

Measure D Recipient Agency: City of Scotts Valley

Measure D Annual Report Cover Sheet

Fiscal Year 2021/22

Submit documents to rmoriconi@sccrtc.org by December 31, 2022.

Dear Measure D Taxpayer Oversight Committee: The City of Scotts Valley is pleased to present the following information demonstrating compliance with the voter-approved Measure D Ordinance, Strategic Implementation Plan (SIP), agreements and guidelines. Collectively they make up the Measure D annual report for recipient agencies.

Measure D Financial Information	
FY21/22 Formula Allocations	\$308,000
Unspent Prior Year Allocations	(\$76,994)
Interest earned in FY21/22 (including on Unspent Prior Allocations)	\$-
Total Measure D Funding Available in FY21/22	\$233,006
Total Measure D Funds Spent in FY21/22	\$66,831
Total Measure D Rollover to FY22/23	\$164,175
Maintenance of Effort	
FY21/22 LOCAL funds spent on transportation projects, operations, and/or services <i>(exclude grants and formula state & fed funds - HUTA, TDA, SB1, STA, etc.)</i>	\$1,143,800
Maintenance of Effort Baseline <i>(average amount of local funds spent on transportation projects in 3-5 fiscal years prior to 2017)</i>	\$365,967
Measure D Compliance Documents	
Document	Attached (check)
1. FY21/22 Annual Report Cover Sheet <i>(this document)</i>	X
2. FY21/22 Audited Financials for Measure D funds <i>(see "Measure D Audit Guidance" for additional information)</i>	Est. completed mid Jan.
3. FY21/22 Expenditure Report Spreadsheet: Shows what Measure D formula funds (direct allocations) were spent on. <i>*Report use of regional funds (Trail, Highway Corridors, Hwy 9, Rail) separate from formula funds.</i>	X
a. If applicable –Grouped projects supplemental information: For any grouped projects (e.g. citywide roadway repairs), include (below or attached) a supplemental list of specific locations (road names, project limits, and length or routes/areas served), work done at each (e.g. environmental, design, construction), and schedule for completing construction at each.	
b. Leveraged Funds: Were Measure D funds used as grant match or otherwise used to	N/A.



leverage other funds in FY21/22? In addition to showing info on the "Expenditure Report Spreadsheet", summarize below:			
Project	Leveraged Funds (\$)	Source (e.g. grant name)	
	\$		
	\$		
Total Leveraged funds	\$		
4. Map: Attach or provide web link http://scottsvally.org/279/Plans-Projects			Hyperlink provided.
5. FY21/22 Performance Measures Report <i>(Measure D Guidelines Attachment B)</i>			X
6. Maintenance of Effort Compliance Spreadsheet: List of local funds spent on transportation projects through FY21/22 as compared to the 3-5 years prior to FY16/17.			X
a. If FY21/22 Local funds expended were lower than Baseline amount, explain why:			N/A
7. Indirect cost allocation plan: Does your agency have an indirect cost allocation plan (ICAP)? <i>(If "no," Measure D cannot be used for indirect costs)</i> a. Date of most recent ICAP: June 20, 2018 b. ICAP Rate: 0%			Yes, however, Measure D fund not being utilized for indirect costs.
8. 5-Year Program of Projects (5-Year Plan): <i>Attach list showing planned use Measure D formula funds in the next 5 years.</i>			X
a. Evidence that the 5-year program of projects and subsequent decisions programming & budgeting Measure D funds was approved through a public process. i. Public hearing notice ii. Meeting agendas/staff reports iii. Other meetings where use of Measure D funds discussed			X
b. Date of 5-Year Plan Public Hearing soliciting input on 5-Year Plan <i>(per Article III.A.4.)</i>			Date: May 4, 2022
c. Date annual 5-year Plan approved by Governing Board			Date: May 4, 2022
9. Complete Streets Compliance <i>(Cities/County only):-</i> http://scottsvally.org/DocumentCenter/View/2800/SV-Active-Transportation-Plan			Hyperlink provided.

10. Annual Report Narrative <i>(may be included in Management & Admin section of audit)</i>	
a. Fund Balances, Carryover Projects, and Description of planned, longer-term and future uses of Measure D revenues, if known.	X
b. Future Liabilities: Describe possible liabilities, including information on how future costs for projects not yet completed are anticipated to be funded.	X
c. Compliance with Applicable Laws: Describe how projects were implemented in compliance with applicable laws, regulations, requirements, standards of practice, guidelines, etc. which are applicable to publicly funded transportation projects are met and adhered to, including requirements of the California Environmental Quality Act (CEQA), state design and procurement requirements, etc.	X
Public Outreach/Notification of Use of Measure D Funds	
11. Public Outreach/Process: Describe outreach conducted during the reporting year to inform Santa Cruz County taxpayers as to how your agency is using or plans to use Measure D funds. <i>(per Article III.A.3.).</i>	Report out of activities at RTC ITAC Meetings, Public Hearing was conducted on May 4, 2022 on future Measure D projects
12. Sample(s) of public outreach on Measure D-funded projects 7/1/19-6/30/20	X
a. Website with Measure D information (attach PDF): Web address: http://scottsvalley.org/279/Plans-Projects <i>(per Article III.A.7.)</i>	X
b. News Article: Include a copy of or weblink to at least one news article or press release about Measure D-funded projects in the past fiscal year. News release should inform public that project is funded by Measure D. <i>(per Article III.A.8.)</i>	X
c. Signage: Did you display the Measure D logo on signs at construction sites, on vehicles, and/or public education materials/websites? Attach photos if available. See "Measure D: Sign Specifications" for additional signage guidance. <i>(per Article III.A.6.)</i>	N/A

13. Photos: Attach before/after and construction photos if available of projects/work done in FY21/22.	N/A
14. Fact Sheets on larger projects: Attach more detailed fact sheet on large projects (includes public information such as project name, description of work to be done, location, project benefits/purpose, schedule, graphics/maps, complete streets components/consistency, total cost & funding, including info on if Measure D was used to leverage other grants/funds).	N/A

Contacts

Designated staff available to report on or answer any and all inquiries in regard to Recipient Agency's audit, projects and expenditures, and/or compliance with Measure D before the RTC and/or the Measure D Taxpayer Oversight Committee or RTC advisory committees, as applicable.

Name	Title	Phone/email
Chris Lamm	Public Works Director	831-783-5662 clamm@scottsvally.gov
Selina Andrews	Finance Manager	sandrews@scottsvally.gov
Sara South	Administrative Analyst	ssouth@scottsvally.gov

MEASURE D EXPENDITURE REPORT - Direct Allocations

Reporting Year **FY21/22**
 Recipient Agency **City of Scotts Valley**

		Project Description/Location				Prior Year Measure D Expenditures (if applicable)									Leveraged Funds, if any		Cons
#	Project Name	Project Description <i>(may include additional project components, ex. complete streets, traffic calming, crossing improvements, drainage upgrades, etc.)</i>	Location/Limits (if grouped project, list each location/limit)	Work Done in FY21/22	Construction or Implementation schedule	FY21/22 Measure D Expenditures	FY16/17 Measure D Expenditures on this project	FY17/18 Measure D Expenditures	FY18/19 Measure D Expenditures	FY19/20 Measure D Expenditures	Measure D TOTAL SPENT through reporting year	(Est) Future Year(s) Measure D Expenditures	Leveraged Fund Amounts (grants & other funds where Meas. D used as match)	Leveraged Fund Source(s) Name(s)	Total Project Cost	Project in approved 5-year plan? (yes/no)	
	Sample Project X - phase	Doing stuff	X Lane, from Street Y to Z Blvd.	started construction, public outreach	2/1/20-4/1/22	\$ 200,000	\$ -	\$ -	\$ 50,000	\$ 100,000	\$ 350,000	\$ 100,000	\$ 100,000	ATP-\$50k; Local-\$50k	\$ 500,000	Yes	
1	Bean Creek Road Pavement Rehabilitation - Phase 1	Roadway and Bicycle Improvements	Bean Creek Road from Bluebonnet Lane to Redwood Drive	Design	1/1/22 - 6/30/22	\$ 44,644.70	\$ -	\$ -			\$ 44,644.70	\$ 313,309.00	\$ -	na	\$ -	Yes	
2	Citywide roadway repairs	Miscellaneous roadway repairs throught the city	Citywide	Asphalt repairs	7/1/21 - 9/1/21	\$ 22,186.12	\$ -	\$ -	\$ -	\$ -	\$ 22,186.12	\$ -	\$ -	na	\$ -	No	
3											\$ -						
4											\$ -						
5											\$ -						
6											\$ -						
7											\$ -						
8											\$ -						
9											\$ -						
10	Audit and Expenditure Report Prep										\$ -						
					Total	\$ 66,831	\$ -	\$ -	\$ -	\$ -	\$ 66,831	\$ 313,309	\$ -				

	FY 21/22 Measure D Revenues	FY 16/17 Measure D Revenues <i>(4/1/17-6/30/17)</i>	FY 17/18 Measure D Revenues	FY 18/19 Measure D Revenues	FY 19/20 Measure D Revenues	Measure D Expended	Fund Balance/ Carryover to Future Years
Allocation						\$ 66,830.82	(66,831)
Interest							

MEASURE D EXPENDITURE REPORT

		stency with 5-year plan			Stats - if applicable					Measure D use			Other
#	Project Name	If not in 5-year plan or different amount or scope, why different?	CEQA compliance (List type of doc & certification date - ex. CE, 5/1/18)	Project Completion Date (actual or estimate)	New Bike Lane Miles	New Ped Path or Sidewalk Miles	Miles of pavement rehab/repair (pothole, seals, etc)	Rehab of bikeways, sidewalks, or ADA ramps included? (describe)	If Complete Streets not incorporated into project, why not?	FY21/22 Measure D-funded Capital Costs	FY21/22 Measure D-funded Non-capital (non-infrastructure, outreach, admin)	FY21/22 Measure D-funded Indirect costs (and overhead)	Other Notes or Information (optional)
	Sample Project X - phase	Extra \$50k because of higher bids. Shifted from project x, to be funded with other funds or on hold, etc.	FEIR, 5/1/18	est. 4/1/2022	0.75	0.25	0.75	Yes- upgraded ADA, rehab of bike lanes	new crosswalk, fill gap in sidewalk	\$ 150,000	\$ 50,000	\$ 15,000	Eg. Extensive public outreach was done on this project.
1	Bean Creek Road Pavement Rehabilitation - Phase 1		exemption, 15301	9/30/2023	0	0.1	0.5	\$ -		\$ 44,644.70	\$ -	\$ -	
2	Citywide roadway repairs	Necessary repairs needed for public health and safety	exemption, 15301	9/1/2021	0	0	0	na		\$ 22,186.12	\$ -	\$ -	
3													
4													
5													
6													
7													
8													
9													
10	Audit and Expenditure Report Prep												
					0.00	0.10	0.50			\$ 66,831	\$ -	\$ -	

Measure D: Neighborhood Projects Program (Funds to Cities and County for Local Streets and Roads - LSR)

Performance Measures

AGENCY: **City of Scotts Valley**

Measure D recipients are to document the performance and benefits of the projects and programs funded with Measure D

Submittal date **12/31/2022**

Performance Measure	Performance Metric and Standard		Corrective Action
	Baseline/Prior Year	Current	
Pavement State of Repair	Track city/countywide Pavement Condition Index (PCI), which rates the "surface condition" of local streets from 1 to 100, at least every three years.		Any agency with a falling PCI must provide an explanation. If the PCI drops, specify what funding amounts, policies, or other needs are
Average Network PCI (0-100)*	55	53	Due to staffing turnover streetsaver database has not been updated to reflect work done in FY 19/20 or 20/21. Reported PCI of 55 is likely higher due to work completed on Glenwood Road.
Date of PCI Analysis (month/year)	1-Dec-21	1-Nov-22	
Miles repaved in FY 21/22 (using all funds, not just Measure D)	0.5	0.6	
Miles agency plans to repave in FY22/23 (using all funds, not just Measure D)	-	0.9	
Total centerline miles in jurisdiction	32.02	32.02	
Complete Streets Implementation			Provide an explanation if less than 20% of Measure
Percent of annual Measure D funds used to support bicycling and walking.	30%	30%	
Number of linear feet or lane miles of bicycle facilities built or maintained (bike lanes, bike routes, multi-use pathways) w/Meas D	0.45	0.5	
Number of pedestrian projects completed (linear feet of sidewalks, number of crossing improvements/stripping, quantify traffic calming items, lighting, landscaping/streetscape, number of curb/ADA ramps, linear feet of trail/pathway built or maintained) w/Meas D	crosswalk striped at K street intersection of Glenwood drive adjacent to both Scotts Valley High School and West Glenwood Open Space Preserve	Crosswalk restriping planned for 22/23 at all school zone intersections, 0.1 miles of new sidewalk to be constructed as part of Bean Creek Road Improvement project.	
Describe how school access was improved for children bicycling and walking, which may include collision data w/Meas D	N/A		
Capital Project and Program Investment	Investment into capital projects and programs is greater		Any agency expending less on capital investments compared to other activities
Amount of Meas D expended on capital projects and programs by phase (design, right-of-way, construction and capital support)		\$ 66,831.00	
Leveraged Funds	Report total grants and other funding secured using Measure D as a match.		Explain if Measure D funds fully funding all projects.
Amount of competitive grants secured using Measure D as match		\$429,769.00	\$429,769 RSPTX Bean Creek Road

Section 6. Maintenance of Effort Compliance

Maintenance of Effort

Pursuant to the California Transportation Commissions maintenance of effort reporting updated in August of 2017, the City of Scotts Valley's maintenance of effort level is \$292,207

http://www.californiacityfinance.com/RMRA_MOEstim1708.pdf

FY 2021/22 Expenditures

As reported in the FY2022/23 Annual Budget, prior year General Fund expenditures on Public Works Street maintenance was \$355,800. No general fund or local dollars were expended on transportation CIP projects.

<http://scottsvally.org/DocumentCenter/View/3148/FY-2022-2023-Final-Annual-Budget-PDF>

General Funds	FY 2018-19 Audited Actuals	FY 2019-20 Audited Actuals	FY 2020-21 Audited Actuals	FY 2021-22 Amended Budget	FY 2022-23 Final Proposed Budget
General Fund (001)					
41 Legislative	\$ 246,315	\$ 248,186	\$ 401,293	\$ 358,827	\$ 370,895
42 City Attorney	\$ 180,975	\$ 240,577	\$ 273,171	\$ 251,540	\$ 300,000
43 General Government	\$ 515,041	\$ 30,496,309	\$ 613,115	\$ 698,000	\$ 3,132,000
44 City Manager's Office	\$ 441,338	\$ 495,369	\$ 460,866	\$ 655,915	\$ 1,251,627
45 Admin Services - Finance	\$ 602,446	\$ 653,889	\$ 707,222	\$ 895,637	\$ 1,279,166
46 Admin Services - Human Resources	\$ 34,201	\$ 146,774	\$ 46,251	\$ 147,950	\$ 216,107
47 Admin Services - Information Technology	\$ 135,743	\$ 125,889	\$ 352,052	\$ 430,500	\$ 507,864
48 Admin Services - Risk Management	\$ 261,043	\$ 424,517	\$ 351,059	\$ 327,480	\$ 825,000
51 Police	\$ 4,985,239	\$ 5,388,515	\$ 4,895,586	\$ 5,221,577	\$ 6,041,813
52 Animal Control	\$ 116,790	\$ 115,070	\$ 97,095	\$ 105,000	\$ 150,000
53 Emergency Services	\$ 98,862	\$ 308,324	\$ 65,206	\$ 48,390	\$ 51,500
61 Community Development - Planning	\$ 596,150	\$ 656,468	\$ 662,976	\$ 717,379	\$ 831,472
62 Community Development - Building	\$ 506,805	\$ 493,375	\$ 560,964	\$ 447,438	\$ 817,930
71 Public Works - Engineering	\$ 598,064	\$ 652,553	\$ 673,459	\$ 722,000	\$ 1,016,091
72 Public Works - Streets	\$ 392,403	\$ 360,399	\$ 316,179	\$ 355,800	\$ 745,838
73 Public Works - Vehicle/Equipment Maintenance	\$ 112,894	\$ 21,058	\$ 53,209	\$ 130,043	\$ 175,796
75 Public Works - Parks	\$ 283,878	\$ 300,520	\$ 326,870	\$ 517,677	\$ 660,779
76 Public Works - Building Maintenance	\$ 254,975	\$ 208,360	\$ 358,007	\$ 241,472	\$ 500,517
Debt Service:					
Principal	\$ 290,000	\$ 515,000	\$ 450,000	\$ 480,000	\$ 555,000
Interest and Fiscal Charges	\$ 251,158	\$ 180,130	\$ 260,878	\$ 252,325	\$ 375,000
Subtotal General Fund (001)	\$ 10,807,329	\$ 71,865,080	\$ 11,465,708	\$ 13,708,830	\$ 19,841,430

MEASURE D

Annual Maintenance of Effort Compliance Report

Reporting Fiscal Year: **2021-2022**

AGENCY CONTACT INFORMATION

Agency Name: **City of Scotts Valley**

Date: **12/22/2022**

Primary Point of Contact

Name: **Chris Lamm**

Title: **Public Works Director**

Phone: **831-438-5854**

Email: clamm@scottsvalley.org

Agency's Certification of True and Accurate Reporting by Submission

By submitting this Compliance Report to the Santa Cruz County Regional Transportation Commission, the submitting agency certifies the compliance information reported is true and complete to the best of their knowledge, and the dollar figures in the agency's Audited Financial Statement matches exactly to the revenues and expenditures reported herein.

Program Compliance Report Structure

This Reporting Form is broken into the following sections for the Measure D Direct Local Allocation Programs applicable to the recipient agency.

- * **Cover - Agency Contact**
- * **Table 1 -MOE Baseline Calculation: Summary of Average Revenue and Expenditures**

SAMPLE - Measure D Maintenance of Effort (MOE) Baseline Calculation Form

Baseline Calculation Due to RTC by August 1, 2017

Jurisdiction: City of Scotts Valley

Calculation of Annual Average Transportation Expenditures/Baseline MOE:

Revenue Sources by Expenditure Category

e.g. categories: Fixed-Route Service, paratransit service, streetsweeping, pavement, bike, ped, etc.

REVENUES - Enter the total revenues expended in previous Fiscal Years (for transportation) from all local sources and state/fed formula programs.	Total Expenditure by Year								
	FY22/23 Budget	FY21/22 Budget	FY20/21 Budget	FY19/20 Budget	FY18/19 Budget	FY17/18 Budget	FY16/17	FY15/16	FY14/15
Gas Tax	\$601,260	\$549,600	\$498,329	\$493,321	\$478,609	\$333,100	\$237,289	\$261,455	\$341,807
Traffic Mitigation Impact Fees	\$285,210	\$63,600	\$62,000	\$43,784	\$383,197	\$174,600	\$95,003	\$81,216	\$42,763
Drainage Construction Impact Fees	\$37,977	\$15,000	\$15,000	\$4,848	\$16,803	\$54,605	\$9,825	\$4,730	\$5,214
RSTPX Exchange Funds			\$54,200	\$266,536	\$1,003,330	\$711,000			
Other State Grants	\$900,528	\$400,000	\$1,844,844			\$346,000			
Investment Income						\$7,305	\$7,319	\$6,351	\$4,930
Mesure D Sales Tax for Transportation	\$313,309	\$308,000	\$333,330	\$267,880	\$288,317	\$282,561			
Average Annual MOE Revenues	\$2,138,284	\$1,336,200	\$2,807,703	\$1,076,369	\$2,170,256	\$1,909,171	\$349,436	\$353,752	\$394,714

MOE Baseline (Average of last 3 to 5 years)

\$365,967

MOE Exclusions*

Excluded special one-time grants

Total Average Annual Transportation Expenditures

\$900,528	\$400,000	\$1,899,044	\$266,536	\$1,003,330	\$1,057,000	\$0	\$0	\$0
\$900,528	\$400,000	\$1,899,044	\$266,536	\$1,003,330	\$1,057,000	\$0	\$0	\$0
\$1,237,756	\$936,200	\$908,659	\$809,833	\$1,166,926	\$852,171	\$349,436	\$353,752	\$394,714

Expenditures - Enter amount budgeted in 17/18 and amounts spent on various transportation purposes in previous Fiscal Years.	FY22/23 Budget	FY21/22 Budget	FY20/21 Budget	FY19/20 Budget	FY18/19 Budget	FY17/18 Budget	FY16/17	FY15/16	FY14/15
On-going Operations: Admin & Engineering	\$722,000	\$856,048	\$716,285	\$567,553	\$598,064	\$335,462	\$285,943	\$307,668	\$259,867
Street Maintenance	\$355,800	\$322,964	\$497,611	\$369,709	\$392,403	\$410,923	\$384,887	\$364,570	\$301,853
Street Repairs	\$66,000	\$66,000	\$275,000	\$250,000	\$200,000	\$55,000		\$78,194	\$123,045
Equipment Purchase								\$25,000	
Street Signs & Lighting								\$87,318	\$132,586
Major Projects- CIP	\$2,424,800	\$1,339,100	\$500,000	\$1,735,000	\$606,000	\$1,608,975	\$484,000	\$58,429	\$605,005
Subtotal Construction	\$3,568,600	\$2,584,112	\$1,988,896	\$2,922,262	\$1,796,467	\$2,410,360	\$1,154,830	\$921,179	\$1,422,356

Source/location of supporting budget or fiscal statement documentation.

FY 2021-2022 Annual Budget

Certification:

I hereby certify that the City of Scotts Valley

has budgeted and will meet the Maintenance

of Effort requirement for Fiscal Year

2021/2022

Chris Lamm

12/22/2022

Public Works Director

Date

*Funding sources excluded from MOE calculation are listed for information purposes and will make it easier to reconcile this report against annual budgets. Excluded sources include special, non-formula, competitive grants, and/or past revenues ca and/or emergencies. This includes one-time grants - such as RSTP/STBG, STIP, ATP, ARRA, FEMA, HSIP, FTAS310, No & Low Emission Bus program.

Measure D Maintenance of Effort (MOE) Baseline Calculation Form

Baseline Calculation Due to RTC by August 1, 2017

Jurisdiction: City of Scotts Valley

Calculation of Annual Average Transportation Expenditures/Baseline MOE:

Revenue Sources by Expenditure Category

e.g. categories: Fixed-Route Service, paratransit service, streetsweeping, pavement, bike, ped, etc.

REVENUES - Enter the total revenues expended in previous Fiscal Years (for transportation) from all local sources and state/fed formula programs.	FY22/23 Budget	FY21/22 Budget	FY20/21 Budget	FY19/20 Budget	FY18/19 Budget	FY17/18 Budget	Total Expenditure by Year				
							FY16/17	FY15/16	FY14/15	FY13/14	FY12/13
Gas Tax	\$601,260	\$549,600	\$498,329	\$493,321	\$478,609	\$333,100	\$237,289	\$261,455	\$341,807	\$375,790	\$273,759
Traffic Mitigation Impact Fees	\$285,210	\$63,600	\$62,000	\$43,784	\$383,197	\$174,600	\$95,003	\$81,216	\$42,763	\$61,898	\$131,245
Drainage Construction Impact Fees	\$37,977	\$15,000	\$15,000	\$4,848	\$16,803	\$54,605	\$9,825	\$4,730	\$5,214	\$54,825	\$6,947
RSTPX Exchange Funds			\$54,200	\$266,536	\$1,003,330	\$711,000				\$225,000	
Other State Grants	\$900,528	\$400,000	\$1,844,844			\$346,000					
Investment Income						\$7,305	\$7,319	\$6,351	\$4,930	\$4,563	\$5,053
Mesure D Sales Tax for Transportation	\$313,309	\$308,000	\$333,330	\$267,880	\$288,317	\$282,561					
Average Annual MOE Revenues	\$2,138,284	\$1,336,200	\$2,807,703	\$1,076,369	\$2,170,256	\$1,909,171	\$349,436	\$353,752	\$394,714	\$722,076	\$417,004

MOE Baseline (Average of last 3 to 5 years)

\$447,396

MOE Exclusions*	\$900,528	\$400,000	\$1,899,044	\$266,536	\$1,003,330	\$1,057,000	\$0	\$0	\$0	\$225,000	\$0
Excluded special one-time grants	\$900,528	\$400,000	\$1,899,044	\$266,536	\$1,003,330	\$1,057,000	\$0	\$0	\$0	\$225,000	\$0
Total Average Annual Transportation Expenditures	\$1,237,756	\$936,200	\$908,659	\$809,833	\$1,166,926	\$852,171	\$349,436	\$353,752	\$394,714	\$497,076	\$417,004

Expenditures - Enter amount budgeted in 21/22 and amounts spent on various transportation purposes in previous Fiscal Years.	FY22/23 Budget	FY21/22 Budget	FY20/21 Budget	FY19/20 Budget	FY18/19 Budget	FY17/18 Budget	FY16/17	FY15/16	FY14/15	FY13/14	FY12/13
On-going Operations: Admin & Engineering	\$722,000	\$856,048	\$716,285	\$567,553	\$598,064	\$335,462	\$285,943	\$307,668	\$259,867	\$284,958	\$237,313
Street Maintenance	\$355,800	\$322,964	\$497,611	\$369,709	\$392,403	\$410,923	\$384,887	\$364,570	\$301,853	\$363,342	\$352,483
Street Repairs	\$66,000	\$66,000	\$275,000	\$250,000	\$200,000	\$55,000		\$78,194	\$123,045	\$170,623	
Equipment Purchase								\$25,000			
Street Signs & Lighting								\$87,318	\$132,586	\$24,650	\$9,105
Major Projects- CIP	\$2,424,800	\$1,339,100	\$500,000	\$1,735,000	\$606,000	\$1,608,975	\$484,000	\$58,429	\$605,005	\$258,870	\$61,582
Subtotal Construction	\$3,568,600	\$2,584,112	\$1,988,896	\$2,922,262	\$1,796,467	\$2,410,360	\$1,154,830	\$921,179	\$1,422,356	\$1,102,443	\$660,483

Source/location of supporting budget or fiscal statement documentation.

FY 2021-2022 Annual Budget

Certification:

I hereby certify that the City of Scotts Valley

has budgeted and will meet the Maintenance

of Effort requirement for Fiscal Year 2021-2022

Chris Lamm

12/22/2022

Public Works Director

Date

*Funding sources excluded from MOE calculation are listed for information purposes and will make it easier to reconcile this report against annual budgets. Excluded sources include special, non-formula, competitive grants, and/or past revenues carried over for special projects and/or emergencies. This includes one-time grants - such as RSTP/STBG, STIP, ATP, ARRA, FEMA, HSIP, FTAS310, No & Low Emission Bus program.

**Measure D: Guidelines for Direct Allocations for
Neighborhood Projects and Transportation for Seniors and People with Disabilities**
Approved by SCCRTC 5/18/17

Section 7. Maintenance of Effort

A. Recipient shall use Measure D funds to supplement and not replace existing revenues used for transportation purposes, including agency indirect costs and overhead. A maintenance of effort formula ensures that Measure D results in an increased or sustained level of transportation-related activities than would otherwise have been possible. Existing funds, revenues and other resources being used for transportation purposes include but are not limited to federal and state funding, the collection of traffic impact mitigation fees, other local impact fees, and dedications of property. The funds generated by the Transportation Tax shall not be used to replace existing transportation funding or to replace requirements for new development to provide for its own transportation needs. While Measure D funds shall not be used to directly replace and/or reduce those charges, Recipient may reduce or provide subsidies to development for their transportation needs and/or charges using other non-transportation sources to offset those charges. The entities receiving Measure D Revenues shall maintain their existing commitment of discretionary local transportation-related expenditures for transportation purposes pursuant to the ordinance, and the RTC shall enforce this requirement by appropriate actions, including fiscal audits of the local agencies.

B. An agency's baseline "maintenance of effort" shall be calculated based on the average of revenues and expenditures for transportation purposes in the three to five fiscal years prior to the start of Measure D allocations.

C. The baseline calculation shall include the following revenues: local discretionary revenues, formula funds received for transportation purposes (e.g. gas tax, state transit assistance, FTA5311, etc).

1. Local agency discretionary revenues (general funds, sales and property taxes and other fees) designated for transportation purposes which were calculated for the baseline shall be adjusted annually in proportion to growth or decline of gross Measure D sales tax revenues. Dedicated funds for transportation which are not locally generated, such as state gas tax and other state and federal formula funds shall not be subject to this adjustment.

2. Revenues from special, non-formula, competitive grants, and/or past revenues carried over into FY14/15-16/17 (and optionally FY12/13 and 13/14) for special projects and/or emergencies will not be part of MOE baseline calculation but shall still be identified in the baseline report. This includes, but is not limited to, one-time grants such as Regional Surface Transportation Program (RSTP)/Surface Transportation Block Grant Program (STBG), State Transportation Improvement Program (STIP), Active Transportation Program (ATP), American Recovery and Reinvestment Act (ARRA), Highway Safety Improvement Program (HSIP), Highway Bridge Program (HBP), FTA5310, and FEMA grants. While these are not part of the baseline calculation, agencies will be expected to continue to seek and secure grants from other sources, use some Measure D funds to leverage other grants, and not depend on Measure D formula funds to supplant those efforts.

D. Expenditures: In the baseline report, Recipients shall also show how revenues were used in FY14/15-16/17 (and optionally FY12/13 and 13/14). This includes a breakdown by transportation purpose, such as ongoing operations, maintenance, transit service type, major projects, street sweeping, pavement, bicycle projects, walkways, as well as outside transportation programs your agency has contributed funds to (such as safe route to school, transportation demand management, and paratransit programs), and agency staffing, overhead and indirect costs.

E. Exceptions: The RTC recognizes that there will be instances where other and sometimes longstanding local, state, or federal revenues, formula funds, and grants will fluctuate or no longer be available. There also may be instances where a recipient agency reserves several years of funds, then spends several years of banked revenues in one fiscal year. If there are past revenues or expenditures which an agency proposes to exclude from the baseline maintenance of effort calculations, or if an agency is unable to meet the baseline maintenance of effort in a given year, the agency must provide a written justification for such exclusion for consideration by the RTC and the Measure D Oversight Committee. The written justification must include evidence for the need for any lower base amount.

F. If extraordinary storm damage or other emergencies occur in a given year, an agency may request an exception to maintenance of effort requirements that year.

G. Recipient agencies have up to three fiscal years to meet the adjusted minimum local revenue expenditure requirement, outlined in Section 7.C.1. If an agency fails to submit annual reports or within three fiscal years the adjusted average annual expenditures of local discretionary revenues over the past three years do not meet the adjusted minimum local expenditure maintenance of effort requirements, the Measure D allocations amount to the RECIPIENT will be reduced by the amount that the agency did not meet the baseline level of expenditures of the agency discretionary revenues and the remaining Measure D will be reserved until any and all maintenance of effort expenditures are fulfilled or a plan to meet the maintenance of effort amount moving forward has been approved by the RTC.

H. Recipients shall submit their baseline calculation to the RTC by August 1, 2017. Recipients shall demonstrate and certify their maintenance of effort through the annual report and audit, and provide supporting documentation of how they calculated their maintenance of effort, which may include annual fiscal expenditure reports or ledgers

Measure D Five Year Plan

Measure D: 5-Year Program of Projects (FY22/23-FY26/27)

Agency: City of Scotts Valley
Expenditure Plan Category: Neighborhood Projects

Measure D Revenues					
Rollover	FY22/23	FY23/24	FY24/25	FY25/26	FY26/27
\$ 199,987	\$ 313,156	\$ 318,595	\$ 324,122	\$ 329,737	\$ 335,454

Estimated Annual Measure D Allocations

Amount of Measure D Funds to be Used												
Name/Road/Limits	Description, Complete Streets Components	Total Measure D	Rollover	FY22/23	FY23/24	FY24/25	FY25/26	FY26/27	Other Funds (\$)	Other Fund Sources	Est. Construction Start Date	Major Project?*(yes/no)
Bean Creek Road	Rework subgrade, asphalt overlaying, drainage improvements, and restriping (two phases)	\$ 959,000		\$ 359,000			\$ 100,000	\$ 500,000	\$ 400,000	RSTPX/SB1	Fall	yes
Scotts Valley Drive	Crack seal	\$ 75,000		\$ 75,000							Spring	no
Janis Way	Digout and overlay and sidewalk and bikelanes	\$ 250,000		\$ 250,000						SB1	Spring	no
Glenwood Drive (Scotts Valley Drive to K Street)	Crack seal and type-2 slurry and bike lanes and striping	\$ 100,000			\$ 100,000					SB1	Spring	no
Mt Herman Road	digouts & crack seal & slurry & La Madronna intersection rework	\$ 200,000				\$ 200,000					Spring	yes
Lockwood Lane (Mt Hermon to City Limit)	Digouts and overlay and striping and bike lanes	\$ 100,000					\$ 100,000			SB1	Spring	no

Estimated Annual Measure D Expenditures

	\$ 684,000	\$ 100,000	\$ 200,000	\$ 200,000	\$ 500,000
Carry Over to Next Fiscal Year	\$ 199,987	\$ (170,857)	\$ 47,738	\$ 171,860	\$ 177,475
Annual Interest Earnings on Measure D Revenues					

*For Major Projects (e.g. require CEQA, over \$1M, and/or lots of public interest), provide separate one-page summary with longer description, describe consistency with the Complete Streets Act; Cost/Funding/schedule by phase)

RESOLUTION NO. 1923.5

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF SCOTTS VALLEY APPROVING THE FY 2022/23 TO FY 2026/27 FIVE-YEAR PROGRAM OF PROJECTS TO BE FUNDED WITH MEASURE D REVENUES

WHEREAS, on November 8, 2016, the voters of Santa Cruz County adopted an ordinance approving the Santa Cruz County Transportation Improvement Plan Measure ("Measure D"), authorizing the Santa Cruz County Regional Transportation Commission ("RTC") to administer the proceeds from a retail transaction and use tax of one-half of one percent (0.5%); and

WHEREAS, sales tax proceeds from Measure D will be collected for 30 years beginning April 1, 2017, which are to be used to pay for the programs and projects outlined in the Measure D Expenditure Plan and Ordinance; and

WHEREAS, the Master Funding Agreement between RTC and the City of Scotts Valley articulates the terms and conditions of RTC's administration and direct allocation of proceeds to the City as authorized in the Measure D Expenditure Plan; and

WHEREAS, the Master Funding Agreement requires that the City Council annual adopt an annual report that includes a five-year program of projects including information about each of the projects to be funded with Measure D revenues after holding a public hearing; and

WHEREAS, the five-year program of projects is considered part of the City's Five-Year Capital Improvement Plan, which was published for public review on the City's website on April 28, 2022 and reviewed during a public hearing at the City Council's regular meeting on May 4, 2022; and

WHEREAS, the five-year program of projects, attached hereto and incorporated herein, lists the projects proposed by the City that are consistent with the Measure D Expenditure Plan.

NOW, THEREFORE, BE IT RESOLVED AND ORDERED that the FY 2022/23 to FY2026/27 Five-Year Program of Projects to be funded with Measure D revenues, attached hereto and incorporated herein, is approved.

The above and foregoing resolution was duly and regularly adopted by the City Council of the City of Scotts Valley at a regular meeting held on the 4th day of May, 2022, by the following vote:

AYES: Dilles, Johnson, Lind, Reed, Timm

NOES: None

ABSENT: None

ABSTAIN: None

DocuSigned by:
Cathie Simonovich
00BDB2B26C07422...

Attest:

Cathie Simonovich, City Clerk

DocuSigned by:
Donna Lind
A55244D9872047C
Approved: Donna Lind, Mayor

City of Scotts Valley CITY COUNCIL STAFF REPORT

DATE: May 4, 2022

TO: Honorable Mayor and City Council

FROM: Chris Lamm, Public Works Director

APPROVED: Mali LaGoe, City Manager

SUBJECT: **PUBLIC HEARING AND APPROVAL OF MEASURE D FY22/23-26/27 FIVE YEAR PROGRAM**

SUMMARY OF ISSUE

Passed in November 2016 by Santa Cruz County Voters, Measure D provides funding for efforts related to maintaining and improving local roads, including pavement, bicycle, and pedestrian infrastructure for a period of 30 years. As a part of the measure's accountability and transparency measures, each agency receiving funds is required to develop, update, hold a public hearing on, and adopt a five-year program of projects ("5-Year Plan") every year. 5-Year Plans are adjusted annually based on actual expenditures, updated revenue projections, proposed allowances to projects, updated project schedules, and updated cost estimates.

FISCAL IMPACT

Measure D will fund or partially fund projects on the 5-Year Plan, and will be used to help leverage additional grant funding by serving as local match.

STAFF RECOMMENDATION

It is recommended that the City Council adopt Resolution No. 1923.5 approving the list of Measure D Projects for FY22-23 through FY26-27.

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10. Annual Report Narrative

10a. Fund Balances, Carryover Projects, Description of planned, longer-term and future uses of Measure D Revenues

Measure D funds are tracked by the Finance Department in conjunction with Public Works.

The next big project on the list for Measure D funds is the rehabilitation of Bean Creek Road. The first phase of Bean Creek road includes pavement rehabilitation, drainage improvements and guardrail installation between Bluebonnet Lane and Redwood Drive, and is currently in design.

In the next five years, Measure D is also anticipated to help fund road work on a second phase of Bean Creek Road from Redwood Drive to the City limits. Additionally, the adopted plan calls for crack seal on Scotts Valley Drive, crack seal and slurry seal on Mount Hermon Road, crack seal and slurry seal on Glenwood Drive (Scotts Valley Drive to K Street), overlay on Lockwood Lane and overlay and sidewalk improvements on Janis Way.

10b. Future Liabilities

Local funds, along with other grants and funding sources, such as SB1, will be used in conjunction with Measure D to meet the financial need of the project.

10c. Compliance with Applicable Laws

All projects meet required CEQA laws; to date, all projects have qualified for a CEQA exemption. Additionally, all design is done in compliance with City and State standards. Finally, procurement for materials and labor meet California public contract code requirements.

12. Samples of Public Outreach

a. website with Measure D funded projects

<http://scottsvally.org/279/Plans-Projects>

b. News Articles

<https://www.santacruzsentinel.com/2022/06/10/mayors-message-scotts-valley-officials-attend-summit/>