ • https://www.sfchronicle.com/travel/article/Looking-for-a-weekend-bike-ride-Check-out-Santa-15634096.php • https://www.santacruzsentinel.com/2020/11/30/photo-section-of-coastal-rail-trail-completed/ 	<p>X</p>
<p>c. Signage: The Measure D logo, informing the community of projects funded by Measure D, was included on project fact sheets, the RTC website, and required to be displayed at construction sites.</p> 	
<p>13. Photos: <i>Segment 18 construction in Watsonville.</i></p> 	

14. Fact Sheets on larger projects: Attached	x
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Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Email
Tracy New	Director of Budget and Finance	tnew@sccrtc.org
Shannon Munz	Communications Specialist	smunz@sccrtc.org
Grace Blakeslee	Planner- Trail Program	gblakeslee@sccrtc.org

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MEASURE D EXPENDITURE REPORT

Reporting Year **FY20/21**

Recipient Agency/Investment Category **SCCRTC-Active Transportation/MBSST Rail Trail**

Project Description/Location					
#	Project Name	Project Description <i>(may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)</i>	Location/Limits (if grouped project, list each location/limit)	Work Done in FY20/21	Construction or Implementation schedule
1a	North Coast Segment 5: Deposits held by others	Deposits made to feds for future expenditures (page 23 of audit)	Wilder Ranch to Davenport	Environmental review, design & right-of-way and encroachments	est. 2022-2024, pending fed grant
1	North Coast Segment 5	EIR & design consultants, legal, Environmental Health Services & ROW; RTC project mgmt, oversight, outreach and technical assistance			
2	Segment 7: Natural Bridges to Bay/ California (Phase I), Bay/California to Wharf (Phase II) - City of Santa Cruz (SC) lead	Allocation to City of Santa Cruz for Segment 7 trail construction	Phase I: Santa Cruz Rail Corridor: Natural Bridges to Bay/California, city of Santa Cruz	Phase 1 completed/invoiced	Phase I: 2020; Phase II: start 2022
2A	Segment 7: Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	Natural Bridges Dr to Wharf, City of Santa Cruz	Ongoing coordination and assistance. Including legal, signage, EHS.	Duration of project delivery
4A	Segment 8/9: Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	San Lorenzo River (SLR)- 17th Ave	Ongoing coordination and assistance	Duration of project delivery
6	Segment 10-11: Segment 10 (17th-47th/Jade St. park), Seg 11 (Monterey to St. Park Dr)	Allocation to County DPW for planning, environmental review, design, and right of way. County led project.	17th Ave - 47th/Jade Street Park & Monterey Ave to State Park Drive	Preliminary Engineering and environmental review	PA/ED started 2020
6A	Segment 10-11 Oversight and technical assistance	RTC project mgmt, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)		Ongoing coordination and assistance	Duration of project delivery
7A	Segment 18: Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	Lee Road to Walker, Watsonville	Ongoing coordination and assistance	Duration of project delivery
9	Capitola Trail: City Hall to Monterey Ave Oversight and technical assistance	RTC project management, oversight, outreach and technical assistance (consultants, legal, Environmental Health Services & ROW)	Adjacent to Rail Line - City Hall to Monterey Ave	Ongoing review of design options	Construction timing TBD
10	Santa Cruz County Regional Conservation Investment Strategy - Grant match	Match to Wildlife Conservation Board grant for early mitigation planning for transportation projects.	Rail line - entire length	Study analysis	FY19/20-FY21/22
11	Ongoing oversight, coordination, and assistance, including on development of future trail sections	Includes RTC staff and consultants work related to overall trail planning, surveys, soil investigations, Environmental Health (EHS), legal, stakeholder coordination, response to public comments, and development of future projects/grant applications	Rail line - entire length	Ongoing coordination and assistance	ongoing
12	Corridor maintenance & encroachments	Ongoing corridor maintenance, including vegetation, tree removal, trash, graffiti, drainage, storm damage repairs outside of what is required for railroad operations. Includes RTC staff time and contracts, corridorwide boundary surveys and encroachment work.	Rail line - entire length	Ongoing maintenance - vegetation, drainage, graffiti, garbage, etc, evaluation of right-of-way, lease agreements	ongoing

1-RCIS funded from several Measure D investment categories

MEASURE D EXPENDITURE REPORT

			Prior Year Measure D Expenditures (if applicable)					Leveraged Funds, if any	
#	Project Name	FY20/21 Measure D Expenditures	FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures	FY19/20 Measure D Expenditures	Measure D TOTAL SPENT through reporting year	Estimated Future Year(s) Measure D Expenditures	Leveraged Fund Amounts (grants & other funds where Meas. D used as match)	Leveraged Fund Source(s) Name(s)
1a	North Coast Segment 5: Deposits held by others	\$ 258,200	\$ 476,939	\$ 644,234	\$ 429,854	\$ 1,809,227	5000000	\$11,540,000	FLAP, Land Trust, RSTPX, Coastal Conservancy
1	North Coast Segment 5	\$ 690,504	\$ 476,939	\$ 644,234	\$ 429,854	\$ 2,241,531			
2	Segment 7: Natural Bridges to Bay/ California (Phase I), Bay/California to Wharf (Phase II) - City of Santa Cruz (SC) lead	\$ 1,100,000	\$ -	\$ -	\$ -	\$ 1,100,000	\$ 1,000,000	\$9,184,000	Active Transportation Program (ATP)
2A	Segment 7: Oversight and technical assistance	\$ 77,022	\$ 21,972	\$ 50,954	\$ 105,972	\$ 255,920	\$ 35,000	na	na
4A	Segment 8/9: Oversight and technical assistance	\$ 16,797	\$ -	\$ 148	\$ 11,157	\$ 28,101	\$ 157,380	\$4,669,000	ATP, Land Trust
6	Segment 10-11: Segment 10 (17th-47th/Jade St. park), Seg 11 (Monterey to St. Park Dr)	\$ 236,961	\$ -	\$ -	\$ -	\$ 236,961	\$ 3,763,039	TBD	Candidate for ATP grant
6A	Segment 10-11 Oversight and technical assistance	\$ 28,492	\$ -	\$ -	\$ 30,020	\$ 58,512	\$ 253,571	na	na
7A	Segment 18: Oversight and technical assistance	\$ 17,333	\$ 2,775	\$ 36,328	\$ 47,672	\$ 104,108	\$ 54,777	na	na
9	Capitola Trail: City Hall to Monterey Ave Oversight and technical assistance	\$ 783	\$ -	\$ -	\$ -	\$ 783	\$ 49,217	\$ -	na
10	Santa Cruz County Regional Conservation Investment Strategy - Grant match	\$ 5,977		\$ -	\$ 9,251	\$ 15,228	\$ 2,112	\$594,000	Water Conservation Board
11	Ongoing oversight, coordination, and assistance, including on development of future trail sections	\$ 437,057	\$ 144,768	\$ 148,319	\$ 306,903	\$ 1,037,047	\$220k/year	na	na
12	Corridor maintenance & encroachments	\$ 640,792	\$ 28,474	\$ 97,486	\$ 221,016	\$ 987,768	~\$600k/year	na	na
1-RCIS funded from several Measure D investment categories		\$ 3,251,717.88	Total (not including deposits made to others)						

MEASURE D EXPENDITURE REPORT

					Stats - if applicable				
#	Project Name	Total Project Cost	Project in approved 5-year plan?	CEQA compliance (Type of doc & certification date - e.x. CE, 5/1/18)	New Bike Lane Miles	New Ped Path or Sidewalk Miles	Miles of pavement rehab/repair (pothole, seals, etc)	Rehab of bikeways, sidewalks, or ADA ramps included? (describe)	If Complete Streets not incorporated into project, why not?
1a	North Coast Segment 5: Deposits held by others	\$22M	Yes	EIR -3/7/2019; NEPA-2021	7.1	7.1	na	na	na
1	North Coast Segment 5								
2	Segment 7: Natural Bridges to Bay/ California (Phase I), Bay/California to Wharf (Phase II) - City of Santa Cruz (SC) lead	Ph 1: \$9M Ph 2: \$11M	Yes	Mit Neg Dec 10/17 & 9/21	2	2	na	na	na
2A	Segment 7: Oversight and technical assistance	na	Yes	na	na	na	na	na	na
4A	Segment 8/9: Oversight and technical assistance	Est. \$30M	Yes	na	na	na	na	na	na
6	Segment 10-11: Segment 10 (17th-47th/Jade St. park), Seg 11 (Monterey to St. Park Dr)	TBD	Yes	EIR - in development	4.5	4.5	na	na	na
6A	Segment 10-11 Oversight and technical assistance	na	Yes	na	na	na	na	na	na
7A	Segment 18: Oversight and technical assistance	na	Yes	na	na	na	na	na	na
9	Capitola Trail: City Hall to Monterey Ave Oversight and technical assistance	TBD	Yes	na	na	na	na	na	na
10	Santa Cruz County Regional Conservation Investment Strategy - Grant match	\$720,000	Yes	na	na	na	na	na	na
11	Ongoing oversight, coordination, and assistance, including on development of future trail sections	na	Yes	na	na	na	na	na	na
12	Corridor maintenance & encroachments	na	Yes	na	na	na	na	na	na
1-RCIS funded from several Measure D investment categorie					20.70	20.70	0.00		

MEASURE D EXPENDITURE REPORT

#	Project Name	Measure D use			Other
		FY20/21 Measure D- funded Capital Costs	FY20/21 Measure D- funded Non-capital (non-infrastructure, outreach, admin)	FY20/21 Measure D- funded Indirect costs (and overhead)	Other Notes or Information (optional)
1a	North Coast Segment 5: Deposits held by others		\$ 820,466.88	\$ 128,237.18	FY20/21 expenditures include \$258,200 shown on p. 23 of audit as "Deposits held by others".
1	North Coast Segment 5				
2	Segment 7: Natural Bridges to Bay/ California (Phase I), Bay/California to Wharf (Phase II) - City of Santa Cruz (SC) lead	\$ 1,100,000.00			Funds programmed for Phase 2 to be expended FY21/22-22/23
2A	Segment 7: Oversight and technical assistance		\$ 60,008.78	\$ 17,012.81	
4A	Segment 8/9: Oversight and technical assistance		\$ 9,023.32	\$ 7,773.25	
6	Segment 10-11: Segment 10 (17th-47th/Jade St. park), Seg 11 (Monterey to St. Park Dr)		\$ 236,961.08		Funds paid to county. Additional \$604 spent on legal costs that are shown in audit as Seg 10-11 are included in next line.
6A	Segment 10-11 Oversight and technical assistance		\$ 15,205.03	\$ 13,286.86	
7A	Segment 18: Oversight and technical assistance		\$ 10,945.33	\$ 6,387.41	
9	Capitola Trail: City Hall to Monterey Ave Oversight and technical assistance		\$ 446.79	\$ 336.65	
10	Santa Cruz County Regional Conservation Investment Strategy - Grant match		\$ 3,190.14	\$ 2,786.71	
11	Ongoing oversight, coordination, and assistance, including on development of future trail sections		\$ 418,967.98	\$ 18,089.36	
12	Corridor maintenance & encroachments	\$ -	\$ 532,090.75	\$ 108,701.57	Costs associated with encroachments for specific Segments shown in those lines on this report.
1-RCIS funded from several Measure D investment categories		\$ 1,100,000	\$ 2,107,306	\$ 302,612	

Measure D Annual Report Cover Sheet - Fiscal Year 2020/21

Investment Category:

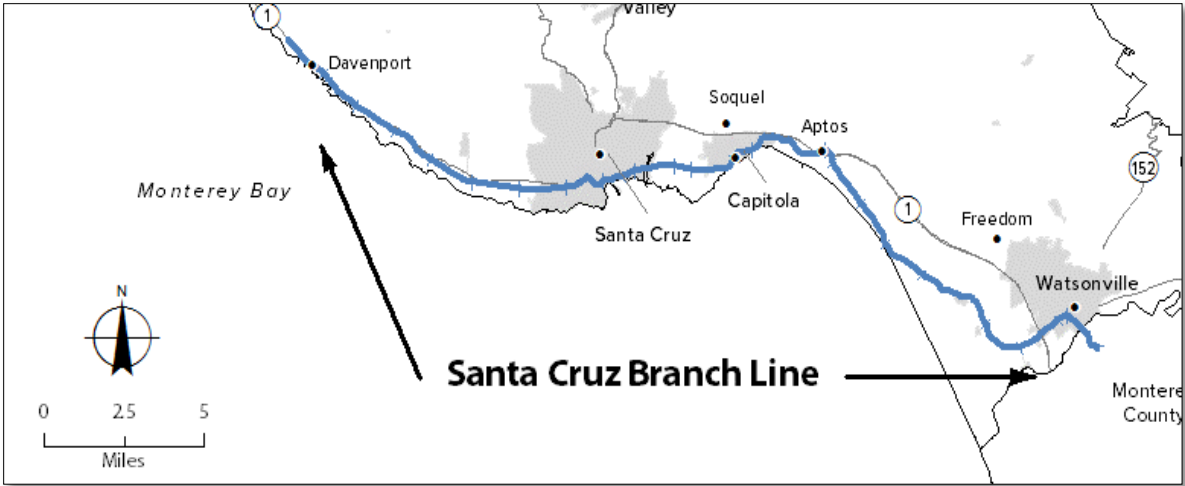
Rail (8%)

Lead: Santa Cruz County Regional Transportation Commission (SCCRTC)

Dear Measure D Taxpayer Oversight Committee: The SCCRTC is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for the Rail Corridor Measure D investment category.



The Measure D Expenditure Plan allocates 8% of revenues to the Rail Corridor investment category for infrastructure preservation and analysis of options, including environmental analysis of both transit and non-rail options for the corridor; rail line maintenance and repairs. The SCCRTC as owner of the Santa Cruz Branch Rail Line and a short-line operator are responsible for maintaining railroad infrastructure.

Measure D Financial Information		
FY20/21 Formula Allocation		\$1,919,753
Unspent Prior Year Allocations*		\$1,806,906
Interest earned on Unspent Prior Allocations		\$8,157
Total Measure D Funding Available in FY20/21*		\$4,592,656
Total Measure D Funds Spent in FY20/21		\$2,555,492
Total Measure D Rollover to FY21/22*		\$2,037,164
<i>*Includes FEMA reimbursement for some prior expenditures.</i>		
Maintenance of Effort		
FY20/21 LOCAL funds spent on transportation projects, operations, and/or services <i>(excludes grants & Measure D funds)</i>		NA
Maintenance of Effort Baseline <i>(average amount of local funds spent on RTC transportation projects in 3-5 fiscal years prior to 2017)</i>		NA
Measure D Compliance Documents		
Document		Attached
1. FY20/21 Annual Report Cover Sheet <i>(this document)</i>		x
2. FY20/21 Audited Financials for Measure D funds		x
3. FY20/21 Expenditure Report Spreadsheet: Shows what Measure D formula funds were spent on.		X
3a. Grouped projects supplemental information		
Location	Work done in FY20/21	Schedule for completion
Between Boardwalk and Capitola	Track improvements	Winter 2020
Various	Walkway repairs to 11 bridges	Winter 2020
Various	Erosion repairs at 5 locations	Spring 2020
MP 4.87	Emergency bridge repair	Winter 2021
Various	Preparation of construction documents for phase 1 bridge repairs	Fall 2021
N/A	New safety training program	Winter 2020

4. Map:	x
	
5. FY20/21 Performance Measures Report - only applies to direct recipients (cities, county and transit agencies)	NA
6. Maintenance of Effort Compliance Spreadsheet	NA
a. If FY20/21 Local funds expended were lower than Baseline amount, explain why: <u>NA.</u>	NA
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)? a. Date of most recent ICAP: <u>10/5/21</u> b. ICAP Rate: <u>125%</u>	Yes
8. 5-Year Program of Projects (5-Year Plan): List showing planned use Measure D formula funds in the next 5 years.	x
a. Evidence that the 5-year program of projects was approved through a public process. i. Public hearings at <u>RTC meetings</u>: <ul style="list-style-type: none"> 9/3/2020: <ul style="list-style-type: none"> <u>News Release: https://sccrtc.org/public-hearing-measure-d-five-year-plan-adoption/</u> Staff report: https://sccrtc.org/wp-content/uploads/2020/09/2020-09-03-%20RTC-agenda-packet.pdf 10/7/2021: https://sccrtc.org/notice-of-public-hearing-measure-d-five-year-plan-adoption/ ii. Advisory Committee Review of draft plans: August 2020 <ul style="list-style-type: none"> <u>Bicycle Committee</u> <u>Elderly and Disabled Transportation Advisory Committee (E&D TAC)</u> <u>Interagency Technical Advisory Committee (ITAC)</u> 	x
b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan	Date: 9/3/20 & 10/7/21
c. Date annual 5-year Plan approved by Governing Board – <i>*Interim amendments also made during RTC public meetings</i>	Date: 9/3/20 & 10/7/21*

9. Complete Streets Compliance <i>(Cities/County only)</i>	NA
10. Annual Report Narrative <i>(may be included in Management & Admin section of audit)</i>	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known.	x
<ul style="list-style-type: none"> 8% of Measure D net revenues are allocated to the Rail Corridor investment category for railroad infrastructure maintenance and repairs, analysis of transit and other uses of the rail line. In FY20/21-21/22 significant repairs are being made to the corridor using a combination of Measure D and FEMA funds. In FY19/20 and FY20/21, RTC received some reimbursement from FEMA for storm damage repairs which had been funded by Measure D in prior years, which is reflected in the carryover balance. The RTC completed the Transit Corridor Alternatives Analysis (TCAA) of long term potential transit uses and funding for the rail corridor identifying electric passenger rail as the preferred alternative. A draft Business Plan was prepared in FY20/21; however, approval of the Business Plan and direction for staff to seek funds for environmental review of electric passenger rail by the RTC board failed on a vote of 6-6. The SCBRL has 37 bridges with several requiring repair. Measure D funds were used to prepare construction documents for the first phase of bridge repairs including the Pajaro River bridge in Watsonville. Coastal Erosion Repairs – The SCBRL experiences coastal erosion near Manresa State Beach. The first phase of coastal erosion repair was initiated in FY20/21 and will begin construction in FY21/22. Future phases of coastal erosion repairs will be initiated in FY21/22. The Measure D 5-year plan and Strategic Implementation Plan (SIP) provides additional information on Measure D fund management and long term use of Measure D revenues. 	
b. Future Liabilities: <ul style="list-style-type: none"> Track infrastructure, signage, maintenance, bridge inspections, and repairs will be ongoing expenses. Those costs are anticipated to be funded primarily by Measure D. Potential future transit service and construction would be funded by other sources, as described in the Business Plan for the locally-preferred electric rail alternative identified in the TCAA. It is currently unknown how much of storm damage repairs will be reimbursed by FEMA. The total cost of repairs is estimated at approximately \$5 million. RTC is using Measure D funds to pay for damages upfront and will continue to request reimbursement from FEMA, but reimbursement is not guaranteed. 	
c. Compliance with Applicable Laws: <i>Confirm projects were implemented in compliance with applicable laws, regulations, requirements, standards of practice, guidelines, etc. which are applicable to publicly funded transportation projects are met and adhered to, including requirements of the California Environmental Quality Act (CEQA), state design and procurement requirements, etc.</i>	Yes
Public Outreach/Notification of Use of Measure D Funds	
11. Public Outreach/Process: During FY20/21 the RTC conducted outreach on Rail through:	x

<ul style="list-style-type: none"> • Fact sheets • Enews to people who signed up for project updates • Website updates • Social media updates rail projects • Public hearing on 5-year plan and TCAA • News releases • TCAA online open houses, live chat sessions and stakeholder meetings 	
12. Sample(s) of public outreach on Measure D-funded projects 7/1/20-6/30/21	
a. Websites with Measure D information <ul style="list-style-type: none"> • www.sccrtc.org/move • https://sccrtc.org/projects/multi-modal/transitcorridora/ • https://sccrtc.org/projects/rail/maintenance/ 	
b. News Articles/News Releases/eNews: <ul style="list-style-type: none"> • https://sccrtc.org/visit-the-online-open-house-for-the-transit-corridor-alternatives-analysis/ • https://sccrtc.org/draft-results-of-the-transit-corridor-alternatives-analysis-study-released/ • https://sccrtc.org/rtc-to-host-live-online-chat-sessions-for-transit-corridor-alternatives-analysis/ • https://sccrtc.org/public-hearing-to-be-held-for-transit-corridor-alternatives-analysis-final-draft-results/ • https://sccrtc.org/draft-business-plan-for-electric-passenger-rail-on-the-santa-cruz-branch-rail-line-released/ • https://goodtimes.sc/santa-cruz-news/transportation-commission-narrowing-options-rail-corridor/ • https://www.santacruzsentinel.com/2020/06/04/santa-cruz-county-agency-makes-short-list-for-rail-trail-transit-options/ • https://goodtimes.sc/santa-cruz-news/rtc-says-yes-train-buses-no-trail-pod-cars/ • https://goodtimes.sc/santa-cruz-news/alternatives-analysis-plan-supports-santa-cruz-rail/?fbclid=IwAR1SfonJq7g47dXw7SfqWWI3z7BfoeTkAWVm7Seicmiw7EtNdM8zAXVv4vE • https://www.santacruzsentinel.com/2021/01/12/last-chance-to-submit-public-comment-approaching-before-scc-rail-trail-public-hearing/ • https://www.santacruzsentinel.com/2021/01/14/after-final-draft-of-rail-trail-project-study-causes-a-greater-divide/ • https://lookout.co/santacruz/civic-life/story/2021-01-14/rail-trail-hearing-offers-window-into-opposition-support-from-santa-cruz-county-leaders • https://santacruzlocal.org/2021/01/14/train-proposal-heads-to-february-vote-in-santa-cruz-county/ • https://lookout.co/santacruz/civic-life/story/2021-02-04/rail-trail-project-takes-next-step-as-transportation-commission-sides-with-rail-as-future-transit-option • https://lookout.co/santacruz/civic-life/story/2021-02-04/rail-trail-decision-day-pivotal-vote-will-either-cement-or-derail-passenger-rails-future-on-coastal- 	

<p>line?fbclid=IwAR13JmreJ_u6uxXz5H7OPWU5X0C8yx79Ji_rhnzpsKHo7vzPaiYS-hoo8B8</p> <ul style="list-style-type: none"> • https://goodtimes.sc/santa-cruz-news/regional-transportation-commission-vote-advance-rail-trail/ • https://www.santacruzsentinel.com/2021/02/04/rtc-accepts-rail-and-trail-as-locally-preferred-alternative-in-9-3-vote/ • https://santacruzlocal.org/2021/02/04/train-options-push-ahead-for-santa-cruz-county-rail-corridor/ • https://goodtimes.sc/santa-cruz-news/split-regional-transportation-commission-vote-derails-rail-trail-plans/ • https://santacruzlocal.org/2021/04/02/santa-cruz-county-transportation-leaders-deadlock-on-passenger-rail-plan/ 	
<p>c. Signage: The Measure D logo, informing the community of projects funded by Measure D, was included on project fact sheets, the RTC website, and will be required to be displayed at construction sites. Note that for ongoing maintenance, signage is not typically posted.</p>	X
<p>13. Photos: Photos of some of the work done in FY20/21.</p> <p>TCAA Open House</p> <div style="display: flex; justify-content: space-around;">   </div>	
<p>14. Fact Sheets on larger projects: Attached</p>	x

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Email
Tracy New	Director of Budget and Finance	tnew@sccrtc.org
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Sarah Christensen	Sr. Engineer and Rail Program	schristensen@sccrtc.org
Riley Gerbrandt	Associate Engineer	rgerbrandt@sccrtc.org
Luis Mendez	Deputy Director and Rail Program	lmendez@sccrtc.org

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MEASURE D EXPENDITURE REPORT

Reporting Year

FY20/21

Recipient Agency/Investment Category

SCCRTC- Rail

Project Description/Location					
#	Project Name	Project Description (may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)	Location/Limits (if grouped project, list each location/limit)	Work Done in FY20/21	Construction or Implementation schedule
1	2017 Storm Damage Repair & Cleanup	Repair and cleanup of damage resulting from the 2017 winter storms including one washout, minor slides and various downed or compromised trees	PM5.0-5.1, Harkins Slough area (Sites 1 & 2); Sumner Ave (Site 3), near Harriet Ave, Aptos (Site 4), near Three Mile Beach (Site 6)	Project management	Spring 2020-2022
1b	2017 Storm Damage Repair & Cleanup			Design and construction	Spring 2020-2022
2	Railroad Bridge Inspections & Analysis	Railroad Bridges are required to be inspected and load rated every 540 days per Federal Railroad Administration	Bridges along Santa Cruz Branch Rail Line	Inspections	Ongoing
3	Railroad Bridge Rehabilitation	Rehabilitation of railroad bridges consistent with inspections and analyses, including Pajaro River Bridge	5 bridges along the corridor, including MP 4.87	Design and construction	Ongoing
4a	Track infrastructure, signage, maintenance and repairs	On-going maintenance, repair and rehabilitation of railroad track infrastructure and signage	Throughout rail corridor	General maintenance and repairs	Ongoing
4b	Track infrastructure, signage, maintenance and repairs	Services & Supplies		General maintenance and repairs	Ongoing
6	Santa Cruz County Regional Conservation Investment Strategy (RCIS)*	Match to Wildlife Conservation Board grant for early mitigation planning for transportation projects.	Countywide	Study development	Ongoing
7	Transit Corridors Alternatives Analysis (TCAA)	Analysis of high-capacity transit uses on rail corridor.	Santa Cruz Branch Rail Line: Santa Cruz to Pajaro	Public outreach, report, business plan and analysis completed	2019-Spring 2021
					Total

*RCIS also funded by Highway and Trail Categories

MEASURE D EXPENDITURE REPORT

			Prior Year Measure D Expenditures (if applicable)					Leveraged Funds, if any	
#	Project Name	FY20/21 Measure D Expenditures	FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures	FY19/20 Measure D Expenditures	Measure D TOTAL SPENT <i>through reporting year</i>	(Est) Future Year(s) Measure D Expenditures	Leveraged Fund Amounts <i>(grants & other funds where Meas. D used as match)</i>	Leveraged Fund Source(s) Name(s)
1	2017 Storm Damage Repair & Cleanup	\$ 101,017.38	\$ 36,337	\$ 143,792	\$ 364,430	\$ 645,576	TBD	TBD	FEMA
1b	2017 Storm Damage Repair & Cleanup	\$ 960,688.78					\$ -		
2	Railroad Bridge Inspections & Analysis	\$ 149,728.88	\$ 104,766	\$ 314,974	\$ 16,242	\$ 585,710	\$ 3,716,721.01	TBD	RR operator
3	Railroad Bridge Rehabilitation	\$ 285,102.01			\$ 562,323	\$ 847,425	\$ 318,810.70	\$600,000	RSTPX
4a	Track infrastructure, signage, maintenance and repairs	\$ 541,572.12		\$ 347,290.72	\$ 585,418.04	\$ 1,474,280.88	\$ 5,950,721.12	TBD	RR operator
4b	Track infrastructure, signage, maintenance and repairs	\$ 117,981.98							
6	Santa Cruz County Regional Conservation Investment Strategy (RCIS)*	\$ 1,910.21							\$ 4,353.44
7	Transit Corridors Alternatives Analysis (TCAA)	\$ 397,490.56		\$ -	\$ 622,395.81	\$ 1,019,886.37	\$ -	\$100,000	CT planning grant
*RCIS also funded by Highway and Trail Categories		\$ 2,555,491.92							

MEASURE D EXPENDITURE REPORT

#	Project Name	Total Project Cost	Project in approved 5-year plan?	CEQA compliance (List type of doc & certification date - e.x. CE, 5/1/18)	Completion Date (actual or estimate)	Measure D use			Other
						FY20/21 Measure D-funded Capital Costs	FY20/21 Measure D-funded Non-capital (non-infrastructure, outreach, admin)	FY20/21 Measure D-funded Indirect costs (and overhead)	Other Notes or Information (optional)
1	2017 Storm Damage Repair & Cleanup	\$5M	Yes	CE	est. 2022		\$ 52,888.68	\$ 48,128.70	RTC staff time
1b	2017 Storm Damage Repair & Cleanup		Yes	CE		\$ 960,688.78			RTC seeking reimbursement from FEMA
2	Railroad Bridge Inspections & Analysis	varies/ ongoing	Yes	CE	Ongoing		\$ 78,392.09	\$ 71,336.79	
3	Railroad Bridge Rehabilitation	\$1.1M	Yes	CE	7/14/1905	\$ 8,260.00	\$ 276,842.01		
4a	Track infrastructure, signage, maintenance and repairs	varies/ ongoing	Yes	na	Ongoing	\$ 541,572.12			
4b	Track infrastructure, signage, maintenance and repairs		Yes	na	Ongoing		\$ 117,981.98		includes \$40k reimbursement Swenson/Parade St spent in prior years
6	Santa Cruz County Regional Conservation Investment Strategy (RCIS)*	\$720,000	Yes	na	Summer 2022		\$ 1,000.11	\$ 910.10	
7	Transit Corridors Alternatives Analysis (TCAA)	\$1,033,000	Yes	na	3/21		\$ 302,701.62	\$ 94,788.94	

*RCIS also funded by Highway and Trail Categories

Measure D Annual Report Cover Sheet - Fiscal Year 2020/21
Investment Category:
San Lorenzo Valley (SLV)/Highway 9 Corridor
Lead Agencies: RTC, Caltrans, County of Santa Cruz

Dear Measure D Taxpayer Oversight Committee: The Santa Cruz County Regional Transportation Commission (RTC) is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for San Lorenzo Valley/Hwy 9 Corridor Improvements.

As noted in the Measure D Expenditure Plan, the Neighborhood Projects investment category includes **\$10 million in Measure revenues over 30 years for transportation projects improving travel for residents of San Lorenzo Valley.**

Measure D Financial Information	
FY20/21 Formula Allocation	\$333,333
Unspent Prior Year Allocations	\$1,024,675
Interest earned in FY20/21 (including on Unspent Prior Allocations)	\$7,402
Total Measure D Funding Available in <u>FY20/21</u>	\$1,365,410
Total Measure D Funds Spent in <u>FY20/21</u>	\$66,125
Total Measure D Rollover to <u>FY21/22</u>	\$1,299,285
Maintenance of Effort	
FY20/21 LOCAL funds spent on transportation projects, operations, and/or services <i>(excludes grants & Measure D funds)</i>	NA
Maintenance of Effort Baseline <i>(average amount of <u>local</u> funds spent on transportation projects in 3-5 fiscal years prior to 2017)</i>	NA
Measure D Compliance Documents	
Document	Attached (check)
1. FY20/21 Annual Report Cover Sheet <i>(this document)</i>	x
2. FY20/21 Audited Financials for Measure D funds	X – For all regional projects
3. FY20/21 Expenditure Report Spreadsheet <i>(shows what Measure D formula funds were spent on)</i>	x
3a. Grouped projects supplemental information <i>(specific locations, summary of work done, and schedule)</i> <ul style="list-style-type: none"> Caltrans Complete Street Project Initiation Document covers Highway 9 from Felton to Boulder Creek, divided into six independent projects meeting environmental requirements of independent utility and logical termini SLV/SR9 Corridor Tech Assistance focused on planning for SLV Schools Complex in Felton 	Hwy 9 – Felton-Boulder Creek. See Expenditure Report for additional information
4. Map: See Hwy 9/SLV Complete Streets Plan for maps www.sccrtc.org/slv	See Hwy 9/SLV Corridor Plan
5. FY20/21 Performance Measures Report - <i>only applies to direct recipients (cities, county and transit agencies)</i>	NA
6. Maintenance of Effort Compliance Spreadsheet:	NA

a. If FY20/21 Local funds expended were lower than Baseline amount, explain why: NA	NA
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)? a. Date of most recent ICAP: <u>10/5/21</u> b. ICAP Rate: <u>125%</u>	Yes
8. 5-Year Program of Projects (5-Year Plan): <i>List showing planned use Measure D formula funds in the next 5 years.</i>	x
a. Evidence that the 5-year program of projects was approved through a public process. i. Public hearings at <u>RTC meetings</u>: <ul style="list-style-type: none"> 9/3/2020: <ul style="list-style-type: none"> <u>News Release: https://sccrtc.org/public-hearing-measure-d-five-year-plan-adoption/</u> Staff report: https://sccrtc.org/wp-content/uploads/2020/09/2020-09-03-%20RTC-agenda-packet.pdf 10/7/2021: https://sccrtc.org/notice-of-public-hearing-measure-d-five-year-plan-adoption/ ii. Advisory Committee Review of draft plans: August 2020 <ul style="list-style-type: none"> <u>Bicycle Committee</u> <u>Elderly and Disabled Transportation Advisory Committee (E&D TAC)</u> <u>Interagency Technical Advisory Committee (ITAC)</u> 	X See RTC website for staff reports
b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan	Date: 9/3/20 & 10/7/21
c. Date annual 5-year Plan approved by Governing Board	Date: 9/3/20 & 10/7/21
9. Complete Streets Compliance <i>(Cities/County only)</i>	NA
10. Annual Report Narrative <i>(may be included in Management & Admin section of audit)</i>	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known. <ul style="list-style-type: none"> \$10 million of Measure D revenues over the 30 year measure are designated for projects in San Lorenzo Valley (SLV). During the first years of Measure D, a major community-based plan was developed to prioritize improvements in the corridor. In FY20/21, Measure D funds were used to fund a Caltrans "Project Initiation Document", which is required for implementation of projects on the state highway system. Balances will be used to leverage state funds and grants to implement community-identified priorities. 	x
b. Future Liabilities: Since only 1/30 th of the \$10 million in Measure D funds designated for this project are allocated each year (approx. \$333k/year over 30 years) and the Complete Streets Corridor Plan identified over \$100 million in priorities, the RTC, County of Santa Cruz and Caltrans will utilize Measure D funds to leverage other	

funds. This includes looking at opportunities to integrate bike and pedestrian facilities into Caltrans SHOPP and County of Santa Cruz maintenance, operational and safety projects.	
c. Compliance with Applicable Laws: <i>Confirm projects were implemented in compliance with applicable laws, regulations, requirements, standards of practice, guidelines, etc.</i>	Yes – projects will be implemented following Caltrans, state, and local regulations
Public Outreach/Notification of Use of Measure D Funds	
11. Public Outreach/Process: Caltrans and the SCCRTC conducted several meetings with stakeholders, including SLV schools during FY20/21. <ul style="list-style-type: none"> December 16, 2020: Caltrans-led public information meeting via Zoom on the 05-1M400 Safety PID April 28, 2021: RTC-led public meeting via Zoom on the 05-1K890 CAPM PID and the 05-1M550 CS PID 	X
12. Sample(s) of public outreach on Measure D-funded projects 7/1/20-6/30/21	
a. Websites with Measure D information <ul style="list-style-type: none"> www.sccrtc.org/move https://sccrtc.org/funding-planning/measured/regional-projects/ www.sccrtc.org/slv 	x
b. News Articles and News Releases: <ul style="list-style-type: none"> https://sccrtc.org/virtual-public-meeting-to-provide-update-on-highway-9-projects-on-dec-16/ https://sccrtc.org/virtual-public-meeting-on-highway-9-projects-update/ 	x
c. Signage: The Measure D logo informing the community of projects funded by Measure D, was included on project fact sheet and the RTC website.	X
13. Photos: NA – no capital projects funded by Measure D were implemented in FY20/21	
14. Fact Sheets: Attached	x

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Email
Tracy New	Director of Budget and Finance	tnew@sccrtc.org
Shannon Munz	Communications Specialist	smunz@sccrtc.org
Brianna Goodman	Transportation Planner	bgoodman@sccrtc.org

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MEASURE D EXPENDITURE REPORT

Reporting Year **FY20/21**

Recipient Agency/Investment Category **SCCRTC- SR9/SLV Corridor**

Project Description/Location					
#	Project Name	Project Description (may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)	Location/Limits (if grouped project, list each location/limit)	Work Done in FY20/21	Construction or Implementation schedule
1	Preliminary scope and engineering documents for near term projects	Develop engineers estimates, prelim. designs, initial screening and implementation documents needed to secure funds for priority projects; may include engineering needed to integrate complete streets components into SHOPP and local projects. Includes \$150k to Caltrans for complete streets Project Initiation Document (PID) for the corridor.	SLV Plan Corridor - South of Felton to north of Boulder Creek	Continued work with Caltrans on inclusion of Highway 9 complete streets components in existing projects, incorporation into both existing Caltrans funded and Measure D funded PID documents for potential improvements in the San Lorenzo Valley schools area, Felton, and the rest of the SLV Highway 9 corridor.	PID to be completed in 2022
2	SLV/SR9 Corridor technical assistance, oversight, and community outreach	Includes legal, engineering review, grant preparation, funding agreements, RTC staff coordination with Caltrans, County, schools, and other stakeholders, public outreach, other other planning activities.	SLV Plan Corridor - South of Felton to north of Boulder Creek	Evaluated and shared information on local road and highway projects with the community, project sponsors and state entities, including funding shortfalls and opportunities, as they relate to local, state and federal transportation funding proposals.	Ongoing

MEASURE D EXPENDITURE REPORT

#	Project Name	Prior Year Measure D Expenditures			Leveraged Funds, if any		Total Project Cost	Project in approved 5-year plan?	CEQA compliance (List type of doc & certification date - e.x. CE, 5/1/18)	Completion Date (actual or estimate)
		FY20/21 Measure D Expenditures	FY19/20 Measure D Expenditures	Measure D TOTAL SPENT through reporting year	Leveraged Fund Amounts (grants & other funds where Meas. D used as match)	Leveraged Fund Source(s) Name(s)				
1	Preliminary scope and engineering documents for near term projects	\$ 56,747.88	\$ 25,152.04	\$ 81,899.92	TBD	SHOPP	TBD	yes	NA	Est Spring 2022
2	SLV/SR9 Corridor technical assistance, oversight, and community outreach	\$ 9,377.00	\$ 12,363.68	\$ 21,740.68	TBD	TBD	TBD	yes	NA	ongoing

MEASURE D EXPENDITURE REPORT

#	Project Name	Measure D use			Other
		FY20/21 Measure D- funded Capital Costs	FY20/21 Measure D- funded Non-capital <i>(non-infrastructure, outreach, admin)</i>	FY20/21 Measure D- funded Indirect costs <i>(and overhead)</i>	Other Notes or Information <i>(optional)</i>
1	Preliminary scope and engineering documents for near term projects	\$ 56,747.88			Payment to Caltrans
2	SLV/SR9 Corridor technical assistance, oversight, and community outreach		\$ 9,377.00		Staff time

Measure D Annual Report Cover Sheet - Fiscal Year 2020/21

Investment Category:

Highway 17 Wildlife Crossing

Lead Agency: Caltrans

Dear Measure D Taxpayer Oversight Committee: The SCCRTC is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for the Highway 17 Wildlife Crossing.

As noted in the Measure D Expenditure Plan, the Neighborhood Projects investment category includes **\$5 million in Measure revenues for construction of a safe passage for wildlife to cross under Highway 17**. The RTC has entered into a cooperative agreement with the California Department of Transportation (Caltrans) which is implementing the project.

Measure D Financial Information	
FY20/21 Formula Allocation	\$166,667
Unspent Prior Year Allocations	\$554,692
Interest earned in FY20/21 on Unspent Prior Allocations	\$4,005
Total Measure D Funding Available in <u>FY20/21</u>	\$725,364
Total Measure D Funds Spent in <u>FY20/21</u>	\$2,878
Total Measure D Rollover to <u>FY21/22</u>	\$722,486
Maintenance of Effort	
FY20/21 LOCAL funds spent on transportation projects, operations, and/or services <i>(excludes grants & Measure D funds)</i>	NA
Maintenance of Effort Baseline <i>(average amount of local funds spent on transportation projects in 3-5 fiscal years prior to 2017)</i>	NA
Measure D Compliance Documents	
Document	Attached
1. FY20/21 Annual Report Cover Sheet <i>(this document)</i>	x
2. FY20/21 Audited Financials for Measure D funds	X – For all regional projects
3. FY20/21 Expenditure Report Spreadsheet <i>(shows what Measure D formula funds were spent on)</i>	x
3a. Grouped projects supplemental information	NA
4. Map	See fact sheet
5. FY20/21 Performance Measures Report - <i>only applies to direct recipients (cities, county and transit agencies)</i>	NA
6. Maintenance of Effort Compliance Spreadsheet: <i>List of local funds spent on transportation projects through FY20/21 as compared to the 3-5 years prior to FY16/17.</i>	NA
a. If FY20/21 Local funds expended were lower than Baseline amount, explain why: NA.	NA
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)? a. Date of most recent ICAP: <u>10/5/21</u> b. ICAP Rate: <u>125%</u>	Yes

8. 5-Year Program of Projects (5-Year Plan): <i>List showing planned use Measure D formula funds in the next 5 years.</i>	x
a. Evidence that the 5-year program of projects was approved through a public process. i. Public hearings at RTC meetings: <ul style="list-style-type: none"> 9/3/2020: <ul style="list-style-type: none"> News Release: https://sccrtc.org/public-hearing-measure-d-five-year-plan-adoption/ Staff report: https://sccrtc.org/wp-content/uploads/2020/09/2020-09-03-%20RTC-agenda-packet.pdf 10/7/2021: https://sccrtc.org/notice-of-public-hearing-measure-d-five-year-plan-adoption/ ii. Advisory Committee Review of draft plans: August 2020 <ul style="list-style-type: none"> Bicycle Committee Elderly and Disabled Transportation Advisory Committee (E&D TAC) Interagency Technical Advisory Committee (ITAC) 	X See RTC website for staff reports
b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan	Date: 9/3/20 & 10/7/21
c. Date annual 5-year Plan approved by Governing Board	Date: 9/3/20 & 10/7/21
9. Complete Streets Compliance (<i>Cities/County only</i>)	NA
10. Annual Report Narrative (<i>may be included in Management & Admin section of audit</i>)	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known. <ul style="list-style-type: none"> \$5 million of Measure D revenues over the 30 year measure are designated for this project. Prior year balances will be used during construction of the project in FY21/22. The project completed design in FY20/21, a construction contract was awarded in September 2021 and construction started in February 2022. Measure D funds have been used to leverage over \$4 million State Highway Operation and Protection Program (SHOPP) funds from the California Transportation Commission (CTC) for environmental review, design, right-of-way, and construction support; as well as \$3 million from the Land Trust of Santa Cruz County for construction. 	x
b. Future Liabilities: Since only 1/30 th of the \$5 million in Measure D funds designated for this project are allocated each year (approx. \$166k/year over 30 years), the RTC needs to borrow funds in order to expedite construction of this project. In order to reduce financing costs, the RTC approved an inter-program loan from the Highway Corridors investment category, to be repaid with future allocations to the Wildlife Crossing. This is consistent with the Measure D Ordinance, 5-year plan and Strategic Implementation Plan .	
c. Compliance with Applicable Laws: <i>Confirm projects were implemented in compliance with applicable laws, regulations, requirements, standards of practice, guidelines, etc. which are</i>	Yes, environmental documents and

<i>applicable to publicly funded transportation projects are met and adhered to, including requirements of the California Environmental Quality Act (CEQA), state design and procurement requirements, etc.</i>	design are compliant with state & federal regulations.
Public Outreach/Notification of Use of Measure D Funds	
11. Public Outreach/Process: During FY20/21 the RTC conducted outreach on 5-year plan, however major public outreach on the Wildlife Crossing is planned for when the project is nearing construction. Fact sheets and other information on the project are available on the RTC and Caltrans websites.	x
12. Sample(s) of public outreach on Measure D-funded projects 7/1/20-6/30/21	
a. Websites with Measure D information <ul style="list-style-type: none"> • www.sccrtc.org/move • https://sccrtc.org/funding-planning/measured/regional-projects/ • https://sccrtc.org/wp-content/uploads/factsheets/Hwy17WildlifeCrossing_Fact_Sheet.pdf 	x
b. News Articles and News Releases: <ul style="list-style-type: none"> • https://www.landtrustsantacruz.org/something-to-celebrate/ • https://www.landtrustsantacruz.org/wp/wp-content/uploads/2021/10/LTSCC-SB-790-press-release.pdf • https://www.landtrustsantacruz.org/wildlife-crossing-construction/ 	x
c. Signage: The Measure D logo informing the community of projects funded by Measure D, was included on project fact sheet and the RTC website. When construction begins in FY21/22, Measure D signage will be posted at the construction site.	x
13. Photos: Construction started in FY21/22 and will be included next year	NA
14. Fact Sheet: Attached	x

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Email
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Shannon Munz	Communications Specialist	smunz@sccrtc.org

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MEASURE D EXPENDITURE REPORT

Reporting Year **FY20/21**

Recipient Agency/Investment Category **SCCRTC- Highway 17 Wildlife Crossing**

Project Description/Location						
#	Project Name	Project Description <i>(may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)</i>	Location/Limits (if grouped project, list each location/limit)	Work Done in FY20/21	Construction or Implementation schedule	FY20/21 Measure D Expenditures
##	Highway 17 Wildlife Crossing near Laurel Curve: Oversight and public outreach	RTC costs associated with oversight, agreements, financing, coordination, and public engagement.	Highway 17 near Laurel Curve	Cooperative agreements, public outreach, review of designs	Feb 2022-Nov 2023	\$ 2,876.74

MEASURE D EXPENDITURE REPORT

Leveraged Funds, if any										
#	Project Name	FY19/20 Measure D Expenditures	Measure D TOTAL SPENT <i>through reporting year</i>	(Est) Future Year(s) Measure D Expenditures	Leveraged Fund Amounts <i>(grants & other funds where Meas. D used as match)</i>	Leveraged Fund Source(s) Name(s)	Total Project Cost	Project in approved 5- year plan?	CEQA compliance <i>(List type of doc & certification date - e.x. CE, 5/1/18)</i>	Completion Date <i>(actual or estimate)</i>
##	Highway 17 Wildlife Crossing near Laurel Curve: Oversight and public outreach	\$ 1,805.75	\$ 4,682.49	\$ 34,682.49	\$ 7,377,000.00	Land Trust/SHOPP	\$12 M	Yes	CE-4/30/18	Est. 11/22

MEASURE D EXPENDITURE REPORT

#	Project Name	Measure D use			Other
		FY20/21 Measure D- funded Capital Costs	FY20/21 Measure D- funded Non-capital <i>(non-infrastructure, outreach, admin)</i>	FY20/21 Measure D- funded Indirect costs <i>(and overhead)</i>	Other Notes or Information <i>(optional)</i>
##	Highway 17 Wildlife Crossing near Laurel Curve: Oversight and public outreach		\$ 2,876.74		